

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Scalia, Eugene**

Secretary of Labor, Department of Labor

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Other Federal Government Positions Held During the Preceding 12 Months:

Senior Fellow, Administrative Conference of the United States (6/2018 - Present)

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Names of Congressional Committees Considering Nomination:

- **Committee on Health, Education, Labor, and Pensions**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Scalia, Eugene [electronically signed on 07/30/2019 by Scalia, Eugene in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Constantine, Peter J, Certifying Official [electronically signed on 08/27/2019 by Constantine, Peter J in Integrity.gov]**

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Other review conducted by

**/s/ Sadler, Robert M, Ethics Official [electronically signed on 08/27/2019 by Sadler, Robert M in Integrity.gov]**

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/27/2019 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	Law Firm	Partner	3/2003	Present
2	Revocable Family Trust #1	McLean, Virginia	Trust	Trustee	3/2017	Present
3	Ethics and Public Policy Center	Washington, District of Columbia	Non-Profit	Director	3/2019	Present
4	Family Trust #2	McLean, Virginia	Trust	Trustee	3/1996	7/2019

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	iShares S&P Small-Cap 600 Growth ETF (IJT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	iShares Intermediate-Term Corporate Bond ETF (IGIB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	iShares S&P Small-Cap 600 Value ETF (IJS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Vanguard Value Index Fun ETF (VTV)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.6	iShares US Treasury Bond (GOVT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Schwab US Large-Cap ETF(SCHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	iShares MBS (MBB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	iShares Core 10+ Year USD Bond ETF (ILTB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	iShares Core MSCI EAFE (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	Vanguard Growth ETF (VUG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.12	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Gibson, Dunn & Crutcher LLP	N/A		Partnership share and bonus	\$6,232,021
3	Gibson, Dunn & Crutcher LLP anticipated partnership share	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4	Gibson, Dunn & Crutcher LLP 401(k) and profit sharing plan	No			
4.1	Loomis Sayles Core Bond (NERNX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.2	T. Rowe Price Balanced Inst (RPBAX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.3	Vanguard Windsor II Adm (VWNAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.4	Vangrd S&P 500 Inst Plus Index (VINIX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.5	T Rowe Price Blue Chip Growth (TRBCX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.6	EuroPacific Growth Class R-6 (RERGX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.7	Vanguard S&P Mid Cap 400 Fund (VSPMX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.8	Neuberger Berman Genesis R6 (NRGSX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
5	Gibson, Dunn & Crutcher LLP cash balance plan	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
6	Gibson, Dunn & Crutcher LLP capital account	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
7	Gibson, Dunn & Crutcher LLP self-insurance reserve	N/A	\$50,001 - \$100,000		None (or less than \$201)
8	Gibson, Dunn & Crutcher LLP defined benefit plan (value not readily ascertainable): currently eligible for \$25,922.27 per month upon departure from firm	N/A			None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	Pursuant to the partnership agreement, the firm will return my capital account after my departure. The total amount of the payment will be calculated as of the date of my departure.	4/2003

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	Pursuant to the partnership agreement, the firm will return my self insurance reserve after my departure. The total amount of the payment will depend on any costs incurred by the firm relating to defending claims made against the firm or any settlements paid.	4/2003
3	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	Pursuant to the partnership agreement, following my departure from the firm, I will receive my final partnership share in one lump-sum payment. The total amount of the payment will be calculated as of the date of my departure. This calculation includes: (1) the firm's actual earnings for 2018; (2) my predetermined share of firm 2019 earnings; and (3) the number of days in 2019 that I was with the firm, minus the amount I have already been paid in 2019 at the time of my departure.	4/2003
4	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The firm will not make further contributions after my departure.	4/2003
5	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	The firm will distribute my share of the partner cash balance plan after my departure. The firm will not make further contributions after my departure.	4/2003
6	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	I will continue to participate in this defined benefit plan. Pursuant to the partnership agreement, I will receive monthly payments after my departure. Pursuant to the firm's partnership agreement, the payments will be fixed during my government service. These payments will also be fixed for at least one year after the end of my government service. Subsequent payments to me under the defined benefit plan will not share in fees received by the firm for services performed by the firm during my government service in particular matters in which the United States is a party or has a direct and substantial interest.	4/2003

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	Law firm partner
2	Accenture	Chicago, Illinois	Legal services
3	Allianz Life Insurance Company	Minneapolis, Minnesota	Legal services
4	Altisource Sarl	Luxembourg, Outside U.S.	Legal services
5	American Petroleum Institute	Washington, District of Columbia	Legal services
6	Aurelius Capital Management	New York, New York	Legal services
7	Bank of America	Charlotte, North Carolina	Legal services
8	BOX Options Exchange LLC	Boston, Massachusetts	Legal services
9	Bruderhof	Rifton, New York	Legal services
10	Century Aluminum Company	Chicago, Illinois	Legal services
11	Chevron Corporation	San Ramon, California	Legal services
12	Chevron U.S.A. Inc.	San Ramon, California	Legal services
13	Citizens Financial Group, Inc.	Providence, Rhode Island	Legal services
14	CLEAR (Adclear, LLC)	New York, New York	Legal services
15	Cognizant Technology Solutions Corporation	Teaneck, New Jersey	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
16	CSRA Inc.	Falls Church, Virginia	Legal services
17	CSX Corporation	Jacksonville, Florida	Legal services
18	CUNA Mutual Group	Madison, Wisconsin	Legal services
19	CVS Health Corporation	Woonsocket, Rhode Island	Legal services
20	Delta Air Lines, Inc.	Atlanta, Georgia	Legal services
21	Dollar Tree, Inc.	Chesapeake, Virginia	Legal services
22	Facebook, Inc.	Menlo Park, California	Legal services
23	Fifth Third Bank	Cincinnati, Ohio	Legal services
24	Ford Motor Company	Dearborn, Michigan	Legal services
25	Goldman, Sachs & Company	New York, New York	Legal services
26	HSBC Bank USA	New York, New York	Legal services
27	Investment Company Institute	Washington, District of Columbia	Legal services
28	Juul Labs	San Francisco, California	Legal services
29	Marriott International, Inc.	Bethesda, Maryland	Legal services
30	Metropolitan Life Insurance Company	New York, New York	Legal services



#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
31	MicroStrategy, Inc.	Tysons Corner, Virginia	Legal services
32	Moody's Corporation	New York, New York	Legal services
33	Pilot Catastrophe Services	Mobile, Alabama	Legal services
34	Primerica, Inc.	Duluth, Georgia	Legal services
35	Retail Industry Leaders Association	Arlington, Virginia	Legal services
36	Rise Companies Corp	Washington, District of Columbia	Legal services
37	SunTrust Banks, Inc.	Atlanta, Georgia	Legal services
38	Swisher International, Inc.	Jacksonville, Florida	Legal services
39	TerreStar Corporation	Reston, Virginia	Legal services
40	The NASDAQ Stock Market, Inc.	New York, New York	Legal services
41	U.S. Chamber of Commerce	Washington, District of Columbia	Legal services
42	UBS AG	Zurich, Outside U.S.	Legal services
43	Union Pacific Corporation	Omaha, Nebraska	Legal services
44	UnitedHealth Group	Minnetonka, Minnesota	Legal services
45	Universal Health Services, Inc.	King of Prussia, Pennsylvania	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
46	University of Southern California	Los Angeles, California	Legal services
47	Walmart Inc.	Bentonville, Arkansas	Legal services
48	Warner Bros.	Burbank, California	Legal services
49	Wells Fargo Bank, N.A.	San Francisco, California	Legal services
50	Wynn Las Vegas LLC	Las Vegas, Nevada	Legal services

## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Goldman Sachs Financial Square Funds - Treasury Instruments Fund (FTIXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	T. Rowe Price Tax-Free High Yield Fund Inc (PRFHX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3	T. Rowe Price Summit Municipal Intermediate Fund (PRSMX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	Thornburg Limited Term Municipal Fund Class I (LTMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	Eaton Vance Global Macro Absolute Return Fund Class I (EIGMX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Dodge & Cox Stock Fund (DODGX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
7	Gateway Fund Class Y Shares (GTEYX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
8	Fidelity Total Market Index Fund (FSKAX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9	Principal MidCap Fund Institutional Class (PCBIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10	CRM Small/Mid Cap Value Fund Class Institutional (CRIAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
11	T. Rowe Price Blue Chip Growth Fund (TRBCX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	Invesco Oppenheimer Developing Markets Fund Class Y (ODVYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Broadview Opportunity Fund (BVAOX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
14	Franklin High Yield Tax Free Income Fund Advisor Class (FHYVX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
15	Fidelity Total Market Index Fund Institutional Class (FSKTX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
16	Dodge & Cox International Stock Fund (DODFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17	U.S. bank account #1 (cash)	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
18	U.S. bank account #2 (cash)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
19	U.S. bank account #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	U.S. bank account #4 (cash)		N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
21	U.S. bank account #5 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
22	U.S. bank account #6 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
23	U.S. bank account #7 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
24	Magnolia Grove Square LLC (family LLC holding residential real estate)	See Endnote	No	None (or less than \$1,001)	Business income	\$8,615
25	Family trust #3		No			
25.1	U.S. bank account #9 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
26	US Brokerage Account #1		No			
26.1	Schwab Value Advantage Money Inv (SWVXX)		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
27	US Brokerage Account #2		No			
27.1	Stone Ridge Alternative Lending Risk Premium Fund (LENDX)		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
27.2	Stone Ridge Reinsurance Risk Premium Interval Fund (SRRIX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
28	US Brokerage Account #3		No			
28.1	U.S. cash account		N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
28.2	iShares MSCI EAFE (EFA)		Yes	\$100,001 - \$250,000		\$201 - \$1,000
28.3	SPDR® S&P 500 ETF (SPY)		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28.4	Vanguard REIT ETF (VNQ)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
28.5	SPDR Blmbg Barclays 1-3 Mth T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
29	US Brokerage Account #4	No			
29.1	Broadstone Net Lease, Inc. (single-tenant, net-leased REIT)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
30	US Brokerage Account #5	No			
30.1	DFA US Vector Equity I (DFVEX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
30.2	U.S. cash account	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	US Brokerage Account #6	No			
31.1	DFA US Core Equity 2 (DFQTX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
31.2	DFA Emerging Markets Core Equity I (DFCEX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
31.3	DFA International Core Equity I (DFIEX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
31.4	DFA Global Real Estate Securities Port (DFGEX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
31.5	U.S. cash account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
32	VA (CollegeAmerica) 529 College Savings Plan #1	No		Cash distribution	\$9,733
32.1	American Funds US Government MMkt 529F1 (FARXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
32.2	American Funds US Government Sec 529F1 (CGTFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.3	American Funds AMCAP 529F1 (CAFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.4	American Funds ST Bd Fd of Amer 529F1 (CFAMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
32.5	American Funds Washington Mutual 529F1 (CWMFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	VA (CollegeAmerica) 529 College Savings Plan #2	No			
33.1	American Funds Income Fund of Amer 529F1 (CIMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.2	American Funds AMCAP 529F1 (CAFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.3	American Funds Capital World Bond 529F1 (CCWFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
33.4	American Funds Europacific Growth 529F1 (CEUFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.5	American Funds Interm Bd Fd of Amer 529F1 (CBOFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.6	American Funds Washington Mutual 529F1 (CWMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.7	American Funds SMALLCAP World 529F1 (CSPFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34	VA (CollegeAmerica) 529 College Savings Plan #3	No			
34.1	American Funds US Government MMkt 529F1 (FARXX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
34.2	American Funds ST Bd Fd of Amer 529F1 (CFAMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	VA (CollegeAmerica) 529 College Savings Plan #4	No			
35.1	American Funds Income Fund of Amer 529F1 (CIMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.2	American Funds AMCAP 529F1 (CAFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.3	American Funds Capital World Bond 529F1 (CCWFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
35.4	American Funds Europacific Growth 529F1 (CEUFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.5	American Funds Interm Bd Fd of Amer 529F1 (CBOFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.6	American Funds Washington Mutual 529F1 (CWMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.7	American Funds SMALLCAP World 529F1 (CSPFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36	VA (CollegeAmerica) 529 College Savings Plan #5	No			
36.1	American Funds US Government MMkt 529F1 (FARXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.2	American Funds AMCAP 529F1 (CAFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.3	American Funds ST Bd Fd of Amer 529F1 (CFAMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.4	American Funds Capital World Bond 529F1 (CCWFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.5	American Funds Europacific Growth 529F1 (CEUFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36.6	American Funds Interm Bd Fd of Amer 529F1 (CBOFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.7	American Funds Washington Mutual 529F1CWMFX	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.8	American Funds SMALLCAP World 529F1 (CSPFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	VA (CollegeAmerica) 529 College Savings Plan #6	No			
37.1	American Funds Income Fund of Amer 529F1 (CIMFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
37.2	American Funds AMCAP 529F1 (CAFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
37.3	American Funds Europacific Growth 529F1 (CEUFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
37.4	American Funds Interm Bd Fd of Amer 529F1 (CBOFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
37.5	American Funds Washington Mutual 529F1 (CWMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
37.6	American Funds Capital Income Bldr 529F1 (CIRFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
37.7	American Funds SMALLCAP World 529F1 (CSPFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
38	VA (Invest 529) 529 College Savings Plan #7	No			
38.1	Stable Value Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
39	Virginia Prepaid 529 #1 (4 years)	N/A	\$1,001 - \$15,000	Cash distribution	\$9,871
40	Virginia Prepaid 529 #2 (4 years)	N/A	\$15,001 - \$50,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	Virginia Prepaid 529 #3 (4 years)	N/A	\$15,001 - \$50,000		None (or less than \$201)
42	Virginia Prepaid 529 #4 (4 years)	N/A	\$50,001 - \$100,000		None (or less than \$201)
43	Virginia Prepaid 529 #5 (4 years)	N/A	\$15,001 - \$50,000		None (or less than \$201)
44	UTMA Account #1	No			
44.1	DFA Global Allocation 60/40 I (DGSIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
45	UTMA Account #2	No			
45.1	DFA Global Equity I (DGEIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
46	UTMA Account #3	No			
46.1	DFA Global Equity I (DGEIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
47	UTMA Account #4	No			
47.1	DFA Global Equity I (DGEIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
48	US Brokerage Account #7	No			
48.1	Schwab US Large-Cap Value ETF (SCHV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
48.2	iShares Core MSCI EAFE (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
48.3	Schwab US Large-Cap Growth ETF (SCHG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
48.4	Schwab US Large-Cap ETF (SCHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48.5	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
49	Family trust #2	No			
49.1	U.S. bank account #10 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
49.2	The Hartford, whole life insurance policy	N/A	\$100,001 - \$250,000		None (or less than \$201)
50	Allianz Life of America, fixed index annuity	N/A	\$250,001 - \$500,000		None (or less than \$201)
51	VA (CollegeAmerica) 529 College Savings Plan #8	See Endnote	No	Cash distribution	\$77,924
52	Virginia Prepaid 529 #6 (4 years)	N/A	None (or less than \$1,001)	Cash distribution	\$22,999
53	Family trust #4	No		Distribution	\$1,297
53.1	Blackrock Large Cap FCS Growth Fund Instl (MALHX)	Yes	\$1,001 - \$15,000		
53.2	Blackrock Advantage Lrg Cap Value Fund Instl (MALVX)	Yes	\$1,001 - \$15,000		
53.3	Blackrock Floating Rate Income Portfolio Cl Inst (BFRIX)	Yes	\$1,001 - \$15,000		
53.4	Blackrock Global Dividend Portfolio Inst (BIBDX)	Yes	\$1,001 - \$15,000		
53.5	Blackrock Capital Appreciation Fd Inc Inst (MAFGX)	Yes	\$1,001 - \$15,000		
53.6	Blackrock Advantage Small Cap Growth Fd Instl (PGSIX)	Yes	\$1,001 - \$15,000		
53.7	Blackrock GNMA Inst (BGNIX)	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
53.8	Blackrock Equity Dividend Fund Instl (MADVX)	Yes	\$1,001 - \$15,000		
53.9	Blackrock Total Return Fund Instl (MAHQX)	Yes	\$1,001 - \$15,000		
53.10	iShares Russell 1000 Growth Index (IWF)	Yes	\$1,001 - \$15,000		
53.11	iShares Iboxx \$ Invt Grade Corp Bd (LQD)	Yes	\$1,001 - \$15,000		
53.12	iShares Select Dividend ETF (DVY)	Yes	\$1,001 - \$15,000		
53.13	iShares Edge MSCI Min Vol USA ETF (USMV)	Yes	\$1,001 - \$15,000		
53.14	iShares MBS ETF (MBB)	Yes	\$1,001 - \$15,000		
53.15	iShares Intl Select Dividend ETF (IDV)	Yes	\$1,001 - \$15,000		
53.16	iShares RS 2000 Value (IWN)	Yes	\$1,001 - \$15,000		
53.17	iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	Yes	\$1,001 - \$15,000		
53.18	iShares TR Core MSCI EAF ETF (IEFA)	Yes	\$1,001 - \$15,000		
53.19	BLF Fedfund Cash Reserve (BFRXX)	Yes	\$1,001 - \$15,000		
54	Family trust #5	No			None (or less than \$201)
54.1	Blackrock Large Cap FCS Growth Fund Instl (MALHX)	Yes	\$1,001 - \$15,000		
54.2	Blackrock Advantage Lrg Cap Value Fund Instl (MALVX)	Yes	\$1,001 - \$15,000		
54.3	Blackrock Floating Rate Income Portfolio CI Inst (BFRIX)	Yes	\$1,001 - \$15,000		
54.4	Blackrock Inflation Protected Bs Fd Inst CI (BPRIX)	Yes	\$1,001 - \$15,000		
54.5	Blackrock Global Dividend Portfolio Inst (BIBDX)	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
54.6	Blackrock Capital Appreciation Fd Inc Inst (MAFGX)	Yes	\$1,001 - \$15,000		
54.7	Blackrock Advantage Small Cap Growth Fd Instl (PGSIX)	Yes	\$1,001 - \$15,000		
54.8	Blackrock GNMA Inst (BGNIX)	Yes	\$1,001 - \$15,000		
54.9	Blackrock Equity Dividend Fund Instl (MADVX)	Yes	\$1,001 - \$15,000		
54.10	Blackrock Total Return Fund Instl (MAHQX)	Yes	\$1,001 - \$15,000		
54.11	iShares Russell 1000 Growth Index (IWF)	Yes	\$1,001 - \$15,000		
54.12	iShares Iboxx \$ Invt Grade Corp Bd (LQD)	Yes	\$1,001 - \$15,000		
54.13	iShares Select Dividend ETF (DVY)	Yes	\$1,001 - \$15,000		
54.14	iShares Edge MSCI Min Vol USA ETF (USMV)	Yes	\$1,001 - \$15,000		
54.15	iShares MBS ETF (MBB)	Yes	\$1,001 - \$15,000		
54.16	iShares Intl Select Dividend ETF (IDV)	Yes	\$1,001 - \$15,000		
54.17	iShares RS 2000 Value (IWN)	Yes	\$1,001 - \$15,000		
54.18	iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	Yes	\$1,001 - \$15,000		
54.19	iShares TR Core MSCI EAF ETF (IEFA)	Yes	\$1,001 - \$15,000		
54.20	BLF Fedfund Cash Reserve (BFRXX)	Yes	\$1,001 - \$15,000		
55	Family trust #6	No			None (or less than \$201)
55.1	Blackrock Large Cap FCS Growth Fund Instl (MALHX)	Yes	\$1,001 - \$15,000		
55.2	Blackrock Floating Rate Income Portfolio Cl Inst (BFRIX)	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
55.3	Blackrock Capital Appreciation Fd Inc Inst (MAFGX)	Yes	\$1,001 - \$15,000		
55.4	Blackrock Equity Dividend Fund Instl (MADVX)	Yes	\$1,001 - \$15,000		
55.5	Blackrock Total Return Fund Instl (MAHQX)	Yes	\$1,001 - \$15,000		
55.6	iShares Russell 1000 Growth Index (IWF)	Yes	\$1,001 - \$15,000		
55.7	iShares Iboxx \$ Invt Grade Corp Bd (LQD)	Yes	\$1,001 - \$15,000		
55.8	iShares Select Dividend ETF (DVY)	Yes	\$1,001 - \$15,000		
55.9	iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	Yes	\$1,001 - \$15,000		
55.10	BLF Fedfund Cash Reserve (BFRXX)	Yes	\$1,001 - \$15,000		

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	See Endnote	Mortgage on Personal Residence	\$250,001 - \$500,000	2013	2.625%	30 years

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

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### Endnotes

PART	#	ENDNOTE
6.	24	This asset was sold during the reporting period. Underlying holding is not reportable.
6.	51	This account has been closed.
8.	1	This liability has been paid off.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).



## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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