OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

# Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209-0001

| | | | | | |
|---|---|---|---|---|---|
| Date of Appointment, Candidacy, Election, or Nomination (*Month, Day, Year*) | Reporting Status (Check Appropriate Boxes) | Incumbent [ ] | Calendar Year Covered by Report | New Entrant, Nominee, or Candidate [ ] | Termination Filer [X] Termination Date (*If Applicable*) (*Month, Day, Year*) 3/22/12 |
| Reporting Individual's Name | Last Name: Suresh | | | First Name and Middle Initial: Subra | |
| Position for Which Filing | Title of Position: Director | | | Department or Agency (*If Applicable*): National Science Foundation | |
| Location of Present Office (or forwarding address) | Address (*Number, Street, City, State, and ZIP Code*): 4201 Wilson Boulevard, Arlington VA | | | Telephone No. (*Include Area Code*): 703 292-8000 | |
| Position(s) Held with the Federal Government During the Preceding 12 Months (*If Not Same as Above*) | Title of Position(s) and Date(s) Held | | | | |
| **Presidential Nominees Subject to Senate Confirmation** | Name of Congressional Committee Considering Nomination | | | Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [X] No | |
| **Certification** I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge. | Signature of Reporting Individual: Subra Suresh | | | Date (*Month, Day, Year*): March 22, 2013 | |
| **Other Review (If desired by agency)** | Signature of Other Reviewer | | | Date (*Month, Day, Year*) | |
| **Agency Ethics Official's Opinion** On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below). | Signature of Designated Agency Ethics Official/Reviewing Official | | | Date (*Month, Day, Year*): March 22, 2013 | |
| Office of Government Ethics Use Only | Signature: Catherine Zayde | | | Date (*Month, Day, Year*): 6-3-2013 | |

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* 90) [X]

(*Check box if comments are continued on the reverse side*) [ ]

**Fee for Late Filing**

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

**Reporting Periods**

**Incumbents:** The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

**Termination Filers:** The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

**Nominees, New Entrants and Candidates for President and Vice President:**

**Schedule A**--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

**Schedule B**--Not applicable.

**Schedule C, Part I** (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

**Schedule C, Part II** (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

**Schedule D**--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

**Agency Use Only**

**OGE Use Only**

MAY 20 2013

Supersedes Prior Editions.

OGE Form 278 (Rev. 12/2011)
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U.S. Office of Government Ethics

Reporting Individual's Name: Suresh, Subra

# SCHEDULE A

Page Number: 1

**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

| | Assets and Income | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Examples | Central Airlines Common | | | | x | | | | | | | | | | | | x | | | | | | x | | | | | | | | | | |
| | Doe Jones & Smith, Hometown, State | | | x | | | | | | | | | | | | | | | | | | | | | | | | | | | | Law Partnership Income $130,000 | |
| | Kempstone Equity Fund | | | | | x | | | | | | | | x | | | | | | | | | | x | | | | | | | | | |
| | IRA: Heartland 500 Index Fund | | | | | | | x | | | | | | x | | | | | | | | | | | x | | | | | | | | |
| 1 | Lord Corporation, Cary NC - loan on half of stock shares | x | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | imputed interest 409.33 | |
| 2 | Bank of America (checking/savings) | | | x | | | | | | | | | | | | | | | x | | x | | | | | | | | | | | | |
| 3 | State Bank of India (Cash Account) | | | x | | | | | | | | | | | | | | | x | | | | | x | | | | | | | | | |
| 4 | (DC) Bank of America (checking/savings) | | x | | | | | | | | | | | | | | | | x | | x | | | | | | | | | | | | |
| 5 | Cambridge University Press - value not ascertainable | | | | | | | | | | | | | | | | | x | | | | | x | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

| | Assets and Income (BLOCK A) | Valuation of Assets (BLOCK B) | | | | | | | | | | | | | | Income (BLOCK C) Type | | | | Amount | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
| 1 | Patents - value not ascertainable - see attachment # 1 (page 2a) | | | | | | | | | | | | | | | | | | | | x | | | | | | | | | | | | |
| 2 | Lord Corporation (privately held stock) Cary, NC - Industrial Manufacturer | | | | | | x | | | | | | | | | | x | | | x | | | | | | x | | | | | | |
| 3 | Undeveloped Land, Tami Nadu, India | | | x | | | | | | | | | | | | | | | | | x | | | | | | | | | | | | |
| 4 | Chennai, India Apartment | | | x | | | | | | | | | | | | | | | | | x | | | | | | | | | | | | |
| 5 | Undeveloped Land, Kunnathur Village, India | | | x | | | | | | | | | | | | | | | | | x | | | | | | | | | | | | |
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# Subra Suresh Inventor Patents

**Addendum to Schedule A, Page 2**

| Patent # | Patent Name |
|---|---|
| 6,924,497 | Systems for measuring stresses in line features formed on substrates |
| 6,781,702 | Determining large deformations and stresses of layered and graded structures to include effects of body forces |
| 6,641,893 | Functionally-graded materials and the engineering of tribological resistance at surfaces |
| 6,600,565 | Real-time evaluation of stress fields and properties in line feature s formed on substrates |
| 6,513,389 | Technique for determining curvatures of embedded line features on substrates |
| 6,311,135 | Method and apparatus for determining preexisting stresses based on indentation or other mechanical probing of the material |
| 6,247,355 | Depth sensing indentation and methodology for mechanical property measurements |
| 6,155, 04 | Method and apparatus for determining preexisting stresses based on indentation or other mechanical probing of a material |
| 6,134,954 | Depth sensing indentation and methodology for mechanical property measurements |
| 5,999,887 | Method and apparatus for determination of mechanical properties of functionally-graded materials |
| 5,847,283 | Method and apparatus for the evaluation of a depth profile of thermo-mechanical properties of layered and graded materials and coatings |
| EPO968153 | FUNCTIONALLY-GRADED MATERIALS |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

68: CS 65 rolled into account Jan 2012

| | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Apple Inc | | | | | x | | | | | | | | | | | x | | | | | | x | | | | | | | | | | |
| 2 | China Fund (CHN) | | x | | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 3 | iShares TR MSCI Emerging Markets Index Fund (EEM) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 4 | Fidelity Muni Money Market fund (FTEXX) | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 5 | Barclays Bank PLC Ipath ETN 2/7/37 DJ UBS COP Tot RT SB Callable | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 | iShares Inc MSCI Taiwan Index Fd (EWT) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 7 | Schwab Strategic Tr Int'l Edquity ETF (SCHF) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 8 | Vanguard Sector Index Fds Vanguard Matls Vipers (VAW) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 9 | Singapore Dollar (SGD) | | | x | | | | | | | | | | | | | | | | | x | | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Account: 68 cont.

| | Assets and Income | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | Amount: $201 - $1,000 | Amount: $1,001 - $2,500 | Amount: $2,501 - $5,000 | Amount: $5,001 - $15,000 | Amount: $15,001 - $50,000 | Amount: $50,001 - $100,000 | Amount: $100,001 - $1,000,000 | Amount: Over $1,000,000* | Amount: $1,000,001 - $5,000,000 | Amount: Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | iShares S&P Natl AMT Free Muni Bnd Fnd (MUB) | | | x | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 2 | iShares Inc MSCI Australia Index Fund | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 3 | Schwab Strategic TR Int'l SmallCap Equity ETF (SCHC) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)

Page Number: 5

**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Account:94

| | Assets and Income | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Fortescue Metals Group LTD (FSUMF) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 2 | Central Fund Canada CL A (CEF) | x | | | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 3 | iShares Inc MSCI Brazil Index Fund (EWZ) | | | x | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 4 | iShares TR Dow Jones US Real Estate Index Fd | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 5 | iShares S&P Short Term Natl Amt Free Muni Bnd Fnd (SUB) | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 | iShares TR S&P MidCap 400/Growth Index Fund | x | | | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 7 | iShares TR Russell 2000 Index Fund | x | | | | | | | | | | | | x | | | | | | | | | | | x | | | | | | | | |
| 8 | Fidelity Muni Money Market (FTEXX) | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 9 | iShares Trust High Div Eq Fd (HDV) | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Account:94 cont.

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Pimco ETF Trust Inter Muni Bd Strategy Fd (MUNI) | x | | | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 2 | iShares S&P Natl Amt Free Muni Bnd Fnd (MUB) | | | x | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

New SS IRA: Fid 53, CS 72, Brown 403(b)

| | Assets and Income | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | Amount: $201 - $1,000 | Amount: $1,001 - $2,500 | Amount: $2,501 - $5,000 | Amount: $5,001 - $15,000 | Amount: $15,001 - $50,000 | Amount: $50,001 - $100,000 | Amount: $100,001 - $1,000,000 | Amount: Over $1,000,000* | Amount: $1,000,001 - $5,000,000 | Amount: Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Janus Balanced Fund Class T | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 2 | iShares Silver TR (SLV) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 3 | Matthews Asia Small Companies Fund (MSMLX) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 4 | iShares Inc MSCI Turkey Index Fund | | | x | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 5 | iShares Inc MSCI Taiwan Index Fund | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 | iShares Barclays Treasury Inflation Protected Securities Fund | | | | x | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 7 | iShares TR S&P 500 Index Fund | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 8 | iShares S&P India Nifty 50 Index Fund | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 9 | Schwab Emerging Market Equity ETF (SCHE) | | x | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

| | Assets and Income (Block A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | Amount: $201 - $1,000 | Amount: $1,001 - $2,500 | Amount: $2,501 - $5,000 | Amount: $5,001 - $15,000 | Amount: $15,001 - $50,000 | Amount: $50,001 - $100,000 | Amount: $100,001 - $1,000,000 | Amount: Over $1,000,000* | Amount: $1,000,001 - $5,000,000 | Amount: Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SS IRA Cont. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 | Vanguard Star Fund Vanguard Total International Stock Index Fund ETF (VXUS) | | x | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 2 | Matthews China Fund | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 3 | Central Fund of Canada Class A | | | x | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 4 | Vanguard Bd Index Fd Inc Total Bd Market | | | | x | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 5 | Market Vectors ETFT Indonesia ETF (IDX) | | | x | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 6 | Fidelity Four in one Index | | | x | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 7 | Fidelity Government Money Market (SPAXX) | x | | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 8 | Vanguard Special Portfolio Dividend Appreciation Index Viper | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 9 | iShares TR Dow Jones US Real Estate Index Fd (IYR) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

SS IRA Cont.

| # | Assets and Income | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | iShares TR MSCI EAFE Index FD (EFA) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 2 | iShares TR MSCI ACWI EX US Index Fd (ACWX) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 dc | #48: Fidelity Balanced Fund | x | | | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

| | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | Amount: $201 - $1,000 | Amount: $1,001 - $2,500 | Amount: $2,501 - $5,000 | Amount: $5,001 - $15,000 | Amount: $15,001 - $50,000 | Amount: $50,001 - $100,000 | Amount: $100,001 - $1,000,000 | Amount: Over $1,000,000* | Amount: $1,000,001 - $5,000,000 | Amount: Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Account: 83 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 dc | Fidelity Four in One Index Fund (FFNOX) | | x | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 2 dc | iShares TR Russell 1000 Growth Index Fd (IWF) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | #45 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 dc | Fidelity Four in One Index Fund (FFNOX) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 9 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | TIAA/CREF: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | TIAA Traditional | | | | | | x | | | | | | | | | | | | x | | | | | | x | | | | | | | | |
| 3 | CREF Stock Fund | | | | | | x | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 4 | CREF Global Equities Fund | | | | x | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 5 | TIAA Real Estate | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 (s) | TIAA/CREF: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | TIAA Traditional | | x | | | | | | | | | | | | | | | | x | | x | | | | | | | | | | | | |
| 8 | CREF Stock Fund | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 9 | MIT Defined Benefit - value based upon contri + rate of return. Income based upon est. value and rate of return | | | | | x | | | | | | | | | | | x | | x | x | | | | | x | | | | | | | benefit - # years and highest earned | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Account: MIT 401(k) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 | Vanguard Windsor II | | | | | x | | | | | | | | x | | | | | | | | | | x | | | | | | | | | |
| 2 | Spartan 500 Index Institutional Fund | | | | | x | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 3 | Fidelity Four In One Index Fund | | | | x | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 4 | Vanguard Total International Stock Index Fund IP | | | x | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 5 | Vanguard Target 2025 | | | | | x | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 | Fidelity Balanced K | | | | | x | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

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MS IRA: CS80, ING proceeds

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Apple Inc | x | | | | | | | | | | | | | | | | | | x | | | | x | | | | | | | | | |
| 2 | Procter & Gamble | | | x | | | | | | | | | | | | | x | | | | | | x | | | | | | | | | | |
| 3 | Vanguard Bd Index Fd Inc Total Bd Mkt ETF (BND) | | | | x | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 4 | iShares Inc MSCI Brazil Index Fund Brazil Free Index Fund (EWZ) | | x | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 5 | iShares Silver Trust Index Fund (SLV) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 | Guggenheim Fontier Markets ETF (FRN) | | | x | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 7 | iShares TR S&P 500/Value Index Fd (IVE) | | | x | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 8 | Schwab Emerging Market Equity ETF (SCHE) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 9 | Schwab International Equity ETF (SCHF) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Vanguard International Equity Index FTSE All World Ex US ETV (VEU) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 2 | Fidelity New Markets Inc | | | | x | | | | | | | | | x | | | | | | | | | | x | | | | | | | | | |
| 3 | Fidelity Government Money Market (SPAXX) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 4 | iShares TR MSCI ACWI EX US Index Fd (ACWX) | | x | | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 5 | iShares TR IBOXX $ High Yield Corp Bd Fd (HYG) | | | x | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 6 | Vanguard Specialized Portfolios Div Appreciation Index Fd Viper | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 7 | iShares TR Dow Jones Select Div Index Fd (DVY) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 8 | iShares TR Dow Jones US Real Estate Index Fd (IYR) | x | | | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 9 | iShares TR Russell 1000 Value Index Fd (IWD) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | iShares Inc Emerging Markets Div Index Fd (DVYE) | x | | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 2 | Bank of America, Cash Account | | | x | | | | | | | | | | | | | | | x | | x | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

| | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | # 97: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | MA College Portfolio | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 3 | MA Portfolio 2012 | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 4 | # 00: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | MA College Portfolio | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

**Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate**

Reporting Individual's Name: Suresh, Subra

# SCHEDULE B

Page Number: 17

## Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| Example | Central Airlines Common | x | | | 2/1/99 | | | x | | | | | | | | | |
| | Lord Corporation Stock | | x | | 4/17/12 | | | | x | | | | | | | | |
| 2 | 48: Fidelity Balanced | | x | | 6/18/12 | x | | | | | | | | | | | |
| 3 | MIT 401k: Vanguard Tot Intl Stk S exchanged for Vang Tot Intl Stk P | | | x | 8/23/12 | | x | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | |

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## Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☐

| | Source *(Name and Address)* | Brief Description | Value |
|---|---|---|---|
| Examples | Nat'l Assn. of Rock Collectors, NY, NY | Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) | $500 |
| | Frank Jones, San Francisco, CA | Leather briefcase (personal friend) | $385 |
| 1 | Carnegie Mellon University | Hotel and Transportation incident to interviews related to employment discussions; 3 days; self and spouse; January 2013 | $1,967.50 |
| 2 | Carnegie Mellon University | Hotel, Transportation, and Meals provided by prospective employer incident to CMU announcement of my selection as next President/introduction of self and family to CMU Community; February 2013 | $7,479.49 |
| 3 | | | |
| 4 | | | |
| 5 | | | |

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U.S. Office of Government Ethics

**Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate**

Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued

(Use only if needed)

Page Number: 18

## Part I: Transactions

| | Identification of Assets | Transaction Type (x): Purchase | Sale | Exchange | Date (Mo., Day, Yr.) | Amount of Transaction (x): $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | 97: | | | | | | | | | | | | | | | | |
| 2 | MA College Portfolio | | x | | 1/5/12 | x | | | | | | | | | | | |
| 3 | MA Portfolio 2012 | | x | | 1/5/12 | x | | | | | | | | | | | |
| 4 | MA College Portfolio | | x | | 8/21/12 | x | | | | | | | | | | | |
| 5 | MA Portfolio 2012 | | x | | 8/21/12 | x | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | | |
| 8 | 83: | | | | | | | | | | | | | | | | |
| 9 | Fidelity Export & Multinational Fund | | x | | 2/7/12 | x | | | | | | | | | | | |
| 10 | Fidelity Four in One Index | x | | | 3/15/12 | x | | | | | | | | | | | |
| 11 | Fidelity Four in One Index | x | | | 4/5/12 | x | | | | | | | | | | | |
| 12 | iShares TR Russell 1000 Growth Index Fd | x | | | 4/10/12 | x | | | | | | | | | | | |
| 13 | iShares TR Russell 1000 Growth Index Fd | x | | | 6/27/12 | x | | | | | | | | | | | |
| 14 | Fidelity Four in One Index | x | | | 6/29/12 | x | | | | | | | | | | | |
| 15 | iShares TR Russell 1000 Growth Index Fd | x | | | 7/3/12 | x | | | | | | | | | | | |
| 16 | | | | | | | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
(Use only if needed)



## Part I: Transactions

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| | 68: | | | | | | | | | | | | | | | | |
| 2 | Schwab Strategic Tr US Large Cap (no pur, trans from Keogh) | | x | | 1/26/12 | x | | | | | | | | | | | |
| 3 | Schwab Strategic Tr US Mid Cap (no pur, trans from Keogh) | | x | | 2/9/12 | x | | | | | | | | | | | |
| 4 | iShares Tr S&P SmallCap 600/ Value Index Fund | x | | | 2/29/12 | x | | | | | | | | | | | |
| 5 | iShares Tr S&P SmallCap 600/ Value Index Fund | x | | | 2/29/12 | x | | | | | | | | | | | |
| 6 | iShares Tr S&P SmallCap 600/ Growth Index Fund | x | | | 2/29/12 | x | | | | | | | | | | | |
| 7 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 2/29/12 | x | | | | | | | | | | | |
| 8 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 3/2/12 | x | | | | | | | | | | | |
| 9 | Schwab Strategic Tr Intl' Small Cap Eq (no pur, trans from Keogh) | | x | | 4/5/12 | x | | | | | | | | | | | |
| 10 | iShares Tr S&P SmallCap 600/ Growth Index Fund | | x | | 8/10/12 | x | | | | | | | | | | | |
| 11 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 8/10/12 | x | | | | | | | | | | | |
| 12 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 8/14/12 | x | | | | | | | | | | | |
| 13 | iShares Tr S&P SmallCap 600/ Value Index Fund | | x | | 8/24/12 | x | | | | | | | | | | | |
| 14 | iShares Tr S&P SmallCap 600/ Value Index Fund | | x | | 8/24/12 | x | | | | | | | | | | | |
| 15 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 8/24/12 | x | | | | | | | | | | | |
| 16 | iShares Tr Dow Jones US Real Estate Index Fund | x | | | 9/5/12 | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
(Use only if needed)



## Part I: Transactions

| | Identification of Assets | Transaction Type (x): Purchase | Sale | Exchange | Date (Mo., Day, Yr.) | Amount of Transaction (x): $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 9/6/12 | x | | | | | | | | | | | |
| 2 | iShares Tr Dow Jones US Real Estate Index Fund | | x | | 9/13/12 | x | | | | | | | | | | | |
| 3 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 9/19/12 | x | | | | | | | | | | | |
| 4 | iShares Inc MSCI Australia Index Fund | | x | | 12/05/12 | x | | | | | | | | | | | |
| 5 | iShares S&P Nat Amt Free Muni Bond Fund | | x | | 12/17/12 | | x | | | | | | | | | | |
| 6 | 94: Central Funds of Canada | x | | | 1/3/12 | x | | | | | | | | | | | |
| 7 | Australian Dollar FX | | x | | 1/20/12 | x | | | | | | | | | | | |
| 8 | Fortescue Metals Group LTD NPV | | x | | 1/23/12 | x | | | | | | | | | | | |
| 9 | iShares TR S&P MidCap 400/Growth Index Fund | | x | | 2/29/12 | x | | | | | | | | | | | |
| 10 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 2/29/12 | x | | | | | | | | | | | |
| 11 | Central Funds of Canada Class A NPV | | x | | 3/2/12 | x | | | | | | | | | | | |
| 12 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 3/2/12 | x | | | | | | | | | | | |
| 13 | iShares Inc MSCI Singapore Index Fund | | x | | 6/20/12 | x | | | | | | | | | | | |
| 14 | iShares Inc MSCI Singapore Index Fund | | x | | 6/20/12 | x | | | | | | | | | | | |
| 15 | iShares Inc MSCI Singapore Index Fund | | x | | 6/20/12 | x | | | | | | | | | | | |
| 16 | iShares Inc MSCI Hong Kong Index Fund | | x | | 6/20/12 | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued

(Use only if needed)



## Part I: Transactions

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| 1 | iShares Inc MSCI Hong Kong Index Fund | | x | | 6/20/12 | x | | | | | | | | | | | |
| 2 | iShares TR S&P MidCap 400/Growth Index Fund | | x | | 6/20/12 | | x | | | | | | | | | | |
| 3 | iShares TR Russell 2000 Index Fund | x | | | 7/3/12 | x | | | | | | | | | | | |
| 4 | iShares Short Term S&P Nat Amt Free Muni Bond Fund | x | | | 7/10/12 | | x | | | | | | | | | | |
| 5 | Pimco ETF TR Inter Muni Bond Strategy Fund | x | | | 7/10/12 | | x | | | | | | | | | | |
| 6 | Pimco ETF TR Inter Muni Bond Strategy Fund | x | | | 7/11/12 | x | | | | | | | | | | | |
| 7 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 7/25/12 | x | | | | | | | | | | | |
| 8 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 8/8/12 | x | | | | | | | | | | | |
| 9 | Pimco ETF TR Inter Muni Bond Strategy Fund | x | | | 8/10/12 | x | | | | | | | | | | | |
| 10 | iShares TR Russell 2000 Index Fund | x | | | 8/16/12 | x | | | | | | | | | | | |
| 11 | Pimco ETF TR Inter Muni Bond Strategy Fund | x | | | 8/27/12 | x | | | | | | | | | | | |
| 12 | iShares TR Russell 2000 Index Fund | | x | | 9/12/12 | x | | | | | | | | | | | |
| 13 | iShares Short Term S&P Nat Amt Free Muni Bond Fund | x | | | 9/13/12 | x | | | | | | | | | | | |
| 14 | iShares TR High Dividend Equity Fund | x | | | 9/13/12 | x | | | | | | | | | | | |
| 15 | iShares TR Russell 2000 Index Fund | | x | | 9/19/12 | x | | | | | | | | | | | |
| 16 | iShares TR High Dividend Equity Fund | x | | | 9/19/12 | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
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## Part I: Transactions

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| 1 | Shares TR High Dividend Equity Fund | x | | | 9/21/12 | x | | | | | | | | | | | |
| 2 | iShares TR Russell 2000 Index Fund | | x | | 10/9/12 | x | | | | | | | | | | | |
| 3 | iShares TR Russell 2000 Index Fund | | x | | 10/10/12 | x | | | | | | | | | | | |
| 4 | iShares S&P Nat Amt Free Muni Bond Fund | x | | | 10/10/12 | x | | | | | | | | | | | |
| 5 | iShares TR Russell 2000 Index Fund | x | | | 10/11/12 | x | | | | | | | | | | | |
| 6 | iShares TR Russell 2000 Index Fund | | x | | 10/11/12 | x | | | | | | | | | | | |
| 7 | iShares TR Russell 2000 Index Fund | x | | | 10/18/12 | x | | | | | | | | | | | |
| 8 | iShares Tr Dow Jones US Real Estate Index Fund | x | | | 10/23/12 | x | | | | | | | | | | | |
| 9 | iShares TR Russell 2000 Index Fund | x | | | 10/24/12 | x | | | | | | | | | | | |
| 10 | iShares Tr Dow Jones US Real Estate Index Fund | x | | | 10/24/12 | x | | | | | | | | | | | |
| 11 | iShares Tr Dow Jones US Real Estate Index Fund | x | | | 11/6/12 | x | | | | | | | | | | | |
| 12 | Pimco ETF TR Inter Muni Bond Strategy Fund | | x | | 12/11/12 | | x | | | | | | | | | | |
| 13 | iShares S&P Nat Amt Free Muni Bond Fund | | x | | 12/17/12 | | x | | | | | | | | | | |
| 14 | iShares TR Russell 2000 Index Fund | | x | | 12/19/12 | | x | | | | | | | | | | |
| 15 | Pimco ETF TR Inter Muni Bond Strategy Fund | | x | | 12/27/12 | x | | | | | | | | | | | |
| 16 | Pimco ETF TR Inter Muni Bond Strategy Fund | | x | | 1/3/13 | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
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## Part I: Transactions

| | Identification of Assets | Transaction Type (x): Purchase | Sale | Exchange | Date (Mo., Day, Yr.) | Amount of Transaction (x): $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Swiss Franc | | x | | 1/3/13 | x | | | | | | | | | | | |
| 2 | 97: Fidelity Fund MA College Portfolio | | x | | 1/5/12 | x | | | | | | | | | | | |
| 3 | Fidelity Fund MA Portfolio 2012 | | x | | 1/5/12 | x | | | | | | | | | | | |
| 4 | Fidelity Fund MA College Portfolio | | x | | 8/21/12 | x | | | | | | | | | | | |
| 5 | Fidelity Fund MA Portfolio 2012 | | x | | 8/21/12 | x | | | | | | | | | | | |
| 6 | SS IRA: includes Fid 53, CS 72, Brown 403(b) | | | | | | | | | | | | | | | | |
| 7 | Janus Balanced Fund | | x | | 2/7/12 | | x | | | | | | | | | | |
| 8 | Fidelity Contrafund | | x | | 2/27/12 | x | | | | | | | | | | | |
| 9 | Fidelity Export & Multinational Fund | | x | | 2/28/12 | x | | | | | | | | | | | |
| 10 | iShares TR S&P 500 Index Fund | | x | | 2/28/12 | x | | | | | | | | | | | |
| 11 | iShares Dow Jones US Real Estate Index Fund | x | | | 2/28/12 | x | | | | | | | | | | | |
| 12 | iShares Dow Jones US Real Estate Index Fund | x | | | 2/28/12 | x | | | | | | | | | | | |
| 13 | Vanguard Bd Index Fund Inc Total Bd Market ETF | x | | | 2/28/12 | | x | | | | | | | | | | |
| 14 | iShares Barclays Treas Inflation Protected Secs Fund | x | | | 2/29/12 | x | | | | | | | | | | | |
| 15 | Vanguard Sector Index Funds Vanguard Energy Vipers | | x | | 2/29/12 | x | | | | | | | | | | | |
| 16 | Vanguard Bd Index Fund Inc Total Bd Market ETF | x | | | 3/7/12 | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
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## Part I: Transactions

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| 1 | Vanguard Bd Index Fund Inc Total Bd Market ETF | x | | | 3/8/12 | x | | | | | | | | | | | |
| 2 | Vanguard Bd Index Fund Inc Total Bd Market ETF | x | | | 3/8/12 | x | | | | | | | | | | | |
| 3 | Vanguard Star Fund Vanguard Total Intl Stock Index Fund ETF | x | | | 3/19/12 | x | | | | | | | | | | | |
| 4 | Central Funds of Canada Class A NPV | x | | | 4/10/12 | x | | | | | | | | | | | |
| 5 | Central Funds of Canada Class A NPV | x | | | 4/30/12 | x | | | | | | | | | | | |
| 6 | iShares Tr Dow Jones US Real Estate Index Fund | | x | | 6/20/12 | x | | | | | | | | | | | |
| 7 | Central Funds of Canada Class A NPV | x | | | 6/27/12 | x | | | | | | | | | | | |
| 8 | Central Funds of Canada Class A NPV | x | | | 7/3/12 | x | | | | | | | | | | | |
| 9 | iShares Barclays Treas Inflation Protected Secs Fund | x | | | 7/3/12 | x | | | | | | | | | | | |
| 10 | iShares Tr ACWI EX US Index Fund | x | | | 7/10/12 | x | | | | | | | | | | | |
| 11 | iShares Tr MSCI EAFE Index Fund | x | | | 7/10/12 | x | | | | | | | | | | | |
| 12 | Matthews Asia Dividend Fund | | x | | 8/8/12 | x | | | | | | | | | | | |
| 13 | iShares Inc MSCI Australia Index Fund | | x | | 8/10/12 | x | | | | | | | | | | | |
| 14 | iShares Tr MSCI EAFE Index Fund | | x | | 8/10/12 | x | | | | | | | | | | | |
| 15 | iShares Tr ACWI EX US Index Fund | | x | | 8/10/12 | x | | | | | | | | | | | |
| 16 | Market Vectors ETF Tr Indonesia ETF | x | | | 8/10/12 | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
(Use only if needed)



## Part I: Transactions

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| 1 | Market Vectors ETF Tr Indonesia ETF | x | | | 8/10/12 | x | | | | | | | | | | | |
| 2 | Vanguard Bd Index Fund Inc Total Bd Market ETF | x | | | 8/10/12 | x | | | | | | | | | | | |
| 3 | Fidelity Four in One Index Fund | x | | | 8/14/12 | x | | | | | | | | | | | |
| 4 | Market Vectors ETF Tr Indonesia ETF | x | | | 8/16/12 | x | | | | | | | | | | | |
| 5 | Market Vectors ETF Tr Indonesia ETF | x | | | 8/16/12 | x | | | | | | | | | | | |
| 6 | Central Funds of Canada Class A NPV | | x | | 8/29/12 | x | | | | | | | | | | | |
| 7 | Fidelity Four in One Index Fund | | x | | 9/4/12 | x | | | | | | | | | | | |
| 8 | Fidelity Global Strategies Fund | x | | | 9/11/12 | x | | | | | | | | | | | |
| 9 | iShares Barclays Treas Inflation Protected Secs Fund | | x | | 9/19/12 | x | | | | | | | | | | | |
| 10 | Market Vectors ETF Tr Indonesia ETF | x | | | 9/19/12 | x | | | | | | | | | | | |
| 11 | Market Vectors ETF Tr Indonesia ETF | x | | | 10/3/12 | x | | | | | | | | | | | |
| 12 | Central Funds of Canada Class A NPV | x | | | 10/11/12 | x | | | | | | | | | | | |
| 13 | Central Funds of Canada Class A NPV | x | | | 10/11/12 | x | | | | | | | | | | | |
| 14 | Central Funds of Canada Class A NPV | x | | | 10/11/12 | x | | | | | | | | | | | |
| 15 | Central Funds of Canada Class A NPV | x | | | 10/17/12 | x | | | | | | | | | | | |
| 16 | Central Funds of Canada Class A NPV | x | | | 10/17/12 | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
(Use only if needed)



## Part I: Transactions

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| 1 | Fidelity Four in One Index Fund | x | | | 10/19/12 | x | | | | | | | | | | | |
| 2 | Central Funds of Canada Class A NPV | x | | | 10/24/12 | x | | | | | | | | | | | |
| 3 | Central Funds of Canada Class A NPV | x | | | 11/28/12 | x | | | | | | | | | | | |
| 4 | Central Funds of Canada Class A NPV | x | | | 11/28/12 | x | | | | | | | | | | | |
| 5 | Central Funds of Canada Class A NPV | x | | | 12/11/12 | x | | | | | | | | | | | |
| 6 | Market Vectors ETF Tr Indonesia ETF | x | | | 12/11/12 | x | | | | | | | | | | | |
| 7 | Central Funds of Canada Class A NPV | x | | | 12/19/12 | x | | | | | | | | | | | |
| 8 | Central Funds of Canada Class A NPV | x | | | 12/26/12 | x | | | | | | | | | | | |
| 9 | New MS IRA: Fid 970, CS 80, and ING annuity rollovers | | | | | | | | | | | | | | | | |
| 10 | Procter & Gamble Company | x | | | 1/30/12 | x | | | | | | | | | | | |
| 11 | iShares Tr Dow Jones Select Dividend Index Fund | x | | | 2/2/12 | x | | | | | | | | | | | |
| 12 | Procter & Gamble Company | x | | | 2/2/12 | x | | | | | | | | | | | |
| 13 | Vanguard Speicalized Portfolios Dividend Appreciation Index Fd Viper | x | | | 2/2/12 | x | | | | | | | | | | | |
| 14 | Vanguard Index Fds Vanguard Total Stock Market ETF | | x | | 2/9/12 | x | | | | | | | | | | | |
| 15 | iShares Tr Dow Jones US Real Estate Index Fund | x | | | 2/28/12 | x | | | | | | | | | | | |
| 16 | iShares Tr Dow Jones US Real Estate Index Fund | x | | | 2/28/12 | x | | | | | | | | | | | |

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**Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate**

Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
(Use only if needed)



## Part I: Transactions

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| 1 | iShares Tr iBoxx $ High Yield Corp Bd Fd | x | | | 2/28/12 | | x | | | | | | | | | | |
| 2 | Vanguard Bd Index Fund Inc Total Bd Market ETF | x | | | 2/28/12 | | x | | | | | | | | | | |
| 3 | Fidelity New Markets Income + | x | | | 2/29/12 | x | | | | | | | | | | | |
| 4 | iShares Tr Dow Jones Select Dividend Index Fund | x | | | 3/1/12 | x | | | | | | | | | | | |
| 5 | Vanguard Speicalized Portfolios Dividend Appreciation Index Fd Viper | x | | | 3/1/12 | x | | | | | | | | | | | |
| 6 | Vanguard Bd Index Fund Inc Total Bd Market ETF | x | | | 3/2/12 | x | | | | | | | | | | | |
| 7 | Vanguard Bd Index Fund Inc Total Bd Market ETF | x | | | 3/2/12 | | x | | | | | | | | | | |
| 8 | Apple Inc. | x | | | 3/23/12 | x | | | | | | | | | | | |
| 9 | iShares Silver Tr iShares | x | | | 4/5/12 | x | | | | | | | | | | | |
| 10 | iShares Inc MSCI Brazil Index Fd | x | | | 4/5/12 | x | | | | | | | | | | | |
| 11 | iShares Tr Dow Jones US Real Estate Index Fund | x | | | 4/5/12 | x | | | | | | | | | | | |
| 12 | Apple Inc. | | x | | 6/20/12 | | x | | | | | | | | | | |
| 13 | Procter & Gamble Company | x | | | 6/27/12 | x | | | | | | | | | | | |
| 14 | Procter & Gamble Company | x | | | 6/27/12 | x | | | | | | | | | | | |
| 15 | Guggenheim Frontier Markets ETF | x | | | 7/10/12 | x | | | | | | | | | | | |
| 16 | Guggenheim Frontier Markets ETF | x | | | 7/10/12 | x | | | | | | | | | | | |

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**Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate**

Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
(Use only if needed)

Page Number: 28

## Part I: Transactions

| | Identification of Assets | Transaction Type (x): Purchase | Sale | Exchange | Date (Mo., Day, Yr.) | Amount of Transaction (x): $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | iShares Tr ACWI EX US Index Fund | x | | | 7/10/12 | x | | | | | | | | | | | |
| 2 | iShares Tr ACWI EX US Index Fund | x | | | 7/10/12 | x | | | | | | | | | | | |
| 3 | Guggenheim Frontier Markets ETF | x | | | 7/11/12 | x | | | | | | | | | | | |
| 4 | iShares Tr ACWI EX US Index Fund | x | | | 7/11/12 | x | | | | | | | | | | | |
| 5 | Fidelity New Markets Income + | x | | | 7/23/12 | x | | | | | | | | | | | |
| 6 | Fidelity New Markets Income + | x | | | 8/8/12 | x | | | | | | | | | | | |
| 7 | iShares Tr Dow Jones US Real Estate Index Fund | | x | | 8/8/12 | | x | | | | | | | | | | |
| 8 | Vanguard Speicalized Portfolios Dividend Appreciation Index Fd Viper | | x | | 8/8/12 | x | | | | | | | | | | | |
| 9 | Guggenheim Frontier Markets ETF | x | | | 8/9/12 | x | | | | | | | | | | | |
| 10 | Fidelity New Markets Income + | x | | | 8/10/12 | x | | | | | | | | | | | |
| 11 | iShares Tr Dow Jones US Real Estate Index Fund | | x | | 8/10/12 | x | | | | | | | | | | | |
| 12 | Vanguard Speicalized Portfolios Dividend Appreciation Index Fd Viper | | x | | 8/10/12 | x | | | | | | | | | | | |
| 13 | Fidelity New Markets Income + | x | | | 8/13/12 | x | | | | | | | | | | | |
| 14 | iShares T Russell 1000 Value Index Fd | x | | | 8/16/12 | x | | | | | | | | | | | |
| 15 | iShares T Russell 1000 Value Index Fd | x | | | 8/16/12 | x | | | | | | | | | | | |
| 16 | Guggenheim Frontier Markets ETF | x | | | 8/24/12 | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued
(Use only if needed)



## Part I: Transactions

| | Identification of Assets | Transaction Type (x): Purchase | Sale | Exchange | Date (Mo., Day, Yr.) | Amount of Transaction (x): $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | iShares Tr iBoxx $ High Yield Corp Bd Fd | x | | | 8/24/12 | x | | | | | | | | | | | |
| 2 | Vanguard Bd Index Fund Inc Total Bd Market ETF | x | | | 8/24/12 | x | | | | | | | | | | | |
| 3 | iShares Tr Dow Jones Select Dividend Index Fund | | x | | 8/27/12 | x | | | | | | | | | | | |
| 4 | iShares Tr Dow Jones Select Dividend Index Fund | | x | | 8/29/12 | x | | | | | | | | | | | |
| 5 | iShares Tr iBoxx $ High Yield Corp Bd Fd | | x | | 8/29/12 | | x | | | | | | | | | | |
| 6 | Fidelity New Markets Income + | x | | | 9/4/12 | x | | | | | | | | | | | |
| 7 | Vanguard Intl Equity Index Fd Inc FTSE All-World Ex-US Index Fd ETF | x | | | 9/6/12 | x | | | | | | | | | | | |
| 8 | Vanguard Intl Equity Index Fd Inc FTSE All-World Ex-US Index Fd ETF | x | | | 9/6/12 | x | | | | | | | | | | | |
| 9 | Matthews Asian Growth & Income Fund | | x | | 9/11/12 | x | | | | | | | | | | | |
| 10 | iShares Inc Emerging Markets Dividend Index Fd | x | | | 9/13/12 | x | | | | | | | | | | | |
| 11 | iShares Tr S&P 500/Value Index Fd | x | | | 9/13/12 | x | | | | | | | | | | | |
| 12 | iShares Tr S&P 500/Value Index Fd | x | | | 9/13/12 | x | | | | | | | | | | | |
| 13 | iShares Tr Russell 1000 Value Index Fd | | x | | 9/13/12 | x | | | | | | | | | | | |
| 14 | Fidelity New Markets Income + | x | | | 9/17/12 | x | | | | | | | | | | | |
| 15 | iShares Inc Emerging Markets Dividend Index Fd | x | | | 9/19/12 | x | | | | | | | | | | | |
| 16 | iShares Inc Emerging Markets Dividend Index Fd | x | | | 9/19/12 | x | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued

(Use only if needed)



## Part I: Transactions

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| 1 | iShares Tr ACWI EX US Index Fund | | x | | 9/19/12 | x | | | | | | | | | | | |
| 2 | iShares Tr iBoxx $ High Yield Corp Bd Fd | x | | | 9/19/12 | x | | | | | | | | | | | |
| 3 | iShares Tr S&P 500/Value Index Fd | x | | | 9/20/12 | x | | | | | | | | | | | |
| 4 | iShares Tr ACWI EX US Index Fund | x | | | 9/21/12 | x | | | | | | | | | | | |
| 5 | iShares Tr iBoxx $ High Yield Corp Bd Fd | x | | | 9/21/12 | x | | | | | | | | | | | |
| 6 | iShares Tr iBoxx $ High Yield Corp Bd Fd | x | | | 9/26/12 | x | | | | | | | | | | | |
| 7 | Guggenheim Frontier Markets ETF | x | | | 10/9/12 | x | | | | | | | | | | | |
| 8 | Guggenheim Frontier Markets ETF | x | | | 10/9/12 | x | | | | | | | | | | | |
| 9 | iShares Tr ACWI EX US Index Fund | x | | | 10/17/12 | x | | | | | | | | | | | |
| 10 | iShares Tr ACWI EX US Index Fund | | x | | 10/18/12 | x | | | | | | | | | | | |
| 11 | iShares Inc Emerging Markets Dividend Index Fd | | x | | 12/19/12 | | x | | | | | | | | | | |
| 12 | Vanguard Intl Equity Index Fd Inc FTSE All-World Ex-US Index Fd ETF | | x | | 12/19/12 | x | | | | | | | | | | | |
| 13 | | | | | | | | | | | | | | | | | |
| 14 | | | | | | | | | | | | | | | | | |
| 15 | | | | | | | | | | | | | | | | | |
| 16 | | | | | | | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE B continued

(Use only if needed)



## Part I: Transactions

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
| 1 | 68: Schwab Strategic TR Intl Equity ETF | | X | | 1/22/13 | X | | | | | | | | | | | |
| 2 | Vanguard Sector Index Fds Vanguard Matls Vipers | | x | | 1/25/13 | x | | | | | | | | | | | |
| 3 | China Fund Inc | x | | | 12/28/12 | x | | | | | | | | | | | |
| 4 | 94: Swiss Francs | | x | | 1/3/13 | x | | | | | | | | | | | |
| 5 | Pimco ETF Trust Inter Muni Bd Strategy Fd | | x | | 1/3/13 | x | | | | | | | | | | | |
| 6 | iShares TR Dow Jones US Real Estate Index Fd | | x | | 1/22/13 | x | | | | | | | | | | | |
| 7 | 97: MA College Portfolio | | x | | 1/09/13 | x | | | | | | | | | | | |
| 8 | MA Portfolio 2012 | | x | | 1/09/13 | x | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | | | |
| 11 | | | | | | | | | | | | | | | | | |
| 12 | | | | | | | | | | | | | | | | | |
| 13 | | | | | | | | | | | | | | | | | |
| 14 | | | | | | | | | | | | | | | | | |
| 15 | | | | | | | | | | | | | | | | | |
| 16 | | | | | | | | | | | | | | | | | |

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Reporting Individual's Name: Suresh, Subra

# SCHEDULE C



## Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

| | Creditors *(Name and Address)* | Type of Liability | Date Incurred | Interest Rate | Term if applicable | $10,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Examples | First District Bank, Washington, DC | Mortgage on rental property, Delaware | 1991 | 8% | 25 yrs. | | | x | | | | | | | | |
| | John Jones, Washington, DC | Promissory note | 1999 | 10 % | on demand | | | | | x | | | | | | |
| 1 | Lord Corporation, Cary NC | Promissory note to purchase Lord Corp stock | 2010 | 0% | on demand | | | | | x | | | | | | |
| 2 | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | |

Category of Amount or Value (x)

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

## Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

| | Status and Terms of any Agreement or Arrangement | Parties | Date |
|---|---|---|---|
| Example | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | Doe Jones & Smith, Hometown, State | 7/85 |
| 1 | Have been on a leave of absence as a tenured professor. Will return to MIT. | MIT, Cambridge, MA | 10/10 |
| 2 | Have accepted a position with Carnegie Mellon University | Carnegie Mellon University | 1/13 |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |

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| Reporting Individual's Name | SCHEDULE D | Page Number |
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| Suresh, Subra | | 33 |

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization *(Name and Address)* | Type of Organization | Position Held | From *(Mo., Yr.)* | To *(Mo., Yr.)* |
|---|---|---|---|---|---|
| Examples | Nat'l Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| | Massachusetts Institute of Technology, Cambridge, MA | non-profit education | Tenured Professor | 7/93 | Present |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |

## Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.**

None ☐

| | Source *(Name and Address)* | Brief Description of Duties |
|---|---|---|
| Examples | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |