

Report Type: Annual Report

Year (Annual Report only): 2026

Date of Appointment: 03/2025

Date of Termination:

Appointment Type: PAS

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

MCMAHON, LINDA

Secretary of Education, Department of Education

Report Year: 2026

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ MCMAHON, LINDA [electronically signed on 07/08/2026 by MCMAHON, LINDA in Integrity.gov] - Filer received a 59 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Yordy, Charles S, Certifying Official [electronically signed on 07/29/2026 by Yordy, Charles S in Integrity.gov]

Other review conducted by

/s/ Yordy, Charles S, Ethics Official [electronically signed on 07/29/2026 by Yordy, Charles S in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 09/01/2026 by Granahan, Megan in Integrity.gov]

Data Revised 08/19/2026

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Vince and Linda McMahon Family Foundation	Stamford, Connecticut	Non-Profit	Member/Director /Secretary/Treasurer	10/2021	3/2025
2	Sacred Heart University	Fairfield, Connecticut	University/College	Trustee, Board of Trustees and Treasurer, Board of Trustees	5/2021	3/2025
3	America First Policy Institute	Ft. Worth, Texas	Non-Profit	Chair of the Board and Chair, Center for the American Worker	1/2021	3/2025
4	America First Works	Washington, District of Columbia	Non-Profit	Board Member	11/2021	3/2025
5	Trump Media & Technology Group	Sarasota, Florida	Corporation	Director	3/2024	3/2025
6	The Daily Caller News Foundation	Washington, District of Columbia	Non-Profit	Director, Advisory Council	3/2023	3/2025
7	Family Trust 6	New Castle, Delaware	Trust	Power holder (select various fiduciaries, holder of limited testamentary power of appointment exercisable in favor of filer's descendants)	4/2019	3/2025

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
8	Family Trust 8	Wilmington, Delaware	Trust	Investment Direction Advisor; Distribution Adviser; power holder (full powers regarding trust distributions and amendment, modification and revocation)	8/2014	Present
9	Family Trust 9a	Greenwich, Connecticut	Trust	Power holder (co-holder, with spouse, of full powers regarding trust distributions investments, termination and trustee appointments)	12/2006	Present
10	Family Trust 9b	Greenwich, Connecticut	Trust	Power holder (co-holder, with spouse, of full powers regarding trust distributions, investments, termination and trustee appointments)	12/2006	Present
11	WT1 LLC	Wilmington, Delaware	Limited Liability Company	Sole Member	2/2017	Present
12	Family Trust 5	New Castle, Delaware	Trust	Power holder (substitute trust assets with assets of equivalent value)	4/2019	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	Trump Vance 2025 Transition, Inc.	West Palm Beach, Florida	Non-Profit	Co-President	8/2024	3/2025

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ROTH IRA	No			
1.1	BlackRock Strategic Income Opportunities Fund Institutional Shares (BSIIX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.2	Federated Hermes Strategic Value Dividend Fund Institutional Shares (SVAIX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.3	Goldman Sachs Small/Mid Cap Growth Fund Institutional Class (GSMYX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.4	JPMorgan Strategic Income Opportunities Fund Class I Shares (JSOSX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.5	Loomis Sayles Strategic Income Fund Class Y (NEZYX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.6	Oakmark International Fund Class Institutional (OANIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.7	JPMorgan Large Cap Growth Fund Class I Shares (SEEGX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.8	Principal Diversified Income Fund Institutional Class (PGDIX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.9	U.S. Brokerage money market account (cash)	N/A	\$500,001 - \$1,000,000		\$15,001 - \$50,000
1.10	GQG PartnersEmerging Markets Equity Fund Institutional Class Shares (GQGIX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Ares Management Corp. (ARES)	N/A	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
2	TKO Group Holdings Inc. (TKO)	N/A	Over \$50,000,000	Dividends	\$1,000,001 - \$5,000,000
3	iShares Select Dividend ETF (DVY)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
4	Artisan Developing World Fund Advisor Class Shares (APDYX)	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)
5	BlackRock Liquidity Funds Treasury Trust Fund Institutional Shares (TTTXX)	Yes	Over \$50,000,000		Over \$5,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	BlackRock Strategic Income Opportunities Portfolio Institutional Shares (BSIIX)	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
7	BlackRock Total Return Fund Institutional Shares (MAHQX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
8	BNY Mellon Core Plus Fund Class I Shares (DCPIX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
9	Eaton Vance Atlanta Capital SMID-Cap Fund Class I Shares (EISMX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
10	Goldman Sachs Income Builder Fund Institutional Shares (GSBIX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
11	GQG Partners Emerging Markets Equity Fund Institutional Class Shares (GQGIX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
12	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
13	Hartford Schrodgers International Stock Fund Class I Shares (SCIEX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
14	Janus Henderson Global Equity Income Fund Class I Shares (HFQIX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
15	JPMorgan Large Cap Growth Fund Class I Shares (SEEGX)	Yes	\$5,000,001 - \$25,000,000		\$5,001 - \$15,000
16	JPMorgan Strategic Income Opportunities Fund Class I Shares (JSOSX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
17	Loomis Sayles Strategic Income Fund Class Y Shares (NEZYG)	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
18	MFS Value Fund Class I Shares (MEIIX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
19	Oakmark International Fund Institutional Class Shares (OANIX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	PIMCO Fixed Income Shares Series TE (FXIEX)	Yes	\$25,000,001 - \$50,000,000		\$100,001 - \$1,000,000
21	PIMCO GNMA and Government Securities Fund Class I-2 Shares (PPGNX)	Yes	\$25,000,001 - \$50,000,000		\$1,000,001 - \$5,000,000
22	PIMCO Income Fund Class I-2 Shares (PONPX)	Yes	\$25,000,001 - \$50,000,000		\$1,000,001 - \$5,000,000
23	PIMCO Total Return Fund Class I-2 Shares (PTTPX)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
24	Polen Growth Fund Institutional Class Shares (POLIX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
25	Angleton Independent School District, TX, bond (Cusip 034825U49)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
26	Arizona Board of Regents, Arizona State University, bond (Cusip 0406636H4)	N/A	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
27	Arlington County, VA, bond (Cusip 041431RY5)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
28	Carrizo Springs Consolidated Independent School District, TX, bond (Cusip 144609KU7)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
29	City of Charlotte, NC, bond (Cusip 161045SX8)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
30	Independent School District No. 2888, Clinton-Graceville-Beardsley, MN, bond (Cusip 187572BQ4)	N/A	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
31	Colorado Water Resources and Power Development Authority, bond (Cusip 19679LHM8)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
32	State of Connecticut, bond (Cusip 207758XG3)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
33	Cotulla Independent School District, TX, bond (Cusip 222057JC6)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	City and County of Denver, CO, bond (Cusip 249164RM8)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
35	City and County of Denver, CO, bond (Cusip 249164TK0)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
36	East Baton Rouge Sewerage Commission, LA, bond (Cusip 270618EP2)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
37	Energy Northwest, WA, bond (Cusip 29270C3P8)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
38	City of Fort Lauderdale, FL, bond (Cusip 347631AN3)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
39	Frisco Independent School District, TX, bond (Cusip 35880C2M5)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
40	Galena Park Independent School District, TX , bond (Cusip 363335GR5)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
41	Garland Independent School District, TX, bond (Cusip 366155M71)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
42	State of Hawaii, bond (Cusip 419792WQ5)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
43	State of Hawaii, bond (Cusip 419792WV4)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
44	Humble Independent School District, TX, bond (Cusip 445047MB6)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
45	Hurst-Euless-Bedford Independent School District, TX, bond (Cusip 447819KA0)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
46	Hurst-Euless-Bedford Independent School District, TX, bond (Cusip 447819KC6)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
47	Hutto Independent School District, TX, bond (Cusip 4484925G9)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48	Hutto Independent School District, TX, bond (Cusip 4484925J3)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
49	Hutto Independent School District, TX, bond (Cusip 4484925H7)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
50	Intermountain Power Agency, UT, bond (Cusip 45884AA78)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
51	Lamar Consolidated Independent School District, TX, bond (Cusip 513174L26)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
52	Leander Independent School District, TX, bond (Cusip 521841UL8)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
53	State of Maryland, bond (Cusip 574193QF8)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
54	State of Maryland, bond (Cusip 574193NN4)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
55	Massachusetts Port Authority, bond (Cusip 575896RJ6)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
56	Massachusetts School Building Authority, bond (Cusip 576000QU0)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
57	Minnetonka Independent School District No. 276, MN, bond (Cusip 604204UJ4)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
58	State of New Mexico, bond (Cusip 6473104Q1)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
59	New York City Transitional Finance Authority, bond (Cusip 64971XK64)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
60	New York City Transitional Finance Authority, bond (Cusip 64971W4N7)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
61	New York State Dormitory Authority, bond (Cusip 64990AEB7)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62	New York State Dormitory Authority, bond (Cusip 64990F3S1)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
63	New York State Dormitory Authority, bond (Cusip 64990F3M4)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
64	Ohio Turnpike and Infrastructure Commission, bond (Cusip 67760HXX5)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
65	The School Board of Palm Beach County, FL, bond (Cusip 696550G89)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
66	Pecos-Barstow-Toyah Independent School District, TX, bond (Cusip 705227HH2)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
67	Pharr-San Juan-Alamo Independent School District, TX, bond (Cusip 717146WB6)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
68	Pierce County, WA, bond (Cusip 720356D43)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
69	Unified School District No. 383, Riley County, KS, bond (Cusip 766651RC9)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
70	City of San Antonio, TX, bond (Cusip 79625GAG8)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
71	State of Kansas Department of Transportation, bond (Cusip 485424QG3)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
72	State of Tennessee, bond (Cusip 880541XN2)	N/A	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
73	Truckee Meadows Water Authority, NV, bond (Cusip 897825HH1)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
74	University of Connecticut, bond (Cusip 914233K91)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
75	State Board of Regents of the State of Utah o/b/o The University of Utah, bond (Cusip 915183H83)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
76	State of Washington, bond (Cusip 93974DYF9)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
77	State of Washington, bond (Cusip 93974D2M9)	N/A	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
78	State of Washington, bond (Cusip 93974D6R4)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
79	State of Washington, bond (Cusip 93974EEN2)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
80	U.S. Brokerage money market account (cash)	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
81	Morgan Stanley Institutional Fund Trust Government Portfolio Institutional Class Shares (MVRXX)	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
82	Morgan Stanley Institutional Fund Trust Treasury Securities Portfolio Institutional Class Shares (MSUXX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
83	Blackstone Real Estate Income Trust (Industrial and residential real estate)	N/A	\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000
84	North Haven Private Income Fund LLC Class S	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
85	Family Trust 2	No			
85.1	Nassau Life, Universal Life	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
85.2	John Hancock Life, Universal Life	N/A	\$100,001 - \$250,000	Interest	\$50,001 - \$100,000
86	Family Trust 3	No			
86.1	Lincoln Financial Life, Universal Life	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
87	Family Trust 4	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
87.1	American General, Universal Life	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
87.2	Symetra Life, Universal Life 1	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
87.3	Symetra Life, Universal Life 2	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
87.4	Symetra Life, Universal Life 3	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
87.5	Penn Mutual Life, Universal Life	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
87.6	Nationwide Life, Universal Life	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
87.7	Genworth Life, Universal Life	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
87.8	Principal Life, Universal Life	N/A	\$15,001 - \$50,000	Interest	\$15,001 - \$50,000
87.9	Brighthouse Life Insurance Company, Universal Life	N/A	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
87.10	Prudential Life, Universal Life	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
87.11	Lincoln National Corp, Universal Life	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
87.12	Acordia Life, Universal Life	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
87.13	Zurich American Life, Universal Life	N/A	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
88	Family Trust 5	No			
88.1	New York Life, Whole Life	N/A	\$5,000,001 - \$25,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
88.2	Penn Mutual Life, Universal Life	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
88.3	Mass Mutual Life, Whole life	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
88.4	Pacific Life, Universal Life	N/A	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
88.5	Transamerica Life, Universal Life	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
88.6	United of Omaha Life, Universal Life	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
88.7	Protective Life, Universal Life	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
88.8	Guardian Life, Whole Life	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
88.9	John Hancock Life, Universal Life	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
88.10	Symetra, Universal Life	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
88.11	U.S. bank (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
89	Family Trust 8	No			
89.1	U.S. bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
90	Family Trust 9A	No			
90.1	Residential Real Estate, Stamford, CT	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$5,001 - \$15,000
91	Family Trust 9B	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
91.1	Residential Real Estate, Stamford, CT	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$5,001 - \$15,000
92	U.S. bank (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
93	McMahon Ventures LLC (family office company)	N/A	\$1,001 - \$15,000		None (or less than \$201)
94	WT1 LLC (real estate holding company)	No			
94.1	U.S. brokerage money market account (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
95	UTMA 1	No			
95.1	Morgan Stanley Institutional Fund Trust Government Portfolio Institutional Class Shares (MVRXX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
96	UTMA 2	No			
96.1	Morgan Stanley Institutional Fund Trust Government Portfolio Institutional Class Shares (MVRXX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
97	UTMA 3	No			
97.1	Morgan Stanley Institutional Fund Trust Government Portfolio Institutional Class Shares (MVRXX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
98	PIMCO Intermediate Municipal Bond Active Exchange-Traded Fund (MUNI)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
99	PIMCO High Yield Municipal Bond Fund Class I-2 Shares (PYMPX)	Yes	\$25,000,001 - \$50,000,000		\$1,000,001 - \$5,000,000
100	PIMCO Municipal Bond Fund Class I-2 Shares (PMUPX)	Yes	\$25,000,001 - \$50,000,000		\$1,000,001 - \$5,000,000
101	PIMCO National Intermediate Municipal Bond Fund Class I-2 Shares (PMNPX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
102	PIMCO Short Duration Municipal Income Fund Class I-2 Shares (PSDPX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Ares Management Corp. (ARES)	Sale	05/12/2025	\$5,000,001 - \$25,000,000
2	Massachusetts School Building Authority bond (Cusip 576000QU0)	Sale	01/15/2025	\$500,001 - \$1,000,000
3	Maryland St Dept Transit bond (Cusip 574204H89)	Purchase	01/16/2025	\$1,000,001 - \$5,000,000
4	City and County of Denver, CO bond (Cusip 249164TK0)	Sale	01/31/2025	\$1,000,001 - \$5,000,000
5	East Baton Rouge Sewerage Commission, LA, bond (Cusip 270618EP2)	Sale	02/03/2025	\$1,000,001 - \$5,000,000
6	Minnetonka Independent School District No. 276, MN bond (Cusip 604204UJ4)	Sale	02/03/2025	\$500,001 - \$1,000,000
7	Pharr-San Jan-Alamo Independent School District, TX, bond (Cusip 71746WB6)	Sale	02/03/2025	\$500,001 - \$1,000,000
8	New York State Dormitory Authority, bond (Cusip 64990F3M4)	Sale	02/18/2025	\$1,001 - \$15,000
9	Garland Independent School District, TX, bond (Cusip 366155M71)	Sale	02/18/2025	\$500,001 - \$1,000,000
10	Frisco Independent School District, TX, bond (Cusip 35880C2M5)	Sale	02/18/2025	\$500,001 - \$1,000,000
11	State of Vermont GO, bond (Cusip 9242585P7)	Purchase	12/27/2024	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	State of Connecticut, bond (Cusip 207758U84)	Sale	12/31/2024	\$1,000,001 - \$5,000,000
13	County of Henrico, Virginia, bond (Cusip 426056E45)	Purchase	12/27/2024	\$1,000,001 - \$5,000,000
14	Commonwealth of Pennsylvania, PA, bond (Cusip 70914PZ96)	Purchase	12/27/2024	\$1,000,001 - \$5,000,000
15	County of Wake, NC GO, bond (Cusip 930863T88)	Purchase	12/27/2024	\$250,001 - \$500,000
16	Hurst-Eules-Bedford Independent School District, TX, bond (Cusip 447819KA0)	Sale	03/17/2025	\$1,000,001 - \$5,000,000
17	Hurst-Eules-Bedford Independent School District, TX, bond (Cusip 447819KC6)	Sale	03/17/2025	\$1,000,001 - \$5,000,000
18	Ohio Turnpike and Infrastructure Commission, bond (Cusip 67760HNX5)	Sale	03/17/2025	\$500,001 - \$1,000,000
19	Hutto Independent School District, TX, bond (Cusip 4484925J3)	Sale	03/17/2025	\$500,001 - \$1,000,000
20	State of New Hampshire, bond (Cusip 64468FBS5)	Sale	03/17/2025	\$1,000,001 - \$5,000,000
21	Northwest Allen School Building Corporation, IN, bond (Cusip 667315JY2)	Sale	03/17/2025	\$500,001 - \$1,000,000
22	Galena Park Independent School District, TX, bond (Cusip 363335GR5)	Sale	03/17/2025	\$500,001 - \$1,000,000
23	Hutto Independent School District, TX, bond (Cusip 4484925G9)	Sale	03/17/2025	\$500,001 - \$1,000,000
24	Oklahoma Water Resources Board, bond (Cusip 67920Q4P0)	Sale	03/17/2025	\$250,001 - \$500,000
25	Humble Independent School District, TX, bond, (Cusip 445047MB6)	Sale	03/17/2025	\$500,001 - \$1,000,000
26	Lower Colorado River Authority, bond (Cusip 54811GP46)	Sale	03/17/2025	\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	Colorado Water Resources and Power Development Authority, bond (Cusip 19679LHM8)	Sale	03/17/2025	\$1,000,001 - \$5,000,000
28	Carrizo Springs Consolidated Independent School District, TX, bond (Cusip 144609KU7)	Sale	03/17/2025	\$250,001 - \$500,000
29	Angleton Independent School District, TX, bond (Cusip 034825U49)	Sale	03/17/2025	Over \$1,000,000
30	Hutto Independent School District, TX, bond (Cusip 4484925H7))	Sale	03/17/2025	\$500,001 - \$1,000,000
31	New York City Transitional Finance Authority, bond (Cusip 64972JHR2)	Sale	03/17/2025	\$1,000,001 - \$5,000,000
32	Lamar Consolidated Independent School District, TX, bond (Cusip 513174L26)	Sale	03/17/2025	\$500,001 - \$1,000,000
33	Illinois Finance Authority, bond (Cusip 45204EXN3)	Sale	01/02/2025	\$100,001 - \$250,000
34	City of Minneapolis and St. Paul Housing, MN, bond (Cusip 603695KF3)	Purchase	01/16/2025	\$1,000,001 - \$5,000,000
35	State of Connecticut, bond (Cusip 207758V59)	Purchase	01/28/2025	\$1,000,001 - \$5,000,000
36	State of Connecticut, bond (Cusip 20774Y3C9)	Purchase	02/03/2025	\$1,000,001 - \$5,000,000
37	Greene County Reorganized School District No. 3, MO, bond (Cusip 394532KG9)	Sale	03/03/2025	\$500,001 - \$1,000,000
38	Boone County School District Finance Corporation, KY, bond (Cusip 0988254W9)	Sale	03/21/2025	\$1,000,001 - \$5,000,000
39	Custer County School District 0025 (Broken Bow Public Schools), NE, bond (Cusip 231795AR6)	Sale	03/21/2025	\$500,001 - \$1,000,000
40	Durand Area Schools, MI, bond (Cusip 266381JU7)	Sale	03/21/2025	\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
41	Austin Community College District, TX, bond (Cusip 052404PX2)	Sale	03/21/2025	\$1,000,001 - \$5,000,000
42	Houston Independent School District, TX, bond (Cusip 442403KY6)	Sale	03/06/2025	\$500,001 - \$1,000,000
43	Franklin Park School District Number 84, Cook COunty, IL, bond (Cusip 214093JB3)	Sale	03/21/2025	\$250,001 - \$500,000
44	Sherwood School District No. 88J (Washington, Clackamas, and Yamhill Counties), OR, bond (Cusip 937440EN1)	Sale	03/24/2025	\$500,001 - \$1,000,000
45	State of Oregon, bond (Cusip 68609BZE0)	Sale	03/24/2025	\$500,001 - \$1,000,000
46	School District No. 1, Denver Public Schools, CO, bond (Cusip 249174VK6)	Sale	03/24/2025	\$1,000,001 - \$5,000,000
47	River Trails School District Number 26, Cook County, IL, bond (Cusip 213345MG3)	Sale	03/24/2025	\$500,001 - \$1,000,000
48	Community College District NO. 525 (Counties of Will, Grundy, Livingston, Cook, Kendall, LaSalle and Kanakee(, IL, bond (Cusip 969080HK9)	Sale	03/24/2025	\$500,001 - \$1,000,000
49	State of Connecticut, bond (Cusip 20774Y3C9)	Sale	03/24/2025	\$1,000,001 - \$5,000,000
50	Spring Branch Independent School District, TX, bond (Cusip 849476VT0)	Sale	03/24/2025	\$1,000,001 - \$5,000,000
51	Douglas County School District 0001, Omaha, NE, bond (Cusip 259291MN9)	Sale	03/24/2025	\$500,001 - \$1,000,000
52	West Lin-Wilsonville School District No. 3Jt, Clackamas and Washington Counties, OR, bond (Cusip 178882MG8)	Sale	03/24/2025	\$250,001 - \$500,000
53	Board of Regents, Texas State University System, TX, bond (Cusip 88278Pj88)	Sale	03/24/2025	\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
54	Lebanon R-111 School District, MO, bond (Cusip 522714FB5)	Sale	03/24/2025	\$500,001 - \$1,000,000
55	Warren Woods Public Schools, MI, bond (Cusip 936019QE1)	Sale	03/24/2025	\$1,000,001 - \$5,000,000
56	North Canton City School District, OH, bond (Csuip 658170GV1)	Sale	03/24/2025	\$1,000,001 - \$5,000,000
57	Fern Ridge School District 28J (Lane & Douglas Counties), OR, bond (Cusip 515160EM7)	Sale	03/24/2025	\$1,000,001 - \$5,000,000
58	Bolivar R-1 School District of Polk County MO, bond (Cusip 731293EV4)	Sale	03/24/2025	\$500,001 - \$1,000,000
59	Ellsworth Community School District, WI, bond (Cusip 289171JS0)	Sale	03/24/2025	\$1,000,001 - \$5,000,000
60	Ellsworth Community School District, WI, bond (Cusip 289171JT8))	Sale	03/24/2025	\$1,000,001 - \$5,000,000
61	Municipal Building Authority of Ogden City School District, UT, bond (Cusip 676303EA4)	Sale	03/24/2025	\$500,001 - \$1,000,000
62	Board of Regents of the University of Oklahoma, OK, bond (Cusip 91476PZS0)	Sale	03/28/2025	\$1,000,001 - \$5,000,000
63	Board of Education of the Princeton City School District, OH, bond (Cusip 742330BP7)	Sale	03/28/2025	\$1,000,001 - \$5,000,000
64	County Board of Education of Jefferson County, AL, bond (Cusip 472649BV3)	Sale	03/28/2025	\$1,000,001 - \$5,000,000
65	County Board of Education of Jefferson County, AL, bond (Cusip 472649BL5)	Sale	03/28/2025	\$1,000,001 - \$5,000,000
66	Tolles Career & Technical Center (Madison, Franklin, Delaware, Fayette, and Union Counties), OH, bond (Cusip 889493AT9)	Sale	03/31/2025	\$1,000,001 - \$5,000,000
67	Community Unit School District No. 60 (Lake County), IL, bond (Cusip 508655KP1)	Sale	03/31/2025	\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
68	The school Board of Marion County, FL, bond (Cusip 56879EHL6)	Sale	03/31/2025	\$1,000,001 - \$5,000,000
69	Louisiana State Bond Commission, bond (Cusip 56475RL0)	Sale	05/01/2025	\$500,001 - \$1,000,000
70	County of Wake, NC GO, bond (Cusip 930863T88)	Sale	03/03/2025	\$250,001 - \$500,000
71	Independent School District No. 2888, Clinton-Graceville-Beardsley, MN, bond (Cusip 187572BQ4)	Sale	03/17/2025	\$1,000,001 - \$5,000,000
72	Triborough Bridge and Tunnel Authority, NY, bond (Cusip 89602HGR2)	Sale	03/17/2025	\$250,001 - \$500,000
73	City of Charlotte, NC, bond (Cusip 161045SX8)	Sale	03/17/2025	\$1,000,001 - \$5,000,000
74	Renton School District No. 403 (King County), WA, bond (Cusip 495080WJ8)	Sale	03/17/2025	\$500,001 - \$1,000,000
75	City of Fort Lauderdale, FL, bond (Cusip 347631AN3)	Sale	03/17/2025	\$250,001 - \$500,000
76	Ohio Public Facilities Commission, bond (Common Schools General Education bonds) (Cusip 677522J88)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
77	University of Connecticut, bond (Cusip 914233U74)	Sale	03/19/2025	\$250,001 - \$500,000
78	State of Washington, bond (Cusip 93974EEN2)	Sale	03/19/2025	\$250,001 - \$500,000
79	The Commonwealth of Massachusetts, bond (Cusip 57582RMP8)	Sale	03/19/2025	\$100,001 - \$250,000
80	Massachusetts Port Authority, bond (Cusip 575896RJ6)	Sale	03/19/2025	\$250,001 - \$500,000
81	The Commonwealth of Massachusetts, bond (Cusip 57582RQB5)	Sale	03/19/2025	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
82	Arizona Board of Regents, Arizona State University, bond (Cusip 0406636H4)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
83	City and County of Denver, CO, bond (Cusip 249164RM8)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
84	State of Hawaii, bond (Cusip 419792WQ5)	Sale	03/19/2025	\$250,001 - \$500,000
85	State of Hawaii, bond (Cusip 419792WV4)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
86	Leander Independent School District, TX, bond (Cusip 521841UL8)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
87	Truckee Meadows Water Authority, NV, bond (Cusip 897825HH1)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
88	State of Wisconsin, bond (Cusip 97709TCH7)	Sale	03/19/2025	\$500,001 - \$1,000,000
89	New York City Transitional Finance Authority, bond (Cusip 64971XND6)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
90	Spokane School District No. 81, Spokane County, WA, bond (Cusip 848712RF4)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
91	Energy Northwest, WA, bond (Cusip 29270C3P8)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
92	Oklahoma Water Resources Board, bond (Cusip 67920Q4L9)	Sale	03/19/2025	\$500,001 - \$1,000,000
93	Oklahoma Water Resources Board, bond (Cusip 67920Q4M7)	Sale	03/19/2025	\$500,001 - \$1,000,000
94	The Regents of the University of California, bond (Cusip 91412HRU9)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
95	City of Des Moines, IA, bond (Cusip 25009XUN3)	Sale	03/19/2025	\$500,001 - \$1,000,000
96	Cotulla Independent School District, TX, bond (Cusip 222057JC6)	Sale	03/19/2025	\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
97	New York City Transitional Finance Authority, bond (Cusip 64971XK64)	Sale	03/19/2025	\$500,001 - \$1,000,000
98	State of Washington, bond (Cusip 93974D6R4)	Sale	03/19/2025	\$500,001 - \$1,000,000
99	New York City Municipal Water Finance Authority, bond (Cusip 64972GNH3)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
100	University of Connecticut, bond (Cusip 914233K91)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
101	North Clackamas School District No. 12, OR, bond (Cusip 179093JG5)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
102	State of Tennessee, bond (Cusip 880541XN2)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
103	Utah Transit Authority, UT, bond (Cusip 917567BS7)	Sale	03/19/2025	\$500,001 - \$1,000,000
104	Unified School District No. 383, Riley County, KS, bond (Cusip 766651RC9)	Sale	03/19/2025	\$500,001 - \$1,000,000
105	District of Columbia (Washington, D.C.), bond (Cusip 25476FUF3)	Sale	03/19/2025	\$500,001 - \$1,000,000
106	New York State Dormitory Authority, bond (Cusip 64990F3S1)	Sale	03/19/2025	\$500,001 - \$1,000,000
107	State of Maryland, bond (Cusip 574193NN4)	Sale	03/19/2025	\$500,001 - \$1,000,000
108	New York State Dormitory Authority, bond (Cusip 64990AEB7)	Sale	03/20/2025	\$500,001 - \$1,000,000
109	State of Washington, bond (Cusip 93974D2M9)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
110	Arlington County, VA, bond (Cusip 041431RY5)	Sale	03/19/2025	\$500,001 - \$1,000,000
111	State of Connecticut, bond (Cusip 20772KGD5)	Sale	03/19/2025	\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
112	Auburn School District No. 408 (King and Pierce Counties, Washington, bond (Cusip 494619EP0))	Sale	03/19/2025	\$100,001 - \$250,000
113	State of Washington, bond (Cusip 93974DYF9)	Sale	03/19/2025	\$250,001 - \$500,000
114	New York City Transitional Finance Authority, bond (Cusip 64971W4N7)	Sale	03/19/2025	\$50,001 - \$100,000
115	The Regents of the University of Colorado, bond (Cusip 91417KJ77)	Sale	03/19/2025	\$250,001 - \$500,000
116	Skagit County, WA, bond (Cusip 830201MD8)	Sale	03/19/2025	\$250,001 - \$500,000
117	State of Florida, State Board of Education, bond (Cusip 34153P6X1)	Sale	03/19/2025	\$100,001 - \$250,000
118	Pierce County, WA, bond (Cusip 720356D43)	Sale	03/19/2025	\$250,001 - \$500,000
119	Jefferson County School District No. R-1, CO, bond (Cusip 472736V79)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
120	Pasco School District No. 1, Franklin County, WA, bond (Cusip 353442C38)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
121	The School Board of Palm Beach County, FL, bond (Cusip 696550G89)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
122	State of Connecticut, bond (Cusip 207758XG3)	Sale	03/19/2025	\$250,001 - \$500,000
123	State Board of Regents of the State of Utah o/b/o The University of Utah, bond (Cusip 915183H83)	Sale	03/19/2025	\$500,001 - \$1,000,000
124	State of Washington, bond (Cusip 93974EDJ2)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
125	Golden State Tobacco Securitization Corporation, CA, bond (Cusip 38122NYK2)	Sale	03/19/2025	\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
126	Intermountain Power Agency, UT, bond (Cusip 45884AA78)	Sale	03/19/2025	\$500,001 - \$1,000,000
127	Hillsboro School District No. 1J (Washington, Yamhill, and Multnomah Counties), OR, bond (Cusip 939307JF2)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
128	State of New Mexico, bond (Cusip 6473104Q11)	Sale	03/19/2025	\$500,001 - \$1,000,000
129	City of San Antonio, TX, bond (Cusip 79625GAG8)	Sale	03/19/2025	\$500,001 - \$1,000,000
130	School District No. 1, Denver Public Schools, CO, bond (Cusip 249174XQ1)	Sale	03/19/2025	\$100,001 - \$250,000
131	Weld County School District No.6, CO, bond (Cusip 949289PQ9)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
132	State of Vermont GO, bond (Cusip 9242585P7)	Sale	03/19/2025	\$250,001 - \$500,000
133	State of Maryland, bond (Cusip 574193QF8)	Sale	03/19/2025	\$250,001 - \$500,000
134	Downtown Savannah Authority, GA, bond (Cusip 261172TC4)	Sale	03/19/2025	\$250,001 - \$500,000
135	Pecos-Barstow-Toyah Independent School District, TX, bond (Cusip 705227HH2)	Sale	03/19/2025	\$250,001 - \$500,000
136	Alabama State Public School and College Authority, bond (Cusip 010609FP5)	Sale	03/19/2025	\$100,001 - \$250,000
137	State of Colorado, Education Loan Program, bond (Cusip 19672MDE5)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
138	Maryland St Dept Transit bond (Cusip 574204H89)	Sale	03/19/2025	\$1,000,001 - \$5,000,000
139	State of Oregon, bond (Cusip 68609BSQ1)	Sale	03/19/2025	\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
140	State of Kansas Department of Transportation, bond (Cusip 485424QG3)	Sale	03/19/2025	\$250,001 - \$500,000
141	Trump Media & Tech Group Corp (DJT)	Sale	06/12/2025	\$100,001 - \$250,000
142	BlackRock Health Sciences Opportunities Portfolio Institutional Shares (SHSSX)	Sale	05/12/2025	\$500,001 - \$1,000,000
143	BlackRock Health Sciences Opportunities Portfolio Institutional Shares (SHSSX)	Sale	05/12/2025	\$5,000,001 - \$25,000,000
144	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Sale	06/24/2025	\$250,001 - \$500,000
145	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Sale	06/24/2025	\$5,000,001 - \$25,000,000
146	GQG PartnersEmerging Markets Equity Fund Institutional Class Shares (GQGIX)	Purchase	06/24/2025	\$250,001 - \$500,000
147	GQG PartnersEmerging Markets Equity Fund Institutional Class Shares (GQGIX)	Purchase	06/24/2025	\$5,000,001 - \$25,000,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	American Express	Credit Card	\$100,001 - \$250,000	2024	0	Monthly
2	Morgan Stanley Private Bank NA	Demand Line of Credit	\$25,000,001 - \$50,000,000	2020	Libor plus 1%	1 year
3	Morgan Stanley Private Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2018	2.6%, (thru May 2027), adjustable after	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
