

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Shanahan, Patrick Michael

Deputy Secretary of Defense, Department of Defense

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Armed Services**
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Shanahan, Patrick Michael [electronically signed on 04/08/2017 by Shanahan, Patrick Michael in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Vetter, Ruth, Certifying Official [electronically signed on 06/08/2017 by Vetter, Ruth in Integrity.gov]

Other review conducted by

/s/ Vetter, Ruth, Ethics Official [electronically signed on 06/08/2017 by Vetter, Ruth in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 06/08/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Boeing Company	Chicago, Illinois	Corporation	Senior Vice President	3/1986	Present
2	The University of Washington	Seattle, Washington	University/College	Officer, Board of Regents	1/2012	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Boeing Company	N/A		Salary and Bonus	\$1,419,864
2	The Boeing Company - Awards				
2.1	Annual Incentive Award for 2017 (anticipated)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.2	Performance Awards (received)	N/A		Cash Performance Award	\$1,449,925
2.3	Cash Performance Award (anticipated)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3	The Boeing Company - Restricted Stock Units				
3.1	Career Shares - Deferred Restricted Stock Units (unvested)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.2	Restricted Stock Unit Awards (unvested)	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.3	Supplemental Restricted Stock Units Grant (unvested)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.4	Performance Based Restricted Stock Units (unvested)	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.5	Restricted Stock Units (vested)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3.6	Performance Based Restricted Stock Units (vested)	N/A	\$250,001 - \$500,000		None (or less than \$201)
4	The Boeing Company - Vested Stock Options	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5	The Boeing Company - Defined Benefit Pension Value Plan (value not readily ascertainable): eligible for \$7,131/mo. at age 55	N/A			None (or less than \$201)
6	The Boeing Company - Defined Benefit Supplemental Executive Retirement Plan (value not readily ascertainable): eligible for \$25,556/mo at age 55	N/A			None (or less than \$201)
7	The Boeing Company Voluntary Investment Plan 401(K)	No			
7.1	The Boeing Stock Fund	See Endnote	No	\$15,001 - \$50,000	Dividends \$1,001 - \$2,500
7.2	Russell 2000 Index Fund		Yes	\$50,001 - \$100,000	\$1,001 - \$2,500
7.3	US Large Companies Fund		Yes	\$250,001 - \$500,000	\$5,001 - \$15,000
7.4	International Index Fund	See Endnote	Yes	\$100,001 - \$250,000	\$2,501 - \$5,000
7.5	S&P 500 Index Fund		Yes	\$15,001 - \$50,000	\$201 - \$1,000
8	The Boeing Company Deferred Compensation Plan	N/A			
8.1	The Boeing Stock Fund	See Endnote	No	\$100,001 - \$250,000	Dividends \$5,001 - \$15,000
9	The Boeing Company Supplemental Benefit Plan	No			
9.1	Fixed Interest Credit Fund	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2	International Index Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	The Boeing Company - payout of accrued vacation (anticipated)	No	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	The Boeing Company (Pension)	Chicago, Illinois	I will continue to participate in the Defined Benefit Pension Value Plan and Defined Benefit Supplemental Executive Retirement Plan.	3/1986
2	The Boeing Company (health insurance, life insurance, charitable matching contributions, tax preparation services)	Chicago, Illinois	Pursuant to company policy, I will retain health insurance coverage, charitable matching contributions for life, term life insurance coverage for life, and tax preparation services for the 2017 tax year.	3/1986
3	The Boeing Company (accrued vacation)	Chicago, Illinois	Pursuant to company policy, I will receive a lump sum payment of accrued vacation time. Pursuant to my separation agreement, this payment will be paid upon my separation from the company.	3/1986
4	The Boeing Company (awards)	Chicago, Illinois	Pursuant to company policy, I will receive a performance-based "Annual Incentive Award" and a "Performance Award" for services rendered up to the date of my departure from the company. Pursuant to my separation agreement, these awards will be paid within 30 days of my separation from the company.	3/1986
5	The Boeing Company (Deferred Compensation and Supplemental Benefits Plans)	Chicago, Illinois	Pursuant to company policy, I will receive lump sum payments of my Deferred Compensation Plan and Supplemental Benefit Plan. Pursuant to my separation agreement, these lump sum payments will be made within 30 days of my separation from the company.	3/1986
6	The Boeing Company (stock options)	Chicago, Illinois	Pursuant to company policy, I will retain my vested stock options upon separation.	3/1986

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
7	The Boeing Company (Career Share Restricted Stock Units)	Chicago, Illinois	Pursuant to company policy, my unvested Career Share Restricted Stock Units will vest in full and be paid within 30 days of my separation from the company.	3/1986
8	The Boeing Company (Supplemental RSU Grant)	Chicago, Illinois	Pursuant to company policy, unvested stock in my Supplemental Restricted Stock Unit Grant will vest in full upon my separation from the company. Pursuant to my separation agreement, these RSUs will be paid within 30 days of my separation from the company.	3/1986
9	The Boeing Company (Performance Based RSUs)	Chicago, Illinois	Pursuant to company policy, unvested Performance Based Restricted Stock Units will vest pro rata based on service time upon my separation from the company. Pursuant to my separation agreement, these RSUs will be paid within 30 days of my separation from the company.	3/1986
10	The Boeing Company (Voluntary Investment Plan 401(k))	Chicago, Illinois	I will continue to participate in the Voluntary Investment Plan defined contribution plan. The plan sponsor will not make further contributions after my separation from the company.	3/1986
11	The Boeing Company (unvested Restricted Stock Unit awards)	Chicago, Illinois	Pursuant to company policy, my unvested Restricted Stock Unit awards will vest pro rata based on service time and be paid within 30 days of my separation from the company.	3/1986

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	The Boeing Company	Chicago, Illinois	Oversight of the Company's manufacturing operations and supplier management functions.

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Credit Union Checking Account	N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
2	Accenture (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	DBS Group (DBSDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Anheuser-Busch ADR (BUD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5	Aon (AON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Ashtead Group ADR (ASHTY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Assa Abloy ADR (ASAZY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Assocd Brit Foods ADR (ASBFY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	Banca Mediolanum ADR (BNCDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	BHP Billiton (BHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	British American Tobacco ADR (BTI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
12	Canadian National Railway (CNI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	Oklahoma State, Bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
14	Carlsberg ADR (CABGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	US Brokerage Money Market Account (cash) #1	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Compass Group ADR (CMPGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	Continental AG ADR (CTTAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	Daiwa House ADR (DWAHY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	Fanuc Corporation ADR (FANUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20	Fresenius SE&KGAA ADR (FSNUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	Medtronic (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	Informa ADR (IFJPY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23	Japan Tobacco ADR (JAPAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	KBC Group ADR (KBCSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	KDDI Corp (KDDIY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26	Komatsu ADR (KMTUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	Royal KPN NV ADR (KKPNY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28	Makita Corp (MKTAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	Novartis AG (NVS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30	Prudential Public Limited (PUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	Red Electra Corp ADR (RDEIY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	Relx PLC ADR (RELX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	Richemont ADR (CFRUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
34	Royal Dutch Shell (RDS/A)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
35	Ryanair Holdings ADR (RYAAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
36	Ryohin Keikaku ADR (RYKKY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
37	Sampo ADR (SAXPY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
38	SAP SE (SAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
39	Seven & I Holdings ADR (SVNDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
40	Shire ADR (SHPG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Entergy Corp (ETR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
42	Softbank Group ADR (SFTBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
43	Sony Corporation (SNE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
44	Statoil ASA (STO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
45	Sumitomo Mitsui Financial Group ADR (SMFG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
46	Suncor Energy (SU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
47	Swedbank ADR (SWDBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
48	Telenor ASA ADR (TELNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
49	Hasbro Inc (HAS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
50	Unilever PLC (UL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	Valeo ADR (VLEEY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
52	Vinci ADR (VCISY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
53	Wolseley ADR (WOSYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
54	Wolters Kluwer ADR (WTKWY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
55	Worldpay GRP ADR (WPYGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
56	Hershey Company (HSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
57	AbbVie (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
58	Allianz ADR (AZSEY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
59	Ameriprise Financial (AMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
60	Archer-Daniels-Midland Company (ADM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
61	Astrazeneca PLC (AZN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
62	BAE Systems ADR (BAESY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63	Bank of Montreal (BMO)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
64	BASF ADR (BASFY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
65	Best Buy Co (BBY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
66	BP PLC (BP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
67	CA Inc. (CA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
68	Moody's Corp (MCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
69	U.S. Brokerage Money Market Account (cash) #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
70	Caterpillar, Inc (CAT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
71	Century Link Inc (CTL)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
72	Chevron Corporation (CVX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
73	Cisco Systems (CSCO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
74	CNOOC Limited (CEO)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
75	Coca-Cola European Partners (CCE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
76	Credit Suisse Group ADR (CS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
77	Cummins Inc (CMI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
78	Darden Restaurants, Inc. (DRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
79	Dow Chemical (DOW)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
80	Emerson Electric (EMR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
81	Gazprom PJSC ADR (OGZPY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
82	GlaxoSmithKline (GSK)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
83	HSBC Holdings (HSBC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
84	Intel Corp (INTC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
85	International Business Machines (IBM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
86	International Paper Company (IP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
87	Kimberly-Clark (KMB)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
88	Kohl's (KSS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
89	LyondellBassell Industries (LYB)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
90	Macy's (M)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
91	Marathon Petroleum (MPC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
92	Merck & Company (MRK)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
93	MetLife (MET)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
94	Mitsui & Co ADR (MITSY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
95	Tyson Foods (TSN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
96	Mobile TeleSystems PJSC (MBT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
97	Mosaic Company (MOS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
98	Nucor Corporation (NUE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
99	Oil Co Lukoil PJSC ADR (LUKOY)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
100	Packaging Corporation of America (PKG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
101	Pfizer (PFE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
102	POSCO (PKX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
103	Principal Financial Group (PFG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
104	Procter & Gamble (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
105	Prudential Financial (PRU)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
106	Qualcomm Incorporated (QCOM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
107	Royal Bank of Canada (RY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
108	Societe Generale (SCGLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
109	Edison International (EIX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
110	SK Telecom LTD (SKM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
111	Swiss RE AG ADR (SSREY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
112	Target Corporation (TGT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
113	Total SA (TOT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
114	UBS Group AG (UBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
115	UPM-KYMMENE Corp ADR (UPMKY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
116	Valero Energy (VLO)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
117	Viacom (VIAB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
118	Wal-Mart Stores (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
119	Western Digital Corporation (WDC)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
120	Western Union Company (WU)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
121	Westrock Company (WRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
122	Dell Technologies Inc Class V (DVMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
123	Vanguard FTSE Emerging Markets ETF (VWO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
124	Arizona State, Bonds	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
125	California State, Bond	N/A	\$15,001 - \$50,000	Interest Capital Gains	\$2,501 - \$5,000
126	US Brokerage Money Market Account #3	N/A	\$15,001 - \$50,000		None (or less than \$201)
127	Chicago, Ill, Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
128	Colorado State, Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
129	Denver, CO, Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
130	District of Columbia, Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
131	Fairfax County, VA, Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
132	Florida State, Bond	N/A	\$15,001 - \$50,000	Interest Capital Gains	\$1,001 - \$2,500
133	Illinois State, Bonds	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
134	Indiana State, Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
135	Massachusetts State, Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
136	New York MTA, Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
137	Miami-Dade County, FL, Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
138	Michigan State, Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
139	Nebraska State, Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
140	New York City, New York, Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
141	New York State, Bond	N/A	\$50,001 - \$100,000	Interest Capital Gains	\$5,001 - \$15,000
142	Norfolk, VA, Bonds	N/A	\$15,001 - \$50,000	Interest Capital Gains	\$1,001 - \$2,500
143	Oregon State, Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
144	Virginia State, Bonds	N/A	\$100,001 - \$250,000	Interest Capital Gains	\$5,001 - \$15,000
145	Wisconsin State, Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
146	Aflac (AFL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
147	American Express Company (AXP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
148	Amgen (AMGN)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
149	Applied Materials (AMAT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
150	Avery Dennison (AVY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
151	Baxter International (BAX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
152	Broadcom Limited (AVGO)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
153	US Brokerage Money Market Account #5	N/A	\$1,001 - \$15,000		None (or less than \$201)
154	CBS Corporation (CBS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
155	Citrix Systems (CTXS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
156	Comerica (CMA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
157	CSX Corporations (CSX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
158	Dr. Pepper Snapple Group (DPS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
159	Eastman Chemical (EMN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
160	Edwards Lifesciences (EW)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
161	Facebook (FB)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
162	General Dynamic (GD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
163	General Motors (GM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
164	Haliburton (HAL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
165	Home Depot (HD)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
166	McDonald's (MCD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
167	Mohawk Industries (MHK)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
168	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
169	Motorola Solutions (MSI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
170	Newfield Exploration (NFX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
171	NextEra Energy (NEE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
172	Pioneer National Resources (PXD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
173	SCANA (SCG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
174	State Street (STT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
175	Sysco (SYY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
176	The Kraft Heinz Company (KHC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
177	Ulta Beauty Inc. (ULTA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
178	United Rentals (URI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
179	Alphabet Inc (GOOGL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
180	Alphabet Inc (GOOG)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
181	Apple (AAPL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
182	Bershire Hathaway Class B (BRKB)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
183	US Brokerage Money Market Account (cash)#5	N/A	\$15,001 - \$50,000		None (or less than \$201)
184	Charles Schwab Corporation (SCHW)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
185	Cognizant Technology Solutions (CTSH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
186	Core Laboratories (CLB)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
187	Express Scripts (ESRX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
188	Fastenal (FAST)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
189	LKQ Corporation (LKQ)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
190	Mead Johnson Nutrition (MJN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
191	PayPal Holdings (PYPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
192	Ross Stores (ROST)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
193	Schlumberger (SLB)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
194	The Priceline Group (PCLN)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
195	TJX Companies (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
196	Tractor Supply Company (TSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
197	Treehouse Foods (THS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
198	Verisk Analytics (VRSK)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
199	Visa (V)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
200	Roche Holdings (RHHVF)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
201	Boeing Company (BA)	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
202	US Brokerage Money Market Account #6	N/A	\$15,001 - \$50,000		None (or less than \$201)
203	US Brokerage Money Market Account #7	N/A	\$1,001 - \$15,000		None (or less than \$201)
204	US Brokerage Money Market Account #8	N/A	\$1,001 - \$15,000		None (or less than \$201)
205	US Brokerage Money Market Account #9	N/A	\$1,001 - \$15,000		None (or less than \$201)
206	EMC Corp (EMC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
207	Harris, TX, Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$201 - \$1,000
208	Houston, TX, Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
209	Hampton, VA, Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$201 - \$1,000
210	Texas State, Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$201 - \$1,000
211	San Antonio, TX, Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
212	Ally Financial (ALLY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
213	American Electric Power (AEP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
214	Chubb (CB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
215	Diamond Offshore Drilling (DO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
216	FedEx (FDX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
217	Kroger (KR)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
218	Bank of Nova Scotia Halifax (BNS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
219	Coach Inc (COH)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
220	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
221	Microsoft (MSFT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
222	Orange ADR (ORAN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
223	Republic Services (RSG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
224	Seagate Technology (STX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
225	Waste Management (WM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
226	Aegon (AEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
227	Carnival ADR (CUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
228	BNP Paribas (BNPQY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
229	L3 Technologies (LLL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
230	NETAPP (NTAP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
231	Progressive Corp (PGR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
232	Nike (NKE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
233	NiSource (NI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
234	Prologis (PLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
235	Reynolds American (RAI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
236	Salesforce.com	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
237	Southwest Airlines (LUV)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
238	Total System Services (TSS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
239	Vulcan Materials (VMC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
240	Alaska Air (ALK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
241	Arris International (ARRS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
242	Acadia Healthcare (ACHC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
243	American Axle & MFG (AXL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
244	American Eagle Outfitters (AEO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
245	American Equity Inv (AEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
246	AMN Healthcare Services (AMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
247	ANI Pharmaceuticals (ANIP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
248	Apogee Enterprises (APOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
249	Ashford Hospitality (AHT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
250	Big Lots (BIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
251	Bloomin Brands (BLMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
252	Boise Cascade (BCC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
253	Boyd Gaming (BYD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
254	Builders Firstsource (BLDR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
255	CACI International (CACI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
256	CalAtlantic (CAA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
257	Callon Petroleum (CPE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
258	Cardtronics (CATM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
259	Carrzo (CRZO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
260	Chemical Financial (CHFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
261	Chesapeake Utility (CPK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
262	Cinemark (CNK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
263	Cirrus Logic (CRUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
264	Coherent (COHR)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
265	Colony Starwood Homes (SFR)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
266	Columbia Property Trust (CXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
267	Delek US Holdings (DK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
268	Dupont Fabros Technology (DFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
269	Dycom Industries (DY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
270	EPR Properties (EPR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
271	Eagle Bancorp (EGBN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
272	Electronics For Imaging (EFII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
273	Emcor (EME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
274	Emergent Biosolutions (EBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
275	Enersys (ENS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
276	Entegris (ENTG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
277	Euronet Worldwide (EEFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
278	FNB Corp (FNB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
279	FCB Financial (FCB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
280	Fabrinet (FN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
281	First Interstate Bancsystem (FIBK)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
282	Gigamon (GIMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
283	Globus Medical (GMED)	N/A	\$1,001 - \$15,000		None (or less than \$201)
284	Grand Canyon Education (LOPE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
285	Granite Construction (GVA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
286	Graphic Packaging (GPK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
287	Great Western Bancorp (GWB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
288	Gulfport Energy (GPOR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
289	Helen of Troy LTD (HELE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
290	Hilltop Holdings (HTH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
291	Hope Bancorp (HOPE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
292	Horace Mann Educators (HMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
293	Horizon Pharma (HZNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
294	Home Bancshares (HOMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
295	Huntsman (HUN)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
296	ICU Medical (ICUI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
297	IDACorp (IDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
298	Integra Lifesciences (IART)	N/A	\$1,001 - \$15,000		None (or less than \$201)
299	Integrated Device Tech (IDTI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
300	Iridium Communications (IRDM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
301	J2 Global (JCOM)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
302	Kite Reality (KRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
303	Korn/Ferry Intl (KFY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
304	LCI Inds (LCII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
305	Masonite International (DOOR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
306	Mastec (MTZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
307	Matson (MATX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
308	Maximus (MMS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
309	Maxlinear (MXL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
310	Mercury Systems (MRCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
311	Merit Medical (MMSI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
312	Methode Electronics (MEI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
313	Microsemi (MSCC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
314	OmniceLL (OMCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
315	Orbital ATK (OA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
316	Oxford Industries (OXM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
317	Pebblebrook Hotel Trust (PEB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
318	Primoris Services (PRIM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
319	Radian Group (RDN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
320	Ryman Hospitality (RHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
321	Sinclair Broadcast (SBGI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
322	Skywest (SKYW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
323	Stamps.com (STMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
324	Supernus Pharmaceuticals (SUPN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
325	Swift Transportation (SWFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
326	SRC Energy Inc. (SRCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
327	Thor Industries (THO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
328	Tri Pointe Group (TPH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
329	Trinseo (TSE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
330	Umpqua Holdings (UMPQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
331	US Concrete (USCR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
332	Web.com (WEB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
333	Wesbanco (WSBC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
334	Western Alliance Bancorporation (WAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
335	Wintrust Financial (WTFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
336	Wolverine Worldwide (WWW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
337	Xperi Corp (XPER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
338	AIA Group LTD ADR (AAGIY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
339	AIR Liquide ADR (AIQUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
340	Alfa Laval ADR (ALFVY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
341	Aspen Pharmacare ADR (APNHY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
342	Atlas Copco ADR (ATLKY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
343	BBA Aviation ADR (BBAVY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
344	Baidu ADR (BIDU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
345	Banco Bilbao Vizcaya (BBVA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
346	Bayer ADR (BAYRY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
347	Bayerische Motoren Werki ADR (BMWYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
348	Bunge Limited (BG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
349	CSL LTD ADR (CSLLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
350	Canadian National Railway (CNI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
351	Check Point Software Technologies (CHKP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
352	DBS Group Hldgs LTD ADR (DBSDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
353	Dassault Systems ADR (DASTY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
354	Fresenius Medical Care ADR (FMS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
355	Fuchs Petrolub SE ADR (FUPBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
356	Grifols SA ADR (GRFS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
357	Grupo Televisa SA (TV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
358	Grupo Financiero Banorte (GBOOY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
359	Tutor Perini Corp (TPC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
360	ICIC Bank Limited ADR (IBN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
361	Itau Unibanco Holdings ADR (ITUB)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
362	JGC Corp ADR (JGCCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
363	Kubota Corp ADR (KUBTY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
364	L'OREAL Company ADR (LRLCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
365	LVMH Moet Hennessy Luis Vuitton ADR (LVMUY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
366	Linde AG ADR (LNEGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
367	Mitsubishi Estate ADR (MITEY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368	Monotaro Co LTD Osaka ADR (MONOY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369	Naspers LTD ADR (NPSNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
370	Nestle SA ADR (NSRGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
371	Park24 Company LTD ADR (PKCOY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
372	Roche Holdings Limited ADR (RHHBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
373	Royal Dutch Shell ADR (RDSA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
374	Sasol Limited ADR (SSL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
375	Sonova Holdings ADR (SONVY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
376	Symrise AG ADR (SYIEY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
377	Sysmex ADR (SSMXY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
378	Taiwan Semiconductor ADR (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
379	Tenaris ADR (TS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
380	Turkiye Garanti Bankasi (TKGBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
381	WPP PLC ADR (WPPGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
382	Nexstar Media Group (NXST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
383	Cynosure Class A (C9S.DE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
384	Kate Spade & Company (KATE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
385	VCA Inc (WOOF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
386	Forum Energy Techs (FET)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
387	FirstMerit Corp (FMER.DE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
388	Meritage Homes (MTH)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
389	Brunswick Corp (BC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
390	Synaptics Inc (SYNA)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
391	Allele Inc (ALE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
392	Wabash National Corp (WNC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
393	Littelfuse Inc (LFUS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
394	PrivateBancorp (PVTB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
395	Summit Hotel PPTYS (INN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
396	Air Methods Corp (AIRM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
397	TrueBlue Inc (TBI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
398	PennyMac Mortgage (PMT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
399	Headwaters Inc (HW)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
400	Red Robin Gourmet Burgers (RRGB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
401	Wellcare Healthplans (WCG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
402	LA-Z-Boy Inc (LZB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
403	AMAG Pharmaceuticals (AMAG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
404	Lakeland Financial (LKFN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
405	Parsley Energy (PE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
406	Euronet Worldwide (EFTT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
407	CNO Financial (CNO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
408	Molina Healthcare (MOH)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
409	Lithia Motors (LAD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
410	FitBit Inc (FIT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
411	Madden Steven Limited (SHOO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
412	Steris (STE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
413	Brandywine Realty (BDN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
414	Team Health Holdings (TMH.DE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
415	Thor Industries (THO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
416	Texas Roadhouse (TXRH)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
417	New Jersey Resources Corp (NJR)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
418	Banc of California (BANC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
419	Tenneco (TEN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
420	Aptevo Therapeutics (APVO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
421	Miller Herman Inc (MLHR)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
422	Depomed (DEPO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
423	Steelcase (SCS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
424	Super Micro Computer (SMCI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
425	AZZ Inc (AZZ)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
426	Drew Industries	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Goldman Sachs Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2013	2.60%	30 Years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	7.1	Consists entirely of Boeing Company stock.
2.	7.4	Divested subsequent to filing.
2.	8.1	Consists entirely of Boeing Company stock.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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