

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Chao, Elaine L

Secretary, Department of Transportation

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Chao, Elaine L [electronically signed on 06/12/2017 by Chao, Elaine L in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaleta, Judith, Certifying Official [electronically signed on 07/12/2017 by Kaleta, Judith in Integrity.gov]

Other review conducted by

/s/ Herr, Ellen A, Ethics Official [electronically signed on 06/27/2017 by Herr, Ellen A in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 07/20/2017 by Skalla, Daniel L in Integrity.gov]

Data Revised 07/20/2017

Data Revised 06/27/2017

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(06/27/2017, Herr, Ellen A): Due to technical difficulties with transferring the Secretary's profile in Integrity from Nominee to regular Filer status, this report should not be considered to be late.

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
1	Ingersoll-Rand Plc	See Endnote	Sale	02/02/2017	Yes	\$50,001 - \$100,000
2	AMCP Security Holdings, L.P. Incentive Units (Parent of Centerra)	See Endnote	Sale	04/21/2017	Yes	\$15,001 - \$50,000

Endnotes

PART	#	ENDNOTE
Transactions	1	2016 Ingersoll-Rand Director Restricted Stock Units vested, and actual shares were concurrently issued; a portion of these shares were sold, with the remaining shares held in UBS CSSP.

PART	#	ENDNOTE
Transactions	2	<p data-bbox="875 165 2085 220">This transaction is due to sale of a security from redemption of incentive units that vested on April 21, 2017.</p> <p data-bbox="875 245 2074 300">I am supplementing my financial disclosure report by reporting an asset and agreement that was inadvertently omitted from the financial disclosure report that I signed on 12/6/2016.</p> <p data-bbox="875 325 1599 488"> Part 2 Description: AMCP Security Holdings, LP, 35 incentive units EIF: N/A Value: (value not readily ascertainable) Income Type: N/A Income Amount: None (or less than \$201) </p> <p data-bbox="875 513 2101 699"> Part 3 Employer or Party: Centerra Group, LLC City/State: Palm Beach Gardens, FL Status and Terms: I received incentive units in AMCP Security Holdings, LP (AMCP), which a retained when I resigned from the advisory board on December 31, 2015. The units will be redeemed when AMCP is sold. Date: 5/15 </p>

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8)

to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
