OGE Form 278e (Updated 08/2024) (Expires 08/31/2027)
U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)

Report Type: Nominee Report
Year (Annual Report only):
Date of Appointment:
Date of Termination:

Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Clayton, Walter Joseph

Director, Office of the Director of National Intelligence

Other Federal Government Positions Held During the Preceding 12 Months:

Member, FDIC Systemic Resolution Advisory Committee (1/2022 - Present)

U.S. Attorney, Southern District of New York, Department of Justice (4/2025 - Present)

Names of Congressional Committees Considering Nomination:

- Select Committee on Intelligence

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Clayton, Walter Joseph [electronically signed on 06/12/2026 by Clayton, Walter Joseph in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Castelli, Michael A, Certifying Official [electronically signed on 06/17/2026 by Castelli, Michael A in Integrity.gov]

Other review conducted by
/s/ Lara, Levinia, Ethics Official [electronically signed on 06/17/2026 by Lara, Levinia in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Bortot, Deborah, Certifying Official [electronically signed on 06/17/2026 by Bortot, Deborah in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Sullivan & Cromwell LLP	New York, New York	Law Firm	Sr. Policy Advisor & of Counsel	3/2021	4/2025
2	Apollo Global Management, Inc.	New York, New York	Corporation	Independent Chairman	3/2021	4/2025
3	University of Pennsylvania	Philadelphia, Pennsylvania	University/College	Adjunct Professor and Co-Chair of the Institute for Law and Economics	3/2021	4/2025
4	Millennium Management LLC (Asset Management - Multi-strategy)	New York, New York	Corporation	Advisory Council Member	3/2021	2/2025
5	Fireblocks, Inc	New York, New York	Corporation	Advisory Board Member	4/2021	4/2025
6	Electric Capital Partners, LLC (Asset Management - Venture)	Palo Alto, California	LLC	Advisory Board Member	10/2022	3/2025
7	American Express Company	New York, New York	Corporation	Director	10/2022	3/2025
8	Brevan Howard Asset Management	New York, New York	Corporation	Advisor	7/2023	4/2025
9	CFGI LLC	New York, New York	LLC	Director	1/2024	4/2025
10	The Links (Dining Club, non-profit)	New York, New York	Non-Profit	Board of Governors Member	4/2023	3/2025
11	One River Asset Management (Asset Management-Macro Strategy)	Greenwich, Connecticut	Corporation	Advisor	6/2023	4/2025

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
12	Pine Valley Golf Club		Pine Hill, NJ, New Jersey	Non-Profit	Trustee	5/2026	Present
13	Coinbase Asset Management (digital asset manager) (formerly One River Digital Asset Management)		Greenwich, Connecticut, Delaware	Corporation	Advisory Board Member	4/2021	12/2024
14	Lehigh University		Bethlehem, Pennsylvania, Pennsylvania	University/College	Trustee	6/2021	7/2024
15	CNBC (Consumer News and Business Network)		New York, NY, Delaware	Corporation	Contributor	4/2021	11/2024
16	Coral, Sand and Greens Holding LLC 1991	See Endnote	Wilmington, Delaware	LLC	Managing Member	12/2023	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Sullivan & Cromwell LLP (law firm)	N/A		Salary/Bonus	$3,593,931
2	Apollo Global Management	N/A		Director Fees	$75,000
3	Fireblocks, Inc (stock acquired from exercised options)	N/A	None (or less than $1,001)	Capital Gains	$100,001 - $1,000,000
4	Apollo Global Management, restricted stock units	N/A	None (or less than $1,001)	Dividends	$2,501 - $5,000
5	American Express Company, Share Equivalent Units (SEUs)	N/A	None (or less than $1,001)	Cash payout for SEUs	$1,043,266
6	Brevan Howard Asset Management (Asset management)	N/A		Consulting Fees	$93,750
7	CFGI LLC, vested LLC units (Accounting and financial reporting services)	N/A	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	CFGI LLC (Accounting and financial reporting services)	N/A		Director Fees	$50,000
9	One River Asset Management	N/A		Consulting Fees	$25,000
10	Traditional IRA	No			
10.1	GS Financial Square Treasury Investment Fund	Yes	$1,000,001 - $5,000,000		None (or less than $201)
10.2	Vanguard S&P 500 ETF	Yes	$1,000,001 - $5,000,000		None (or less than $201)
11	Sullivan & Cromwell LLP 401(k) Plan for Associates	No			
11.1	Fidelity Contrafund	Yes	$500,001 - $1,000,000		None (or less than $201)
11.2	Fidelity 500 Index	Yes	$250,001 - $500,000		None (or less than $201)
11.3	Fidelity Freedom 2045	Yes	$50,001 - $100,000		None (or less than $201)
11.4	Fidelity Freedom 2040	Yes	$50,001 - $100,000		None (or less than $201)
11.5	Fidelity Freedom 2035	Yes	$50,001 - $100,000		None (or less than $201)
11.6	Fidelity Freedom 2030	Yes	$15,001 - $50,000		None (or less than $201)
11.7	Fidelity Diversified International	Yes	$15,001 - $50,000		None (or less than $201)
11.8	Fidelity International Index	Yes	$1,001 - $15,000		None (or less than $201)
11.9	Fidelity US Bond Index	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Sullivan & Cromwell LLP Group Defined Benefit Plan for Partners, defined benefit plan (value not readily ascertainable) eligible for approximately $7,800/mo. at age 65		N/A			None (or less than $201)
13	Sullivan & Cromwell LLP Supplemental Pension Plan for Partners, defined benefit plan (value not readily ascertainable) eligible for approximately $3,550/mo. at age 65		N/A			None (or less than $201)
14	Apollo Co-Investors X(A), L.P., Limited Partnership Interest	See Endnote	No	$250,001 - $500,000	Capital Gains	$5,001 - $15,000
14.1	Univar (Chemicals)		N/A			
14.2	The Restaurant Group (Food service)		N/A			
14.3	U.S. Silica (Minerals and Logistics)		N/A			
14.4	EVRi (Logistics)		N/A			
14.5	Avaya Holding (Communications technology)		N/A			
14.6	Arconic (Engineering and Production â€“ Aluminum)		N/A			
14.7	Atlas Air (Aircraft Leasing)		N/A			
14.8	Barnes Group, Inc. (Engineering Products)		N/A			
14.9	EVRi (Parcel Delivery)		N/A			
14.10	Panasonic Automotive Systems (Automotive in vehicle components)		N/A			
14.11	Riswell Homes (Homebuilding)		N/A			
14.12	The Travel Corporation (Vacation services)		N/A			
14.13	Trace3 (Commercial technology solutions)		N/A			
15	Apollo Global Management Inc. (New) Common Stock (APO)		N/A	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Sullivan & Cromwell LLP	New York, New York	Following my resignation from the firm in April 2025, I have the status of a retired partner, by virtue of my prior service to the firm. As a retired partner, I am entitled to the use of secretarial services and office space at the firm’s expense. If confirmed by the Senate, for the duration of my appointment, I will forgo these benefits	4/2025
2	Apollo Global Management	New York, New York	I am entitled to certain benefits as a retired Director and Chairman Emeritus, including the right to invest in Apollo sponsored funds. If confirmed by the Senate, for the duration of my appointment, I will forgo these benefits.	4/2025
3	CFGI LLC (consulting services)	New York, New York	Following my resignation as Director in April 2025, I received accrued director fees for my services through the date of resignation. I retained my vested LLC units in CFGI.	4/2025
4	Sullivan & Cromwell LLP 401(k) Plan for Associates	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation from Sullivan & Cromwell LLP.	10/1995
5	Sullivan & Cromwell LLP Group Defined Benefit Plan for Partners	New York, New York	I will continue to participate in this defined benefit plan under which I will be entitled to a retirement annuity at age 65. The amount of this annuity was fixed when I resigned from Sullivan & Cromwell in April of 2017. Sullivan & Cromwell will not make any contributions to the Plan on my behalf for any additional benefits.	4/2017
6	Sullivan & Cromwell LLP Supplemental Pension Plan for Partners	New York, New York	I will continue to participate in this defined benefit plan under which I will be entitled to a retirement annuity at age 65. The amount of this annuity was fixed when I resigned from Sullivan & Cromwell in April of 2017. Sullivan & Cromwell will not make any contributions to the Plan on my behalf for any additional benefits.	4/2017

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Sullivan & Cromwell	New York,, New York	Services as Sr. Policy Advisor & Counsel
2	Apollo Global Management	New York, New York	Services as Independent Chairman of the Board of Directors
3	Brevan Howard Asset Management	New York, New York	Services as an Advisor
4	CFGI LLC	New York, New York	Services as Director
5	One River Asset Management	Greenwich, Connecticut	Services as Advisor
6	American Express Company	New York, New York	Services as a Director
7	Barclays Bank PLC (client of Sullivan & Cromwell)	New York, New York	Legal Services
8	Brevan Howard Asset Management (client of Sullivan & Cromwell)	New York, New York	Legal Services
9	Brown Brothers Harriman & Co (client of Sullivan & Cromwell)	New York, New York	Legal Services
10	Coatue Asset Management (client of Sullivan & Cromwell)	New York, New York	Legal Services
11	Goldman Sachs Group, Inc. (client of Sullivan & Cromwell)	New York, New York	Legal Services
12	Millennium Asset Management LLC (client of Sullivan & Cromwell)	New York, New York	Legal Services
13	Vanguard Group LLP (client of Sullivan & Cromwell)	Malvern, Pennsylvania	Legal Services
14	Coinbase Global Inc (client of Sullivan & Cromwell)	New York, New York	Legal Services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
15	North Island Holdings (client of Sullivan & Cromwell)	New York, New York	Legal Services
16	Fireblocks	New York, New York	Services as Advisor
17	Electric Capital Partners	Palo Alto, California	Services as Advisor

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	WMB Holdings, Inc. (Business, financial and representative services.)	See Endnote	N/A		Director fees	
2	Traditional IRA 1		No			
2.1	Vanguard Federal Money Market Fund Investor Shares (VMFXX)		Yes	$500,001 - $1,000,000		None (or less than $201)
2.2	Vanguard 500 Index		Yes	$500,001 - $1,000,000		None (or less than $201)
2.3	Vanguard Cash Reserves Federal Money Market		Yes	$15,001 - $50,000		None (or less than $201)
2.4	Vanguard Windsor Fund		Yes	$500,001 - $1,000,000		None (or less than $201)
3	Roth IRA 1		No			
3.1	Vanguard Federal Money Market Fund Investor Shares (VMFXX)		Yes	$100,001 - $250,000		None (or less than $201)
4	Traditional IRA 2		No			
4.1	Vanguard European Stock Index		Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	Vanguard International Value	Yes	$50,001 - $100,000		None (or less than $201)
4.3	Vanguard Primecap Fund	Yes	Over $1,000,000		None (or less than $201)
4.4	Vanguard Windsor II	Yes	$100,001 - $250,000		None (or less than $201)
5	GS Deferred Retirement Plan, defined benefit plan (value not readily ascertainable)	N/A			None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank Account 1	N/A	$250,001 - $500,000		None (or less than $201)
2	Brokerage Account 1	No			
2.1	Janus Henderson Forty Fund Class T Shares (JACTX)	Yes	$250,001 - $500,000		$15,001 - $50,000
2.2	Janus Henderson Research Fund Class T Shares (JAMRX)	Yes	$250,001 - $500,000		$5,001 - $15,000
2.3	Janus Henderson Global Research Fund Class T Shares (JAWWX)	Yes	$100,001 - $250,000		$1,001 - $2,500
2.4	T Rowe Price International Discovery Fund Class I Shares (TIDDX)	Yes	$15,001 - $50,000		$201 - $1,000
2.5	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	$15,001 - $50,000		None (or less than $201)
2.6	iShares MSCI Eurozone ETF (EZU)	Yes	$50,001 - $100,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	SPDR EURO STOXX 50 ETF (FEZ)	Yes	$100,001 - $250,000		$1,001 - $2,500
2.8	WisdomTree Europe Hedged Equity Fund (HEDJ)	Yes	$50,001 - $100,000		$201 - $1,000
2.9	SPDR S&P 500 ETF Trust (SPY)	Yes	$100,001 - $250,000		$2,501 - $5,000
2.10	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	$100,001 - $250,000		$2,501 - $5,000
2.11	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	$100,001 - $250,000		$2,501 - $5,000
3	Vanguard Mid-Cap Growth Fund (VMGRX)	Yes	$500,001 - $1,000,000		$5,001 - $15,000
4	Vanguard LifeStrategy Moderate Growth Fund Investor Shares (VSMGX)	Yes	$250,001 - $500,000		$5,001 - $15,000
5	VanguardTax-Managed Small-Cap FundAdmiral Shares (VTMSX)	Yes	$500,001 - $1,000,000		$2,501 - $5,000
6	Vanguard/Wellington Fund, Inc Admiral Shares (VWENX)	Yes	$1,000,001 - $5,000,000		$100,001 - $1,000,000
7	Vanguard Municipal Money Market Fund Investor Shares (VMSXX)	Yes	$250,001 - $500,000		$5,001 - $15,000
8	Vanguard Treasury Money Market Fund Investor Shares (VUSXX)	Yes	$15,001 - $50,000		None (or less than $201)
9	Brokerage Account 2				
9.1	US brokerage account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
9.2	Goldman Sachs Financial Square Treasury Instruments Fund Select Class Shares (GSIXX)	Yes	$5,000,001 - $25,000,000		$100,001 - $1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3	Vanguard PRIMECAP Fund Admiral Shares (VPMAX)	Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
9.4	VanguardTax-Managed Small-Cap FundAdmiral Shares (VTMSX)	Yes	$500,001 - $1,000,000		$5,001 - $15,000
9.5	Vanguard FTSE All-World ex US Index Fund Admiral Class Shares (VFWAX)	Yes	$500,001 - $1,000,000		$5,001 - $15,000
9.6	Vanguard FTSE All-World ex-US Small-Cap Index Fund Admiral Shares (VFSAX)	Yes	$250,001 - $500,000		$5,001 - $15,000
9.7	VanguardEmerging Markets Select Stock Fund Investor Shares (VMMSX)	Yes	$250,001 - $500,000		$2,501 - $5,000
9.8	VanguardGlobal Wellington Fund Admiral Shares (VGWAX)	Yes	$1,000,001 - $5,000,000		$15,001 - $50,000
9.9	Vanguard LifeStrategy Moderate Growth Fund Investor Shares (VSMGX)	Yes	$1,000,001 - $5,000,000		$2,501 - $5,000
9.10	Vanguard Target Retirement 2020 Fund Investor Class Shares (VTWNX)	Yes	$500,001 - $1,000,000		$2,501 - $5,000
9.11	Vanguard Target Retirement 2035 Fund Investor Class Shares (VTTHX)	Yes	$500,001 - $1,000,000		$2,501 - $5,000
9.12	Apollo Global Management Inc. (New) Common Stock (APO)	N/A	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000
9.13	American Express Co. (AXP)	N/A	$500,001 - $1,000,000	Dividends	$5,001 - $15,000
9.14	S&P 500 Tax Managed Account				
9.14.1	3M COMPANY CMN	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
9.14.2	ABBOTT LABORATORIES CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.3	ABBVIE INC CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.4	ACCENTURE PLC CMN CLASS A	N/A	$15,001 - $50,000		None (or less than $201)
9.14.5	ADOBE INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.6	ADVANCED MICRO DEVICES, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.7	AES CORP. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.8	AFLAC INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.9	AGILENT TECHNOLOGIES, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.10	AIR PRODUCTS & CHEMICALS INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.11	AIRBNB, INC. CMN CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
9.14.12	ALBEMARLE CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.13	ALEXANDRIA REAL ESTATE EQUITIES, INC.	N/A	$1,001 - $15,000		None (or less than $201)
9.14.14	ALLSTATE CORPORATION COMMON STOCK	N/A	$1,001 - $15,000		None (or less than $201)
9.14.15	ALPHABET INC. CMN CLASS A	N/A	$250,001 - $500,000	Capital Gains Dividends	$201 - $1,000
9.14.16	ALTRIA GROUP, INC. CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.17	AMAZON.COM INC CMN	N/A	$100,001 - $250,000		None (or less than $201)
9.14.18	AMEREN CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.19	AMERICAN ELECTRIC POWER INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.20	AMERICAN INTL GROUP, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.21	AMERICAN TOWER CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.22	AMERICAN WATER WORKS CO, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.23	AMERIPRISE FINANCIAL, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.24	AMGEN INC. CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.25	AMPHENOL CORP CL-A (NEW) CMN CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
9.14.26	ANALOG DEVICES, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.27	ANSYS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.28	AON PUBLIC LIMITED COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.29	APA CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.30	APPLE INC. CMN	N/A	$100,001 - $250,000	Dividends	$201 - $1,000
9.14.31	APPLIED MATERIALS INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.32	ARISTA NETWORKS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.33	ARTHUR J GALLAGHER & CO CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.34	AT&T INC. CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.35	ATMUS FILTRATION TECHNOLOGIES INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.36	AUTODESK, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.37	AUTOMATIC DATA PROCESSING INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.38	AUTOZONE, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.39	AVALONBAY COMMUNITIES INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.40	AXON ENTERPRISE INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.41	BAKER HUGHES CO CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.42	BALL CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.43	BANK OF AMERICA CORP CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.44	BECTON, DICKINSON AND COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.45	BERKSHIRE HATHAWAY INC. CLASS B	N/A	$50,001 - $100,000		None (or less than $201)
9.14.46	BIOGEN INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.47	BLACKROCK FUNDING, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.48	BLACKSTONE GROUP INC/THE CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.49	BOEING COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.50	BOOKING HOLDINGS INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.51	BOSTON SCIENTIFIC CORP. COMMON STOCK	N/A	$1,001 - $15,000		None (or less than $201)
9.14.52	BRISTOL-MYERS SQUIBB COMPANY CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.53	BROADCOM INC. CMN	N/A	$100,001 - $250,000	Dividends	$201 - $1,000
9.14.54	BROWN FORMAN CORP CL B CMN CLASS B	N/A	$1,001 - $15,000		None (or less than $201)
9.14.55	BUNGE GLOBAL SA CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.56	C.H. ROBINSON WORLDWIDE, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.57	CADENCE DESIGN SYSTEMS INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.58	CAMDEN PROPERTY TRUST CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.59	CAPITAL ONE FINANCIAL CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.60	CARMAX, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.61	CARRIER GLOBAL CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.62	CATERPILLAR INC (DELAWARE) CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.63	CBRE GROUP, INC. CMN CLASS A	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.64	CELANESE CORPORATION COMMON STOCK	N/A	$1,001 - $15,000		None (or less than $201)
9.14.65	CENCORA INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.66	CENTENE CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.67	CHARLES SCHWAB CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.68	CHARTER COMMUNICATIONS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.69	CHEVRON CORPORATION CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.70	CHIPOTLE MEXICAN GRILL, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.71	CHUBB LIMITED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.72	CIGNA GROUP/THE CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.73	CINTAS CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.74	CISCO SYSTEMS, INC. CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.75	CITIGROUP INC. CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.76	CLOROX CO (THE) (DELAWARE) CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.77	CME GROUP INC. CMN CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
9.14.78	CMS ENERGY CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.79	COCA-COLA COMPANY (THE) CMN	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
9.14.80	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
9.14.81	COLGATE-PALMOLIVE CO CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.82	COMCAST CORPORATION CMN CLASS A VOTING	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.83	COMERICA INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.84	CONOCOPHILLIPS CMN	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
9.14.85	CONSOLIDATED EDISON INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.86	CONSTELLATION ENERGY CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.87	COOPER COS INC/THE CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.88	COPART, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.89	CORNING INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.90	CORPAY INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.91	CORTEVA, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.92	COSTAR GROUP, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.93	COSTCO WHOLESALE CORPORATION CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.94	CROWDSTRIKE HOLDINGS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.95	CSX CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.96	D.R. HORTON, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.97	DANAHER CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.98	DAYFORCE INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.99	DEERE & COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.100	DELTA AIR LINES, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.101	DEVON ENERGY CORPORATION (NEW) CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.102	DEXCOM, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.103	DIGITAL REALTY TRUST, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.104	DISCOVER FINANCIAL SERVICES CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.105	DOLLAR GENERAL CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.106	DOLLAR TREE STORES, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.107	DOMINION ENERGY INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.108	DOORDASH INC CMN CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
9.14.109	DOW INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.110	DTE ENERGY COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.111	DUKE ENERGY CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.112	EASTMAN CHEMICAL COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.113	EATON CORP PLC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.114	EBAY INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.115	ECOLAB INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.116	EDISON INTERNATIONAL CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.117	EDWARDS LIFESCIENCES CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.118	ELECTRONIC ARTS CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.119	ELEVANCE HEALTH INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.120	ELI LILLY & CO CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.121	EMERSON ELECTRIC CO. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.122	ENTERGY CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.123	EOG RESOURCES INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.124	EPAM SYSTEMS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.125	EQT CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.126	EQUIFAX INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.127	EQUINIX, INC. REIT	N/A	$1,001 - $15,000		None (or less than $201)
9.14.128	EQUITY RESIDENTIAL CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.129	ESTEE LAUDER COS INC CL-A CMN CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
9.14.130	EVERSOURCE ENERGY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.131	EXELON CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.132	EXPEDITORS INTERNATIONAL OF WASHINGTON, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.133	EXTRA SPACE STORAGE INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.134	EXXON MOBIL CORPORATION CMN	N/A	$15,001 - $50,000	Dividends Capital Gains	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.135	F5 INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.136	FASTENAL COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.137	FEDEX CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.138	FIRSTENERGY CORP. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.139	FISERV, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.140	FORD MOTOR COMPANY CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.141	FORTINET, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.142	FORTIVE CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.143	FREEPORT-MCMORAN INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.144	GARMIN LTD CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.145	GARTNER, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.146	GE AEROSPACE CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.147	GE HEALTHCARE TECHNOLOGIES INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.148	GE VERNOVA LLC CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.149	GENERAL DYNAMICS CORP. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.150	GENUINE PARTS CO. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.151	GILEAD SCIENCES CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.152	GLOBAL PAYMENTS INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.153	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	N/A	$1,001 - $15,000		None (or less than $201)
9.14.154	HALLIBURTON COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.155	HARTFORD FINANCIAL SRVCS GROUP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.156	HASBRO, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.157	HCA HEALTHCARE, INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.158	HEALTHPEAK OP, LLC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.159	HESS CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.160	HEWLETT PACKARD ENTERPRISE CO CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.161	HILTON WORLDWIDE HOLDINGS INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.162	HONEYWELL INTL INC CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.163	HORMEL FOODS CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.164	HOWMET AEROSPACE INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.165	HP INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.166	HUBBELL INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.167	HUNTINGTON BANCSHARES INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.168	IDEXX LABORATORIES CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.169	ILLINOIS TOOL WORKS CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.170	INGERSOLL RAND INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.171	INSULET CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.172	INTEL CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.173	INTERCONTINENTAL EXCHANGE INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.174	INTERNATIONAL PAPER CO. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.175	INTL BUSINESS MACHINES CORP CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.176	INTL.FLAVORS & FRAGRANCE CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.177	INTUIT INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.178	INTUITIVE SURGICAL, INC. CMN	N/A	$15,001 - $50,000		None (or less than $201)
9.14.179	IQVIA HOLDINGS INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.180	IRON MOUNTAIN INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.181	JOHNSON & JOHNSON CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.182	JOHNSON CONTROLS INTERNATIONAL PUBLIC LIMITED COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.183	JPMORGAN CHASE & CO CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.184	JUNIPER NETWORKS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.185	KELLANOVA CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.186	KENVUE INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.187	KEURIG DR PEPPER INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.188	KEYCORP CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.189	KEYSIGHT TECHNOLOGIES, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.190	KIMBERLY-CLARK CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.191	KIMCO REALTY OP, LLC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.192	KINDER MORGAN INC CMN CLASS P	N/A	$1,001 - $15,000		None (or less than $201)
9.14.193	KKR & CO. INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.194	KLA CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.195	KROGER COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.196	L3HARRIS TECHNOLOGIES INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.197	LAM RESEARCH CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.198	LENNAR CORPORATION CMN CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
9.14.199	LINDE PLC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.200	LIVE NATION ENTERTAINMENT INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.201	LKQ CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.202	LOCKHEED MARTIN CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.203	LOWES COMPANIES INC CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.204	LULULEMON ATHLETICA INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.205	MARATHON PETROLEUM CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.206	MARRIOTT INTERNATIONAL, INC CMN CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
9.14.207	MARSH & MCLENNAN CO INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.208	MARTIN MARIETTA MATERIALS,INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.209	MARVELL TECHNOLOGY, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.210	MASCO CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.211	MASTERCARD INCORPORATED CMN CLASS A	N/A	$15,001 - $50,000		None (or less than $201)
9.14.212	MC DONALDS CORP CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.213	MCCORMICK & CO NON VTG SHRS CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.214	MCKESSON CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.215	MEDTRONIC PUBLIC LIMITED COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.216	MERCK & CO., INC. CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.217	META PLATFORMS INC-CLASS A CMN CLASS A	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
9.14.218	METLIFE, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.219	MICROCHIP TECHNOLOGY INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.220	MICRON TECHNOLOGY, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.221	MICROSOFT CORPORATION CMN	N/A	$100,001 - $250,000	Dividends	$1,001 - $2,500
9.14.222	MID-AMERICA APT CMNTYS INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.223	MODERNA, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.224	MOLINA HEALTHCARE, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.225	MOLSON COORS BEVERAGE CO CMN CLASS B	N/A	$1,001 - $15,000		None (or less than $201)
9.14.226	MONDELEZ INTERNATIONAL, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.227	MONOLITHIC POWER SYSTEMS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.228	MONSTER BEVERAGE CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.229	MOODY'S CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.230	MORGAN STANLEY CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.231	MOSAIC COMPANY (THE) CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.232	MOTOROLA SOLUTIONS INC CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.233	MSCI INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.234	NASDAQ INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.235	NETAPP, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.236	NETFLIX, INC. CMN	N/A	$15,001 - $50,000		None (or less than $201)
9.14.237	NEWMONT CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.238	NEWS CORPORATION CMN CLASS A	N/A	$1,001 - $15,000		None (or less than $201)
9.14.239	NEXTERA ENERGY, INC. CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.240	NIKE CLASS-B CMN CLASS B	N/A	$1,001 - $15,000		None (or less than $201)
9.14.241	NISOURCE INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.242	NORFOLK SOUTHERN CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.243	NORTHERN TRUST CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.244	NORTHROP GRUMMAN CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.245	NUCOR CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.246	NVIDIA CORPORATION CMN	N/A	$250,001 - $500,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.247	NXP SEMICONDUCTORS N.V. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.248	OCCIDENTAL PETROLEUM CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.249	OLD DOMINION FREIGHT LINE, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.250	OMNICOM GROUP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.251	ON SEMICONDUCTOR CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.252	ONEOK INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.253	ORACLE CORPORATION CMN	N/A	$15,001 - $50,000		None (or less than $201)
9.14.254	O'REILLY AUTOMOTIVE, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.255	OTIS WORLDWIDE CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.256	P G & E CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.257	PACCAR INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.258	PACKAGING CORP OF AMERICA COMMON STOCK	N/A	$1,001 - $15,000		None (or less than $201)
9.14.259	PALANTIR TECHNOLOGIES INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.260	PALO ALTO NETWORKS INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.261	PARKER-HANNIFIN CORP. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.262	PAYCHEX, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.263	PAYCOM SOFTWARE, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.264	PAYPAL HOLDINGS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.265	PENTAIR PLC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.266	PEPSICO, INC. CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.267	PFIZER INC. CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.268	PHILIP MORRIS INTL INC CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.269	PHILLIPS 66 CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.270	PHINIA INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.271	POOL CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.272	PPG INDUSTRIES, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.273	PPL CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.274	PRINCIPAL FINANCIAL GROUP, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.275	PROCTER & GAMBLE COMPANY (THE) CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.276	PROLOGIS INC CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.277	PRUDENTIAL FINANCIAL INC CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.278	PUBLIC STORAGE CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.279	PULTEGROUP INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.280	QUALCOMM INC CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.281	QUANTA SERVICES INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.282	REALTY INCOME CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.283	REGENCY CENTERS CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.284	REGENERON PHARMACEUTICAL INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.285	REPUBLIC SERVICES INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.286	RESMED INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.287	REVVITY INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.288	ROCKWELL AUTOMATION INC CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.289	ROPER TECHNOLOGIES INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.290	ROSS STORES,INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.291	ROYAL CARIBBEAN GROUP ISIN: LR0008862868	N/A	$1,001 - $15,000		None (or less than $201)
9.14.292	RTX CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.293	S&P GLOBAL INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.294	SALESFORCE INC CMN	N/A	$15,001 - $50,000		None (or less than $201)
9.14.295	SBA COMMUNICATIONS CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.296	SCHLUMBERGER LTD CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.297	SEMPRA ENERGY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.298	SERVICENOW INC CMN	N/A	$15,001 - $50,000		None (or less than $201)
9.14.299	SHERWIN-WILLIAMS CO CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.300	SIMON PROPERTY GROUP INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.301	STARBUCKS CORP. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.302	STATE STREET CORPORATION (NEW) CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.303	STEEL DYNAMICS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.304	STRYKER CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.305	SUPER MICRO COMPUTER, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.306	SYNCHRONY FINANCIAL CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.307	SYNOPSYS INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.308	SYSCO CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.309	T. ROWE PRICE GROUP, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.310	TAKE TWO INTERACTIVE SOFTWARE INC	N/A	$1,001 - $15,000		None (or less than $201)
9.14.311	TARGA RESOURCES CORP. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.312	TARGET CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.313	TELEDYNE TECHNOLOGIES INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.314	TESLA, INC. CMN	N/A	$50,001 - $100,000		None (or less than $201)
9.14.315	TEXAS INSTRUMENTS INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.316	THE BANK OF NY MELLON CORP CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.317	THE HOME DEPOT, INC. CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.318	THE KRAFT HEINZ CO CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.319	THE PROGRESSIVE CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.320	THE SOUTHERN CO. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.321	THE TRAVELERS COMPANIES, INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.322	THE WILLIAMS COMPANIES, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.323	THERMO FISHER SCIENTIFIC INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.324	TJX COMPANIES INC (NEW) CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.325	T-MOBILE US, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.326	TRACTOR SUPPLY COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.327	TRANE TECHNOLOGIES PUBLIC LIMITED COMPANY CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.328	TRANSDIGM GROUP INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.329	TRUIST FINANCIAL CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.330	U.S. BANCORP CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.331	UBER TECHNOLOGIES, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.332	UDR INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.333	ULTA BEAUTY INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.334	UNION PACIFIC CORP. CMN	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.335	UNITED AIRLINES HOLDINGS INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.336	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9.14.337	UNITED RENTALS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.338	UNITEDHEALTH GROUP INCORPORATED CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.339	VALERO ENERGY CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.340	VENTAS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.341	VERISIGN, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.342	VERISK ANALYTICS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.343	VERIZON COMMUNICATIONS, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.344	VERTEX PHARMACEUTICALS INCORPORATED CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.345	VICI PROPERTIES INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.346	VISA INC. CMN CLASS A	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.347	VULCAN MATERIALS CO CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.348	W.R. BERKLEY CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.349	WALGREENS BOOTS ALLIANCE, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.350	WALMART INC CMN	N/A	$15,001 - $50,000		None (or less than $201)
9.14.351	WALT DISNEY COMPANY (THE) CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.352	WARNER BROS DISCOVERY INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.353	WASTE MANAGEMENT INC CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.354	WEC ENERGY GROUP, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.355	WELLS FARGO & COMPANY CMN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
9.14.356	WELLTOWER, INC. CMN	N/A	$1,001 - $15,000		None (or less than $201)
9.14.357	WEST PHARMACEUTICAL SERVICES INC	N/A	$1,001 - $15,000		None (or less than $201)
9.14.358	WESTERN DIGITAL CORPORATION CMN	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.14.359	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORPORATION CMN		N/A	$1,001 - $15,000		None (or less than $201)
9.14.360	WILLIS TOWERS WATSON PLC CMN		N/A	$1,001 - $15,000		None (or less than $201)
9.14.361	WORKDAY, INC. CMN CLASS A		N/A	$1,001 - $15,000		None (or less than $201)
9.14.362	XCEL ENERGY INC. CMN		N/A	$1,001 - $15,000		None (or less than $201)
9.14.363	XYLEM INC. CMN		N/A	$1,001 - $15,000		None (or less than $201)
9.14.364	YUM BRANDS, INC. CMN		N/A	$1,001 - $15,000		None (or less than $201)
9.14.365	ZIMMER BIOMET HOLDINGS INC		N/A	$1,001 - $15,000		None (or less than $201)
9.14.366	ZIONS BANCORP CMN		N/A	$1,001 - $15,000		None (or less than $201)
9.14.367	ZOETIS INC. CMN CLASS A		N/A	$1,001 - $15,000		None (or less than $201)
10	GrowthCurve Capital Partners I LP		No	$250,001 - $500,000	LP Distributions	$5,000
10.1	Mistplay (Mobile Gaming)		N/A			
10.2	Revecore (Healthcare Software)		N/A			
10.3	Netchex (Payroll Software)		N/A			
10.4	Brightway (Insurance Services)		N/A			
10.5	Duetto (Hospitality Software)		N/A			
10.6	PureFacts (Financial Software)		N/A			
11	Family Trust 1	See Endnote	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.1	Vanguard European Stock Index Fund Admiral Shares (VEUSX)		Yes	$250,001 - $500,000		$5,001 - $15,000
11.2	Vanguard Explorer Fund Admiral Shares (VEXRX)		Yes	$1,000,001 - $5,000,000		$5,001 - $15,000
11.3	Vanguard Intermediate-Term Bond Index Fund Admiral Shares (VBILX)		Yes	$50,001 - $100,000		$1,001 - $2,500
11.4	Vanguard Municipal Money Market Fund Investor Shares (VMSXX)		Yes	$1,000,001 - $5,000,000		$15,001 - $50,000
11.5	Vanguard Selected Value Fund Investor Shares (VASVX)		Yes	$1,000,001 - $5,000,000		$5,001 - $15,000
11.6	Vanguard Target Retirement Income Fund Investor Class Shares (VTINX)		Yes	$100,001 - $250,000		$2,501 - $5,000
11.7	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)		Yes	$250,001 - $500,000		$5,001 - $15,000
11.8	Vanguard Total Stock Market Index Fund Investor Class Shares (VTSMX)		Yes	$100,001 - $250,000		$5,001 - $15,000
11.9	Vanguard Value Index Fund Admiral Shares (VVIAX)		Yes	$500,001 - $1,000,000		$5,001 - $15,000
12	Family Trust 2	See Endnote	No			
12.1	Vanguard Federal Money Market Fund Investor Shares (VMFXX)		Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
12.2	Vanguard 500 Index Fund Admiral Shares (VFIAX)		Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
12.3	Vanguard Treasury Money Market Fund Investor Shares (VUSXX)		Yes	$100,001 - $250,000		$201 - $1,000
12.4	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)		Yes	$1,000,001 - $5,000,000		$15,001 - $50,000
12.5	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Yes	$1,000,001 - $5,000,000		$50,001 - $100,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.6	Fireblocks Inc. Common Stock		N/A	$500,001 - $1,000,000		None (or less than $201)
13	Charitable Remainder Trust	See Endnote	No			
13.1	Vanguard Federal Money Market Fund Investor Shares (VMFXX)		Yes	$500,001 - $1,000,000		$15,001 - $50,000
13.2	Vanguard Selected Value Fund Investor Shares (VASVX)		Yes	$50,001 - $100,000		$201 - $1,000
13.3	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)		Yes	$100,001 - $250,000		$2,501 - $5,000
13.4	Vanguard Total Stock Market Index Fund Institutional Class Shares (VITSX)		Yes	$50,001 - $100,000		$201 - $1,000
14	Vanguard Federal Money Market Fund Investor Shares (VMFXX)		Yes	Over $1,000,000		$50,001 - $100,000
15	VanguardPacific Stock Index Fund Admiral Shares (VPADX)		Yes	$100,001 - $250,000		$2,501 - $5,000
16	VanguardTax-Managed Capital Appreciation Fund Admiral Shares (VTCLX)		Yes	$50,001 - $100,000		$1,001 - $2,500
17	Vanguard Treasury Money Market Fund Investor Shares (VUSXX)		Yes	$15,001 - $50,000		$201 - $1,000
18	One River Asset Management		N/A	$250,001 - $500,000	Distributions	$17,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Citibank, NA	Mortgage on Personal Residence	$1,000,001 - $5,000,000	2021	2.25	40 years
2	Apollo Co-Investors X (A), L.P.	Capital Commitment	$500,001 - $1,000,000	2022	N/A	Life of Fund
3	GrowthCurve Capital Partners I LP	Capital Commitment	$15,001 - $50,000	2021	N/A	Life of Fund

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	16	This entity is inactive, has never been active, and holds no assets. This position was inadvertently omitted from my prior financial disclosure report.
2.	14	The value and income reported for this asset represents a good faith estimate for the reporting period. Value of underlying assets are not readily ascertainable.
5.	1	WMB Holdings, Inc. is the parent company of CSC, a global provider of business administration and compliance solutions, specialized administration services to alternative asset managers across a range of fund strategies, transactions involving capital markets participants in both public and private markets, domain name system management and digital brand and fraud protection, and corporate tax software solutions.
6.	11	Trust settled by Filer. Filer has no beneficial interest. Filer's spouse and children have an unvested beneficial interest. To date, there have been no distributions from this trust.

PART	#	ENDNOTE
6.	12	Trust settled by Filer's spouse. Filer and filer's children have an unvested beneficial interest. To date, there have been no distributions from this trust.
6.	13	Charitable remainder trust settled for benefit of filer. Filer has vested life interest in trust income and receives quarterly income distributions. Following the death of filer, remainder will be distributed to 501(c)(3) charities.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C.§ 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).