

# Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

*jm*

|   |   |   |  |                                     |                                     |   |
|---|---|---|--|-------------------------------------|-------------------------------------|---|
| Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)   | Reporting Status (Check one)<br>Incumbent <input checked="" type="checkbox"/> New Hire <input type="checkbox"/>   | Calendar Year Covered by Report<br>2011 | New Current Nominee or Candidate <input type="checkbox"/>              | Termination Date (Month, Day, Year) | Termination Date (Month, Day, Year) | <p>Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or if an extension is granted more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.</p> <p><b>Reporting Periods</b><br/>         (Month, Day, Year) The reporting period is the preceding calendar year except Part I of Schedule D where you may also include the filing year up to the date you file. Part D of Schedule D is not applicable.<br/> <b>Termination Dates:</b> The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part D of Schedule D is not applicable.<br/> <b>Schedule A - The reporting period for income (RPOCK) is the preceding calendar year and the current calendar year up to the date of filing. What is the date of any date you choose that is within 31 days of the date of filing.</b><br/> <b>Schedule B - Not applicable.</b><br/> <b>Schedule C, Part I (Qualities) - The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.</b><br/> <b>Schedule C, Part II (Arrangements or Arrangements) - Show any agreements or arrangements as of the date of filing.</b><br/> <b>Schedule D - The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</b></p> |
| Reporting Individual's Name   | Kerlikowske   |   | Richard G  |                                     |                                     |   |
| Position for Which Filing   | Director  |   | Office of National Drug Control Policy (ONDCP)                         |                                     |                                     |   |
| Location of Present Office (or forwarding address)  | 750 17th Street, Washington DC  |   | 202-395-6700   |                                     |                                     |   |
| Position(s) Held with the Federal Government During the Preceding 2 Months (if Not Same as Above)   |   |   |  |                                     |                                     |   |
| Presidential Nominee Subject to Senate Confirmation   | <input type="checkbox"/> Member of Commission on Presidential Nominations<br><input type="checkbox"/> Member of Commission on Presidential Nominations  |   | <input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No |                                     |                                     |   |
| Certification   | Signature of Reporting Individual   |   | Date (Month, Day, Year)  |                                     |                                     |   |
| I CERTIFY that the information I have made on this form and all attached schedules is true, complete and correct to the best of my knowledge.   | <i>R. Kerlikowske</i>   |   | 9/6/2012   |                                     |                                     |   |
| Other Review (if needed by agency)  | Signature of Other Reviewer   |   | Date (Month, Day, Year)  |                                     |                                     |   |
| Agency/Office Official's Opinion (If the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below). | Signature of Designated Agency/Office Official Reviewing Official   |   | Date (Month, Day, Year)  |                                     |                                     |   |
| Office of Government Ethics (The Only)  | Signature   |   | Date (Month, Day, Year)  |                                     |                                     |   |
| Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)   | IR 6/12/12 JVP, ABARO E. See supplemental Check box if filing extension granted & indicate number of days <u>45</u> <input checked="" type="checkbox"/><br>Focus on 6/12/12; 7/23/12; 8/9/12; 8/30/12 & 9/6/12 JVP, ABARO |   |  |                                     |                                     |   |

(Check box if comments are continued on the reverse side)

Rec'd 6/3/12 JVP

SEP 20 2012

**SCHEDULE A**

Richard G. Kerlikowske

| Assets and Income<br>BLOCK A   |   | Valuation of Assets<br>at close of<br>reporting period<br>BLOCK B |                    |                     |                      |                       |                       |                         |                   | Income: type and amount. If "None (or less than \$201)" is checked, no<br>other entry is needed in Block C for that item.<br>BLOCK C |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
|--|---|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|--|----------------|-----------------|-----------|---------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|--------------------------|------------------|------------------|--|--|-------------------------------|--|
|  |   | None (or less than \$1,001)                                       | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | Excepted Investment Fund   | Excepted Trust | Qualified Trust | Dividends | Rents and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000* | Over \$1,000,000 | Over \$5,000,000 | Other<br>Income<br>(Specify<br>Type &<br>Actual<br>Amount) | Date<br>(Mo., Day,<br>Yr.)<br><br>Only if<br>Honoraria |                               |  |
| For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse).   |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| None <input type="checkbox"/>  |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| Examples   |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| Central Airlines Common  |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| Doe Jones & Smith, Hometown, State   |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| Keystone Equity Fund   |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| IRA: Heartland 500 Index Fund  |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| 1  | Washington State Retirement                             |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  | \$42,321.00<br>Pension Income |  |
| F  |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| 2  | City of St. Petersburg, Florida<br>Defined Benefit Plan |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  | \$10,123.00<br>Pension Income |  |
| F  |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| 3  | Circle Solutions, Inc.<br>McLean, VA                    |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  | Salary                        |  |
| S  |   |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| 4  | Circle Solutions, Inc. 401 K                            |   |                    |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          |               |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| S  | Invesco Stable Value Collective Trust                   | x   |                    |                     |                      |                       |                       |                         |                   |  |                |                 | x         |                     |          | x             |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
|  | EuroPacific Growth Fund                                 | x   |                    |                     |                      |                       |                       |                         |                   |  |                |                 | x         |                     |          | x             |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| 5  | Growth Fund of America                                  |   | x                  |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          | x             |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| S  | American Funds New World Fund                           |   | x                  |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          | x             |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
|  | Columbia Mid Cap Index Fund Z                           |   | x                  |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          | x             |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| 6  | Columbia Small Cap Value I Fund Z                       |   | x                  |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          | x             |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
| S  | American Funds Capital World                            |   | x                  |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          | x             |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |
|  | Fundamental Investor                                    |   | x                  |                     |                      |                       |                       |                         |                   |  |                |                 |           |                     |          | x             |                           |                 |                   |                   |                    |                     |                      |                          |                  |                  |  |  |                               |  |

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name

Richard G. Kerlikowski

**SCHEDULE A continued**

(Use only if needed)

| Assets and Income<br>BLOCK A |   | Valuation of Assets at close of reporting period<br>BLOCK B |                    |                     |                      |                       |                       |                         |                            |                             |                   | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.<br>BLOCK C |           |          |               |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
|------------------------------|---|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|----------------------------|-----------------------------|-------------------|---|-----------|----------|---------------|---------------------------|-------------------|-----------------|------------------|---------------------|----------------------|-----------------------|----------------|---|---|--|
|                              |   | None (or less than \$1,000)                                 | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | None (or less than \$201)   | Dividends | Interest | Capital Gains | None (or less than \$201) | \$1,001 - \$2,500 | \$2,501 - \$100 | \$101 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$500,000 | Over \$500,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.)<br>Only if Honoraria |  |
| 1                            | American Funds Washington Mutual Fund                         | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
|                              | American Funds Capital Income Builder R3                      | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| S                            | American Funds Bond Fund R3                                   | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| 2                            | American Funds High Income Trust R3                           | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| S                            | Phoenix House, Inc.<br>Circle Solutions, Inc. Stock Ownership |   |                    | X                   |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                | Consulting Fees                             |   |  |
| 3                            | Growth Fund of America  |   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| J                            | New Perspectives Fund   |   |                    | X                   |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
|                              | American Mutual Fund  |   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| 4                            | Fundamental Investors   |   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| J                            | Investment Company of America                                 |   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
|                              | American Balanced Fund  |   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| 5                            | American Global Balanced Fund                                 |   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| J                            | Cash  |   |                    |                     |                      |                       |                       |                         |                            |                             |                   |   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
|                              | Accenture PLC Ireland   | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| 6                            | Precision Cusparts Corp                                       | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| J                            | Southern Copper Corp  | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
|                              | United Contl. Holdings  | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| 7                            | Bank of America Checking Account                              |   |                    | X                   |                      |                       |                       |                         |                            |                             |                   |   | X         |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| J                            | Bank of America CD  |   |                    | X                   |                      |                       |                       |                         |                            |                             |                   |   | X         |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
|                              | Wells Fargo   | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   | X         |          | X             | X                         |                   |                 |                  |                     |                      |                       |                |   |   |  |
| 8                            | Veeco Industries, Inc.  | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
|                              | Western Digital Corp  | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| J                            | Banco Badesco SA  | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| 9                            | SPDR S&P Mid-Cap 400 ETF                                      |   |                    | X                   |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
| J                            | Vanguard Index Funds  | X   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |
|                              | Core Laboratories   |   |                    |                     |                      |                       |                       |                         |                            |                             |                   | X   |           |          | X             |                           |                   |                 |                  |                     |                      |                       |                |   |   |  |

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Reporting Individual's Name  
 Richard G. Kerlikowske

**SCHEDULE A continued**  
 (Use only if needed)

| Assets and Income<br>BLOCK A |   | Valuation of Assets at close of reporting period<br>BLOCK B |                    |                     |                      |                       |                       |                         |                   |      |                | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.<br>BLOCK C |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
|------------------------------|---|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|------|----------------|---|----------------------------|-----------------------------|-------------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|----------------|-------------------|------------------|---|--|--|--|--|--|
|                              |   | None  | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | None | Accepted Trust | Over \$1,000,000  | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | Over \$100,000 | Over \$1,000,000* | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.)<br>Only if Honorary |  |  |  |  |
| 1                            | DA Davidson IRA includes roll over of Seattle Deferred Comp |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| F                            | American Balanced (ABALX)                                   |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          | X             |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| 2                            | Investment Portfolio DA Davidson                            |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | Banner Corporation  |   | X                  |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | ConocoPhillips  |   | X                  |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| 4                            | Honeywell International                                     |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | Intel Corp  |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | Nucor Corp  |   | X                  |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| 5                            | IRA (managed by D.A. Davidson & Co.)                        |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| F                            | Fundamental Investors Inc Cl A (ANCFX)                      |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| F                            | Growth Fund of America CL A (AGTHX)                         |   |                    |                     | X                    |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| 6                            | American Movil Sab De CVV                                   |   | X                  |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | Ameron International Corp (AMN)                             |   | X                  |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | Wells Fargo   |   | X                  |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| 7                            | Block Financial   |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | Dow Chemical Internotes                                     |   |                    |                     | X                    |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | Bank of America Internotes                                  |   |                    |                     | X                    |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| 8                            | Expeditors Intl Wash Inc.                                   |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | General Electric  |   | X                  |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | American Funds Global CL A                                  |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| 9                            | New Perspectives Fund                                       |   |                    |                     | X                    |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | American Mutual Fund  |   |                    |                     | X                    |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |
| J                            | AT&T  |   |                    |                     |                      |                       |                       |                         |                   |      |                |   |                            |                             |                   |           |                    |          |               |                           |                 |                   |                   |                    |                     |                      |                |                   |                  |   |  |  |  |  |  |

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.



Do not Complete Schedule B If you are a new entrant, nominee, Vice Presidential or Presidential Candidate

|  |            |                         |
|--|------------|-------------------------|
| Reporting Individual's Name<br><b>Richard G. Kerlikowske</b> | SCHEDULE B | Page Number<br><b>6</b> |
|--|------------|-------------------------|

**Part I: Transactions**

None

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not

report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

| Identification of Assets         | Transaction Type (x) |      |          | Date (Mo., Day, Yr.) | Amount of Transaction (x) |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |  |
|----------------------------------|----------------------|------|----------|----------------------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|------------------|---------------------------|----------------------------|-----------------------------|-------------------|----------------------------|--|
|                                  | Purchase             | Sale | Exchange |                      | \$1,000 - \$15,000        | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Certificate of divestiture |  |
| Example: Central Airlines Common | x                    |      |          | 2/1/99               |                           |                     | x                    |                       |                       |                         |                  |                           |                            |                             |                   |                            |  |
| 1 Wells Fargo & Co               | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |  |
| 2 Fundamental Investors CLA A    | x                    |      |          | 6/08/11              |                           |                     |                      | x                     |                       |                         |                  |                           |                            |                             |                   |                            |  |
| Growth Fund of America CL A      | x                    |      |          | 6/06/11              |                           |                     |                      | x                     |                       |                         |                  |                           |                            |                             |                   |                            |  |
| 3 Investment Company of America  | x                    |      |          | 6/10/11              | x                         |                     |                      | x                     |                       |                         |                  |                           |                            |                             |                   |                            |  |
| Growth Fund of America CL A      | x                    |      |          | 12/20/11             | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |  |
| 4 Accenture PLC Ireland          | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |  |
| Core Laboratories                | x                    |      |          | 6/01/11              |                           | x                   |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |  |
| 5 Agrium Inc.                    | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |  |
| American Movil Sab De CVV        | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |  |

\* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

**Part II: Gifts, Reimbursements, and Travel Expenses**

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government, given to your agency in connection with official travel, received from relatives, received by your spouse or dependent child totally independent of their relationship to you, or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None

| Source (Name and Address)                        | Brief Description   | Value |
|--|---|-------|
| Examples: Nat'l Assn. of Rock Collectors, NY, NY | Airline ticket, hotel room & meals incident to national conference, 6/15/99 (personal activity unrelated to duty) | \$500 |
| Frank Jones, San Francisco, CA                   | Leather briefcase (personal friend)   | \$300 |
| 1  |   |       |
| 2  |   |       |
| 3  |   |       |
| 4  |   |       |
| 5  |   |       |

Reporting Individual's Name  
Richard G. Kerlikowski

**SCHEDULE B continued**  
(Use only if needed)

Page Number  
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**Part I: Transactions**

|    | Identification of Assets   | Transaction Type (x) |      |          | Date (Mo., Day, Yr.) | Amount of Transaction (*) |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
|----|--|----------------------|------|----------|----------------------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|------------------|---------------------------|----------------------------|-----------------------------|-------------------|----------------------------|
|    |  | Purchase             | Sale | Exchange |                      | \$1,001 - \$15,000        | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Certificate of divestiture |
|    | Example: Central Airlines Common   | x                    |      |          | 2/1/99               |                           |                     | x                    |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 1  | Ameron International Corp (AMN)  | x                    |      |          | 2/22/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 2  | American Funds Global Bal CL A (GBLAX)<br>Teva Pharmaceuticals Inds ADR (TEVA) | x                    | x    |          | 4/29/11              | x                         |                     |                      | x                     |                       |                         |                  |                           |                            |                             |                   |                            |
| 3  | New Perspectives Fund Inc. A (ANWPX)<br>Fundamental Investors Inc CL A (ANCFX) | x                    |      |          | 4/29/11              |                           |                     | x                    |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 4  | American Mutual Fund CLA A (AMRMX)<br>AT&T (T)                                 | x                    |      |          | 4/29/11              |                           |                     |                      | x                     |                       |                         |                  |                           |                            |                             |                   |                            |
| 5  | International Paper Co (IP)<br>Weyerhaeuser Co (WY)                            | x                    |      |          | 7/18/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 6  | Teva Pharmaceuticals Inds ADR (TEVA)<br>Ameron International Corp (AMN)        | x                    | x    |          | 12/13/11             | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 7  | Cameron International Corp<br>Amerisource Bergen Corp                          | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 8  | Cognizant Technology Solutions<br>Cummins, Inc.                                | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 9  | Danaher Corp Del<br>Dicks Sporting Goods Inc.                                  | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 10 | Disney<br>Discovery Communications   | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 11 | Direct TV<br>Dresser Rand Group Inc.   | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 12 | FactSet Resh Systems Inc.<br>Flowerserve Corp                                  | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 13 | Hasbro, Inc.<br>HealthSpring Inc.  | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 14 | Impax Laboratories Inc.<br>Informatica Corp                                    | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 15 | Joy Global Inc.<br>McKesson Corp   | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |
| 16 | Nu Skin Enterprises<br>Oracle Corp   | x                    |      |          | 6/01/11              | x                         |                     |                      |                       |                       |                         |                  |                           |                            |                             |                   |                            |

\* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name: **Richard G. Karlikowski** Page Number: **8**

| Part II Transactions |  | Value of Transaction |                    |                      |                       |                         |                           |                            |                             |                              |                               | Certificate of Ownership |                                 |
|----------------------|--|----------------------|--------------------|----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|------------------------------|-------------------------------|--------------------------|---------------------------------|
|                      |  | \$0                  | \$1,001 - \$50,000 | \$50,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | \$50,000,001 - \$100,000,000 | \$100,000,001 - \$500,000,000 |                          | \$500,000,001 - \$1,000,000,000 |
|                      |  |                      |                    |                      |                       |                         |                           |                            |                             |                              |                               |                          |                                 |
| 1                    | Precision Cast Parts Corp<br>Southern Copper Corp      |                      |                    |                      |                       |                         |                           |                            |                             |                              |                               |                          |                                 |
| 2                    | United Contl. Holdings, Inc.<br>Veeco Industries, Inc. |                      |                    |                      |                       |                         |                           |                            |                             |                              |                               |                          |                                 |
| 3                    | Western Digital Corp<br>Banco Badesco, SA              |                      |                    |                      |                       |                         |                           |                            |                             |                              |                               |                          |                                 |
| 4                    | SPDR S&P Mid-Cap 400 ETF<br>Vanguard Index Funds       |                      |                    |                      |                       |                         | x                         |                            |                             |                              |                               |                          |                                 |
| 5                    |  |                      |                    |                      |                       |                         |                           |                            |                             |                              |                               |                          |                                 |

\* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

|  |            |                         |
|--|------------|-------------------------|
| Reporting Individual's Name<br><b>Richard G. Kerlikowski</b> | SCHEDULE C | Page Number<br><b>9</b> |
|--|------------|-------------------------|

**Part I: Liabilities**

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

| Creditor (Name and Address)   | Type of Liability  | Date Incurred | Interest Rate | Term if applicable  | Category of Amount or Value (x) |                     |                      |                       |                       |                         |                    |                    |                    |                    |                     |                     |                     |  |  |  |  |  |   |
|---|--|---------------|---------------|---------------------|---------------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|--------------------|--------------------|--------------------|--------------------|---------------------|---------------------|---------------------|--|--|--|--|--|---|
|   |  |               |               |                     | \$10,001 - \$15,000             | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - Over | \$1,000,001 - Over | \$1,000,001 - Over | \$5,000,001 - Over | \$25,000,001 - Over | \$50,000,001 - Over | \$50,000,001 - Over |  |  |  |  |  |   |
| Example: First District Bank, Washington, DC<br>John Jones, 123 J St., Washington, DC | Mortgage on rental property, Delaware<br>Promissory note | 1991<br>1999  | 8%<br>10%     | 25 yrs<br>on demand |                                 |                     | x                    |                       |                       |                         |                    |                    |                    |                    |                     |                     |                     |  |  |  |  |  |   |
| 1 Well Fargo Home Mortgage  | Personal residence mortgage                              | 2010          | 4.37%         | 30 yrs fixed        |                                 |                     |                      |                       |                       |                         |                    |                    |                    |                    |                     |                     |                     |  |  |  |  |  | x |
| 2   |  |               |               |                     |                                 |                     |                      |                       |                       |                         |                    |                    |                    |                    |                     |                     |                     |  |  |  |  |  |   |
| 3   |  |               |               |                     |                                 |                     |                      |                       |                       |                         |                    |                    |                    |                    |                     |                     |                     |  |  |  |  |  |   |
| 4   |  |               |               |                     |                                 |                     |                      |                       |                       |                         |                    |                    |                    |                    |                     |                     |                     |  |  |  |  |  |   |
| 5   |  |               |               |                     |                                 |                     |                      |                       |                       |                         |                    |                    |                    |                    |                     |                     |                     |  |  |  |  |  |   |

\* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

**Part II: Agreements or Arrangements**

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

| Example: | Status and Terms of any Agreement or Arrangement  | Parties                            | Date |
|----------|---|------------------------------------|------|
|          | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.   | Doc Jones & Smith, Hometown, State | 7/85 |
| 1        | City of Seattle Deferred Compensation. I could no longer participate. Assets were transferred to DA Davidson IRA  |                                    | 5/09 |
| F        |   |                                    |      |
| 2        | Washington State Dept. of Retirement Systems-LEOFF Plan 2. This is a defined benefit. Plan. 1 and the City of Seattle contribute to the Plan. I cannot chose the investment options. Upon retirement I will receive a monthly benefit of \$ 3,477.00. | Filer and State of Washington      | 9/00 |
| 3        |   |                                    |      |
| F        | City of St. Petersburg (FL) Defined Benefit Plan. I receive \$841.25 monthly beginning at age 55.   | Filer and City of St. Petersburg   | 2/72 |
| 4        |   |                                    |      |
| 5        |   |                                    |      |
| 6        |   |                                    |      |

|  |            |                          |
|--|------------|--------------------------|
| Reporting Individual's Name<br><b>Richard G. Kerlikowske</b> | SCHEDULE D | Page Number<br><b>10</b> |
|--|------------|--------------------------|

**Part I: Positions Held Outside U.S. Government**

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

|          | Organization (Name and Address)   | Type of Organization             | Position Held        | From (Mo., Yr.) | To (Mo., Yr.)   |
|----------|---|----------------------------------|----------------------|-----------------|-----------------|
| Examples | Natl Assn. of Rock Collectors, NY, NY<br>Doe Jones & Smith, Hometown, State | Non-profit education<br>Law firm | President<br>Partner | 6/92<br>7/85    | Present<br>1/00 |
| 1        |   |                                  |                      |                 |                 |
| 2        |   |                                  |                      |                 |                 |
| 3        |   |                                  |                      |                 |                 |
| 4        |   |                                  |                      |                 |                 |
| 5        |   |                                  |                      |                 |                 |
| 6        |   |                                  |                      |                 |                 |

**Part II: Compensation In Excess Of \$5,000 Paid by One Source**

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None

|          | Source (Name and Address)  | Brief Description of Duties   |
|----------|--|---|
| Examples | Doe Jones & Smith, Hometown, State<br>Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services<br>Legal services in connection with university construction |
| 1        |  |   |
| 2        |  |   |
| 3        |  |   |
| 4        |  |   |
| 5        |  |   |
| 6        |  |   |