

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Fernandez, Nuria

Administrator, Federal Transit Administration, Department of Transportation

Date of Termination: 02/24/2024

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fernandez, Nuria [electronically signed on 02/18/2024 by Fernandez, Nuria in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaleta, Judith, Certifying Official [electronically signed on 03/21/2024 by Kaleta, Judith in Integrity.gov]

Other review conducted by

/s/ Carlson, Terence W, Ethics Official [electronically signed on 03/19/2024 by Carlson, Terence W in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 02/29/2024

Data Revised 02/18/2024

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(02/18/2024, Fernandez, Nuria): The filer agreed to update the reported information if it changes before or on the indicated termination date.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #2	No			
1.1	NVO Novo Nordisk	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Canadian Pacific Railway Limited Common Stock (CP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3	Ferrari N.V. Common Shares (RACE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	ADYEV ADYEN N V UNSPONSORED ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Atlassian Corporation Plc Class A Ordinary Shares (TEAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	MercadoLibre Inc. Common Stock (MELI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.8	ICON plc Ordinary Shares (ICLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	IRA #1	No			
2.1	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2	UnitedHealth Group, Inc. (UNH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.3	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.4	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.5	Intuit, Inc. (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.6	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	Morgan Stanley Emerging Markets Debt Fund, Inc (MSD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	Lam Research Corp. (LRCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	California Public Employee Retirement System	N/A	\$15,001 - \$50,000	California Public Employee Retirement System (CalPERS). I receive a monthly pension effective 3/2021.	\$21,669
4	IRA# 3	Yes			
4.1	Fidelity 500 Index Fund (FXAIX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.2	Six Circles U.S. Unconstrained Equity Fund Six Circles U.S. Unconstrained Equity Fund (CUSUX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.3	Six Circles International Unconstrained Equity Fund Six Circles International Unconstrained Equity Fund (CIUEX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.4	Fidelity International Index Fund (FSPSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.5	CGLBX - SIX CIRCLES TR GLOBAL BD FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.6	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.7	CRDOX - SIX CIRCLES TRUST CREDIT FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.8	JPMorgan BetaBuilders Japan ETF (BBJP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	IRA #4	Yes			
5.1	PGIM Ultra Short Bond ETF (PULS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	PIMCO Mortgage Opportunities and Bond Fund Institutional Class Shares (PMZIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	JPMorgan Mortgage-Backed Securities Fund Class R6 Shares (JMBUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	Cohen & Steers Preferred Securities and Income Fund, Inc Class F Shares (CPXFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	PGIM High Yield Fund Class R6 Shares (PHYQX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	PIMCO Income Fund Institutional Class Shares (PIMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	American Funds Mortgage Fund Class F3 Shares (AFFMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	California Public Employees' Retirement System	Sacramento, California	I retired from my former employment with the Santa Clara Valley Transportation and will receive monthly pension checks from CalPERS	3/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Washington Metropolitan Transit Authority	N/A	\$50,001 - \$100,000	Retirement Payments	
2	Chicago Transit Authority	N/A	\$15,001 - \$50,000	Retirement Payments	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TOD Brokerage Account	Yes			
1.1	JPMorgan US Government Money Market Fund Morgan Shares (MJGXX)	No	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	U.S. Bank #1 (Cash)	N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
3	MANAGED RETIREMENT - A				
3.1	Fidelity 500 Index Fund (FXAIX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.2	Six Circles U.S. Unconstrained Equity Fund Six Circles U.S. Unconstrained Equity Fund (CUSUX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.3	Six Circles International Unconstrained Equity Fund Six Circles International Unconstrained Equity Fund (CIUEX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.4	Fidelity International Index Fund (FSPSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.5	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.6	CGLBX - SIX CIRCLES TR GLOBAL BD (CGLBX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.7	JPMorgan BetaBuilders Japan ETF (BBJP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.8	CRDOX - SIX CIRCLES TRUST CREDIT OPPORTUNITIES FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	MANAGED RETIREMENT - B				
4.1	Novo Nordisk A/S Common Stock (NVO)	No	\$1,001 - \$15,000		None (or less than \$201)
4.2	Canadian Pacific Railway Limited Common Stock (CP)	No	\$1,001 - \$15,000		None (or less than \$201)
4.3	Ferrari N.V. Common Shares (RACE)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.4	ASML Holding N.V. New York Registry Shares (ASML)	No	\$1,001 - \$15,000		None (or less than \$201)
4.5	ADYEY - ADYEN N V UNSPONSORED	No	\$1,001 - \$15,000		None (or less than \$201)
4.6	Atlassian Corporation Plc Class A Ordinary Shares (TEAM)	No	\$1,001 - \$15,000		None (or less than \$201)
4.7	ICON plc Ordinary Shares (ICLR)	No	\$1,001 - \$15,000		None (or less than \$201)
4.8	MercadoLibre Inc. Common Stock (MELI)	No	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AbbVie, Inc. (ABBV)	Purchase	02/21/2024	\$1,001 - \$15,000
2	NSRGY - NESTLE SA	Sale	02/20/2024	\$1,001 - \$15,000
3	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	02/13/2024	\$1,001 - \$15,000
4	Bristol-Myers Squibb (BMY)	Sale	02/02/2024	\$1,001 - \$15,000
5	CRDOX - SIX CIRCLES TRUST CREDIT	Purchase	01/30/2024	\$15,001 - \$50,000
6	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	01/30/2024	\$1,001 - \$15,000
7	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Sale	01/30/2024	\$1,001 - \$15,000
8	iShares 3-7 Year Treasury Bond ETF (IEI)	Sale	01/23/2024	\$1,001 - \$15,000
9	Bristol-Myers Squibb (BMY)	Sale	01/26/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	Exxon Mobil Corp. (XOM)	Purchase	01/26/2024	\$1,001 - \$15,000
11	HCA Healthcare, Inc. (HCA)	Purchase	01/10/2024	\$1,001 - \$15,000
12	UnitedHealth Group, Inc. (UNH)	Sale	01/10/2024	\$1,001 - \$15,000
13	UBS Group AG Registered Ordinary Shares (UBS)	Purchase	12/28/2023	\$1,001 - \$15,000
14	Canadian Natural Resources Limited Common Stock (CNQ)	Purchase	12/22/2023	\$1,001 - \$15,000
15	Intuit, Inc. (INTU)	Sale	12/21/2023	\$1,001 - \$15,000
16	Deere & Co. (DE)	Purchase	12/19/2023	\$1,001 - \$15,000
17	DSV A S AMERICAN DEPOSITARY RECEIPTS	Sale	12/11/2023	\$1,001 - \$15,000
18	SIKA AG AMERICAN DEPOSITARY	Sale	12/11/2023	\$1,001 - \$15,000
19	Deere & Co. (DE)	Purchase	12/08/2023	\$1,001 - \$15,000
20	Union Pacific Corp. (UNP)	Sale	12/08/2023	\$1,001 - \$15,000
21	Bristol-Myers Squibb (BMY)	Sale	12/05/2023	\$1,001 - \$15,000
22	HCA Healthcare, Inc. (HCA)	Purchase	12/04/2023	\$1,001 - \$15,000
23	MercadoLibre Inc. Common Stock (MELI)	Purchase	12/01/2023	\$1,001 - \$15,000
24	SAFRAN S A UNSPONSORED ADR	Purchase	11/29/2023	\$1,001 - \$15,000
25	Bristol-Myers Squibb (BMY)	Sale	11/29/2023	\$1,001 - \$15,000
26	Mettler-Toledo International, Inc. (MTD)	Sale	11/21/2023	\$1,001 - \$15,000
27	LRLCY -L'Oreal	Purchase	11/16/2023	\$1,001 - \$15,000
28	Bank of America Corp. (BAC)	Sale	11/15/2023	\$1,001 - \$15,000
29	Morgan Stanley (MS)	Purchase	11/15/2023	\$1,001 - \$15,000
30	Bristol-Myers Squibb (BMY)	Sale	11/13/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
31	SXYAY- sika ag	Sale	11/09/2023	\$1,001 - \$15,000
32	Bank of America Corp. (BAC)	Sale	11/08/2023	\$1,001 - \$15,000
33	LZAGY - LONZA GROUP	Sale	11/08/2023	\$1,001 - \$15,000
34	Morgan Stanley (MS)	Purchase	11/08/2023	\$1,001 - \$15,000
35	SBGSY- Schneider Electric	Sale	11/06/2023	\$1,001 - \$15,000
36	Bank of America Corp. (BAC)	Sale	10/27/2023	\$1,001 - \$15,000
37	Mastercard, Inc. (MA)	Purchase	10/27/2023	\$1,001 - \$15,000
38	Bank of America Corp. (BAC)	Sale	10/24/2023	\$1,001 - \$15,000
39	Morgan Stanley (MS)	Purchase	10/24/2023	\$1,001 - \$15,000
40	Raytheon Technologies Corporation Common Stock (RTX)	Sale	10/03/2023	\$1,001 - \$15,000
41	S&P Global, Inc. (SPGI)	Purchase	09/28/2023	\$1,001 - \$15,000
42	Microsoft Corp. (MSFT)	Purchase	09/26/2023	\$1,001 - \$15,000
43	Raytheon Technologies Corporation Common Stock (RTX)	Sale	09/26/2023	\$1,001 - \$15,000
44	Raytheon Technologies Corporation Common Stock (RTX)	Sale	09/21/2023	\$1,001 - \$15,000
45	UnitedHealth Group, Inc. (UNH)	Purchase	09/21/2023	\$1,001 - \$15,000
46	Dollar General Corp. (DG)	Sale	09/07/2023	\$1,001 - \$15,000
47	Raytheon Technologies Corporation Common Stock (RTX)	Purchase	09/07/2023	\$1,001 - \$15,000
48	Dollar General Corp. (DG)	Sale	09/05/2023	\$1,001 - \$15,000
49	KVUE - KENVUE INC Common Stock	Purchase	09/05/2023	\$1,001 - \$15,000
50	Johnson & Johnson (JNJ)	Sale	08/30/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
51	KVUE - KENVUE INC	Purchase	08/30/2023	\$1,001 - \$15,000
52	PRNDY- Pernod Ricard SA	Sale	09/28/2023	\$1,001 - \$15,000
53	Accenture Plc (ACN)	Purchase	09/26/2023	\$1,001 - \$15,000
54	MercadoLibre Inc. Common Stock (MELI)	Purchase	09/13/2023	\$1,001 - \$15,000
55	ResMed, Inc. (RMD)	Sale	09/13/2023	\$1,001 - \$15,000
56	ATEYY - ADVANTEST CORPORATION AMERICAN DEPOSITARY	Purchase	07/19/2023	\$1,001 - \$15,000
57	AbbVie, Inc. (ABBV)	Sale	07/11/2023	\$1,001 - \$15,000
58	MORGAN STANLEY	Purchase	07/11/2023	\$1,001 - \$15,000
59	CMPGY- COMPASS GROUP PLC	Purchase	06/29/2023	\$1,001 - \$15,000
60	Dollar General Corp. (DG)	Purchase	06/29/2023	\$1,001 - \$15,000
61	Morgan Stanley (MS)	Purchase	06/29/2023	\$1,001 - \$15,000
62	Dollar General Corp. (DG)	Purchase	06/16/2023	\$1,001 - \$15,000
63	Target Corp. (TGT)	Sale	06/16/2023	\$1,001 - \$15,000
64	Stryker Corp. (SYK)	Purchase	06/13/2023	\$1,001 - \$15,000
65	Target Corp. (TGT)	Sale	06/13/2023	\$1,001 - \$15,000
66	Morgan Stanley (MS)	Purchase	06/07/2023	\$1,001 - \$15,000
67	Target Corp. (TGT)	Sale	06/07/2023	\$1,001 - \$15,000
68	Bank of America Corp. (BAC)	Sale	06/05/2023	\$1,001 - \$15,000
69	CMPGY - Compass Group PLC	Purchase	06/29/2023	\$1,001 - \$15,000
70	LNNGY - Li Ning Company	Sale	06/09/2023	\$1,001 - \$15,000
71	ICICI Bank Limited Common Stock (IBN)	Purchase	12/13/2022	\$1,001 - \$15,000
72	Accenture Plc (ACN)	Sale	05/26/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
73	LSRCY - Lasertec Corporation	Sale	05/11/2023	\$1,001 - \$15,000
74	BAESY - BAE Systems	Purchase	05/09/2023	\$1,001 - \$15,000
75	Alcon Inc. Ordinary Shares (ALC)	Sale	03/31/2023	\$1,001 - \$15,000
76	Alcon Inc. Ordinary Shares (ALC)	Sale	03/31/2023	\$1,001 - \$15,000
77	Bank of America Corp. (BAC)	Purchase	03/28/2023	\$1,001 - \$15,000
78	Illinois Tool Works, Inc. (ITW)	Sale	03/28/2023	\$1,001 - \$15,000
79	Arch Capital Group Ltd. (ACGL)	Purchase	03/22/2023	\$1,001 - \$15,000
80	Accenture Plc (ACN)	Sale	03/20/2023	\$1,001 - \$15,000
81	lululemon athletica, Inc. (LULU)	Sale	03/20/2023	\$1,001 - \$15,000
82	EXPGY - EXPERIAN PLC	Sale	03/17/2023	\$1,001 - \$15,000
83	ADYEY -ADYEN N V	Purchase	03/16/2023	\$1,001 - \$15,000
84	Intuit, Inc. (INTU)	Purchase	03/14/2023	\$1,001 - \$15,000
85	BlackRock, Inc. (BLK)	Sale	03/08/2023	\$1,001 - \$15,000
86	Stryker Corp. (SYK)	Purchase	03/02/2023	\$1,001 - \$15,000
87	S&P Global, Inc. (SPGI)	Purchase	03/02/2023	\$1,001 - \$15,000
88	Bristol-Myers Squibb (BMY)	Sale	03/02/2023	\$1,001 - \$15,000
89	DSDVY - DSV A S American Depository Receipts	Purchase	03/22/2023	\$1,001 - \$15,000
90	The PNC Financial Services Group, Inc. (PNC)	Sale	02/08/2023	\$1,001 - \$15,000
91	Illinois Tool Works, Inc. (ITW)	Sale	02/08/2023	\$1,001 - \$15,000
92	Bank of America Corp. (BAC)	Purchase	02/08/2023	\$1,001 - \$15,000
93	Illinois Tool Works, Inc. (ITW)	Sale	02/13/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
94	BlackRock, Inc. (BLK)	Sale	02/13/2023	\$1,001 - \$15,000
95	Bank of America Corp. (BAC)	Purchase	02/13/2023	\$1,001 - \$15,000
96	BlackRock, Inc. (BLK)	Sale	02/22/2023	\$1,001 - \$15,000
97	Bank of America Corp. (BAC)	Purchase	02/22/2023	\$1,001 - \$15,000
98	Stryker Corp. (SYK)	Purchase	02/24/2023	\$1,001 - \$15,000
99	BlackRock, Inc. (BLK)	Sale	02/24/2023	\$1,001 - \$15,000
100	Stryker Corp. (SYK)	Purchase	03/02/2023	\$1,001 - \$15,000
101	S&P Global, Inc. (SPGI)	Purchase	03/02/2023	\$1,001 - \$15,000
102	Bristol-Myers Squibb (BMY)	Sale	03/02/2023	\$1,001 - \$15,000
103	AbbVie, Inc. (ABBV)	Purchase	01/23/2023	\$1,001 - \$15,000
104	Johnson & Johnson (JNJ)	Sale	01/23/2023	\$1,001 - \$15,000
105	AbbVie, Inc. (ABBV)	Purchase	01/13/2023	\$1,001 - \$15,000
106	Bank of America Corp. (BAC)	Purchase	01/10/2023	\$1,001 - \$15,000
107	Illinois Tool Works, Inc. (ITW)	Sale	01/10/2023	\$1,001 - \$15,000
108	IBN ICICI Bank LTD	Purchase	01/09/2023	\$1,001 - \$15,000
109	AMADY - AMADEUS IT GROUP SA	Sale	01/04/2023	\$1,001 - \$15,000
110	ResMed, Inc. (RMD)	Sale	01/04/2023	\$1,001 - \$15,000
111	TRI - THOMSON REUTERS CORP	Purchase	01/04/2023	\$1,001 - \$15,000
112	BlackRock Event Driven Equity Fund Institutional Shares (BILPX)	Purchase	01/16/2024	\$1,001 - \$15,000
113	iShares Core S&P Mid-Cap ETF (IJH)	Sale	12/15/2023	\$1,001 - \$15,000
114	Cohen & Steers Preferred Securities and Income Fund, Inc Class F Shares (CPXFX)	Purchase	12/14/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
115	Shenkman Capital Short Duration High Income Fund Institutional Class Shares (SCFIX)	Purchase	12/08/2023	\$1,001 - \$15,000
116	WisdomTree Floating Rate Treasury Fund (USFR)	Purchase	12/08/2023	\$1,001 - \$15,000
117	iShares US Treasury Bond ETF (GOVT)	Sale	12/07/2023	\$1,001 - \$15,000
118	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	12/07/2023	\$1,001 - \$15,000
119	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Purchase	12/07/2023	\$1,001 - \$15,000
120	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	12/04/2023	\$1,001 - \$15,000
121	Vanguard Short-Term Inflation-Protected Securities Index Fund Investor Class Shares (VTIPX)	Sale	11/28/2023	\$1,001 - \$15,000
122	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Purchase	11/29/2023	\$1,001 - \$15,000
123	Vanguard Institutional Total Stock Market Index Fund Institutional Plus (VITPX)	Sale	11/28/2023	\$1,001 - \$15,000
124	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Purchase	11/29/2023	\$1,001 - \$15,000
125	JPMorgan Hedged Equity Fund Class R6 Shares (JHQRX)	Sale	11/15/2023	\$1,001 - \$15,000
126	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	10/02/2023	\$1,001 - \$15,000
127	iShares 3-7 Year Treasury Bond ETF (IEI)	Purchase	09/25/2023	\$1,001 - \$15,000
128	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	09/21/2023	\$1,001 - \$15,000
129	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	08/21/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
130	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	08/21/2023	\$1,001 - \$15,000
131	American Beacon AHL Managed Futures Strategy Fund Class R5 Shares (AHLIX)	Purchase	08/09/2023	\$1,001 - \$15,000
132	BlackRock Event Driven Equity Fund Institutional Shares (BILPX)	Sale	08/09/2023	\$1,001 - \$15,000
133	PIMCO Dynamic Bond Fund Institutional Class Shares (PFIUX)	Sale	08/09/2023	\$1,001 - \$15,000
134	PIMCO Mortgage Opportunities and Bond Fund Institutional Class Shares (PMZIX)	Purchase	08/09/2023	\$1,001 - \$15,000
135	iShares Core S&P Mid-Cap ETF (IJH)	Purchase	07/31/2023	\$1,001 - \$15,000
136	JPMorgan BetaBuilders Europe ETF (BBEU)	Sale	07/31/2023	\$1,001 - \$15,000
137	American Funds Mortgage Fund Class F3 Shares (AFFMX)	Purchase	07/05/2023	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	LoanDepot.com LLC	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2023	5.99	360 months

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
