Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Lynch, Loretta E

Attorney General, Department of Justice

Report Year: 2016

Other Federal Government Positions Held During the Preceding 12 Months:

United States Attorney, Eastern District of New York (5/2010 - 4/2015)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Lynch, Loretta E [electronically signed on 07/15/2016 by Lynch, Loretta E in Integrity.gov] - Filer received a 51 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Bonilla, Armando, Certifying Official [electronically signed on 07/29/2016 by Bonilla, Armando in Integrity.gov]

Other review conducted by
/s/ Rodgers, Janice, Ethics Official [electronically signed on 07/29/2016 by Rodgers, Janice in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Rounds, Emory, Certifying Official [electronically signed on 08/02/2016 by Rounds, Emory in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity Rollover IRA Core FDIC Insured Deposit Account	No	$100,001 - $250,000	Interest	$201 - $1,000
2	Fidelity Rollover IRA Core FDIC Insured Deposit Account	No	$15,001 - $50,000		None (or less than $201)
3	UBS Financial Services Retirement Account				
3.1	SPDR S&P 500 ETF TR (SPY)	Yes	$50,001 - $100,000		$201 - $1,000
3.2	FMI Large Cap Fund (FMIHX)	Yes	$15,001 - $50,000		$1,001 - $2,500
3.3	RiverPark/Wedgewood Institutional Fund (RWGIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
3.4	John Hancock Disciplined Value Mid Cap Fund Class I	Yes	$1,001 - $15,000		$201 - $1,000
3.5	MainStay ICAP International Fund I (ICEUX)	Yes	$15,001 - $50,000		$201 - $1,000
3.6	AQR Managed Futures Strategy Fund Class I (AQMIX)	Yes	$1,001 - $15,000		$201 - $1,000
3.7	Vanguard BD Index FD Inc Total Bond Mkt ETF (BND)	Yes	$15,001 - $50,000		$201 - $1,000
3.8	iShares Trust Russell Mid Cap ETF (IWR)	Yes	$1,001 - $15,000		$201 - $1,000
3.9	Baird Midcap Fund (BMDIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.10	Chartwell Small Cap (CWSIX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.11	Gabelli Small Cap Growth Fund Class I (MFGBAJ)	Yes	None (or less than $1,001)		$201 - $1,000
3.12	iShares 7-10 Year Treas Bond ETF (IEF)	Yes	$1,001 - $15,000		None (or less than $201)
3.13	Oppenheimer Sr Floating Rate FD Class Y	Yes	$1,001 - $15,000		$201 - $1,000
3.14	BlackRock Global Long/Short Credit Fund I (BGCIX)	Yes	$1,001 - $15,000		$201 - $1,000
3.15	CBRE Clarion Long Short Fund Institutional Class (CLSIX)	Yes	$1,001 - $15,000		$201 - $1,000
3.16	John Hancock Global Absolute Retn Strategs CL I (JHAIX)	Yes	$1,001 - $15,000		$201 - $1,000
3.17	Mainstay Marketfield Fund Class I	Yes	$1,001 - $15,000		None (or less than $201)
3.18	RiverPark Long/Short Opportunity Fund CL Instl (RLSIX)	Yes	$1,001 - $15,000		$1,001 - $2,500
3.19	Robeco Boston Partners Global L/S Fund Class Instl (BGLSX)	Yes	$1,001 - $15,000		None (or less than $201)
3.20	Templeteon Global Bond ADV	Yes	None (or less than $1,001)		$201 - $1,000
3.21	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	None (or less than $1,001)		$201 - $1,000
3.22	iShares MSCI EAFE ETF (EFA)	Yes	$1,001 - $15,000		$201 - $1,000
3.23	iShares iBoxx $ Invt Grade Corporte Bond (LQD)	Yes	$1,001 - $15,000		None (or less than $201)
3.24	iShares MSCI ACWI EX US ETF (ACWX)	Yes	$1,001 - $15,000		None (or less than $201)
3.25	iShares MSCI Eurozone ETF (EZU)	Yes	$1,001 - $15,000		None (or less than $201)
3.26	PIMCO Income Class P	Yes	$1,001 - $15,000		None (or less than $201)
3.27	WisdomTree Trust Europe Hedged Equity FD ETF (HEDJ)	Yes	$1,001 - $15,000		$201 - $1,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Showtime Networks, Inc.	N/A		salary	
2	CBS 401K Plan Defined Contribution (CBS Inc)				
2.1	Blackrock Lifepath 2025 Fund Defined Contribution (LPBAX)	Yes	$50,001 - $100,000		None (or less than $201)
3	UBS Retirement Account				
3.1	iShares Russell Midcap ETF (IWR)	Yes	$1,001 - $15,000		$201 - $1,000
3.2	SPDR S & P 500 ETF TR (SPY)	Yes	None (or less than $1,001)		$1,001 - $2,500
3.3	Baird MidCap Fund Institutional Class (BMDIX)	Yes	$15,001 - $50,000		None (or less than $201)
3.4	Chartwell Small Cap Value I Share (CWSIX)	Yes	$15,001 - $50,000		None (or less than $201)
3.5	FMI Large Cap Fund (FMIHX)	Yes	$15,001 - $50,000		$2,501 - $5,000
3.6	Gabelli Small Cap Growth Fund Class I (GACIX)	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.7	John Hancock Disciplined Value Mid Cap Fund CLass I (JVMIX)	Yes	$1,001 - $15,000		$1,001 - $2,500
3.8	Mainstay ICAP International Fund Class I (ICEUX)	Yes	$15,001 - $50,000		$201 - $1,000
3.9	Riverpark/Wedgewood Instl Funds (RWGIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
3.10	iShares 7-10 Year Treas Bond ETF (IEF)	Yes	$1,001 - $15,000		None (or less than $201)
3.11	Vanguard BD Index FD Inc Total Bond MKT ETF (BND)	Yes	$15,001 - $50,000		$1,001 - $2,500
3.12	Oppenheimer Sr Floating Rate FD Class Y (OOSYX)	Yes	$1,001 - $15,000		$201 - $1,000
3.13	Virtus Multi-Sector Short Term Bond Fund Class I (PIMSX)	Yes	$1,001 - $15,000		$201 - $1,000
3.14	AQR Managed Futures Strategy Fund Class I (AQMIX)	Yes	$1,001 - $15,000		$201 - $1,000
3.15	BlackRock Global Long/Short Credit Fund I (BGCIX)	Yes	$1,001 - $15,000		$201 - $1,000
3.16	CBRE Clarion Long Short Fund - Institutional Class (CLSIX)	Yes	$1,001 - $15,000		$201 - $1,000
3.17	John Hancock Absolute Return Strategs CL I (JHAIX)	Yes	$1,001 - $15,000		$201 - $1,000
3.18	RiverPark Long/Short Opportunity Fund Class Instl (RLSIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.19	Robleco Boston Partners Global L/S Fund Class Instl (BGLSX)	Yes	$1,001 - $15,000		None (or less than $201)
3.20	Templeton Global Bond	Yes	None (or less than $1,001)		$201 - $1,000
3.21	iShares Core MSCI Emerging Markets ETF	Yes	None (or less than $1,001)		$201 - $1,000
3.22	John Hancock Global Absolute Return Strategs Cl I	Yes	None (or less than $1,001)		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.23	iShares iBoxx $ Invt Grade Corporate Bond	Yes	$1,001 - $15,000		None (or less than $201)
3.24	iShares MSCI ACWI EX US ETF (ACWX)	Yes	$1,001 - $15,000		None (or less than $201)
3.25	iShares MSCI EAFE ETF (EFA)	Yes	$1,001 - $15,000		$201 - $1,000
3.26	iShares MSCI Eurozone ETF (EZU)	Yes	$1,001 - $15,000		None (or less than $201)
3.27	PIMCO Income Fund Class P	Yes	$1,001 - $15,000		$201 - $1,000
3.28	WisdomTree Trust Europe Hedged Equity FD ETF (HEDJ)	Yes	$1,001 - $15,000		$1,001 - $2,500

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	W&R Advisors New Concepts A (UNECX)	Yes	$1,001 - $15,000		$201 - $1,000
2	W&R Advisors Science & Technology (UNSCX)	Yes	$1,001 - $15,000		$201 - $1,000
3	UBS cash account	N/A	$1,001 - $15,000		None (or less than $201)
4	Checking and savings accounts, US Financial Institution #1	N/A	$15,001 - $50,000		None (or less than $201)
5	Checking account, US Financial Institution #2	N/A	$1,001 - $15,000		None (or less than $201)
6	Virginia 529 Collegebound Fund CBF Age Based Aggressive 1993-1995	Yes	$15,001 - $50,000		None (or less than $201)
7	UBS Managed Portfolio of Funds				
7.1	SPDR S&P Emerging Asia Pacific ETF (GMF)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.2	John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	Yes	$1,001 - $15,000		$201 - $1,000
7.3	Templeton Global Bond Adv (TGBAX)	Yes	None (or less than $1,001)		$1,001 - $2,500
7.4	Vanguard Total Stock Mkt ETF (VTI)	Yes	$1,001 - $15,000		None (or less than $201)
7.5	iShares 7-10 Year Treas Bond ETF (IEF)	Yes	$1,001 - $15,000		None (or less than $201)
7.6	Vanguard Bond Index FD Inc Bond Mkt ETF (BND)	Yes	$1,001 - $15,000		$201 - $1,000
7.7	iShares Core MSCI Emerging Markets ETF	Yes	None (or less than $1,001)		$1,001 - $2,500
7.8	iShares MSCI Eurozone ETF (EZU)	Yes	$1,001 - $15,000		None (or less than $201)
7.9	Powershares Senior Loan ETF (BKLN)	Yes	$1,001 - $15,000		None (or less than $201)
7.10	SPDR Barclays Inter Term Corp Bond ETF (ITR)	Yes	$1,001 - $15,000		None (or less than $201)
7.11	Vanguard Index Funds Vanguard Growth ETF (VUG)	Yes	$1,001 - $15,000		None (or less than $201)
7.12	Vanguard Index FUnds Vanguard Value ETF (VTV)	Yes	$1,001 - $15,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	iShares iBoxx $ Invest Grade Corp Bond ETF (LQD)	Purchase	10/09/2015	$1,001 - $15,000
2	iShares MSCI ACWI EX US ETF (ACWX)	Purchase	07/01/2015	$1,001 - $15,000
3	iShares MSCI EAFE ETF (EFA)	Purchase	04/01/2015	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
4	iShares MSCI Eurozone ETF (EZU)	Purchase	10/13/2015	$1,001 - $15,000
5	iShares MSCI Japan ETF (EWJ)	Sale	10/13/2015	$1,001 - $15,000
6	iShares MSCI Japan ETF (EWJ)	Purchase	04/01/2015	$1,001 - $15,000
7	JP Morgan Core Bond Fund Select (MFVIJN)	Sale	11/13/2015	$1,001 - $15,000
8	Manning & Napier FD, Inc. World Opportunities (MFGCAB)	Sale	07/1/2015	$1,001 - $15,000
9	PIMCO Income Fund Class P (MFPIKY)	Purchase	11/16/2015	$1,001 - $15,000
10	SPDR S&P Emerging Asia PAC ETF (GMF)	Sale	Multiple	$1,001 - $15,000
11	SPDR S&P Emerging Asia PAC ETF (GMF)	Purchase	04/01/2015	$1,001 - $15,000
12	SPDR S&P 500 ETF TR (SPY)	Sale	04/01/2015	$1,001 - $15,000
13	Vanguard Charlotte FDS Total INTL BD Index FD (BNDX)	Sale	Multiple	$1,001 - $15,000
14	Vanguard Total Stock Mkt ETF (VTI)	Sale	04/01/2015	$1,001 - $15,000
15	Virtus Multi-Sector Short Term Bond Fund (MFVPEI)	Sale	04/01/2015	$1,001 - $15,000
16	Wisdomtree Trust Europe Hedged Equity Fd ETF (HEDJ)	Purchase	04/01/2015	$1,001 - $15,000
17	FMI Large Cap Fund (MFDLAB)	Sale	01/26/2015	$1,001 - $15,000
18	iShares iBoxx $ Invest Grade Corp Bond ETF (LQD)	Purchase	10/09/2015	$1,001 - $15,000
19	iShares MSCI ACWI EX US ETF (ACWX)	Purchase	07/01/2015	$1,001 - $15,000
20	IShares MSCI EAFE ETF (EFA)	Purchase	04/01/2015	$1,001 - $15,000
21	iShares MSCI Eurozone ETF (EZU)	Purchase	10/13/2015	$1,001 - $15,000
22	iShares MSCI Japan ETF (EWJ)	Sale	10/13/2015	$1,001 - $15,000
23	iShares MSCI Japan ETF (EWJ)	Purchase	04/01/2015	$15,001 - $50,000
24	JP Morgan Core Bond Fund Select (MFVIJN)	Sale	11/13/2015	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
25	Manning & Napier FD, Inc. World Opportunities (MFGCAB)	Sale	07/01/2015	$1,001 - $15,000
26	PIMCO Income Fund Class P (MFPIKY)	Purchase	11/16/2015	$1,001 - $15,000
27	SPDR S&P Emerging Asia PAC ETF (GMF)	Sale	Multiple	$1,001 - $15,000
28	SPDR S&P 500 ETF TR (SPY)	Sale	04/01/2015	$15,001 - $50,000
29	Vanguard Charlotte FDS Total INTL BD Index FD (BNDX)	Sale	Multiple	$15,001 - $50,000
30	Vanguard Total Stock Mkt ETF (VTI)	Sale	04/01/2015	$15,001 - $50,000
31	Virtus Multi-Sector Short Term Bond Fund (MFVPEI)	Sale	04/01/2015	$1,001 - $15,000
32	Wisdomtree Trust Europe Hedged Equity Fd ETF (HEDJ)	Purchase	04/01/2015	$1,001 - $15,000
33	AQR Managed Futures Strategy Fund Class I (MFQRAD)	Sale	04/13/2015	$1,001 - $15,000
34	BAIRD Midcap Fund Institutional Class (MFHKAM)	Sale	04/13/2015	$1,001 - $15,000
35	Blackrock Global Long/Short Credit Fund I (MFBCMV)	Sale	04/13/2015	$1,001 - $15,000
36	Boston Partners Global Long Short Fund (MFIBAI)	Sale	04/13/2015	$1,001 - $15,000
37	Chartwell Small Cap Value I Share (MFUNAB)	Sale	04/13/2015	$1,001 - $15,000
38	FMI Large Cap Fund (MFDLAB)	Sale	04/13/2015	$1,001 - $15,000
39	Gabelli Small Cap Growth Fund Class I (MFGBAJ)	Sale	04/13/2015	$1,001 - $15,000
40	IShares MSCI EAFE ETF (EFA)	Sale	Multiple	$1,001 - $15,000
41	iShares MSCI EAFE ETF (EFA)	Purchase	Multiple	$1,001 - $15,000
42	iShares MSCI Eurozone ETF (EZU)	Purchase	10/13/2015	$1,001 - $15,000
43	iShares MSCI Japan ETF (EWJ)	Sale	04/13/2015	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
44	iShares MSCI Japan ETF (EWJ)	Purchase	04/01/2015	$1,001 - $15,000
45	iShares Russell Midcap ETF (IWR)	Sale	04/13/2015	$1,001 - $15,000
46	iShares 7-10 Year Treas Bond ETF (IEF)	Purchase	04/15/2015	$1,001 - $15,000
47	iShares 7-10 Year Treas Bond ETF (IEF)	Sale	04/13/2015	$1,001 - $15,000
48	John Hancock Disciplines Value Mid Cap Fund Class (MFJHHF)	Sale	04/13/2015	$1,001 - $15,000
49	John Hancock Globl Absolute Retn Strategs (MFJHCI)	Sale	04/13/2015	$1,001 - $15,000
50	JP Morgan Core Bond Fund Select (MFVIJN)	Sale	04/13/2015	$1,001 - $15,000
51	Mainstay ICAP International Fund Class (MFMTEN)	Sale	04/13/2015	$1,001 - $15,000
52	Manning & Napier FD, Inc. World Opportunities (MFGCAB)	Sale	04/13/2015	$1,001 - $15,000
53	Oppenheimer SR Floating Rate FD Class Y (MFOPBL)	Sale	04/13/2015	$1,001 - $15,000
54	Powershares Senior Loan (BKLN)	Purchase	04/15/2015	$1,001 - $15,000
55	Riverpark/Wedgewood Instl Funds (MFTVAB)	Sale	04/13/2015	$1,001 - $15,000
56	SPDR Barclays INTER CORP BOND ETF (ITR)	Purchase	Multiple	$1,001 - $15,000
57	SPDR S&P Emerging Asia PAC ETF (GMF)	Purchase	04/15/2015	$1,001 - $15,000
58	SPDR S&P Emerging Asia PAC ETF (GMF)	Sale	Multiple	$1,001 - $15,000
59	SPDR S&P 500 ETF TR (SPY)	Sale	Multiple	$1,001 - $15,000
60	Vanguard BD Index FD Inc Total Bond MKT ETF (BND)	Purchase	04/15/2015	$1,001 - $15,000
61	Vanguard BD Index FD Inc Total Bond Mkt ETF (BND)	Sale	04/13/2015	$1,001 - $15,000
62	Vanguard Charlotte FDS Total INTL BD Index FD (BNDX)	Sale	Multiple	$1,001 - $15,000
63	Vanguard Charlotte FDS Total INTL BD Index FD (BNDX)	Purchase	Multiple	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	Vanguard Index Funds Vanguard Growth ETF (VUG)	Purchase	04/15/2014	$1,001 - $15,000
65	Vanguard Index Funds Vanguard Value ETF (VTV)	Purchase	04/15/2015	$1,001 - $15,000
66	Vanguard Total Stock Mkt ETF (VTI)	Purchase	04/15/2015	$1,001 - $15,000
67	Vanguard Total Stock Mkt ETF (VTI)	Sale	04/01/2015	$1,001 - $15,000
68	Virtus Multi-Sector Short Term Bond Fund (MFVPEI)	Sale	Multiple	$1,001 - $15,000
69	Wisdomtree Trust Europe Hedged Equity Fd ETF (HEDJ)	Purchase	04/01/2015	$1,001 - $15,000
70	Wisdomtree Trust Europe Hedged Equity Fd ETF (HEDJ)	Sale	04/13/2015	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo, NA Charlotte NC	Capital Reserve Line - now closed	$10,001 - $15,000	2008	2%	on demand
2	American Express	Credit Card	$15,001 - $50,000	1995	14%	on demand
3	Mastercard	Credit Card	$15,001 - $50,000	1995	14.99	on demand
4	Quicken Loans	Mortgage on Personal Residence	$50,001 - $100,000	2014	3.87%	15 years
5	VISA	Credit Card	$15,001 - $50,000	1994	10.24%	on demand

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	Catherine B. Reynolds Foundation	Washington, District of Columbia	American Portrait Gallery Gala, 11/15/2015, accepted with ethics approval.	$1,000

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).