



## U.S. Department of Justice

*Washington, D.C. 20530*

Emory A. Rounds, III  
Director  
Office of Government Ethics  
Suite 500  
1201 New York Avenue, NW  
Washington, DC 20005-3919

Dear Mr. Rounds:

In accordance with the provisions of Title I of the Ethics in Government Act of 1978 as amended, I am forwarding the financial disclosure report of Catrina A. Thompson. President Biden has nominated Ms. Thompson to serve as the United States Marshal for the Middle District of North Carolina. We have conducted a thorough review of the nominee's report and have counseled her on the government ethics rules. The purpose of this letter is to describe the steps that Ms. Thompson will take to avoid any actual or apparent conflict of interest in the event that she is confirmed for the aforementioned position. Ms. Thompson understands that it is her responsibility to understand and comply with commitments outlined in this Ethics Agreement (Agreement). This letter and the attached Nominee Statement constitute Ms. Thompson's Agreement.

### SECTION 1 – GENERAL COMMITMENTS

As required by the criminal conflicts of interest law at 18 U.S.C. § 208(a), Ms. Thompson will not participate personally and substantially in any particular matter in which she knows that she has a financial interest directly and predictably affected by the matter, or in which she knows that a person whose interests are imputed to her has a financial interest directly and predictably affected by the particular matter, unless she first obtains a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualifies for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). She understands that the interests of the following persons are imputed to her:

- Her spouse or any minor child of hers;
- Any general partner of a partnership in which she is a limited or general partner;
- Any organization in which she serves as officer, director, trustee, general partner or employee; and
- Any person or organization with which she is negotiating or has an arrangement concerning prospective employment.

In the event that an actual or potential conflict of interest arises during her appointment, Ms. Thompson will consult with an agency ethics official and take the measures necessary to resolve the conflict, such as recusal from the particular matter or divestiture of an asset.

In determining whether a particular matter has a direct and predictable effect on her financial interests or on those of any other person whose interests are imputed to her, Ms. Thompson will consult with the United States Marshals Service ethics officials. As stated in the attachment to this Agreement, Ms. Thompson understands and agrees to comply with the conflict of interest laws and regulations, and to follow the procedures set forth in this Agreement.

If Ms. Thompson has a managed account or otherwise uses the services of an investment professional during his appointment, she will ensure that the account manager or investment professional obtains his prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the regulatory exemption for diversified mutual funds and unit investment trusts at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds.

Ms. Thompson will receive a live ethics briefing from United States Marshals Service ethics officials in connection with her appointment to the position of United States Marshal for the Middle District of North Carolina after her confirmation but not later than 15 days after her appointment pursuant to the ethics program regulation at 5 C.F.R. § 2638.305. Within 90 days of her confirmation, Ms. Thompson will submit her Certification of Ethics Agreement Compliance to United States Marshals Service ethics officials, which documents her compliance with this Agreement.

Ms. Thompson understands that as an appointee she will be required to sign the Ethics Pledge (Exec. Order No. 13989) and that she will be bound by it. Among other obligations, she will be required to recuse from particular matters involving specific parties involving her former employer or former clients for a period of two years after she is appointed, with the exception of Federal, state and local governments.

Ms. Thompson will not modify this Agreement without my approval and the approval of the U.S. Office of Government Ethics pursuant to the ethics agreement requirements contained in the financial disclosure regulation at 5 C.F.R. § 2634.803(a)(4).

## SECTION 2 – RESIGNATIONS

Upon confirmation, Ms. Thompson will resign from her position with the Winston-Salem, N.C., Police Department. For a period of one year after her resignation, Ms. Thompson will have a "covered relationship" under the impartiality regulation at 5 C.F.R. § 2635.502 with the Winston-Salem, N.C., Police Department. Pursuant to 5 C.F.R. § 2635.502(d), the agency will grant her a written authorization to participate personally and substantially in particular matters involving specific parties in which Ms. Thompson knows the Winston-Salem, N.C., Police Department is a party or represents a party.

Upon confirmation, Ms. Thompson will also resign from her positions with the following entities:

- Mental Health Association of Forsyth County
- Kaleideum Children's Museum
- United Way of Forsyth County

- ABC of North Carolina
- Special Olympics of North Carolina
- Senior Services Inc.
- North Carolina Association of Chiefs of Police

Pursuant to the impartiality regulation at 5 C.F.R. § 2635.502, for a period of one year after her resignation from each of these entities, Ms. Thompson will not participate personally and substantially in any particular matter involving specific parties in which she knows the entity is a party or represents a party, unless she is first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

### SECTION 3 – SPOUSE EMPLOYMENT

Ms. Thompson's spouse is employed by the City of Spartanburg, S.C., Police Department, in a position for which he receives a fixed annual salary. Pursuant to the impartiality regulation at 5 C.F.R. § 2635.502, for as long as her spouse continues to work for the City of Spartanburg, S.C., Police Department, Ms. Thompson will not participate personally and substantially in any particular matter involving specific parties in which she knows the City of Spartanburg, S.C., Police Department, is a party or represents a party, unless she is first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

### SECTION 4 – PUBLIC POSTING

Ms. Thompson has been advised that this Agreement and the Certification of Ethics Agreement Compliance will be posted publicly, consistent with the public information law at 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports.

Based on the above agreements and counseling, I am satisfied that the enclosed report presents no conflicts of interest under applicable laws and regulations and that you may so certify to the Senate Judiciary Committee.

Sincerely,

**ARTHUR  
GARY**

Digitally signed by  
ARTHUR GARY  
Date: 2022.10.13  
18:11:41 -04'00'

Arthur E. Gary  
Deputy Assistant Attorney General for Policy,  
Management and Procurement and  
Alternate Designated Agency Ethics

Enclosure

## NOMINEE STATEMENT

I have read the Ethics Agreement signed by Arthur E. Gary, Deputy Assistant Attorney General for Policy, Management and Procurement and Alternate Designated Agency Ethics Official on October 13, 2022, and I agree to comply with the commitments outlined in this Agreement. In addition, in the event that an actual or potential conflict of interest arises during my appointment, I will consult with the United States Marshals Service (USMS) ethics officials and take the measures necessary to resolve the conflict, such as recusal from the particular matter or divestiture of an asset. I will not modify this ethics agreement without your approval and the approval of the U.S. Office of Government Ethics pursuant to the ethics agreement requirements contained in the financial disclosure regulation at 5 C.F.R. § 2634.803(a)(4). I (including my spouse and dependent children if applicable) also will not repurchase any asset I was required to divest without my consultation with the USMS ethics officials and the U.S. Office of Government Ethics. Finally, I understand that as an appointee I will be required to sign the Ethics Pledge (Exec. Order No. 13989) and that I will be bound by it. Among other obligations, I will be required to recuse from particular matters involving specific parties involving my former employer or former clients for a period of two years after I am appointed, with the exception of Federal, state, and local government.

*Catrina A. Thompson*

Catrina A. Thompson

Date: 10/21/2022