

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Shanahan, Patrick Michael

Acting Secretary of Defense, Department of Defense -- DAEO Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Shanahan, Patrick Michael [electronically signed on 08/30/2019 by Shanahan, Patrick Michael in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Thompson, Scott F, Certifying Official [electronically signed on 09/23/2019 by Thompson, Scott F in Integrity.gov]

Other review conducted by

/s/ Thompson, Scott F, Ethics Official [electronically signed on 09/23/2019 by Thompson, Scott F in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 10/21/2019 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	EUROPACIFIC GROWTH CL F2 (AEPFX)	See Endnote	Sale	08/20/2018	Yes	\$50,001 - \$100,000
2	FEDERATED STRATEGIC VALUE DIVIDENT INSTL CL (SVAIX)	See Endnote	Sale	05/16/2018	Yes	\$100,001 - \$250,000
3	VANGUARD TOTAL INTL STOCK INDEX FUND (VXUS)	See Endnote	Sale	08/21/2018	Yes	\$50,001 - \$100,000
4	MASS FEDL HWY REV GRANT 2027	See Endnote	Sale	06/12/2019	Yes	\$15,001 - \$50,000
5	METRO NY TRANS AUTH 2035	See Endnote	Purchase	02/25/2019	Yes	\$100,001 - \$250,000
6	MINNESOTA STATE BOND	See Endnote	Sale	06/06/2019	Yes	\$250,001 - \$500,000
7	NY NY SUBSER G3 2042	See Endnote	Purchase	06/07/2019	Yes	\$250,001 - \$500,000
8	OKLAHOMA STATE, BOND	See Endnote	Sale	05/02/2019	Yes	\$15,001 - \$50,000
9	VIRGINIA ST PUB BLDG AUTH 2021 #1	See Endnote	Sale	03/19/2019	Yes	\$15,001 - \$50,000
10	VIRGINIA ST PUB BLDG AUTH 2024 #2	See Endnote	Sale	03/03/2019	Yes	\$15,001 - \$50,000
11	NORTH TX TWY AUTH 2030	See Endnote	Sale	02/15/2019	Yes	\$100,001 - \$250,000
12	NEW YORK ST DORM SALES TAX REV 2024	See Endnote	Sale	02/12/2019	Yes	\$15,001 - \$50,000
13	NEW YORK ST DORM AUT 2032	See Endnote	Purchase	02/01/2019	Yes	\$100,001 - \$250,000
14	VIRGINIA REST AUTH INFRA REV 11/01/22	See Endnote	Sale	01/23/2019	Yes	\$50,001 - \$100,000
15	OHIO ST COMM SCHS SER A 2026	See Endnote	Sale	01/15/2019	Yes	\$50,001 - \$100,000

Endnotes

PART	#	ENDNOTE
Transactions	1	Delay in reporting due to administrative oversight.
Transactions	2	Reported on 2018 Annual (Part 7).
Transactions	3	Reported on 2018 Annual (Part 7).
Transactions	4	Delay in reporting due to administrative oversight.
Transactions	5	Delay in reporting due to administrative oversight.
Transactions	6	Delay in reporting due to administrative oversight.
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Transactions	11	Delay in reporting due to administrative oversight.
Transactions	12	Delay in reporting due to administrative oversight.
Transactions	13	Delay in reporting due to administrative oversight.
Transactions	14	Delay in reporting due to administrative oversight.
Transactions	15	Delay in reporting due to administrative oversight.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
