

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

OGE RECEIVED: AUG 18, 2016

#### Filer's Information

James, Deborah L.

*Deborah L. Jones July 26, 2016*

Secretary of the Air Force, Department of the Air Force

Report Year: 2016

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

*[Signature] 12 August 2016*

Other review conducted by

*Amy S. Brand, 12 Aug 2016*

U.S. Office of Government Ethics Certification

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Deborah R Lee James Trust (revocable)	United States	Personal Trust	Trustee	6/1996	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SAIC 401K excess deferral plan - Vanguard Target Retirement 2015	Yes	\$100,001 - \$250,000		None (or less than \$201)

## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	SAIC	McLean, Virginia	Continuing participation in Employee Benefit Plan: I retain my interest in SAIC 401K excess deferral plan (no further contributions are being made by SAIC or me)	1/2002

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Engility Corporation (formerly L-3 MPRI), Chantilly VA	N/A		salary and bonus	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Engility Corp Incentive Compensation Plan: Restricted Stock Units (EGL)	N/A	None (or less than \$1,001)		\$5,001 - \$15,000
3	Engility Master Savings Plan consisting of:	No			
3.1	Blackrock High Yield Bond (BRHYX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
3.2	Fidelity Spartan 500 Index Institutional (FXSIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Voya Target SOL 2020 (NEW)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.4	Fidelity MIP II CL2 (NEW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	KPMG, Defined Benefit Plan	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	PNC Bank Checking Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	PNC Bank Checking Account (2)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	PNC Bank Savings Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	PenFed Checking Account	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	PenFed Savings Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Morgan Stanley Trust Account (08) Consisting of:	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	South Carolina State Public Service Authority Rev-A	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.2	Campbell Calif Un High Sch Dist Ser-A	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.3	San Francisco Calif City & Cnty CTFS Partn Rev-A	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.4	Los Angeles Calif Uni Sch Dist Measure-R Series-H	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.5	Three Rivers Solid Waste Auth S C Solid Waste Rev Ref Ser-A	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6	Piedmont Mun Pwr Agy S C Elec Rev Ser-A-3	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.7	Berkeley Cnty S C Sch Dist Ref	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.8	Orange Cnty VA Economic Dev Auth Lease Rev Ref-VA Projs	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.9	Palomar Pomerado Health Ca Genl	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.10	Berkeley Cnty S C Genl Oblig	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.11	Beverly Hills Calif Pub Fing Auth Lease Rev	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.12	Los Angeles Calif Uni Sch Dist Ref Ser-B	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.13	Westlands Calif Wtr Dist Rev Ctfs Partn Ser-B	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.14	California St Dept of Wtr Res Pwr Supply Rev Ser F-5	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.15	California St Dept Wtr Res Pwr Supply Rev Ser-H	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.16	California Health Facs Fing Auth Rev Ref	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.17	Carlsbad Calif Uni Sch Dist Ctfs Partn Rev-A	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.18	Chula Vista CA Elem Sch Dist C TFS Partn Rev Elem Sch Bldg PJ (due 2023-09-01)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.19	Alameda Corridor Transn AU CA RV Cnversn 10 1 12 CPN 5.30-A	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.20	Ohio St Tpk Commn Tpk Rev Ref-Ser A	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.21	Cambrian Calif Sch Dist Ref	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.22	Corona Calif Pub Fin Auth Lease Rev Series- C	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.23	Rock Hill SC UtilSys Impt Rev Ref-B	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.24	Charleston SC Edl Excellence Fing Corp Purchase Rev Ref-B (due 2029-12-01)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.25	Berkeley County South Carolina Utilities Rev Ref	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.26	South Carolina Transportation Infrastructure Bk Rev Ref-B	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.27	Lexington County South Carolina Health Services District Inc Hosp Rev Ref (due 2026- 11-01)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.28	San Diego Cnty Calif Wrt Auth Wtr Rev Ref-A	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.29	Chula Vista CA Elem Sch Dist C Tfs Partn Rev Elem Sch Bldg PJ (due 2027-09-01)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.30	Dorchester Cnty SC Wtrwks & Swr Sys Rev	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.31	Lexington Cnty SC Health Svcs Dist Inc	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.32	Columbia SC Wtrwks & Swr Sys Rev Ref (due 2028-02-01)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.33	Univ SC Higher Ed Rev	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.34	Columbia SC Wtrwks & Swr Sys Rev Ref (due 2029-02-01)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.35	Joint Mun Wtr & Swr Commn SC Wtr & Swr Ref Rev	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.36	Charleston Cnty SC GO Cap Impt Transn Sales Tax	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.37	Charleston SC Edl Excellence Fing Corp Purchase Rev Ref-B (due 2025-12-01)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.38	Charleston SC Stormwater Sys Rev	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.39	Pennsylvania St Tpk Commn Tpk Sub Rev-C2 OY 5.35 ID 10-28-10	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.40	Myrtle Beach SC Ltd Oblig Rev-B	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.41	California Hlth Facs Fing Auth Rev Rady Children's Hosp	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.42	Greenwood Fifty Sch Facs Inc SC Installment Pur Rev Ref	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.43	Charleston SC Wtrwks & Swr Rev	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.44	Metropolitan Wash DC Arpts Auth Dulles-B OY 6.5 ID 05-27-10	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.45	Federated Muni & Stock Adv C (FMUCX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.46	Franklin VA TX FR A (FRVAX) (SOLD)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
6.47	Morgan Stanley Bank N.A. (Cash Acct)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6.48	Franklin Fed Int TRM TX FR A (FKITX) (NEW)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
6.49	Clemson Univ S C Higher Ed Rev (NEW)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7	Morgan Stanley Trust Account (07) Consisting of:	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1	American Tax-Advantage Inc F2 (TXIFX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
7.2	Blackrock Global Allocation I (MALOX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
7.3	Columbia Contrarian Core Z (SMGIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
7.4	Federated Muni and Stk Adv IS (FMUIX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
7.5	Gateway Fund Y (GTEYX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
7.6	Goldman Sachs Rising Div GW I (GSRLX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
7.7	MFS Diversified Income I (DIFIX) (SOLD)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
7.8	Principal Blue Chip P (PBLPX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
7.9	Principal Midcap P (PMCPX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
7.10	SunAmerica New FOC Div Stra A (FDSAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7.11	Morgan Stanley Bank N.A. (Cash Acct)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.12	Alliance Txmngd Bal Wlth Adv (AGIYX) (NAME CHG - AB Txmngd Wlth Adv)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
7.13	First Eagle GLB Inc Builder I (NEW)	Yes	\$250,001 - \$500,000		None (or less than \$201)
8	Morgan Stanley Trust Account (Acct 3) Consisting of:	No			
8.1	Alliance Txmngd Bal Wlth Adv (AGIYX) (NAME CHG - AB Txmngd Bal Wlth Adv)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
8.2	American Express Co (AXP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.3	Discover Fincl Svcs (DFS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.4	Federal Realty Investment Trust S B I (FRT)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.5	Google Inc CL C (GOOG) (NEW NAME - ALPHABET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.6	Google Inc-CL A (GOOGL) (NEW NAME - ALPHABET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.7	Mastercard Inc CL A (MA)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.8	Moodys Corp (MCO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.9	NVR Incorporated New (NVR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.10	Saul Ctrs Inc (BFS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.11	Wabco Hldgs Inc (WBC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.12	Wal Mart Store Inc (WMT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.13	Walt Disney Co Hldg Co (DIS) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
8.14	Wells Fargo & Co New (WFC)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.15	American Inv Co of Amer F2 (ICAFX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
8.16	American Tax-Advantaged Inc F2 (TXIFX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
8.17	Clearbridge Aggressive Gwth I (SAGYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.18	Columbia Contrarian Core Z (SMGIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.19	Davis New York Venture Y (DNVYX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
8.20	Federated Muni And Stk Adv IS (FMUIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.21	Franklin Utilities Advisor (FRUAX) (SOLD)	Yes	None (or less than \$1,001)		\$201 - \$1,000
8.22	Franklin VA Tx/Fr Inc Adv (FRVZX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8.23	Goldman Sachs Rising Div GW I (GSRLX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
8.24	Janus Balanced I (JBALX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
8.25	MFS Total Return I (MTRIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
8.26	MFS Utilities I (MMUIX) (SOLD)	Yes	None (or less than \$1,001)		\$201 - \$1,000
8.27	Oppenheimer Developing MKTS Y (ODVYX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
8.28	Principal Blue Chip P (PBLPX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
8.29	Principal Midcap P (PMCPX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
8.30	Prudential Jennison Hlth Sci Z (PHSZX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
8.31	SunAmerica New FOC DIV Stra A (FDSAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
8.32	Vanguard HI Yld TX EX Inv (VWAHX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
8.33	Morgan Stanley Bank N.A. (Cash Acct)	N/A	\$50,001 - \$100,000	Interest	
8.34	American Inc FD of America F2 (AMEFX) (NEW)	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.35	Ares Capital Corp (ARCC) (NEW)	Yes	None (or less than \$1,001)		None (or less than \$201)
8.36	Gateway Fund Y (GTEYX) (NEW)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.37	First Eagle GLB Inc Builder (FEBIX) (NEW)	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.38	T. Rowe Price Blue Chip GR (TRBCX) (NEW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.39	T. Rowe Price Mid Cap GR Adv (PAMCX) (NEW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.40	Repligen CP (RGEN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.41	Berkshire Hathaway CL-B (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
8.42	Columbia Select LG CP Growth Z (UMLGX) (NEW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Morgan Stanley Roth IRA Consisting of:	No			
9.1	Berkshire Hathaway CL-B (BRK'B) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
9.2	Discover Financial Services (DFS)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.3	Google Inc CL C (GOOG) (NAME CHG - ALPHABET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.4	Google Inc-CL A (GOOGL) (NAME CHG - ALPHABET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.5	Mastercard Inc CL A (MA)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.6	Moodys Corp (MCO)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.7	Wabco Hldgs Inc (WBC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.8	Wal Mart Stores Inc (WMT)	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.9	Walt Disney Co Hldg Co (DIS) (SOLD)	N/A	None (or less than \$1,001)		\$1,001 - \$2,500
9.10	Wells Fargo & Co New (WFC)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.11	IShares Select Dividend ETF (DVY) (SOLD)	No	None (or less than \$1,001)		None (or less than \$201)
9.12	Allianceber Global Bond Adv (ANAYX) (NAME CHG - AB Global Bond Adv)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.13	American Cap Inc Builder F2 (CAIFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.14	American Inc FD of America F2 (AMEFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.15	Blackrock Global Allocation I (MALOX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.16	Clearbridge Aggressive Gwth I (SAGYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.17	Columbia Contrarian Core Z (SMGIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.18	Columbia Select LG CP Growth Z (UMLGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.19	Davis New York Venture Y (DNVYX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
9.20	Franklin Income ADV (FRIAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.21	Franklin Mutual Global Discovery Z (MDISX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.22	Gateway Fund Y (GTEYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.23	Goldman Sachs Rising Div GW I (GSRLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.24	Loomis Sayles Bond Inst (LSBDX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
9.25	Lord Abbett Sht Duration Inc F (LDLFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.26	MFS Diversified Income I (DIFIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.27	MFS Total Return I (MTRIX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
9.28	Neuberger Berman Equity Income Institutional (NBHIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.29	Oppenheimer Developing Markets Y (ODVYX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
9.30	Principal Blue Chip P (PBLPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.31	Principal Midcap P (PMCPX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.32	Prudential Jennison Hlth Sci Z (PHSZX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
9.33	Prudential Sht Trm Corp BD Z (PIFZX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.34	SunAmerica New Focused Dividend Strategy A (FDSAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.35	Wells Fargo Advantage Asset Allocation Adm (EAIFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.36	Davis Global Y (DGFYX) (NEW)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.37	Davis Opportunity Y (DGOYX) (NEW)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
9.38	First Eagle Fund of America I (FEAIX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.39	Morgan Stanley Bank N.A. (Cash Account) (NEW)	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.40	Amgen Inc (AMGN) (NEW)	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.41	First Eagle GLB Inc Builder I (FEBIX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.42	T. Rowe Price Blue Chip GR (TRBCX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.43	T. Rowe Price Mid Cap GR Adv (PAMCX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Morgan Stanley IRA Account (Acct 5) Consisting of:	No			
10.1	Berkshire Hathaway CL-B (BRK'B) (SOLD)	N/A	None (or less than \$1,001)		\$201 - \$1,000
10.2	Allianceber Global Bond Adv (ANAYX) (NAME CHG - AB Global Bond Adv)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.3	American Cap Inc Builder F2 (CAIFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.4	American Inc FD of America F2 (AMEFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.5	Blackrock Global Allocation I (MALOX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.6	Clearbridge Aggressive Gwth I (SAGYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.7	Columbia Contrarian Core Z (SMGIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.8	Columbia Select LG CP Growth Z (UMLGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.9	Davis New York Venture Y (DNVYX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
10.10	Franklin Income Adv (FRIAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.11	Gateway Fund Y (GTEYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.12	Goldman Sachs Rising Div GW I (GSRLX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.13	Loomis Sayles Bond Inst (LSBDX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
10.14	Lord Abbett Sht Duration Inc F (LDLFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.15	MFS Diversified Income I (DIFIX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
10.16	Neuberger Berman Equity Income Institutional (NBHIX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.17	Principal Midcap P (PMCPX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.18	Prudential Jennison Hlth Sci Z (PHSZX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
10.19	Prudential Sht Trm Corp BD Z (PIFZX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.20	SunAmerica New Focused Dividend Strategy A (FDSAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
10.21	Wells Fargo Advantage Asset Allocation Adm (EAIFX) (NAME CHG - Wells Fargo Asset Alloc I (EAAIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.22	Morgan Stanley Bank N.A. (Cash Acct)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.23	Columbia Flexible Cap Inc Z (CFIZX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.24	Davis Global Y (DGFYX) (NEW)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.25	Davis Opportunity Y (DGOYX) (NEW)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
10.26	First Eagle GLB Inc Builder I (FEBIX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.27	Principal Blue Chip P (PBLPX) (NEW)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.28	T. Rowe Price Blue Chip GR (TRBCX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.29	T. Rowe Price Mid Cap GR Adv (PAMCX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	CGM Realty Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
12	LDG INC, Baltimore MD (Commercial Real Estate Company, Silver Spring MD)	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	Acorn Storage No. 1, LLC, Baltimore MD (Commercial Real Estate Company, Silver Spring MD)	N/A	\$100,001 - \$250,000	Dividends Interest Capital Gains Rent or Royalties	\$15,001 - \$50,000
14	E. Brooke Lee, Jr. Properties, Inc., Baltimore MD (Commercial Real Estate Company, Silver Spring MD)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
15	(S) Wells Fargo (Checking)	N/A	\$15,001 - \$50,000		None (or less than \$201)
16	(S) Wells Fargo (Savings)	N/A	\$15,001 - \$50,000		None (or less than \$201)
17	(S) Virginia College Tuition Fund, Prepaid 5-year Plan	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	(S) Virginia College Tuition Fund, inVEST-Piedmont Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	(S) Morgan Stanley Account (S7)	No			
19.1	(S) Berkshire Hathaway CL-B (BRK'B) (SOLD)	N/A	None (or less than \$1,001)		None (or less than \$201)
19.2	(S) American Tax-Advantaged Inc. F2 (TXIFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19.3	(S) Clearbridge Aggressive Growth I (SAGYX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
19.4	(S) Federated Muni and Stock Adv IS (FMUIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
19.5	(S) Gateway Fund Y (GTEYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.6	(S) Principal Blue Chip P (PBLPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19.7	(S) Principal Midcap P (PMCPX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
19.8	(S) SunAmerica New Focused Dividend Strategy A (FDSAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.9	(S) Columbia Select LG CP Growth Z (UMLGX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.10	(S) First Eagle GLB Inc Builder (FEBIX) (NEW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19.11	(S) Franklin HI Yield TX FR Adv (FHYVX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.12	(S) T. Rowe Price Blue Chip GR (TRBCX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.13	(S) T. Rowe Price Mid Cap GR Adv (PAMCX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.14	(S) Vanguard HI Yld TX Ex Inv (VWAHX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	(S3) IRA Consisting of (Formerly "Rollover IRA"):	No			
20.1	(S) Berkshire Hathaway CL-B (BRK'B) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
20.2	(S) WalMart Stores Inc (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.3	(S) Ishares Select Dividend ETF (DIVY) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
20.4	(S) Allianceber Global Bond Adv (ANAYX) (NAME CHG - AB Global Bond Adv)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.5	(S) American Cap Inc Builder F2 (CAIFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.6	(S) American Inc FD of America F2 (AMEFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.7	(S) Blackrock Global Allocation I (MALOX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.8	(S) Clearbridge Aggressive Growth I (SAGYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.9	(S) Columbia Contrarian Core Z (SMGIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.10	(S) Columbia Select Large Cp Growth Z (UMLGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.11	(S) Davis New York Venture Y (DNVYX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
20.12	(S) Franklin Income Adv (FRIAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.13	(S) Gateway Fund Y (GTEYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20.14	(S) Goldman Sachs Rising Div GW I (GSRLX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20.15	(S) Loomis Sayles Bond inst (LSBDX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
20.16	(S) Lord Abbett Short Duration Inc F (LDLFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20.17	(S) MFS Diversified Income I (DIFIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.18	(S) Neuberger Berman Equity Income Institutional (NBHIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.19	(S) Oppenheimer Developing Markets Y (ODVYX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
20.20	(S) Principal Midcap P (PMCPX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.21	(S) Prudential Jennison Hlth Sci Z (PHSZX) (SOLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
20.22	(S) Prudential Short Term Corp BD Z (PIFZX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20.23	(S) SunAmerica New Focused Dividend Strategy A (FDSAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.24	(S) Wells Fargo Advantage Asset Allocation Adm (EAIFX) (NAME CHG - Wells Fargo Asset Alloc I)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.25	(S) Morgan Stanley Bank N.A. (cash Account in S3)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.26	(S) Davis Global Y (DGFYX) (NEW)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20.27	(S) Davis Opportunity Y (DGOYX) (NEW)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
20.28	(S) First Eagle Fund of America I (FEAIX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.29	(S) First Eagle GLB Inc Builder I (FEBIX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.30	(S) Principal Blue Chip P (PBLPX) (NEW)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.31	(S) T. Rowe Price Blue Chip GR (TRBCX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.32	(S) T. Rowe Price Mid Cap GR Adv (PAMCX) (NEW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	Residential Rental Property, Folly Beach, SC	No	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
22	(S4) Morgan Stanley Account Consisting Of:	No			
22.1	(S4) Morgan Stanley Bank N.A. (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.2	(S4) Fidelity Large Cap Stock FD (FLCSX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
23	(S) Morgan Stanley Bank N.A. (cash account) See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Clemson Univ S C Higher Ed Rev (08)	Purchase	6/24/2015	\$15,001 - \$50,000
2	Franklin Fed Int TRM TX FR A (FKITX) (08)	Purchase	6/26/2015	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
3	Franklin VA TX FR A (FRVAX) (08)	Sale	06/26/2015	\$250,001 - \$500,000
4	AB TXMNGD Bal With Adv (07)	Sale	9/9/2015	\$100,001 - \$250,000
5	AB TXMNGD Bal With Adv (07)	Purchase	11/5/2015	\$250,001 - \$500,000
6	American Tax Advantaged Inc F2 (07)	Sale	9/9/2015	\$15,001 - \$50,000
7	Blackrock Global Allocation I (07)	Sale	6/26/2015	\$50,001 - \$100,000
8	Clearbridge Aggressive Gwth I (07)	Purchase	6/24/2015	\$15,001 - \$50,000
9	Clearbridge Aggressive Gwth I (07)	Sale	9/9/2015	\$15,001 - \$50,000
10	Columbia Contrarian Core Z (07)	Purchase	11/5/2015	\$1,001 - \$15,000
11	Davis Global Y (07)	Purchase	3/19/2015	\$15,001 - \$50,000
12	Davis Global Y (07)	Purchase	6/24/2015	\$1,001 - \$15,000
13	Davis Global Y (07)	Sale	9/9/2015	\$15,001 - \$50,000
14	Davis Opportunity Y (07)	Purchase	6/24/2015	\$15,001 - \$50,000
15	Davis Opportunity Y (07)	Sale	9/9/2015	\$15,001 - \$50,000
16	Federated Muni And Stk Adv IS (07)	Sale	6/24/2015	\$1,001 - \$15,000
17	Federated Muni And Stk Adv IS (07)	Sale	9/9/2015	\$100,001 - \$250,000
18	Federated Muni And Stk Adv IS (07)	Purchase	10/12/2015	\$100,001 - \$250,000
19	Federated Muni And Stk Adv IS (07)	Purchase	11/5/2015	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	First Eagle Fund of America I (07)	Purchase	6/24/2015	\$15,001 - \$50,000
21	First Eagle Fund of America I (07)	Sale	9/9/2015	\$15,001 - \$50,000
22	First Eagle GLB Inc Builder I (07)	Purchase	10/9/2015	\$250,001 - \$500,000
23	First Eagle Global I (07)	Purchase	6/24/2015	\$15,001 - \$50,000
24	First Eagle Global I (07)	Sale	9/9/2015	\$15,001 - \$50,000
25	Gateway Fund Y (07)	Purchase	9/9/2015	\$50,001 - \$100,000
26	Gateway Fund Y (07)	Purchase	11/5/2015	\$50,001 - \$100,000
27	Goldman Sachs Rising Div GW I (07)	Purchase	6/24/2015	\$1,001 - \$15,000
28	Goldman Sachs Rising Div GW I (07)	Purchase	11/5/2015	\$1,001 - \$15,000
29	MFS Diversified Income I (07)	Sale	9/9/2015	\$100,001 - \$250,000
30	Principal Blue Chip P (07)	Purchase	6/24/2015	\$1,001 - \$15,000
31	Principal Blue Chip P (07)	Purchase	11/5/2015	\$1,001 - \$15,000
32	Principal MIDCAP P (07)	Purchase	6/24/2015	\$15,001 - \$50,000
33	Sunamerica New FOC Div Stra A (07)	Purchase	6/24/2015	\$1,001 - \$15,000
34	Sunamerica New FOC Div Stra A (07)	Sale	9/9/2015	\$50,001 - \$100,000
35	Sunamerica New FOC Div Stra A (07)	Purchase	12/17/2015	\$15,001 - \$50,000
36	American Tax Advantaged Inc F2 (3)	Purchase	6/24/2015	\$15,001 - \$50,000
37	Berkshire-Hathaway CL-B New (3)	Sale	10/12/2015	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	Columbia Select LG CP Growth Z (3)	Purchase	12/17/2015	\$15,001 - \$50,000
39	Davis Global Y (3)	Purchase	03/19/2015	\$15,001 - \$50,000
40	Davis Global Y (3)	Sale	9/9/2015	\$15,001 - \$50,000
41	Davis New York Venture Y (3)	Sale	3/20/2015	\$1,001 - \$15,000
42	Davis Opportunity Y (3)	Purchase	04/17/2015	\$15,001 - \$50,000
43	Davis Opportunity Y (3)	Sale	9/9/2015	\$15,001 - \$50,000
44	Federated Muni and Stk Adv IS (3)	Sale	9/9/2015	\$100,001 - \$250,000
45	Federated Muni and Stk Adv IS (3)	Purchase	10/12/2015	\$100,001 - \$250,000
46	First Eagle Fund of America I (3)	Purchase	4/17/2015	\$15,001 - \$50,000
47	First Eagle Fund of America I (3)	Sale	9/9/2015	\$15,001 - \$50,000
48	First Eagle GLB Inc Builder I (3)	Purchase	10/9/2015	\$100,001 - \$250,000
49	Franklin Utilities Advisor (3)	Sale	11/5/2015	\$15,001 - \$50,000
50	Gateway Fund Y (3)	Purchase	6/24/2015	\$15,001 - \$50,000
51	MFS Utilities I (3)	Sale	11/5/2015	\$15,001 - \$50,000
52	Oppenheimer Developing Mkts Y (3)	Purchase	6/24/2015	\$1,001 - \$15,000
53	Oppenheimer Developing Mkts Y (3)	Sale	8/13/2015	\$15,001 - \$50,000
54	Principal MIDCAP P (3)	Purchase	2/12/2015	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
55	Principal MIDCAP P (3)	Purchase	6/24/2015	\$15,001 - \$50,000
56	Prudential Jennison Hlth Sci Z (3)	Sale	11/5/2015	\$15,001 - \$50,000
57	T. Rowe Price Blue Chip GR (3)	Purchase	12/17/2015	\$15,001 - \$50,000
58	T. Rowe Price MID CAP GR Adv (3)	Purchase	12/17/2015	\$15,001 - \$50,000
59	Vanguard Hi Yld TX EX Inv (3)	Purchase	4/24/2015	\$1,001 - \$15,000
60	Walt Disney Co Hldg Co (3)	Sale	10/12/2015	\$15,001 - \$50,000
61	AB Global Bond Adv (Roth IRA)	Purchase	10/1/2015	\$1,001 - \$15,000
62	Berkshire Hathaway CL-B New (Roth IRA)	Sale	10/12/2015	\$1,001 - \$15,000
63	Columbia Contrarian Core Z (Roth IRA)	Purchase	10/1/2015	\$1,001 - \$15,000
64	Davis Global Y (Roth IRA)	Purchase	3/19/2015	\$1,001 - \$15,000
65	Davis Global Y (Roth IRA)	Purchase	3/20/2015	\$1,001 - \$15,000
66	Davis New York Venture Y (Roth IRA)	Sale	3/20/2015	\$1,001 - \$15,000
67	Davis Opportunity Y (Roth IRA)	Purchase	3/20/2015	\$1,001 - \$15,000
68	First Eagle Fund of America I (Roth IRA)	Purchase	4/17/2015	\$1,001 - \$15,000
69	First Eagle GLB Inc Builder I (Roth IRA)	Purchase	10/9/2015	\$1,001 - \$15,000
70	IShares Select Dividend ETF (Roth IRA)	Sale	3/11/2015	\$1,001 - \$15,000
71	Loomis Sayles Bond Inst (Roth IRA)	Sale	10/1/2015	\$1,001 - \$15,000
72	MFS Diversified Income I (Roth IRA)	Purchase	3/20/2015	\$1,001 - \$15,000
73	AB Global Bond Adv (5)	Purchase	10/1/2015	\$1,001 - \$15,000
74	Berkshire Hathaway CL-B New (5)	Sale	10/12/2015	\$1,001 - \$15,000
75	Columbia Contrarian Core Z (5)	Purchase	10/1/2015	\$50,001 - \$100,000
76	Columbia Flexible Cap Inc Z (5)	Purchase	10/16/2015	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
77	Davis Global Y (5)	Purchase	3/19/2015	\$1,001 - \$15,000
78	Davis Global Y (5)	Purchase	3/20/2015	\$1,001 - \$15,000
79	Davis New York Venture Y (5)	Sale	3/20/2015	\$15,001 - \$50,000
80	Davis Opportunity Y (5)	Purchase	3/20/2015	\$1,001 - \$15,000
81	First Eagle GLB Inc Builder I (5)	Purchase	10/9/2015	\$1,001 - \$15,000
82	Loomis Sayles Bond Inst (5)	Sale	10/1/2015	\$15,001 - \$50,000
83	Principal Blue Chip P (5)	Purchase	1/28/2015	\$1,001 - \$15,000
84	Prudential Jennison Hlth Sci Z (5)	Sale	11/5/2015	\$1,001 - \$15,000
85	T. Rowe Price Blue Chip GR (5)	Purchase	12/17/2015	\$1,001 - \$15,000
86	T. Rowe Price Mid Cap GR Adv (5)	Purchase	12/17/2015	\$1,001 - \$15,000
87	American Tax Advantaged Inc F2 (S7)	Purchase	4/6/2015	\$1,001 - \$15,000
88	American Tax Advantaged Inc F2 (S7)	Purchase	4/22/2015	\$15,001 - \$50,000
89	Berkshire Hathaway CL-B New (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
90	Berkshire Hathaway CL-B New (S7)	Sale	10/12/2015	\$1,001 - \$15,000
91	Clearbridge Aggressive Growth I (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
92	Clearbridge Aggressive Growth I (S7)	Purchase	6/24/2015	\$1,001 - \$15,000
93	Clearbridge Aggressive Growth I (S7)	Sale	9/9/2015	\$1,001 - \$15,000
94	Columbia Select LG CP Growth Z (S7)	Purchase	12/17/2015	\$1,001 - \$15,000
95	Davis Global Y (S7)	Purchase	3/19/2015	\$1,001 - \$15,000
96	Davis Global Y (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
97	Davis Global Y (S7)	Sale	9/9/2015	\$1,001 - \$15,000
98	Davis Opportunity Y (S7)	Purchase	4/17/2015	\$1,001 - \$15,000
99	Davis Opportunity Y (S7)	Purchase	4/22/2015	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
100	Davis Opportunity Y (S7)	Sale	9/9/2015	\$1,001 - \$15,000
101	Federated Muni And Stk Adv IS (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
102	Federated Muni and Stk Adv IS (S7)	Sale	9/9/2015	\$1,001 - \$15,000
103	Federated Muni and Stk Adv IS (S7)	Purchase	10/12/2015	\$1,001 - \$15,000
104	First Eagle Fund of America I (S7)	Purchase	4/6/2015	\$1,001 - \$15,000
105	First Eagle Fund of America I (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
106	First Eagle Fund of America I (S7)	Sale	9/9/2015	\$1,001 - \$15,000
107	First Eagle GLB Inc Builder I (S7)	Purchase	10/9/2015	\$15,001 - \$50,000
108	Franklin HI Yield TX FR Adv (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
109	Franklin HI Yield TX FR Adv (S7)	Sale	6/24/2015	\$1,001 - \$15,000
110	Gateway Fund Y (S7)	Purchase	4/6/2015	\$1,001 - \$15,000
111	Gateway Fund Y (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
112	Goldman Sachs Rising Div GW I (S7)	Purchase	6/24/2015	\$1,001 - \$15,000
113	Goldman Sachs Rising Div GW I (S7)	Sale	9/9/2015	\$1,001 - \$15,000
114	Principal Blue Chip P (S7)	Purchase	4/6/2015	\$1,001 - \$15,000
115	Principal Blue Chip P (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
116	Principal Midcap P (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
117	Principal Midcap P (S7)	Purchase	6/24/2015	\$1,001 - \$15,000
118	Principal Midcap P (S7)	Sale	12/3/2015	\$1,001 - \$15,000
119	Spdr Gold TR Gold SHS (S7)	Purchase	10/14/2015	\$1,001 - \$15,000
120	Spdr Gold TR Gold SHS (S7)	Sale	12/2/2015	\$1,001 - \$15,000
121	Sunamerica New FOC Div Stra A (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
122	Sunamerica New FOC Div Stra A (S7)	Sale	9/9/2015	\$1,001 - \$15,000
123	Sunamerica New FOC Div Stra A (S7)	Purchase	12/17/2015	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
124	T. Rowe Price Blue Chip GR (S7)	Purchase	12/17/2015	\$1,001 - \$15,000
125	T. Rowe Price Mid Cap GR Adv (S7)	Purchase	12/17/2015	\$1,001 - \$15,000
126	Vanguard HI Yld TX EX Inv (S7)	Purchase	4/22/2015	\$1,001 - \$15,000
127	Vanguard HI Yld TX EX Inv (S7)	Sale	6/24/2015	\$1,001 - \$15,000
128	AB Global Bond Adv (S3)	Purchase	10/1/2015	\$1,001 - \$15,000
129	Berkshire Hathaway CL-B New (S3)	Sale	10/12/2015	\$1,001 - \$15,000
130	Columbia Contrarian Core Z (S3)	Purchase	10/1/2015	\$1,001 - \$15,000
131	Davis Global Y (S3)	Purchase	3/19/2015	\$1,001 - \$15,000
132	Davis Global Y (S3)	Purchase	3/20/2015	\$1,001 - \$15,000
133	Davis New York Venture Y (S3)	Sale	3/20/2015	\$15,001 - \$50,000
134	Davis Opportunity Y (S3)	Purchase	3/20/2015	\$1,001 - \$15,000
135	First Eagle Fund of America I (S3)	Purchase	4/17/2015	\$1,001 - \$15,000
136	First Eagle GLB Inc Builder I (S3)	Purchase	10/9/2015	\$1,001 - \$15,000
137	IShares Select Dividend ETF (S3)	Sale	3/11/2015	\$1,001 - \$15,000
138	Loomis Sayles Bond Inst (S3)	Sale	10/1/2015	\$15,001 - \$50,000
139	Oppenheimer Developing Mkts Y (S3)	Sale	8/13/2015	\$1,001 - \$15,000
140	Principal Blue Chip P (S3)	Purchase	1/28/2015	\$1,001 - \$15,000
141	Principal Mid Cap P (S3)	Purchase	2/12/2015	\$1,001 - \$15,000
142	Prudential Jennison Hlth Sci Z (S3)	Sale	11/5/2015	\$1,001 - \$15,000
143	Sunamerica New FOC Div Stra A (S3)	Purchase	12/18/2015	\$1,001 - \$15,000
144	T. Rowe Price Blue Chip GR (S3)	Purchase	12/17/2015	\$1,001 - \$15,000
145	T. Rowe Price Mid Cap GR Adv (S3)	Purchase	12/17/2015	\$1,001 - \$15,000
146	Voya Trgt SOL 2020 9 (S401K)	Purchase	12/31/2015	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
147	FID Freedom K 2020 (S401K)	Sale	12/31/2015	\$50,001 - \$100,000
148	SPTN 500 Index Inst (S401K)	Purchase	12/07/2015	\$1,001 - \$15,000
149	FID Freedom K 2020 (S401K)	Purchase	12/07/2015	\$15,001 - \$50,000
150	JPM Mid Cap Value IS (S401K)	Sale	12/07/2015	\$1,001 - \$15,000
151	Dodge & Cox Income	Sale	12/07/2015	\$15,001 - \$50,000
152	SPTN 500 Index Inst (S401K)	Purchase	12/03/2015	\$1,001 - \$15,000
153	FID Freedom K 2020 (S401K)	Purchase	12/03/2015	\$15,001 - \$50,000
154	SPTN Mid Cap IDX Ins (S401K)	Sale	12/03/2015	\$1,001 - \$15,000
155	Vang Infl Prot Sec (S401K)	Sale	12/03/2015	\$1,001 - \$15,000
156	SPTN Sm Cap IDX Adv	Sale	12/03/2015	\$1,001 - \$15,000
157	FID GNMA (S401K)	Sale	12/03/2015	\$1,001 - \$15,000
158	Blkrk High Yld Bd K (S401K)	Sale	12/03/2015	\$1,001 - \$15,000
159	Vang Infl Prot Sec (S401K)	Purchase	8/11/2015	\$1,001 - \$15,000
160	SPTN Sm Cap IDX Adv	Purchase	8/11/2015	\$1,001 - \$15,000
161	SPTN Mid Cap IDX Ins (S401K)	Purchase	8/11/2015	\$1,001 - \$15,000
162	SPTN 500 Index Inst (S401K)	Purchase	8/11/2015	\$1,001 - \$15,000
163	Fidelity MIP II CL 2 (S401K)	Purchase	8/11/2015	\$15,001 - \$50,000
164	JPM Mid Cap Value IS (S401K)	Purchase	8/11/2015	\$1,001 - \$15,000
165	FID GNMA (S401K)	Purchase	8/11/2015	\$1,001 - \$15,000
166	Dodge & Cox Income (S401K)	Purchase	8/11/2015	\$15,001 - \$50,000
167	SPTN 500 Index Inst (S401K)	Sale	8/11/2015	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
168	Blkrk High Yld BD K (S401K)	Sale	8/11/2015	\$15,001 - \$50,000
169	Walt Disney Co (Roth IRA)	Sale	10/12/2015	\$1,001 - \$15,000
170	Blackrock Global Allocation I (MALOX) (5)	Purchase	12/15/15	\$1,001 - \$15,000
171	Davis Opportunity Y (5)	Purchase	12/10/15	\$1,001 - \$15,000
172	Principal Mid Cap P (PMCPX) (5)	Purchase	12/17/15	\$1,001 - \$15,000
173	Sunamerica New FOC Div Stra A (FDSAX) (5)	Purchase	12/15/15	\$1,001 - \$15,000
174	Principal Mid Cap P (PMCPX) (3)	Purchase	12/17/15	\$1,001 - \$15,000
175	Sunamerica New FOC Div Stra A (FDSAX) (3)	Purchase	12/15/15	\$1,001 - \$15,000
176	American Tax Advantaged Inc F2 (TXIFX) (3)	Purchase	6/25/15	\$1,001 - \$15,000
177	Principal Blue Chip P (PBLPX) (3)	Purchase	12/18/15	\$1,001 - \$15,000
178	American Tax Advantaged Inc F2 (TXIFX) (3)	Purchase	12/29/15	\$1,001 - \$15,000
179	Columbia Contrarian Core Z (3)	Purchase	12/14/15	\$1,001 - \$15,000
180	American Tax Advantaged Inc F2 (TXIFX) (3)	Purchase	12/29/15	\$1,001 - \$15,000
181	Columbia Contrarian Core Z (SMGIX) (3)	Purchase	12/14/15	\$1,001 - \$15,000
182	American Tax Advantaged Inc F2 (TXIFX) (3)	Purchase	9/24/15	\$1,001 - \$15,000
183	American Tax Advantaged Inc F2 (TXIFX) (7)	Purchase	6/25/15	\$1,001 - \$15,000
184	American Tax Advantaged Inc F2 (TXIFX) (7)	Purchase	9/24/15	\$1,001 - \$15,000
185	American Tax Advantged Inc F2 (TXIFX) (7)	Purchase	3/25/15	\$1,001 - \$15,000
186	Davis Opportunity Y (S3)	Purchase	12/10/15	\$1,001 - \$15,000
187	Blackrock Global Allocation I (S3)	Purchase	12/15/15	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage on Personal Residence	\$250,001 - \$500,000	2011	3.25%	15 years

## 9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	Renaissance Weekend ( <a href="http://www.renaissanceweekend.org">http://www.renaissanceweekend.org</a> )	Charleston, South Carolina	Renaissance Weekend waived Registration Fees, four nights' lodging and Common Meals at one weekend for my spouse and me. I paid for airfare and transportation. Registration: \$2,000 / Meals and Meeting Service Charge: \$2,200 / Lodging: \$1,420	\$5,620.00

## Endnotes

PART	#	ENDNOTE
6.	23	This cash account is an underlying asset of the item on line 19, (S) Morgan Stanley Account (S7).

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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