

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

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Barrett, Barbara M

Secretary of the USAF, Department of the Air Force

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Barrett, Barbara M [electronically signed on 02/11/2020 by Barrett, Barbara M in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ McGuire, Shannon A, Certifying Official [electronically signed on 09/20/2020 by McGuire, Shannon A in Integrity.gov]

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Other review conducted by

/s/ McGuire, Shannon A, Ethics Official [electronically signed on 09/20/2020 by McGuire, Shannon A in Integrity.gov]

/s/ McGuire, Shannon A, Screener [electronically signed on 09/20/2020 by McGuire, Shannon A in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 11/05/2020 by Granahan, Megan in Integrity.gov]

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Data Revised 10/02/2020

Data Revised 09/20/2020

## Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT	
1	Intel Corporation - Common Stock (INTC)	Sale	01/07/2020	No	\$5,000,001 - \$25,000,000	
2	PerkinElmer Inc (PKI)	Sale	01/08/2020	No	\$1,001 - \$15,000	
3	Intel Corp (INTC)	Sale	01/08/2020	No	Over \$50,000,000	
4	CHINA MOBILE LTD SPN AD	Sale	01/15/2020	No	\$50,001 - \$100,000	
5	WELLS FARGO & CO NEW DE	Sale	01/07/2020	No	\$100,001 - \$250,000	
6	Xcel Energy Inc (XEL)	Sale	01/10/2020	No	\$100,001 - \$250,000	
7	Union Pacific Corp (UNP)	Sale	01/07/2020	No	\$100,001 - \$250,000	
8	US Bancorp (USB)	Sale	01/07/2020	No	\$100,001 - \$250,000	
9	Thermo Fisher Scientific (TMO)	Sale	01/07/2020	No	\$50,001 - \$100,000	
10	Travelers Cos Inc (TRV)	Sale	01/07/2020	No	\$100,001 - \$250,000	
11	Texas Instruments Inc (TXN)	Sale	01/07/2020	No	\$100,001 - \$250,000	
12	TRUIST FINL CORP	See Endnote	Sale	01/07/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
13	Southern Co (SO)	Sale	01/07/2020	No	\$15,001 - \$50,000
14	Stanley Black & Decker (SWK)	Sale	01/07/2020	No	\$50,001 - \$100,000
15	Schlumberger Ltd (SLB)	Sale	01/07/2020	No	\$50,001 - \$100,000
16	State Street Corp (STT)	Sale	01/07/2020	No	\$100,001 - \$250,000
17	ROCHE HOLDINGS LTD SPN AD	Sale	01/07/2020	No	\$100,001 - \$250,000
18	Pfizer Inc (PFE)	Sale	01/07/2020	No	\$100,001 - \$250,000
19	PepsiCo Inc (PEP)	Sale	01/07/2020	No	\$50,001 - \$100,000
20	Philip Morris International (PM)	Sale	01/07/2020	No	\$100,001 - \$250,000
21	PNC FINCL SERVICES GROUP	Sale	01/07/2020	No	\$100,001 - \$250,000
22	PPG Industries Inc (PPG)	Sale	01/07/2020	No	\$100,001 - \$250,000
23	NESTLE S A REP RG SH AD	Sale	01/07/2020	No	\$100,001 - \$250,000
24	Nasdaq OMX Group/The (NDAQ)	Sale	01/07/2020	No	\$50,001 - \$100,000
25	MEDTRONIC PLC SHS	Sale	01/07/2020	No	\$100,001 - \$250,000
26	LEAR CORP SHS	Sale	01/07/2020	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
27	Kimberly-Clark (KMB)	Sale	01/07/2020	No	\$100,001 - \$250,000
28	Johnson & Johnson (JNJ)	Sale	01/07/2020	No	\$100,001 - \$250,000
29	JP Morgan Chase & Co (JPM)	Sale	01/07/2020	No	\$250,001 - \$500,000
30	Illinois Tool Works Inc (ITW)	Sale	01/07/2020	No	\$100,001 - \$250,000
31	Goldman Sachs Group Inc (GS)	Sale	01/07/2020	No	\$100,001 - \$250,000
32	Fiserv Inc (FISV)	Sale	01/07/2020	No	\$100,001 - \$250,000
33	Fidelity National Information (FIS)	Sale	01/07/2020	No	\$100,001 - \$250,000
34	FIRST ENERGY CORP	Sale	01/07/2020	No	\$100,001 - \$250,000
35	Eaton Corp plc (ETN)	Sale	01/07/2020	No	\$100,001 - \$250,000
36	EOG Resources (EOG)	Sale	01/07/2020	No	\$50,001 - \$100,000
37	Duke Energy Corp (DUK)	Sale	01/07/2020	No	\$100,001 - \$250,000
38	Danaher Corp (DHR)	Sale	01/07/2020	No	\$100,001 - \$250,000
39	Chubb Corp (CB)	Sale	01/07/2020	No	\$100,001 - \$250,000
40	CITIGROUP INC COM NEW	Sale	01/07/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
41	Comcast Corporation - Class A Special Common Stock (CMCSK)	Sale	01/07/2020	No	\$100,001 - \$250,000
42	Cigna Corporation (CI)	Sale	01/07/2020	No	\$100,001 - \$250,000
43	Aon plc (AON)	Sale	01/07/2020	No	\$100,001 - \$250,000
44	Intel Corp (INTC)	Sale	01/03/2020	No	\$1,000,001 - \$5,000,000
45	Intel Corp (INTC)	Sale	01/07/2020	No	\$1,000,001 - \$5,000,000
46	Intel Corp (INTC)	Sale	01/08/2020	No	\$1,000,001 - \$5,000,000
47	Intel Corp (INTC)	Sale	01/09/2020	No	\$1,000,001 - \$5,000,000
48	INTEL CORP	Sale	01/10/2020	No	\$1,000,001 - \$5,000,000
49	Intel Corp (INTC)	Sale	01/13/2020	No	\$1,000,001 - \$5,000,000
50	Exponent, Inc. - Common Stock (EXPO)	Sale	01/14/2020	No	\$1,000,001 - \$5,000,000
51	Exponent, Inc. - Common Stock (EXPO)	Sale	01/03/2020	No	\$500,001 - \$1,000,000
52	Exponent, Inc. - Common Stock (EXPO)	Sale	01/06/2020	No	\$1,000,001 - \$5,000,000
53	Exponent, Inc. - Common Stock (EXPO)	Sale	01/08/2020	No	\$1,000,001 - \$5,000,000
54	Exponent, Inc. - Common Stock (EXPO)	Sale	01/07/2020	No	\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
55	Exponent, Inc. - Common Stock (EXPO)	Sale	01/09/2020	No	\$1,000,001 - \$5,000,000
56	Intel Corp (INTC)	Sale	01/09/2020	No	\$1,000,001 - \$5,000,000
57	Exponent, Inc. - Common Stock (EXPO)	Sale	01/10/2020	No	\$1,000,001 - \$5,000,000
58	Exponent, Inc. - Common Stock (EXPO)	Sale	01/13/2020	No	\$1,000,001 - \$5,000,000
59	Exponent, Inc. - Common Stock (EXPO)	Sale	01/15/2020	No	\$1,000,001 - \$5,000,000
60	ISHARE IDU	Sale	01/06/2020	No	\$15,001 - \$50,000
61	FRANKLIN FUFRX	Sale	01/06/2020	No	\$250,001 - \$500,000
62	RATION RFXIX	Purchase	01/07/2020	No	\$100,001 - \$250,000
63	ISHARE PFF	Purchase	01/07/2020	No	\$50,001 - \$100,000
64	ISHARE PFF	Purchase	01/07/2020	No	\$15,001 - \$50,000
65	ISHARE LQD	Purchase	01/07/2020	No	\$50,001 - \$100,000
66	BLACKR BKMIX	Purchase	01/07/2020	No	\$50,001 - \$100,000
67	IMPELE HOLDINGS	Purchase	02/03/2020	No	\$50,001 - \$100,000
68	TALLWAVE CAPITAL	Purchase	02/03/2020	No	\$50,001 - \$100,000
69	IMPELE HOLDINGS	Purchase	01/28/2020	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
70	TALLWAVE CAPITAL	Purchase	01/28/2020	No	\$50,001 - \$100,000
71	CenturyLink Inc (CTL)	Sale	02/10/2020	No	\$1,001 - \$15,000
72	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Sale	01/06/2020	No	\$15,001 - \$50,000
73	PIMCO Short Term Municipal Bond Active Exchange-Traded Fund (SMMU)	Sale	01/06/2020	No	\$15,001 - \$50,000

## Endnotes

PART	#	ENDNOTE
Transactions	12	This asset was listed as BB&T Corp on new entrant report. On 12/9/2019, BB&T completed its acquisition of Sun Trust for form Truist Financial Corp so on that day the shares were exchanged. On 1/7/20, the shares were sold.

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of

violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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