

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Huerta, Michael

FAA Administrator, Department of Transportation

Date of Termination: 01/06/2018

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Huerta, Michael [electronically signed on 02/01/2018 by Huerta, Michael in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaleta, Judith, Certifying Official [electronically signed on 03/01/2018 by Kaleta, Judith in Integrity.gov]

Other review conducted by

/s/ Carlson, Terence W, Ethics Official [electronically signed on 03/01/2018 by Carlson, Terence W in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 03/07/2018 by Skalla, Daniel L in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	MPH Consulting, LLC	See Endnote	Washington, District of Columbia	Corporation	President	4/2009	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Lincoln National Life Insurance	N/A		consulting fees	
2	Lincoln Financial Advisors	N/A		consulting fees	

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	American Funds - Growth Fund of America R5 (RGAFX)		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2	Capital One Bank (cash)		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
3	Zions Bank (cash)		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
4	Fidelity International Discovery (FIGRX)		Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
5	Fidelity Spartan 500 Index Inv (FUSEX)		Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
6	Fidelity Growth Strategies (FDEGX)		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
7	Greenspring Fund (GRSPX)		Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
8	Permanent Portfolio (PRPFX)		Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
9	Fidelity Government Cash Reserves (FDRXX)		Yes	None (or less than \$1,001)		None (or less than \$201)
10	James Balanced: Golden Rainbow Fund (GLRBX)		Yes	None (or less than \$1,001)		None (or less than \$201)
11	Fidelity Tax Free Money Market (FMOXX)		Yes	None (or less than \$1,001)		None (or less than \$201)
12	BlackRock Global Dividend Port Cl Ins (BIBDX)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
13	BlackRock High Yield Bd Port Blkrk Sh Cl (BRHYX)	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
14	BlackRock Floating Rate Inc Port Instl (BFRIX)	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Fidelity Government Cash Reserves (FDRXX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
17	iShares iBoxx High Yield Corporate Bond ETF (HYG)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18	iShares 1-3 Year Credit Bond ETF (CSJ)	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19	iShares US Preferred Stock ETF (PFF)	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20	iShares Trust iShares Core High Dividend (HDV)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
21	DC College Savings 17+	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
22	Capital One Bank (cash)		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
23	American EuroPacific Growth (AEPGX)		Yes	None (or less than \$1,001)		None (or less than \$201)
24	American New Economy (ANEFX)		Yes	None (or less than \$1,001)		None (or less than \$201)
25	DC College Savings Acacia Principal Plus		Yes	None (or less than \$1,001)		None (or less than \$201)
26	Fidelity Cash Reserves (FDRXX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
27	Fidelity Contrafund (FCNTX)		Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
28	Fidelity Low-Priced Stock Fund (FLPSX)		Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
29	SPDR Gold Trust (GLD)		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	Piedmont Office Realty Trust (PDM)		Yes	None (or less than \$1,001)		None (or less than \$201)
31	Cambria Shareholder Yield ETF (SYLD)		Yes	None (or less than \$1,001)		None (or less than \$201)
32	Powershares BuyBack Achievers ETF (PKW)		Yes	None (or less than \$1,001)		None (or less than \$201)
33	Schwab Deposit Accounts (cash)		N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
34	Janus Overseas (JAOSX)		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
35	Janus Twenty (JAVLX)		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
36	Mutual Global Discovery (MDISX now TEB1Z)		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
37	Selected American Shares (SLASX)		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
38	Vanguard Growth Index Fund (VIGRX)		Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
39	American Washington Mutual Investors Fund (AWSHX)		Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
40	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
41	ALPS Alerian MLP ETF (AMLPE)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
42	WisdomTree Europe Hedged Equity ETF (HEDJ)		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
43	Fidelity 500 Index Premium Class (FUSVX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
44	BlackRock Strategic Income Opps Instl (BSIIX)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
45	iShares MSCI Eurozone ETF (EZU)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
46	iShares International Developed RE ETF (IFGL)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
47	Energy Select Sector SPDR Fund (XLE)	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
48	iShares 0-5 High Yield Corp Bond ETF (SHYG)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
49	iShares US Real Estate ETF (IYR)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
50	Schwab Fundamental US Large ETF IV (FNDX)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
51	Vaneck Vectors Emerg Mkts Loc Curr Bd ETF (EMLC)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
52	iShares High Yield Corp Bond ETF (SHYG)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
53	Schwab US Large Cap ETF (SCHX)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
54	Vanguard Global Ex US Real Estate ETF IV (VNQI)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
55	Vanguard Mortgage-Backed Securities ETF (VMBS)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
56	SPDR Blmbrg Brcly Intrmdt Trm ETF (ITR) Ticker changed to SPIB on 10/16	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
57	Schwab International Equity ETF (SCHF)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
58	Schwab Fundamental Emerg Mkts Large ETF IV (FNDE)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
59	Charles Schwab US REIT ETF (SCHH)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
60	Schwab Emerging Markets Equity ETF (SCHE)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
61	Schwab International Small-Cap Eq ETF (SCHC)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
62	Schwab US Small Cap ETF (SCHA)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
63	Schwab Fundamental Intl Smal Com ETF (FNDC)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
64	iShares Gold ETF (IAU)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
65	Schwab Fundamental Inl Large Com ETF (FNDF)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
66	Schwab Fundamental US Small Com ETF (FNDA)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
67	Vanguard Total Intl Bnd ETF DV (BNDX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
68	Schwab Bank Savings Sweep (cash)	See Endnote	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
69	Blackrock Dynamic High Income Instl (BDHIX)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
70	iShares Trust Floating Rate Bd ETF (FLOT)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
71	Shopify (SHOP)		No	\$1,001 - \$15,000		None (or less than \$201)
72	SPDR Blmbrg Brcly Emg Mkt LCL ETF (EBND)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
73	Xtrackers USD High Yield Cor Bnd ETF (HYLB)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	(S) Shopify, Inc. (SHOP)	Purchase	10/27/2017	\$1,001 - \$15,000
2	BlackRock Strategic Income Opprtsi Instl (BSIIX)	Purchase	02/15/2017	\$1,001 - \$15,000
3	BlackRock Dynamic High Income Instl (BDHIX)	Purchase	02/15/2017	\$1,001 - \$15,000
4	iShares Tr US Pfd Stk ETF (PFF)	Purchase	02/15/2017	\$1,001 - \$15,000
5	BlackRock High Yld Bd Port Cl K (BRHYX)	Sale	02/15/2017	\$1,001 - \$15,000
6	iShares Tr Iboxx Hi Yld ETF (HYG)	Sale	02/15/2017	\$1,001 - \$15,000
7	BlackRock Dynamic High Income Instl (BDHIX)	Purchase	03/23/2017	\$1,001 - \$15,000
8	iShares MSCI Eurozone ETF (EZU)	Purchase	03/23/2017	\$1,001 - \$15,000
9	BlackRock Floating Rate Inc Port Instl (BFRIX)	Sale	03/23/2017	\$1,001 - \$15,000
10	iShares Trust iShares Core High Dividend (HDV)	Sale	03/23/2017	\$1,001 - \$15,000
11	iShares Trust iShares Core High Dividend (HDV)	Purchase	04/20/2017	\$1,001 - \$15,000
12	iShares MSCI Eurozone ETF (EZU)	Sale	04/20/2017	\$1,001 - \$15,000
13	(S) Cambria Shareholder Yield ETF (SYLD)	Sale	05/26/2017	\$15,001 - \$50,000
14	(S) Powershares BuyBack Achievers (PKW)	Sale	05/26/2017	\$15,001 - \$50,000
15	ALPS Alerian MLP ETF (AMLPL)	Purchase	06/26/2017	\$1,001 - \$15,000
16	Sector SPDR Tr Shs Ben Int Energy (XLE)	Sale	06/26/2017	\$1,001 - \$15,000
17	Fidelity 500 Index Premium Class (FUSVX)	Sale	06/28/2017	\$15,001 - \$50,000
18	Greenspring Fund (GRSPX)	Sale	06/28/2017	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
19	Permanent Portfolio (PRPFX)	Sale	06/28/2017	\$1,001 - \$15,000
20	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	Purchase	07/10/2017	\$1,001 - \$15,000
21	iShares MSCI Eurozone ETF (EZU)	Sale	07/10/2017	\$1,001 - \$15,000
22	DC College Savings 17+	Sale	08/18/2017	\$1,001 - \$15,000
23	(S) DC College Savings Acacia Principal Plus	Sale	08/18/2017	\$1,001 - \$15,000
24	DC College Savings 17+	Sale	09/11/2017	\$1,001 - \$15,000
25	Fidelity 500 index Premium Class (FUSVX)	Sale	09/12/2017	\$15,001 - \$50,000
26	Greenspring Fund (GRSPX)	Sale	09/11/2017	\$1,001 - \$15,000
27	Permanent Portfolio (PRPFX)	Sale	09/11/2017	\$1,001 - \$15,000
28	BlackRock High Yld Bd Port Cl K (BRHYX)	Purchase	10/02/2017	\$1,001 - \$15,000
29	Fidelity Int'l Discovery (FIGRX)	Sale	11/14/2017	\$1,001 - \$15,000
30	Fidelity 500 Index Premium Class (FUSVX)	Sale	11/14/2017	\$15,001 - \$50,000
31	iShares Iboxx investment Grade Corporate Bond ETF (LQD)	Purchase	12/18/2017	\$1,001 - \$15,000
32	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	Purchase	12/18/2017	\$1,001 - \$15,000
33	BlackRock Floating Rate Inc Port Instl (BFRIX)	Sale	12/18/2017	\$1,001 - \$15,000
34	iShares Tr Floating Rate NT ETF (FLOT)	Sale	12/18/2017	\$1,001 - \$15,000
35	iShares Trust iSahres Core High Dividend (HDV)	Sale	12/18/2017	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo Home Mortgage, Inc.		Mortgage on Personal Residence	\$500,001 - \$1,000,000	2012	4.125%	30 years
2	Capital One 360	See Endnote	Exercised Line of Credit	\$100,001 - \$250,000	2016	3.74%	10 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	Dormant since June 2010.
6.	12	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
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6.	19	This fund is included in an account managed by Fidelity Portfolio Advisory Services.

PART	#	ENDNOTE
6.	20	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	21	Managed by Calvert Investment Management, Inc.
6.	40	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	41	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	44	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	45	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	46	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	47	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	48	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	49	This fund is included in an account managed by Fidelity portfolio Advisory Services.
6.	50	This fund is included in an account managed by Charles Schwab and Company.
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6.	62	This fund is included in an account managed by Charles Schwab and Company.
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6.	68	This fund is included in an account managed by Charles Schwab and Company.
6.	69	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	70	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	72	This fund is included in an account managed by Charles Schwab and Company.
6.	73	This fund is included in an account managed by Charles Schwab and Company.
8.	2	Home Equity Line of Credit on personal residence. Replaced Pentagon Federal Line of Credit.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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