

May 31, 2017

Ms. Katherine D. McManus
Deputy Legal Adviser and
Designated Agency Ethics Official
U.S. Department of State
Washington, D.C., 20520

Re: Ethics Undertakings

Dear Ms. McManus:

I am committed to the highest standards of ethical conduct for government officials. If confirmed as U.S. Ambassador to the United Kingdom of Great Britain and Northern Ireland, as required by 18 U.S.C. §208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver pursuant to 18 U.S.C. §208(b)(1) or qualify for a regulatory exemption pursuant to 18 U.S.C. §208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I understand that a heightened prospect of a conflict of interest could exist as to companies that maintain a presence in the United Kingdom of Great Britain and Northern Ireland, because they may be more likely than other companies to seek official assistance from or make contact with the Embassy or otherwise be affected by policies and engagement implemented by the Embassy. I will remain alert to the possible need to recuse where appropriate

Upon confirmation, I will resign from my position with New York Jets, LLC. I will continue to retain a passive interest in this entity but I will not provide services material to the production of income. Instead, I will receive only passive investment income. I have been advised that during my service as Ambassador, the Embassy may address particular matters affecting the financial interests of the following entities: the New York Jets professional football team and associated companies, including New York Jets LLC (collectively the "New York Jets") as well as the National Football League ("NFL"), of which the New York Jets is a member. The agency has determined that it is not necessary at this time for me to divest my interests in the New York Jets because my recusal from particular matters in which these interests may pose a conflict of interest will not substantially limit my ability to perform the essential duties of the position of Ambassador. Accordingly, for as long as I own these interests, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of the New York Jets or the NFL, of which the New York Jets is a member, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

Upon confirmation, I will resign from my position with the Johnson Company, Inc., a private assets management and investment firm providing investment and other services for members of the Johnson family. Upon resignation, I will transfer my financial interest in this entity to the other members of the Johnson family. I will not participate personally and substantially in any particular matter involving specific parties in which the Johnson Company Inc. is a party or represents a party, unless I am first authorized to participate pursuant to 5 C.F.R. § 2635.502(d).

Upon confirmation, I will resign from all of the positions listed in Attachment A-1. For a period of one year after my resignation from each of these entities, I will not participate personally and substantially in any particular matter involving specific parties in which I know that entity is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. §2635.502(d).

Upon confirmation, I will resign from all of the positions listed in Attachment A-2. I will continue to have financial interests in the holdings of these entities, but will not provide services material to the production of income. Instead, I will receive only passive investment income from each.

You have advised me that, at this time, I do not need to divest all of my interests in the entities listed in Attachment A-2 or the entities listed in Attachment B, all of which own underlying holdings. Because the likelihood that my duties will involve the holdings of these entities is remote, the Department of State has determined that it is not necessary at this time for me to divest my interests in these entities. At this time, the entities do not pose any conflicts. However, you have explained that there is a heightened risk of a conflict of interest if any of these entities are acquiring new holdings. I understand that I am personally responsible for avoiding conflicts of interest with respect to these entities and their holdings and I will monitor the investment information made available to me regarding these entities and consult as appropriate with the Office of the Legal Adviser for Ethics and Financial Disclosure at the Department of State. If in the future the holdings of any of these entities are determined to pose a conflict with the performance of my official duties, then I may be directed to divest my interest in such entities. In that event, I will do so within 90 days of such order. With regard to each of these entities, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of the entity or its underlying holdings, unless I first obtain a written waiver pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). Finally, with respect to any underlying holding of any entity that does not qualify as an excepted investment fund pursuant to 5 C.F.R. § 2634.310(c), I will also timely file periodic transaction reports (OGE Form 278-T) for all covered transactions involving the assets of that holding.

I will divest all of my interests in the entities listed in Attachment C within 90 days of my confirmation. With regard to each of these entities, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of the entity until I have divested it, unless I first obtain a written waiver pursuant to 18 U.S.C. §208(b)(1), or qualify for a regulatory exemption pursuant to 18 U.S.C. §208(b)(2).

I understand that I may be eligible to request a Certificate of Divestiture for qualifying assets and that a Certificate of Divestiture is effective only if obtained prior to divestiture. Regardless of whether I receive a Certificate of Divestiture, I will ensure that all divestitures discussed in this agreement occur within the agreed upon timeframes and that all proceeds are invested in non-conflicting assets.

I will recuse myself from participation on a case-by-case basis in any particular matter involving specific parties in which I determine that a reasonable person with knowledge of the relevant facts would question my impartiality in that matter, unless I am first authorized to participate, pursuant to 5 C.F.R. Part 2635, Subpart E.

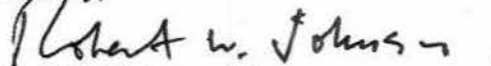
I have managed accounts, and I will direct the account managers to obtain my prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the exemption at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds. I will monitor whether the account managers are following this direction regarding prior approval.

I understand that as an appointee I am required to sign the Ethics Commitments by Executive Branch Appointees (Exec. Order No. 13770) and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this ethics agreement.

I will receive a live ethics briefing from a member of the ethics office after my confirmation but no later than 15 days after my appointment as required under 5 C.F.R. § 2638.305, unless granted an extension under that regulation. Within 90 days of my confirmation, I will document my compliance with this ethics agreement by notifying you in writing when I have completed the steps described in this ethics agreement.

I have been advised that this ethics agreement will be posted publicly, consistent with 5 U.S.C. §552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports.

Sincerely,


Robert W. Johnson IV

Tab A -1

Johnson, Robert W. IV, Ethics Agreement

Dated: 5/31/2017

Assets in which RWJ will have no financial interest:

- Lupus Research Alliance
- Peregrine Fund
- National September 11 Memorial & Museum
- Willard T. C. Johnson Foundation, Inc.
- Keith W. Johnson Charitable Trust
- The 1994 Robert W. Johnson, IV Charitable Trust
- Robert W. Johnson IV Revocable Trust
- No. C301, Christopher W. Johnson 2012 GST Trust
- No. C302, Grace Gardner Johnson 2012 Trust - I
- No. C303, Anna Blakeslee Johnson 2012 Trust - I
- No. C304, Whitman Ross Johnson 2012 Trust - I
- No. C305, Grace Gardner Johnson 2012 Trust - II
- No. C306, Anna Blakeslee Johnson 2012 Trust - II
- No. C307, Whitman Ross Johnson 2012 Trust - II
- No. C308, Christopher W. Johnson 2014 Family Trust
- No. 221, Grace Gardner Johnson 2010 Trust
- No. 222, Anna Blakeslee Johnson 2010 Trust
- No. 223, Whitman Ross Johnson 2010 Trust
- No. B105, Grace Gardner Johnson (BWJ) 2012 Trust
- No. B106, Anna Blakeslee Johnson (BWJ) 2012 Trust
- No. B107, Whitman Ross Johnson (BWJ) 2012 Trust
- Johnson ABR LLC
- Johnson Atlantic LLC
- Johnson Atlantic 2 LLC
- Johnson AW LLC
- Johnson ALT LLC
- Johnson BDT LLC
- Johnson CB LLC
- Johnson CER LLC
- Johnson CLOUD LLC
- Johnson CVC LLC
- Johnson DBAY LLC
- Johnson DYN LLC
- Johnson EIGHT LLC

- Johnson FPT LLC
- Johnson GA Partners LLC
- Johnson HOUN LLC
- Johnson HTE LLC
- Johnson IND LLC
- Johnson INF LLC
- Johnson INI LLC
- Johnson LAI LLC
- Johnson LTAM LLC
- Johnson MC7 LLC
- Johnson MM LLC
- Johnson MORN LLC
- Johnson MORN4 LLC
- Johnson ORKD LLC
- Johnson OT LLC
- Johnson OTX LLC
- Johnson RAC LLC
- Johnson RED ZONE LLC
- Johnson RES LLC
- Johnson RRJ LLC
- Johnson RS LLC
- Johnson SPC LLC
- Johnson SVP3 LLC
- Johnson TIKI LLC
- Johnson TOSC LLC
- Johnson WTI LLC
- The Johnson Company Inc.
- No. B115, Christopher W. Johnson (BWJ) GST Trust
- No. B118, Christopher W. Johnson (BWJ) Trust
- Gretchen Porter Wold Irrevocable Trust
- Indenture of Trust dated September 15, 1971
- Johnson SAAS LLC
- Johnson Slow LLC
- Johnson STAR4 LLC
- WTREES, LP
- The New York Jets Foundation, Inc.

Tab A -2

Johnson, Robert W. IV, Ethics Agreement

Dated: 5/31/2017

Will continue to maintain a financial interest:

- New York Jets LLC
- No. 174, Robert W. Johnson IV 2014 GRAT Number One
- No. 175, Robert W. Johnson IV 2014 GRAT Number Two
- No. 176, Robert W. Johnson IV 2014 GRAT Number Three
- No. 177, Robert W. Johnson IV 2014 GRAT Number Four
- No. 178, Robert W. Johnson IV 2015 GRAT Number One
- No. 179, Robert W. Johnson IV 2015 GRAT Number Two
- No. B113, Robert W. Johnson IV (BWJ) GST Trust
- New York Jets Holdings LLC
- Jets Events LLC
- Jets Stadium Holdings, LLC
- Jets Stadium Finance Holdings 2015, LLC
- Jets Stadium Finance Issuer 2015, LLC
- Jets Stadium Development, LLC
- New Meadowlands Stadium Company LLC
- Florham Park Development LLC
- Florham Park Helipad LLC
- No. B116, Robert W. Johnson IV (BWJ) Trust
- 57 Pond Investors LLC
- JBP Investment Holdings LLC
- Johnson ADVQ LLC
- Johnson ALMS LLC
- Johnson AP LLC
- Johnson ARIS LLC
- Johnson BNYN LLC
- Johnson BNYN2 LLC
- Johnson CAT LLC
- Johnson CAT5 LLC
- Johnson CEV LLC
- Johnson COR LLC
- Johnson DCHNG LLC
- Johnson DEER LLC
- Johnson DEER IV LLC
- Johnson DSGN LLC

- Johnson EA LLC
- Johnson ECM LLC
- Johnson EH LLC
- Johnson EVE LLC
- Johnson FB LLC
- Johnson FOUND LLC
- Johnson HOLD LLC
- Johnson HORSE LLC
- Johnson LEX LLC
- Johnson MC LLC
- Johnson MET LLC
- Johnson MR II LLC
- Johnson PA LLC
- Johnson PARK LLC
- Johnson PF LLC
- Johnson PF IV LLC
- Johnson POWER LLC
- Johnson PRUS LLC
- Johnson QMNG LLC
- Johnson RAIL LLC
- Johnson RIEF LLC
- Johnson ROCK LLC
- Johnson ROUN LLC
- Johnson RP LLC
- Johnson RP2 LLC
- Johnson RSC LLC
- Johnson RW LLC
- Johnson SEP LLC
- Johnson SEP2 LLC
- Johnson SORO LLC
- Johnson SPORT LLC
- Johnson STAR3 LLC
- Johnson TG LLC
- Johnson TGVCIX LLC
- Johnson TL LLC
- Johnson UNI LLC
- Johnson VERT LLC
- White Bear Investments LLC

- Trust Under The Johnson Company, Inc. Deferred Compensation Plan
- Johnson TI LLC
- Johnson PF V LLC

Tab B

Johnson, Robert W. IV, Ethics Agreement

Dated: 5/31/2017

- 57 Pond Investors LLC
- 999 Montague Partners/000 Montague Inc.;
- 1320 Van Ness Partners LP;
- 2600 EPCH Partners LP;
- Adveq Europe Co-investments LP
- Arisaig Asia Consumer Fund Ltd.
- Apollo European Principal Finance Fund II LP
- Banyan Partners Fund I, LP
- Banyan Partners Fund II LP
- Bridgewater Pure Alpha Major Markets, LLC
- Bridgewater Pure Alpha Major Markets II, LLC
- Catalyst Fund Limited Partnership IV
- Catalyst Fund IV Parallel Limited Partnership
- Catalyst Fund Limited Partnership V
- Cayman Beach LTD
- Centerbridge Credit Partners LLC
- Chanden LLC;
- Coronado Resources 2013 LP
- Decheng Capital China Life Sciences Fund II LP
- Deerfield Private Design Fund IV LP
- Deerfield Partners LP
- Deerfield Healthcare Innovations Fund LP
- Deerfield Private Design Fund III LP
- Denver Village Partners;
- Digital Bridge U.S. Tower holdings, LLC
- DoubleLine Opportunistic Income Fund II, LP
- Drawbridge Special Opportunities Fund LP
- Elliott Associates, LP
- ECM Feeder Fund 5 LP
- Emerald Hill Partners III, LP
- EnerVest Energy Institutional Fund XIII-A, LP
- FanDuel via Fan Co-Invest LP
- Johnson FB LLC/ Fisher Brothers (Murray Hill)
- Florham Park Development LLC
- Florham Park Helipad LLC
- Foundry Group Next LP
- Grenhill LLC

- Hawkbar LLC;
- HoldCo Opportunities Fund II, LP
- Horseman Global Fund, LP
- ITE Rail Fund LP
- JBR Alondra LLC;
- JB State LLC;
- Jets Stadium Holdings LLC
- Jets Stadium Finance Holdings 2015 LLC
- Jets Stadium Finance Issuer 2015 LLC
- Jersey Report LLC
- Johnson East Side LLC
- Johnson LEX LLC (AGGCS 575 Member LLC)
- Jets Stadium Investment Holdings LLC
- Johnson ALMS LLC
- Johnson PARK LLC (RXR VAF 230 Park Venture Investor II JV LP)
- Lamington Investors LLC
- Lighthouse Capital Partners V LP
- Mariners LLC;
- Mill Road Capital II LP
- Monashees Capital VI, LP
- New Meadowlands Stadium Company LLC
- New York Jets Holding LLC
- Parus Fund LLC
- Prime Finance Partners III, LP
- Prime Finance Partners IV, LP
- Prime Finance Partners V LP
- Prime Finance CMBS B-Piece Fund LP
- Q-BLK Diversified Portfolio a Separate Series of Q-BLK Private Capital 2002, LP
- Qiming Venture Partners IV, LP
- Qiming Venture Partners V, LP
- Rex Asset Management LLC
- RIEF Strategic Partners Fund LLC
- Ridgewood Energy Oil & Gas Fund III LP
- RPI US Partners I LP
- RPI US Partners II LP
- Roundtable Healthcare Capital Partners III, LP
- Roundtable Healthcare Partners IV LP
- Riverpoint Properties LTD;
- Johnson RSC LLC/Riverstone Credit Partners LP
- SB Hayward LLC;
- SBMC Mesmer LP;

- SB Orchid LLC;
- Scout Energy Partners I-A LP
- Scout Energy Partners II-A, LP
- Scout Energy Partners III-A, LP
- Seaport Capital Partners III, LP
- Solamere Capital Fund II LP
- Solamere Capital Fund II-A LP
- Solamere Founder Fund I LP
- Solamere Portfolio Company Investments II LLC
- Sorenson Capital Partners LP
- Soroban Opportunities Fund LLC
- Sovereign Capital Limited Partnership II
- Star Asia Japan Special Situations III LP
- Sutton Place Mac Arthur LLC;
- Sun Capital Securities Fund LP Tranche 1
- Third Rock Ventures IV LP
- TI Platform Fund I
- Tiger Global Long Opportunities LP
- Tiger Global Private Investment Partners IX, LP
- Twin Lakes Global Emerging Markets Strategy Fund
- Unicorn Partners Fund I LP
- VOP Associates LLC;
- VOP II LLC;

Tab C

Johnson, Robert W. IV, Ethics Agreement

Dated: May 31, 2017

- 3M
- 3M Co. MTN dtd 03/29/07, 5.700% due 03/15/2037
- ABB Group
- ABR Reinsurance Capital Holdings
- ACE INA Holding Inc. Ulsecd SR Note dtd 3/16/15, 3.150%, due 3/15/2025
- Adient PLC
- Aecom (nuclear)
- Aercap
- AES Corp
- Aetna
- AFLAC
- AGCO Corp.
- Air Products & Chemicals (AG and aerospace)
- Albemarle Corp.
- Allstate
- Altria Group (lots of stuff at work)
- Amazon (B)
- American Airlines
- American Express
- American Express Co dtd 11/10/2014 FLTG Rate 12/25/2050
- American International Group
- American International Group dtd 2/26/2016, 3.3% 3/1/2021
- Ameriprise Financial
- Anadarko Petroleum
- Anadarko Petroleum Corp Preferred equities (convertible until 6/7/2015 and 6/7/2018)
- Ansys
- Apache Corp
- Apple
- Apple (B)
- Apple Inc SR UNSECD note dtd 5/13/15, 4.375% due 5/13/45
- Apollo Commercial Real Estate
- Apollo Global Management LLC
- Apollo Global Management Preferred
- Archer-Daniels Midland Co (AG)
- Ares Capital Corp Preferred
- Ares Management LP Preferred
- Arris International (UK telecom)

- Arthur Gallagher & Co.
- Assurant Inc.
- Assured Guaranty
- Automatic Data Processing
- AT&T
- AT&T Inc. dtd 4/29/2011 4.45% 5/15/2021
- BB&T
- BB&T Branch BKG & Trust CO Global BK Syb NT call 9/30/35 @ 100 dtd 01/25/16 due 10/30/26
- BATS Global Markets
- Baker Hughes
- Bank of America
- Bank of America Corp dtd 12/4/2009 5.75% 12/1/2017
- Bank of New York
- Berkshire Hathaway
- Berkley W.RE, Corp
- Blackrock
- Boeing
- Broadcom Limited
- Brocade Limited
- Brown & Brown
- Brown Forman
- BWX Technologies
- CA Inc.
- Cabot Corp
- Cardinal health
- CBS Corp.
- Capital One Financial Corp
- Carlisle Companies
- Carnival
- Caterpillar
- Celanese Corp.
- Century Link
- CF Industries Holdings
- Charles Schwab
- Charles Schwab Copr new PFD DEP SHS RESPTG 1/40th INT 5.950%
- Charter Communications
- Chemours
- Chevron
- Chubb Ltd.
- Cigna

- Cisco
- Cisco SYUS Inc. SR NT dtd 02/17/09, 4.800% due 10/01/2041
- Cit Group
- Citigroup
- CME Group
- CNH Industrial NV
- Comcast Corp
- Comcast Corp New SR UNSECD NT dated 7/02/12, 4.650% due 07/15/2042
- Comscope Holding Co. Inc.
- Comerica
- Computer Sciences Corp
- Conocophillips
- Corelogic Inc.
- Cummins Inc.
- Danaher
- Delta
- Dell Technologies
- Diamond Offshore Drilling
- Discovery Communications
- Digital Realty
- Digital Realty Trust Inc.
- Dover Corporation
- Dow Chemical
- Dun & Bradstreet
- Du Pont
- Duke Energy
- E*Trade Financial Corp dtd 8/25/2016, floating rate 12/25/2050
- Eaton Corporation
- Eli Lilly and Co.
- Emerson Electric Co.
- Enbridge Inc.
- EOG Resources
- Ericsson LKM Tel ADR
- E Trade Financial Corp
- Endurance Specialty Holdings
- Expedia
- Exxon Mobil
- Facebook
- Fed Ex
- Fidelity National Financial Investments

- Fidelity National Information Services Inc.
- Fifth Third Bancorp
- First Horizon National Corp.
- First Republic Bank
- Fortive Corporation
- First Republic Bank
- Franklin Resources
- Franks International
- Fox
- Ford
- GATX Corp
- General Dynamics
- General Electric
- General Electric Perp PRD JR Sub NT Ser D 01/19/16 5.0% due 12/29/49
- General Motors
- Genesee and Wyoming
- Gentex
- Goldman Sachs
- Halliburton
- Harris Corp
- Hartford Financial Services
- Hartford Financial Services Group Preferred
- Hartford Financial Services Group dtd 6/6/2008 8.125% due 6/15/2038
- HCA Holdings
- Hess Corp
- Hess Corp Preferred
- Host hotels and Resorts
- Honeywell
- Humana
- Huntsman
- Huntington Bancshares Inc.
- Ingersoll-Rand
- Intel
- Intel Corp SR UNSECD NT DTD 09/19/2011, 4.800% due 10/01/2041
- Intercontinental Exchange
- International Business Machines
- Invesco Ltd.
- Iron Mountain Inc.
- ITT Corp.
- ITT Inc.

- Jabil Circuit Inc.
- Jacobs Engineering Group
- The Johnson Company Inc.
- Johnson Controls International PLC
- JP Morgan
- JP Morgan Chase & Co PFD 6.10%
- Juniper Networks Inc.
- KBR Inc.
- KLA Tencor
- Keysight Technologies
- Kosmos Energy
- Kraft Heinz Company
- Krispy Kreme
- L-3 Communications
- Lamb Weston Holdings
- Lear Corp.
- Legg Mason
- Legg Mason Inc dtd 1/22/2014 5.625% due 1/15/2044
- Leidos Holdings
- Liberty Broadband
- Lincoln National Corp
- Lionsgate Entertainment
- Lockheed Martin
- Lowes Corp
- Lyondellbasell Industries
- ManpowerGroup Inc
- Marathon Petroleum
- Marriott International dtd 10/1/2016 4.5% due 10/1/2034
- Marsh and McLennan
- Maxim Integrated Products
- Merck
- MetLife
- Microsemi Corp.
- MGM Resorts
- Microsoft
- Microsoft Corp SR UNSECD NT Call 5/3/45 @ 100dtd 11/02/2015, 4.450% due 11/03/2045
- Monsanto
- Morgan Stanley
- Mosaic Company New Corp
- NASDAQ OMX Group Inc.

- NCR Corp
- Nabors Industries
- National Oilwell Varco
- Netapp Inc.
- Netflix
- Nielsen Holdings
- Northrop Grumman
- Norwegian Cruise Line
- Nvidia Corp
- Occidental Petroleum
- Oceaneering International
- Omnicom Group
- Oneok
- Oracle
- Oracle Corp dtd 7/8/2014 at 4.3%, due 7/8/2034
- Oracle Corp UNSECD SR NT Call 11/15/34 @ 100 DTD 05/05/15, 3.900% Due 05/15/2035
- Oshkosh Corp
- Paccar Inc.
- Parker Hannifin
- Pilgrims Pride
- Pioneer Natural Resources Co,
- PNC Financial Services Group
- PNC FINL SVCS Group Inc. PFD DEP SGS REPSTG 1/40000th SER P 6.125%
- Praxair Inc.
- Priceline
- Principal Financial Group
- Prudential
- Prudential Financial Inc. dtd 6/17/2008 8.75% due 6/15/2038
- Qualcomm Inc.
- Quanta Services Inc.
- Raymond James Financial
- Raytheon
- Regal Beloit Corp
- Reinsurance Group of America
- Renaissance Holdings Ltd.
- Rockwell Automation Inc.
- Roper Technologies
- Royal Caribbean Cruises
- Royal Dutch Shell PLC ADR
- RR Donnelley & Sons

- SPX Flow Inc.
- Seadrill Limited
- Schlumberger
- Sempra Energy
- Southern Company
- Spectra Energy (Enbridge Inc.)
- State Street Corp
- Starwood Property Trust dtd 3/29/2017 4.375% convertible until 3/30/3023
- Suncor Energy
- Symantec Corp
- Synopsis
- TE Connectivity LTD.
- Tenneco Inc.
- Tenet Healthcare Corp
- Terex
- Texas Instruments
- Thompson Reuters
- Time Warner Cable
- Timken Company
- T Mobile Inc.
- Travelers Co.
- Trimble Navigation
- Twenty-First Century Fox
- Twitter
- Tyson Foods
- Unilever PLC
- United Continental Holdings-
- UPS
- US Bancorp
- US Bancorp DEL PFD Dep SHS Reptg 1/1000th Ser F.
- United Technologies
- United Technologies Corp SR UNSECD DEB dtd 09/17/99; 7.500% due 09/15/2029
- Unum Group
- Valero Energy
- Verizon
- Versum Materials
- Viacom
- Viacom Inc. dtd 2/28/2017 FLTG Rate 2/28/2057
- Viavi Solutions
- Visa Inc Unsecd SR NT call 09/14/2025 @ 100 dtd 12/14/15, 3.150%, due 12/14/2025

- Voya Financial
- Weatherford International Ltd.
- Wells Fargo
- Wells Fargo & Co. New JR SUB NT SER S call, 6/15/2024 @ 100 dtd 4/22/14, 5.900% due 12/29/49
- Welltower Inc.
- Wesco International
- Western Digital
- Western Union
- Weyerhouser Inc.
- Willis Towers Watson Pub Ltd.
- World Fuel Services
- Yahoo
- Xylem Inc.
- Zillow Group Inc.
- Johnson EIGHT LLC
 - Eight Partners VC Fund I LP .
 - 8VC Co-Invest Fun I LP
- Johnson ALT LLC
 - Altimeter Partners LP
 - Altimeter Private Fund II LP
- Johnson BDT LLC
 - BDT Capital Partners Annex Fund LP
- Johnson INF LLC
 - Britton Hill holdings I LP
- Johnson CER LLC
 - Cerberus Institutional Partners V, LP(via GCM Grosvenor Green, LP)
 - Cerberus Institutional Partners VI LP
- Johnson CVC LLC
 - Costanoa Venture Capital I LP
 - Costanoa Venture Capital Growth Fund LP
 - Costanoa Venture Capital II LP
- Johnson CLOUD LLC
 - Cumulus Energy Fund LP
- Johnson DYN LLC
 - Dynamo Brasil VIII LLC
- Johnson IND LLC
 - Emerging India Focus Funds
- Johnson FPT LLC
 - Finepoint Capital Partners I LP
- First Trust Intermediate Duration Preferred & Income Fund

- Johnson GA Partners LLC
 - GA-GTCO US AIV LP
 - General Atlantic Partners (Bermuda) LP
 - General Atlantic Partners (Bermuda) II, L.P.
 - General Atlantic Partners 83 LP
 - General Atlantic Partners 84 LP
 - General Atlantic Partners 85 LP
 - General Atlantic Partners 86 LP
 - General Atlantic Partners 87 LP
 - General Atlantic Partners 88 LP
 - New Mountain Partners III
 - New Mountain Partners III (Cayman) (AIV, B)
 - New Mountain Partners III (AIV C)
 - New Mountain Partners III (Tier 1)
 - New Mountain AIV-D, LP
 - Snow Phipps Group II, LP
 - Snow Phipps Group II AIV, LP
- Johnson Atlantic LLC
 - General Atlantic Partners (Bermuda) II LP
 - General Atlantic Partners (Bermuda) III LLC
 - General Atlantic Partners 90 LP
 - General Atlantic Partners 92E LP
 - General Atlantic Partners 93 LP
 - General Atlantic Partners 90, LP
 - General Atlantic Partners 91B, LP
 - General Atlantic Partners 95, LP
 - General Atlantic Partners 96, LP
 - General Atlantic Partners 97C, LP
 - General Atlantic Partners 98C, LP
 - General Atlantic Partners 99D, LP
 - General Atlantic Partners AIV-1A, LP
- Johnson Atlantic2 LLC
 - General Atlantic Serv Co LLC
 - General Atlantic Partners (Ocean) LLC
 - General Atlantic Partners 92E LP
 - General Atlantic Partners BERMUDA) III LLC
 - General Atlantic Partners 93 LP
 - General Atlantic Partners 95 LP
- Johnson DBAY LLC
 - Greenwhitestar Finance Ltd
 - Greewhitestar TopCo Ltd

- DouglasBay Capital II Fund LP
- Johnson HOUN LLC
 - Hound Partners Concentrated Fund LP
- Johnson HTE LLC
 - HTE MLP Advantage LP
- Johnson INI LLC
 - Initialized II LP
 - Initialized III LP
- iShares NASDAQ Biotechnology ETF
- Johnson TIKI LLC
 - Kontiki Onshore Fund
- Johnson LTAM LLC
 - Lone Tamarack, LP
- Johnson MM LLC
 - Metalmark Capital Partners II LP
 - MCP II (Outbound) LP
- Johnson MC7 LLC
 - Monashees Capital VII LP
- Johnson MORN LLC
 - Morningside China TMT Fund III LP
 - Morningside China TMT Special Opportunity Fund LP
- Johnson MORN4 LLC
 - Morningside China TMT Fund IV LP
 - Morningside China TMT Special Opportunity Fund II LP
- Nuveen Credit Strategies Income Fund
- Johnson OT LLC
 - Oaktree Opportunities Fund IX LP
- Johnson OTX LLC
 - Oaktree Opportunities Fund X LP
 - Oaktree Opportunities Fund X AIF (Delaware) LP
 - Oaktree Opportunities Fund X AIF (Cayman) LP
 - Oaktree Opportunities Fund Xb LP
- Johnson ORKD LLC
 - Orchid China (Cayman), LP
- Johnson RAC LLC
 - RA Capital Healthcare Fund LP
- Johnson RES LLC
 - Resolution Life LP
- Johnson RS LLC
 - Riverstone Energy Fund V (Raven) LP, aka Riverstone Energy Partners V LP
 - Riverstone Global Energy & Power Fund V (Cayman) LP

- Riverstone Global Energy & Power Fund V LP
 - Riverstone RW II Partners V LP
- Johnson RRJ LLC
 - RRJ Capital Master Fund II LP
 - RRJ Capital Master Fund III LP
- Johnson SVP3 LLC
 - Strategic Value Special Situations Feeder Fund III L.P.
- Johnson SAAS LLC
 - The SaaStr Fund I LP
- Snow Phipps Group LP
- Johnson TOSC LLC
 - Regional REIT Limited (formerly known as: Tosca UK Commercial Property II LP)
- Johnson SPC LLC
 - Valor M22 L.P
- Johnson WTI LLC
 - Venture Lending & Leasing VIII LLC
 - WTI Equity Opportunity Fund, I, L.P.
- Western Asset High Income Opportunity Fund
- Parametric Custom Core Strategy
- W Trees L.P.