OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209-0001

Date of Appointment, Candidacy, Election, or Nomination (*Month, Day, Year*)	Reporting Status (Check Appropriate Boxes)	Incumbent ☐	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate ☒	Termination Filer ☐	Termination Date (*If Applicable*) (*Month, Day, Year*)

	Last Name	First Name and Middle Initial
Reporting Individual's Name	Burwell	Sylvia, M.
Position for Which Filing	Title of Position: Secretary	Department or Agency (*If Applicable*): Department of Health and Human Services
Location of Present Office (or forwarding address)	Address (*Number, Street, City, State, and ZIP Code*): 725 17th Street NW, Washington, DC 20503	Telephone No. (*Include Area Code*): 202-395-4840
Position(s) Held with the Federal Government During the Preceding 12 Months (*If Not Same as Above*)	Title of Position(s) and Date(s) Held: Director, Office of Management and Budget 4/24/2013 - Present	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Committee on Finance	Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No

Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: Sylvia M Burwell	Date (*Month, Day, Year*): 4/24/2014
Other Review (If desired by agency)	Signature of Other Reviewer: Kelly J Hall	Date (*Month, Day, Year*): 4/24/2014
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official	Date (*Month, Day, Year*): 4/24/2014
Office of Government Ethics Use Only	Signature	Date (*Month, Day, Year*): 4/25/14

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* _____) ☐

(*Check box if comments are continued on the reverse side*) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

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Supersedes Prior Editions.

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Reporting Individual's Name	SCHEDULE A	Page Number
Burwell, Sylvia M.		2

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B													BLOCK C														
														Type				Amount										
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse). None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common				X											X					X										
Examples: Doe Jones & Smith, Hometown, State																														Law Partnership Income $130,000	
Examples: Kempstone Equity Fund					X								X										X								
Examples: IRA: Heartland 500 Index Fund							X						X											X							
1 ALLIANZ NFJ INTERNATIONAL VALUE CLASS D (AFJDX)		X											X						X											
2 ALLIANZ NFJINTERNATIONAL VALUE INST (ANJIX)					X								X										X								
3 ARTISAN MID CAP VALUE (ARTQX)					X								X											X							
4 BANK SAVINGS FUND (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)		X											X						X											
5 CASH BALANCE ACCOUNTS (CHARLES SCHWAB)		X																	X											
6 COLUMBIA ACORN INTERNATIONAL (CAIRX)			X										X								X									-	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Burwell, Sylvia M.		3

Assets and Income	Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.														
BLOCK A	BLOCK B												BLOCK C														
													Type				Amount										
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 COLUMBIA ACORN INTERNATIONAL Z (ACINX)			X										X								X											
2 DODGE & COX INTERNATIONAL STOCK FUND (DODFX)			X										X								X											
3 DOUBLELINE EMERGING MARKETS FIXED INCOME I (DBLEX)				X									X								X											
4 EATON VANCE TAX MANAGED EMERGING MARKETS FUND INST (EITEX)				X									X									X										
5 FEDERATED ADJUSTABLE RATE SECURITIES FUND (FEUGX)				X									X								X											
6 FIDELITY CONTRAFUND (FCNTX) (RETIREMENT PLANS ONLY)			X										X										X									
7 GUGGENHEIM FRONTIER MARKETS ETF (FRN)			X										X									X										
8 HARBOR CAPITAL APPRECIATION FD (HACAX) (RETIREMENT PLANS ONLY)			X										X									X										
9 INVESCO SMALL CAP VALUE Y (VSMIX) (RETIREMENT PLANS ONLY)			X										X										X									

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Assets and Income	Valuation of Assets at close of reporting period												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.														
BLOCK A	BLOCK B												BLOCK C														
													Type				Amount										
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 IQ HEDGE MULTI-STRATEGY TRACKER ETF (QAI)					X								X										X								
2 ISHARES RUSSELL 2000 GROWTH INDEX (IWO) (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)		X											X							X											
3 ISHARES TR S&P SHORT TERM NATL MUNICIPAL BD FD (SUB)				X									X								X										
4 JP MORGAN MARKET NEUTRAL FUND INSTL SHARES (JPMNX)		X											X							X											
5 LAZARD DEVELOPING MARKETS EQUITY FD INST SHS (LDMIX)		X											X							X											
6 MAINSTAY FLOATING RATE I (MXFIX)					X								X										X								
7 MATTHEWS ASIAN GROWTH & INCOME FUND (MACSX)				X									X									X									
8 MERGER FUND (MERFX)					X								X										X								
9 NEW ECONOMY FUND CLASS F (ANFFX)		X											X							X											

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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																	
														Type				Amount													
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 OSTERWEIS STRATEGIC INCOME (OSTIX)				X									X									X									
2 PIMCO TOTAL RETURN INSTL (PTTRX)					X								X											X							
3 PIONEER STRATEGIC INCM Y (STRYX)				X									X										X								
4 PIONEER STRATEGIC INCOME CLASS A (PSRAX)		X											X								X										
5 ROBECO BOSTON PARTNERS LONG/SHORT EQ INST (BPLSX)					X								X											X							
6 SCHWAB GOV'T MONEY MARKET FUND							X						X							X											
7 SCHWAB US TREASURY MONEY MARKET FUND			X										X							X											
8 SPDR BARCLAYS CAPITAL INT'L TR BD ETF (BWX)(NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)		X											X							X											
9 SSGA S & P 500 INDEX FUND (SVSPX) (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)			X										X							X											

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BLOCK A	BLOCK B													BLOCK C																		
														Type				Amount														
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 STATE STREET MSCI EX-US INDEX ETF (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)				X									X						X												
2 T ROWE PRICE MID CAP EQUITY GROWTH (PMEGX) (RETIREMENT PLANS ONLY)					X								X										X								
3 T ROWE PRICE TAX-FREE SHORT INTERMEDIATE (PRFSX)					X								X								X										
4 T. ROWE PRICE LG CAP GROWTH (TRLGX) (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)		X											X							X											
5 TEMPLETON FRONTIER MARKET FUND ADV (FFRZX)				X									X								X										
6 TEMPLETON GLOBAL BOND FD (TGBAX)			X										X										X								
7 TEMPLETON GLOBAL TOTAL RETURN FUND ADV (TTRZX)				X									X									X									
8 TEMPLETON INST FDS FOREIGN SMALLER CO (TFSCX)		X											X						X												
9 TRIBUTARY SMALL COMPANY FUND (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)		X											X						X												

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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																
													Type				Amount												
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$5,000,001 - $25,000,000	Over $25,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 VANGUARD TOT BD MKT IDX FD (VBTIX) (RETIREMENT PLANS ONLY)					X						X											X							
2 VANGUARD DIVIDEND GROWTH (VDIGX)					X						X										X								
3 VANGUARD EQUITY INCOME (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)		X									X								X										
4 VANGUARD EXTENDED MARKET INDEX (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)			X								X						X												
5 VANGUARD FTSE EMERGING MARKETS ETF (VWO)			X								X								X										
6 VANGUARD INDEX 500 FUND (VINIX) (RETIREMENT PLANS ONLY)			X								X										X								
7 VANGUARD INFLATION-PROTECTED SECURITIES (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)		X									X						X												
8 VANGUARD PRIME MONEY MARKET FUND (RETIREMENT PLANS ONLY)			X								X						X												
9 INTENTIONALLY LEFT BLANK																														

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																		
														Type				Amount												Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000		
1 VANGUARD SHORT-TERM BD INDEX INSTL PLUS (VBIPX) (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)			X										X							X												
2 WALTHAUSEN SMALL CAP VALUE FUND (WSCVX)				X									X										X									
3 **Securities no longer owned which produced income during reporting period:																																	
4 AMAZON.COM INC	X																		X			X											
5 AMER FUNDS EUROPACIFIC GROWTH FUND (RERGX) (RETIREMENT PLANS ONLY)	X												X							X												
6 FIRST AMERICAN GLOBAL INFRASTRUCTURE FUND CLASS Y (FGIYX)	X												X									X											
7 FIRST AMERICAN REAL ESTATE SECURITIES FUND (FARCX)	X												X										X										
8 HENDERSON INTL OPPORTUNITIES A (HFOAX)	X												X									X											
9 ING GLOBAL REAL ESTATE FUND CLASS A (IGLAX)	X												X												X								

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														Type				Amount												
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 **Securities no longer owned which produced income during reporting period, continued:																																
2 INVESCO INTERNATIONAL GROWTH (AIEVX) (RETIREMENT PLANS ONLY)	X												X					X							X						
3 IPATH DJ-UBS COMMODITY TOTAL RETURN ETN (DJP)	X												X											X							
4 ISHARES AMT-FREE MUNI BOND ETF (MUB)	X												X									X									
5 ISHARES CORE S&P MID CAP ETF (IJH)	X												X									X									
6 ISHARES MSCI EAFE ETF (EFA)	X												X									X									
7 ISHARES S&P 500 INDEX ETF (IVV)	X												X											X							
8 ISHARES S&P SMALLCAP 600 ETF (IJR)	X												X											X							
9 ISHARES TRUST RUSSELL 3000 INDEX FUND (IWV)	X												X								X										

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Assets and Income	Valuation of Assets at close of reporting period												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.														
BLOCK A	BLOCK B															BLOCK C											
													Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 **Securities no longer owned which produced income during reporting period, continued:																														
2 MAINSTAY MARKETFIELD CLASS I (MFLDX)	X												X												X					
3 METLIFE INC COM	X															X			X								X					
4 PIMCO EMRG LOCAL BOND (PELBX)	X												X							X												
5 PIMCO TOTAL RETURN ADMIN BONDS (PTRAX) (RETIREMENT PLANS ONLY)	X												X								X										
6 POWERSHARES FTSE RAFI US 1000 (PRF)	X												X										X									
7 PRUDENTIAL JENNISON 20/20 FOCUS FUND CLASS Z (PTWZX)	X												X											X								
8 SCHWAB TREASURY OBLIGATION MONEY MARKET FUND (SNOXX)	X												X							X												
9 SCHWAB INTERNATIONAL EQUITY ETF (SCHF)	X												X									X										

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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)														
														Type				Amount										
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt from Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 **Securities no longer owned which produced income during reporting period, continued:																														
2 SPDR DJ WILSHIRE INTERNATIONAL REAL ESTATE ETF (RWX)	X												X								X									
3 VAN ECK GLOBAL HARD ASSETS FUND I (GHAIX)	X												X										X							
4 VANGUARD DIVIDEND APPRECIATION ETF (VIG)	X												X									X								
5 VANGUARD REIT INDEX FUND INTL (VGSNX) (NEBRASKA 529 DIRECT COLLEGE SAVINGS PLAN)	X												X											X						
6 VANGUARD SHORT TERM BOND ETF (BSV)	X												X												X					
7 INTENTIONALLY LEFT BLANK																														
8 INTENTIONALLY LEFT BLANK																														
9 INTENTIONALLY LEFT BLANK																														

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																
														Type				Amount										Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000		
1 COMMERCIAL REAL ESTATE HINTON, WV					X														X											
2 RESIDENTIAL REAL ESTATE HINTON, WV					X														X											
3 LOAN TO MR. SCHNURMAN		X																	X											
4 BANK OF AMERICA CASH ACCOUNT (CHECKING)			X																X											
5 FIRST CENTURY CASH ACCOUNT (CHECKING)			X																X											
6 ARVEST BANK CASH ACCOUNT (CHECKING)					X														X											
7 ARVEST BANK CASH ACCOUNT (SAVINGS)		X																	X											
8 INTENTIONALLY LEFT BLANK																														
9 INTENTIONALLY LEFT BLANK																														

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Assets and Income	Valuation of Assets at close of reporting period												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B												BLOCK C															
													Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 METLIFE, INC.:																															
2 -DEFFERED COMPENSATION PLAN ORDINARY INCOME (PAID AS STOCK, STOCK SOLD IN 2013)	X																													$686,177	
3 -DIRECTOR'S COMPENSATION (PAID PRIOR TO ENTERING GOVERNMENT SERVICE IN 2013)																														$528	
4 -ORDINARY INCOME ON THE EXERCISE OF METLIFE STOCK OPTIONS (STOCK SOLD IN 2013)	X																													$5,150	
5 INTENTIONALLY LEFT BLANK																															
6 INTENTIONALLY LEFT BLANK																															
7 INTENTIONALLY LEFT BLANK																															
8 INTENTIONALLY LEFT BLANK																															
9 INTENTIONALLY LEFT BLANK																															

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
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	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
															Type				Amount											
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	WAL-MART STORES, INC:																														
2	-SALARY AND BENEFITS																													$247,965	
3	-FY 2013 CASH INCENTIVE	X																												$250,000	
4	-DEFFERED COMPENSATION PLAN PAYMENT	X																												$407,421	
5	-VESTED PERFORMANCE SHARES (PAID IN STOCK, SOLD IN 2013)	X															X			X				X						$61,634 Ordinary Income	
6	INTENTIONALLY LEFT BLANK																														
7	INTENTIONALLY LEFT BLANK																														
8	INTENTIONALLY LEFT BLANK																														
9	INTENTIONALLY LEFT BLANK																														

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

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Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☐

	Source *(Name and Address)*	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$385
1			
2			
3			
4			
5			

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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x) $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10 %	on demand					x						
1	ASPIRE RESOURCES INC., WEST DES MOINES, IA	STUDENT LOAN (SPOUSE)	2004	2.625 %	25 years			X								
2	ARVEST MORTGAGE COMPANY BENTONVILLE, AR	MORTGAGE (PRIMARY RESIDENCE; SOLD 2013)	2011	3.625 %	15 years					X						
3	CHASE, WASHINGTON, DC	MORTGAGE (PRIMARY RESIDENCE; ACQUIRED 2013) (5 YEAR ARM)	2013	2%	30 years					X						
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	I will continue to participate in the Bill & Melinda Gates Foundation 457(b), 403(b) & 401(k) plans. All of the Plans' holdings are reported on Sch A. BMGF has not made any further contributions to the Plans since my resignation.	Bill & Melinda Gates Foundation, Seattle, WA	4/01
2	Consistent with Company policy, MetLife represents and indemnifies current and former directors named as parties to litigation taken against them by reason of their service as directors or former directors.	MetLife, Inc., New York, NY	1/04
3			
4			
5			
6			

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	MetLife, Inc. New York, NY	Insurance Company	Director	01/2004	04/2013
2	Wal-Mart Stores, Inc., Bentonville, AR	Retail Company	President, Wal-Mart Foundation; VP, Wal-Mart Stores, Inc.	01/2012	04/2013
3	Council on Foreign Relations, Washington, DC	Non-Profit	Member, Board of Directors	06/2007	04/2013
4	Pete G. Peterson Foundation, New York, NY	Non-Profit	Advisory Board Member	12/2009	04/2013
5	The Nike Foundation, Beaverton, OR	Corporate Foundation	Advisory Group	03/2005	04/2013
6	ALS Evergreen Chapter, Kent, WA	Non-Profit	Advisory Board Member	01/2008	04/2013

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1	MetLife, Inc., New York, NY	Board Service
2	Wal-Mart Stores, Inc., Bentonville, AR	Employment as President of Wal-Mart Foundation and as Vice President of Wal-Mart Stores, Inc.
3		
4		
5		
6		