

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Censky, Stephen Lee**

Deputy Secretary, Department of Agriculture

Report Year: 2019

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Censky, Stephen Lee [electronically signed on 06/07/2019 by Censky, Stephen Lee in Integrity.gov] - Filer received a 47 day filing extension.**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Bender, Stuart, Certifying Official [electronically signed on 06/14/2019 by Bender, Stuart in Integrity.gov]**

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Other review conducted by

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U.S. Office of Government Ethics Certification

**/s/ Granahan, Megan, Certifying Official [electronically signed on 07/10/2019 by Granahan, Megan in Integrity.gov]**

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Data Revised 06/14/2019

Data Revised 06/13/2019

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	American Soybean Association 457(b)	No			
1.1	Vanguard Federal Money Market Fund Investor Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Principal Blue Chip R4 Fund	See Endnote	Yes	\$50,001 - \$100,000	\$1,001 - \$2,500
2	American Soybean Association defined benefit pension (value not readily ascertainable)			Will receive \$3,338 per month beginning at age 67.	

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## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	American Soybean Association	St. Louis, Missouri	Neither I nor the plan sponsor made further contributions to this plan after my separation from the American Soybean Association in October 2017. Funds in this 401(k) account were rolled over into my personal IRA account in January 2018.	7/1994
2	American Soybean Association	St. Louis, Missouri	I will continue to participate in this defined benefit plan.	7/1994

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#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	American Soybean Association	St. Louis, Missouri	Neither I nor the plan sponsor made further contributions after my separation from the American Soybean Association in October 2017.	10/2010

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

#### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Hartford Annuities – Director Non-Qualified Variable Annuity	No			
1.1	Hartford Total Return Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Hartford Dividend and Growth HLS Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Hartford Global Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Hartford Stock HLS Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Hartford Capital Appreciation Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.6	Hartford International Opportunties Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Hartford Small Cap Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Hartford Disciplined Equity Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	IRA #1	No			
2.1	U.S. Brokerage Account (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
2.2	Griffin Cap Essential Asset REIT	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.3	Kite RLTY Group TR Com New REIT	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.4	CD #1	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.5	CD #2	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.6	CD #3	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.7	CD #4	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3	IRA #2	No			
3.1	U.S. Brokerage Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	AMG SOUTHERNSUN U.S. EQUITY FUND CLASS I N/L (SSEIX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
3.3	EUROPACIFIC GROWTH FUND CLASS F2 - AMERICAN FUNDS N/L (AEPFX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	JPMORGAN MID CAP VALUE FUND CLASS L N/L (FLMVX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
3.5	HARTFORD MIDCAP FUND CLASS I N/L (HFMIX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
3.6	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS I N/L (JVLIX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
3.7	JPMORGAN U.S. LARGE CAP CORE PLUS FUND CLASS I N/L (JLPSX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
3.8	LAZARD EMERGING MARKETS EQUITY PORT INSTITUTIONAL SHS N/L (LZEMX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.9	MFS INTERNATIONAL GROWTH FUND CLASS I N/L (MQGIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.10	MFS RESEARCH FUND CLASS A M/F (MFRFX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
3.11	VICTORY TRIVALENT INTL SMALL-CAP FUND CLASS Y N/L (MYSIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.12	VICTORY INTEGRITY SMALL CAP VALUE FUND CLASS Y N/L (VSVIX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
3.13	Brown Advisory Growth Equity Fund (BIAGX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
3.14	Causeway International Value Fund (CIVIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.15	Colombia Contrarian Core Fund (SMGIX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
3.16	ARTISAN INTERNATIONAL FUND ADVISOR CLASS N/L (APDIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.17	HARDING LOEVNER EMERG MARKETS PORT FUND ADVISOR CL N/L (HLEMX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.18	OAKMARK INTERNATIONAL FUND INVESTOR CLASS N/L (OAKIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.19	WELLS FARGO INTERNATIONAL VALUE FUND CLASS I N/L (WFBVX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4	IRA (#3)	No			
4.1	INDEXIQ ETF TRUST IQ HEDGE MULTI-STRATEGY TRACKER ETF (QAI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	ISHARES TR CORE S&P MCP ETF (IJH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	ISHARES TR CORE MSCI EAFE (IEFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.4	ISHARES TR CORE S&P SCP ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	ISHARES INCORPORATED CORE MSCI EMKT (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.7	U.S. Brokerage Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.8	ISHARES TR MIN VOL USA ETF (USMV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	US Savings Bonds (Child #1)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	US Savings Bonds (Child #2)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	U.S. bank account #1 (checking)	N/A	\$50,001 - \$100,000		None (or less than \$201)
8	U.S. bank account #2 (savings)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	U.S. bank account #3 (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
10	U.S. bank account #1 (checking) for Child #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Investment Account #2	See Endnote			
11.1	U.S. Brokerage Account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.2	INDEXIQ ETF TRUST IQ HEDGE MULTI-STRATEGY TRACKER ETF (QAI)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.3	ISHARES TR RUS 1000 VAL ETF (IWD)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.4	ISHARES TR RUS 1000 GRW ETF (IWF)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
11.5	ISHARES TR RUSSELL 2000 ETF (IWM)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.6	ISHARES TR CORE MSCI EAFE (IEFA)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
11.7	ISHARES INCORPORATED CORE MSCI EMKT (IEMG)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.8	SPDR S&P MIDCAP 400 ETF TRUST (MDY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.9	ISHARES TR MIN VOL USA ETF (USMV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	Hartford 4658 Hartford Frontier 2012 Indexed Universal Life Insurance Policy	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Hartford 4658 Hartford Frontier 2012 Indexed Universal Life insurance Policy	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	U.S. BROKERAGE ACCOUNT (CASH)	N/A	None (or less than \$1,001)		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	IRA #4				
15.1	CHIRON CAPITAL ALLOCATION FUND CLASS I N/L (CCAPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.2	WESTERN ASSET MACRO OPPORTUNITIES FUND CLASS I N/L (LAOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.3	AQR STYLE PREMIA ALTERNATIVE FUND CLASS I N/L (QSPIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.4	AQR MANAGED FUTURES STRATEGY FUND CLASS I N/L (AQMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.5	BLACKROCK EVENT DRIVEN EQUITY FUND INST CLASS N/L (BILPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.6	GATEWAY FUND CLASS A M/F - NATIXIS ADVISOR (GATEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.7	JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL I N/L (JHAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.8	ABBEY CAPITAL FUTURES STRATEGY VALUE FUND CLASS I N/L (ABYIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.9	BOSTON PARTNERS LONG/SHORT RESEARCH FUND INST CLASS N/L (BPIRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.10	VANGUARD MARKET NEUTRAL FUND INVESTOR N/L (VMNFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.11	WILLIAM BLAIR MACRO ALLOCATION FUND CLASS I N/L (WMCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	IRA #5				
16.1	CLARKSTON PARTNERS FUND INSTITUTIONAL CLASS N/L (CISMV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.2	JPMORGAN MID CAP VALUE FUND CLASS L N/L (FLMVX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.3	HARTFORD MIDCAP FUND CLASS I N/L (HFMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.4	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS I N/L (JVLIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.5	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS I N/L (SOPYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.6	LOOMIS SAYLES GROWTH FUND CLASS Y N/L - NATIXIS ADVISOR (LSGRX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.7	MERIDIAN GROWTH FUND INVESTOR CLASS N/L (MRIGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.8	PARNASSUS CORE EQUITY FUND INSTITUTIONAL CLASS N/L (PRILX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.9	T. ROWE PRICE SMALL CAP VALUE FUND INVESTOR CLASS N/L (PRSVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.10	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

## 7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Principal SAM Strategic Growth R3 Portfolio	See Endnote	Sale	1/29/2018	\$1,000,001 - \$5,000,000
2	ABBAY CAPITAL FUTURES STRATEGY VALUE FUND CLASS I N/L (ABYIX)	See Endnote	Purchase	1/31/2018	\$1,001 - \$15,000
3	WESTERN ASSET MACRO OPPORTUNITIES FUND CLASS I N/L (LAOIX)		Purchase	1/31/2018	\$1,001 - \$15,000
4	AQR MANAGED FUTURES STRATEGY FUND CLASS N N/L (AQMNX)		Purchase	1/31/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
5	BLACKROCK EVENT DRIVEN EQUITY FUND INST CLASS N/L (BILPX)	Purchase	1/31/2018	\$1,001 - \$15,000
6	BOSTON PARTNERS LONG/SHORT RESEARCH FUND INST CLASS N/L (BPIRX)	Purchase	1/31/2018	\$1,001 - \$15,000
7	CHIRON CAPITAL ALLOCATION FUND CLASS I N/L (CCAPX)	Purchase	1/31/2018	\$1,001 - \$15,000
8	GATEWAY FUND CLASS A M/F - NATIXIS ADVISOR (GATEX)	Purchase	1/31/2018	\$1,001 - \$15,000
9	AQR STYLE PREMIA ALTERNATIVE FUND CLASS N N/L (QSPNX)	Purchase	1/31/2018	\$1,001 - \$15,000
10	VANGUARD MARKET NEUTRAL FUND INVESTOR N/L (VMNFX)	Purchase	1/31/2018	\$1,001 - \$15,000
11	JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL I N/L (JHAIX)	Purchase	1/31/2018	\$1,001 - \$15,000
12	DREYFUS GLOBAL REAL RETURN FUND CLASS I N/L (DRRIX)	Purchase	1/31/2018	\$1,001 - \$15,000
13	LOCORR MARKET TREND FUND CLASS I N/L (LOTIX)	Purchase	1/31/2018	\$1,001 - \$15,000
14	WILLIAM BLAIR MACRO ALLOCATION FUND CLASS I N/L (WMCIX)	Purchase	1/31/2018	\$1,001 - \$15,000
15	ABBEY CAPITAL FUTURES STRATEGY VALUE FUND CLASS I N/L (ABYIX)	Purchase	9/13/2018	\$1,001 - \$15,000
16	LOCORR MARKET TREND FUND CLASS I N/L (LOTIX)	Sale		\$1,001 - \$15,000
17	AQR STYLE PREMIA ALTERNATIVE FUND CLASS I N/L (QSPIX)	Purchase	9/14/2018	\$1,001 - \$15,000
18	BLACKROCK EVENT DRIVEN EQUITY FUND INST CLASS N/L (BILPX)	Purchase	9/14/2018	\$1,001 - \$15,000
19	DREYFUS GLOBAL REAL RETURN FUND CLASS I N/L (DRRIX)	Sale	9/14/2018	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
20	ISHARES TR RUS 1000 GRW ETF (IWF)	See Endnote	Sale	1/18/2018	\$1,001 - \$15,000
21	ISHARES TR RUS 1000 VAL ETF (IWD)		Sale	1/23/2018	\$1,001 - \$15,000
22	ISHARES TR RUS 1000 GRW ETF (IWF)		Sale	1/23/2018	\$1,001 - \$15,000
23	ISHARES TR CORE MSCI EAFE (IEFA)		Sale	1/23/2018	\$1,001 - \$15,000
24	ARTISAN INTERNATIONAL FUND ADVISOR CLASS N/L (APDIX)	See Endnote	Purchase	1/30/2018	\$15,001 - \$50,000
25	AQR MANAGED FUTURES STRATEGY FUND CLASS N N/L (AQMNX)		Sale	1/30/2018	\$1,001 - \$15,000
26	JPMORGAN U.S. LARGE CAP CORE PLUS FUND CLASS I N/L (JLPSX)		Sale	1/30/2018	\$15,001 - \$50,000
27	BROWN ADVISORYGROWTH EQUITY FUND INVESTOR SHARES N/L (BIAGX)		Sale	1/30/2018	\$15,001 - \$50,000
28	JPMORGAN MID CAP VALUE FUND CLASS L N/L (FLMVX)		Sale	1/30/2018	\$1,001 - \$15,000
29	OAKMARK INTERNATIONAL FUND INVESTOR CLASS N/L (OAKIX)		Purchase	1/30/2018	\$50,001 - \$100,000
30	EUROPACIFIC GROWTH FUND CLASS F2 - AMERICAN FUNDS N/L (AEPFX)		Purchase	1/30/2018	\$50,001 - \$100,000
31	MFS INTERNATIONAL GROWTH FUND CLASS I N/L (MQGIX)		Purchase	1/31/2018	\$50,001 - \$100,000
32	LAZARD EMERGING MARKETS EQUITY PORT INSTITUTIONAL SHS N/L (LZEMX)		Purchase	1/31/2018	\$50,001 - \$100,000
33	VICTORY TRIVALENT INTL SMALL-CAP FUND CLASS Y N/L (MYSIX)		Purchase	1/31/2018	\$50,001 - \$100,000
34	SEI U.S. MANAGED VOLATILITY FUND CLASS Y N/L (SUSYX)		Sale	1/31/2018	\$1,001 - \$15,000
35	AMG SOUTHERNSUN U.S. EQUITY FUND CLASS I N/L (SSEIX)		Sale	1/31/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
36	AMG TIMESSQUARE SMALL CAP GROWTH FUND CLASS N N/L (TSCPX)	Sale	1/31/2018	\$1,001 - \$15,000
37	HARTFORD MIDCAP FUND CLASS I N/L (HFMIX)	Sale	1/31/2018	\$1,001 - \$15,000
38	WELLS FARGO INTERNATIONAL VALUE FUND CLASS I N/L (WFVIX)	Purchase	1/31/2018	\$50,001 - \$100,000
39	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS I N/L (JVLIX)	Sale	1/31/2018	\$15,001 - \$50,000
40	MFS RESEARCH FUND CLASS A M/F (MFRFX)	Sale	1/31/2018	\$15,001 - \$50,000
41	COLUMBIA CONTRARIAN CORE FUND INST CL N/L (SMGIX)	Sale	2/1/2018	\$15,001 - \$50,000
42	VICTORY INTEGRITY SMALL CAP VALUE FUND CLASS Y N/L (VSVIX)	Sale	2/2/2018	\$1,001 - \$15,000
43	HARDING LOEVNER EMERG MARKETS PORT FUND ADVISOR CL N/L (HLEMX)	Purchase	2/7/2018	\$50,001 - \$100,000
44	CAUSEWAY INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS N/L (CIVIX)	Purchase	2/8/2018	\$50,001 - \$100,000
45	EUROPACIFIC GROWTH FUND CLASS F2 - AMERICAN FUNDS N/L (AEPFX)	Purchase	6/14/2018	\$1,001 - \$15,000
46	ARTISAN INTERNATIONAL FUND ADVISOR CLASS N/L (APDIX)	Purchase	11/21/2018	\$1,001 - \$15,000
47	OAKMARK INTERNATIONAL FUND INVESTOR CLASS N/L (OAKIX)	Purchase	12/14/2018	\$1,001 - \$15,000
48	MFS INTERNATIONAL GROWTH FUND CLASS I N/L (MQGIX)	Purchase	12/14/2018	\$1,001 - \$15,000
49	VICTORY TRIVALENT INTL SMALL-CAP FUND CLASS Y N/L (MYSIX)	Purchase	12/20/2018	\$1,001 - \$15,000
50	EUROPACIFIC GROWTH FUND CLASS F2 - AMERICAN FUNDS N/L (AEPFX)	Purchase	12/21/2018	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
51	CAUSEWAY INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS N/L (CIVIX)		Purchase	12/24/2018	\$1,001 - \$15,000
52	WELLS FARGO INTERNATIONAL VALUE FUND CLASS I N/L (WFOVX)		Purchase	12/28/2018	\$1,001 - \$15,000
53	CLARKSTON PARTNERS FUND INSTITUTIONAL CLASS N/L (CISMV)	See Endnote	Purchase	1/31/2018	\$15,001 - \$50,000
54	JPMORGAN MID CAP VALUE FUND CLASS L N/L (FLMVX)		Purchase	1/31/2018	\$15,001 - \$50,000
55	LOOMIS SAYLES GROWTH FUND CLASS Y N/L - NATIXIS ADVISOR (LSGRX)		Purchase	1/31/2018	\$15,001 - \$50,000
56	MERIDIAN GROWTH FUND INVESTOR CLASS N/L (MRIGX)		Purchase	1/31/2018	\$15,001 - \$50,000
57	T. ROWE PRICE SMALL CAP VALUE FUND ADVISOR CLASS N/L (PASVX)		Purchase	1/31/2018	\$15,001 - \$50,000
58	PARNASSUS CORE EQUITY FUND INSTITUTIONAL CLASS N/L (PRILX)		Purchase	1/31/2018	\$15,001 - \$50,000
59	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS I N/L (SOPYX)		Purchase	1/31/2018	\$15,001 - \$50,000
60	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)		Purchase	2/1/2018	\$50,001 - \$100,000
61	HARTFORD MIDCAP FUND CLASS I N/L (HFMIX)		Purchase	2/1/2018	\$15,001 - \$50,000
62	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS I N/L (JVLIX)		Purchase	2/1/2018	\$15,001 - \$50,000
63	PARNASSUS CORE EQUITY FUND INSTITUTIONAL CLASS N/L (PRILX)		Purchase	11/21/2018	\$1,001 - \$15,000
64	JPMORGAN MID CAP VALUE FUND CLASS L N/L (FLMVX)		Purchase	12/13/2018	\$1,001 - \$15,000
65	T. ROWE PRICE SMALL CAP VALUE FUND INVESTOR CLASS N/L (PRSVX)		Purchase	12/14/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
66	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS I N/L (JVLIX)	Purchase	12/17/2018	\$1,001 - \$15,000
67	HARTFORD MIDCAP FUND CLASS I N/L (HFMIX)	Purchase	12/18/2018	\$1,001 - \$15,000
68	LOOMIS SAYLES GROWTH FUND CLASS Y N/L - NATIXIS ADVISOR (LSGRX)	Purchase	12/21/2018	\$1,001 - \$15,000
69	MERIDIAN GROWTH FUND INVESTOR CLASS N/L (MRIGX)	Purchase	12/21/2018	\$1,001 - \$15,000
70	CLARKSTON PARTNERS FUND INSTITUTIONAL CLASS N/L (CISMV)	Purchase	12/24/2018	\$1,001 - \$15,000
71	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	Purchase	1/24/2018	\$1,001 - \$15,000
72	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	Sale	8/28/2018	\$1,001 - \$15,000
73	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	Purchase	1/19/2018	\$1,001 - \$15,000
74	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	Sale	2/2/2018	\$1,001 - \$15,000
75	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	Purchase	1/24/2018	\$1,001 - \$15,000
76	FRANKLIN 529 MODERATE ALLOC AGE 17+ YRS PORT CLASS A M/F (FMSPA)	Sale	2/2/2018	\$15,001 - \$50,000
77	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	Sale	2/5/2018	\$1,001 - \$15,000
78	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	Sale	8/28/2018	\$1,001 - \$15,000
79	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	Purchase	1/24/2018	\$1,001 - \$15,000
80	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	Sale	2/2/2018	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	United Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2017	3.25	30 years

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
2.	1.2	This fund was renamed after it was acquired by Principal Financial Group.
6.	11	Assets held in the account designated as Investment Account #1 on the prior report were either sold or transferred to this account in January 2018.
7.	1	This transaction represents the rollover of my ASA 401(k) account.
7.	2	Transactions in Lines 2-19 are associated with IRA #4.
7.	20	Transactions on lines 20-23 are associated with the account designated as Investment Account #1 on the prior report. All assets in that account were either sold or transferred to Investment Account #2.
7.	24	Transactions on lines 24-52 are associated with IRA #2.
7.	53	Transactions listed on lines 53-70 are associated with IRA #5.



# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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