OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)*:

Reporting Status (Check Appropriate Boxes): Incumbent ☒ | Calendar Year Covered by Report: 2013 | New Entrant, Nominee, or Candidate ☐ | Termination Filer ☐ | Termination Date *(If Applicable) (Month, Day, Year)*:

Reporting Individual's Name

Last Name	First Name and Middle Initial
Mabus	Raymond

Position for Which Filing

Title of Position	Department or Agency *(If Applicable)*
Secretary of the Navy	Department of Defense

Location of Present Office (or forwarding address)

Address *(Number, Street, City, State, and ZIP Code)*	Telephone No. *(Include Area Code)*
1000 Navy Pentagon Washington DC 20350	(703) 695-3131

Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*

Title of Position(s) and Date(s) Held:

Presidential Nominees Subject to Senate Confirmation

Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified Diversified Trust?
Not Applicable	☐ Yes ☐ No

Certification
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.

Signature of Reporting Individual: Signed By Ray Mabus
Date *(Month, Day, Year)*: 6-23-14

Other Review (If desired by agency)
Signature of Other Reviewer:
Date *(Month, Day, Year)*:

Agency Ethics Official's Opinion
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).

Signature of Designated Agency Ethics Official/Reviewing Official: Signed By Paul Oostburg Sanz, DAEO
Date *(Month, Day, Year)*: 1 JULY 2014

Office of Government Ethics Use Only
Signature: Barbara Mullen Roth
Date *(Month, Day, Year)*: 7-23-14

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days ______) ☐

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B—Not applicable.

Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.

Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only
AGC(E) 6-23-14

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Supersedes Prior Editions.

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Reporting Individual's Name: Mabus, Raymond

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
Examples	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples	Kempstone Equity Fund					x								x										x									
Examples	IRA: Heartland 500 Index Fund							x						x											x								
1	AXP American Express Common		X																		X												
2	AZO Auto Zone					X															X												
3	DIA SPDR Dow Jones Ind. Avg ETG					X								X												X							
4	EEB Guggenheim Bric ETF	X												X							X												
5	EEM Ishares MSCI Emerging Mkts Index ETF	X												X									X										
6	EEP Ishares MSCI Pacific Ex-Japan ETF	X												X									X										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Mabus, Raymond

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	FSNN Fusion Telecommunications INTL Common		X																		X												
2	GLD SPDR Gold TR Gold Shares ETF	X												X											X								
3	HBHC Hancock Holding Co. Common				X												X						X										
4	IJK Ishares Trust S&P Midcap 400 Growth ETF				X									X								X											
5	ILF Ishares S&P Latin America 40 Index ETF	X												X							X												
6	IVV Ishares S&P 500 Growth Index FD ETF					X								X											X								
7	IVW Ishares S&P 500 Growth Index FD ETF				X									X									X										
8	DVY Ishares TR Dow Jones Select Dividend Index FD ETF		X											X							X												
9	IWD Ishares Russell 1000 Value Ind Fund				X									X									X										

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

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Reporting Individual's Name: Mabus, Raymond

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A | Valuation of Assets at close of reporting period — BLOCK B | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	IWM Ishares Russell 2000 Index FD ETF	X												X												X							
2	IWN Ishares TR Russell 2000 Value Index FD ETF				X									X								X											
3	IWO Ishares TR Russell 2000 Growth FD ETF				X									X								X											
4	QQQ Powershares QQQ TR SER FD ETF					X								X												X							
5	RSP Rydex S&P Equal Weight ETF FD ETF					X								X									X										
6	SPY Spdr S&P TR FD ETF	X												X											X								
7	VTI Vanguard Total Stock Market FD ETF					X								X												X							
8	WFC Wells Fargo & Co New Common		X														X					X											
9	XLK Select Sector Spdr Technology FD ETF	X												X											X								

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Reporting Individual's Name

Mabus, Raymond

SCHEDULE A continued

(Use only if needed)

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Fusion Telecommunications Pref. Warrants 2,995@1.67 expire 06/13/14	X																			X												
2	Gulfport Miss RFDG Coupon 5% Mature 03/01/13	X																	X			X											
3	Harrison CNTY Miss Sch Dist Coupon 5% Mature 03/01/13	X																	X			X											
4	Mississippi DEV BK SPL OBLIG Coupon 5% Mature 12/01/25	X																	X				X										
5	Mississippi DEV BK SPL OBLIG Coupon 5% mature 01/01/16			X															X			X											
6	Mississippi DEV BK SPL OBLIG Coupon 5/5% mature 01/01/18	X																	X	X			X										
7	Mississippi State G/O Ser D coupon 4.4% mature 07/01/18	X																	X	X			X										
8	Mississippi ST RFDG Coupon 5.75 mature 12/01/13	X																	X			X											
9	Mississippi St RFDG-NTS Coupon 4.25% mature 12/01/19	X																	X	X					X								

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Reporting Individual's Name: Mabus, Raymond

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) | **Valuation of Assets** at close of reporting period (BLOCK B) | **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Olive Branch Miss REV Rfdg-Tax Coupon 3.85% mature 01.01.14		X																X			X											
2	University Miss EDL Bldg Corp Coupon 4% Mature 10/01/16	X																	X				X										
3	Western Asset Gov Money Market FD		X											X							X												
4	IRA/ IVV ishares TR S&P 500 Index FD ETF			X										X							X												
5	IRA/ EEM Ishares MSCI Emerging Mkts Index FD ETF	X												X							X												
6	IRA/IWM Ishares Russell 2000 Index FD ETF		X											X							X												
7	IRA/ QQQ Powershares QQQ TR ETF		X											X							X												
8	IRA/SPY Spdr S&P 500 ETF			X										X							X												
9	IRA/ GLD Spdr Gold TR Gold Shares	X												X							X												

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SCHEDULE A continued
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#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	IRA/DIA Spdr Dow Jones Indl Avg ETF		X											X							X												
2	IRA / XLK Select Sector Spdr Technology ETF		X											X							X												
3	IRA/ VDC Vanguard Consumer Staples ETF	X												X							X												
4	IRA/ VTI Vanguard Total Stock Mkt ETF			X										X							X												
5	IRA / SHY Ishares Barclays 1-3 year Treas Bond Fund ETF	X												X							X												
6	IRA / BIL Spdr SEr TR Barclays 1-3 T-Bill ETF	X												X							X												
7	IRA/ Dreyfus Money Market Inst. Gov Securities Fund	X												X							X												
8	BDP Bank Dep Program Citi			X										X							X												
9	DC/citibank bank dep. program		X																		X												

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Reporting Individual's Name: Mabus, Raymond

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) | **Valuation of Assets** at close of reporting period (BLOCK B) | **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	DC/ IWV Ishares russell 3000 index fund ETF			X										X								X											
2	DC/ Sonic Corp			X																	X												
3	DC/ VTI Vanguard TTL STK MKT ETF		X											X									X										
4	DC/ SPY Spdr Trust series 1 ETF			X										X								X											
5	DC/ VWO vanguard MSCI Emerging markets ETF		X											X							X												
6	DC/ IWD Ishares Russell 1000 idx ETF		X											X							X												
7	DC/ IJK ishares S&P Mid cap 400 Growth ETF		X											X							X												
8	DC/ QQQ Powershares QQQ Trust ETF		X											X										X									
9	DC/ IVV S&P 500 Index Fund ETF		X											X								X											

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Reporting Individual's Name

Mabus, Raymond

SCHEDULE A continued

(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	DC/ IWV ishares russell 3000 index fund ETF			X										X								X											
2	DC/ Sonic Corp			X																	X												
3	DC/ VTI Vanguard TTL STK MKT ETF		X											X									X										
4	DC/ SPY Spdr Trust series 1 ETF			X										X								X											
5	DC/ VWO vanguard MSCI Emerging markets ETF		X											X							X												
6	DC/ IWD Ishares Russell 1000 idx etf		X											X							X												
7	DC/ IJK ishares S&P Mid cap 400 Growth ETF		X											X							X												
8	DC/ QQQ Powershares QQQ trust etf		X											X										X									
9	DC/ IVV S&P 500 Index Fund ETF		X											X								X											

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Reporting Individual's Name

Mabus, Raymond

SCHEDULE A continued

(Use only if needed)

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	DC / DIA Spdr DJIA Trust ETF		X											X							X												
2	CLIPPER FUND	X												X											X								
3	ARIEL FOCUS FUND	X												X											X								
4	MUTUAL QUEST FUND	X												X									X										
5	AMERICAN BEACON INTERNATIONAL EQUITY FUND	X												X											X								
6																																	
7																																	
8																																	
9																																	

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SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) | **Valuation of Assets** at close of reporting period (BLOCK B) | **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	DELAFIELD FUND			X										X								X											
2	DODGE & COX STOCK FUND			X										X								X											
3	FMI LARGE CAP FUND			X										X									X										
4	FPA PARAMOUNT FUND			X										X										X									
5	GOODHAVEN FUND			X										X								X											
6	KEELEY ALL CAP VALUE FUND			X										X							X												
7	LONGLEAF PARTNERS FUND			X										X								X											
8	MADISON MOSAIC MID-CAP FUND			X										X									X										
9	MUTUAL QUEST FUND	X												X								X											

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OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Mabus, Raymond

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) | **Valuation of Assets** at close of reporting period (BLOCK B) | **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	OAKMARK SELECT FUND			X										X									X										
2	OSTERWEIS FUND			X										X									X										
3	PRIMECAP ODYSSEY GROWTH FUND			X										X								X											
4	TOCQUEVILLE SELECT FUND		X											X									X										
5	DODGE & COX GLOBAL STOCK FUND			X										X									X										
6	DODGE & COX INTERNATIONAL STOCK FUND			X										X								X											
7	HARDING LOEVNER INTERNATIONAL EQUITY FUND			X										X								X											
8	IVA INTERNATIONAL FUND			X										X									X										
9	OARKMARK GLOBAL SELECT FUND			X										X								X											

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OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Mabus, Raymond

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	THORNBURG INTERNATIONAL VALUE FUND			X										X								X											
2	TWEEDY BROWNE WORLDWIDE HIGH DIVIDEND YIELD VALUE FUND			X										X								X											
3	TWEEDY BROWNE GLOBAL VALUE FUND			X										X								X											
4	SCHWAB U.S. TREASURY MONEY FUND			X										X							X												
5	MS Affordable College Savings Plan Managed Allocation Option Age 8-11		X											X							X												
6	Timberland in Choctaw, Yalobusha, Lafayette, Itawamba, Montgomery and Calhoun Counties										X							X		X							X					Agricultural payments $17,761	
7	Mutual of New York (Whole Life)		X																		X												
8	Thomas Engine Options 15000@$6 ex 2/8/16, 10,000@$10 exp 2/9/17																															Value not readily ascertainable	
9	Ms Public Employees Retirement System																															Pension Income $20,465	

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OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Mabus, Raymond

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Light on Earth Photography of the World																															Value not readily ascertainable	
2																																	
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Mabus, Raymond

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1	SPDR DJIA TRUST DIA		X		1/23/2013		X										
2	SPDR DJIA TRUST		X		2/21/2013	X											
3	SPDR DJIA TRUST		X		3/25/2013	X											
4	SPDR DJIA TRUST		X		4/16/2013	X											
5	GUGGENHEIM BRIC ETF EEB		X		4/16/2013	X											

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Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☐

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$385
1	Government of Mexico	Cannon Tequila bottle holder with shot glasses and leather book, Liquor in Holder with two Guayabera Shirts	$511
2	Government of Morocco	Morrocan Dagger and Small Rug	$391
3	Government of the People's Republic of China	Blue and White Porcelain Vase, and Liquor	$731
4			
5			

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name
Mabus, Raymond

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	GUGGENHEIM BRIC ETF EEB		X		6/13/2013	X											
2	ISHARES MSCI EMERGING MKTS ETF EEM		X		7/3/2013		X										
3	ISHARES MSCI EMERGING MKTS ETF EEM		X		7/16/2013	X											
4	ISHARES MSCI EMERGING MKTS ETF EEM		X		12/20/2013		X										
5	ISHARES MSCI PAC EX-JPN ETF EPP		X		12/20/2013	X											
6	SPDR GOLD TR GOLD SHS GLD		X		7/3/2013		X										
7	SPDR GOLD TR GOLD SHS GLD		X		7/16/2013	X											
8	SPDR GOLD TR GOLD SHS GLD		X		12/20/2013		X										
9	ISHARES S&P LATIN AMER 40 IDX ILF		X		4/16/2013	X											
10	ISHARES S&P LATIN AMER 40 IDX ILF		X		6/13/2013		X										
11	S & P 500 INDEX FUND IVV		X		1/23/2013	X											
12	S & P 500 INDEX FUND IVV		X		2/21/2013	X											
13	S & P 500 INDEX FUND IVV		X		3/25/2013	X											
14	S & P 500 INDEX FUND IVV		X		4/16/2013	X											
15	ISHARES RUSSELL 2000 INDEX FD IWM		X		1/23/2013		X										
16	ISHARES RUSSELL 2000 INDEX FD IWM		X		2/21/2013	X											

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Reporting Individual's Name
Mabus, Raymond

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	ISHARES RUSSELL 2000 INDEX FD IWM		X		3/25/2013	X											
2	ISHARES RUSSELL 2000 INDEX FD IWM		X		4/16/2013	X											
3	POWERSHARES QQQ TR QQQ		X		1/23/2013		X										
4	POWERSHARES QQQ TR QQQ		X		2/21/2013	X											
5	POWERSHARES QQQ TR QQQ		X		3/25/2013	X											
6	POWERSHARES QQQ TR QQQ		X		4/16/2013	X											
7	POWERSHARES QQQ TR QQQ		X		8/12/2013	X											
8	SPDR TRUST SERIES 1 SPY		X		1/23/2013		X										
9	SPDR TRUST SERIES 1 SPY		X		2/21/2013	X											
10	SPDR TRUST SERIES 1 SPY		X		3/25/2013	X											
11	SPDR TRUST SERIES 1 SPY		X		4/16/2013	X											
12	VANGUARD TTL STK MKT ETF VTI		X		1/23/2013		X										
13	VANGUARD TTL STK MKT ETF VTI		X		2/21/2013	X											
14	VANGUARD TTL STK MKT ETF VTI		X		3/25/2013	X											
15	VANGUARD TTL STK MKT ETF VTI		X		4/16/2013	X											
16	VANGUARD TTL STK MKT ETF VTI		X		8/12/2013	X											

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OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

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Reporting Individual's Name
Mabus, Raymond

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	THE TECHNOLOGY SEL SEC SPDR FD XLK		X		1/23/2013	X											
2	THE TECHNOLOGY SEL SEC SPDR FD XLK		X		2/21/2013	X											
3	THE TECHNOLOGY SEL SEC SPDR FD XLK		X		3/25/2013	X											
4	THE TECHNOLOGY SEL SEC SPDR FD XLK		X		4/16/2013	X											
5	Gulfport Miss RFDG Coupon 5% Mature 03/01/13		X		03/01/13	X											
6	Harrison CNTY Miss Sch Dist Coupon 5% Mature 03/01/13		X		03/01/13		X										
7	Mississippi DEV BK SPL OBLIG Coupon 5/5% mature 01/01/18		X		07/09/13		X										
8	Mississippi State G/O Ser D coupon 4.4% mature 07/01/18		X		07/09/13	X											
9	Mississippi St RFDG-NTS Coupon 4.25% mature 12/01/19		X		017/09/13			X									
10	Mississippi DEV BK SPL OBLIG Coupon 5% Mature 12/01/25		X		10/08/13		X										
11	Mississippi ST RFDG Coupon 5.75 mature 12/01/13		X		12/02/13	X											
12	Olive Branch Miss REV Rfdg-Tax Coupon 3.85% mature 01.01.14		X		07/25/13	X											
13	University Miss EDL Bldg Corp Coupon 4% Mature 10/01/16		X		10/08/13		X										
14	IRA THE TECHNOLOGY SEL SEC SPDR FD XLK	X			12/20/2013	X											
15	IRA VANGUARD CONSM STPLES ET ETF VDC		X		12/20/2013	X											
16	IRA POWERSHARES QQQ TR QQQ	X			12/20/2013	X											

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Prior Editions Cannot Be Used.

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Reporting Individual's Name
Mabus, Raymond

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	IRA SPDR TRUST SERIES 1 SPY	X			12/20/2013	X											
2	IRA S & P 500 INDEX FUND IVV	X			12/20/2013	X											
3	IRA ISHARES RUSSELL 2000 ETF IWM	X			12/20/2013	X											
4	IRA VANGUARD TTL STK MKT ETF VTI	X			12/20/2013	X											
5	IRA VANGUARD TTL STK MKT ETF VTI		X		12/20/2013	X											
6	IRA SPDR DJIA TRUST DIA	X			12/20/2013	X											
7	IRA POWERSHARES QQQ TR QQQ		X		2/21/2013	X											
8	IRA ISHARES MSCI BRAZIL CAPPED IND EWZ		X		2/21/2013	X											
9	IRA ISHARES MSCI EMERGING MKTS FD EEM		X		2/21/2013	X											
10	IRA ISHARES BARCLAYS 1-3 YR TSY BD SHY		X		2/21/2013	X											
11	IRA SPDR BARCLAYS CAPITAL 1-3 MONT BIL		X		2/21/2013	X											
12	IRA SPDR GOLD TR GOLD SHS GLD		X		2/21/2013	X											
13	DC POWERSHARES QQQ TR QQQ		X		2/22/2013	X											
14	DC VANGUARD TTL STK MKT ETF VTI		X		5/16/2013	X											
15	DC VANGUARD TTL STK MKT ETF		X		6/13/2013	X											
16	DC POWERSHARES QQQ TR QQQ		X		6/13/2013	X											

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5 C.F.R. Part 2634
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Reporting Individual's Name
Mabus, Raymond

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	DC S & P 500 INDEX FUND IVV		X		8/13/2013	X											
2	DC VANGUARD TTL STK MKT ETF VTI		X		11/11/2013	X											
3	DC POWERSHARES QQQ TR QQQ		X		11/11/2013	X											
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

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Reporting Individual's Name: Mabus, Raymond

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	AMERICAN BEACON INTERNATIONAL EQUITY FUND		X		10/08/13		X										
2	ARIEL FOCUS FUND		X		4/10/13		X										
3	CLIPPER FUND		X		5/14/13	X											
4	CLIPPER FUND		X		11/20/13		X										
5	MUTUAL QUEST FUND		X		10/8/13		X										
6	DODGE & COX GLOBAL STOCK FUND	X			5/15/13	X											
7	DODGE & COX INTERNATIONAL STOCK FUND	X			11/20/13	X											
8	FMI LARGE CAP FUND	X			4/10/13		X										
9	FMI LARGE CAP FUND	X			5/15/13	X											
10	FMI LARGE CAP FUND	X			9/17/13	X											
11	FMI LARGE CAP FUND	X			10/23/13	X											
12	FMI LARGE CAP FUND	X			11/26/13	X											
13	GOODHAVEN FUND	X			11/21/13		X										
14	HARDING LOEVNER INTERNATIONAL EQUITY FUND	X			10/9/13		X										
15	HARDING LOEVNER INTERNATIONAL EQUITY FUND	X			10/24/13	X											
16	HARDING LOEVNER INTERNATIONAL EQUITY FUND	X			11/26/13	X											

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Mabus, Raymond

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	IVA INTERNATIONAL FUND	X			10/8/13	X											
2	IVA INTERNATIONAL FUND	X			10/23/13	X											
3	MADISON MOSAIC MID-CAP FUND	X			10/23/13	X											
4	MADISON MOSAIC MID-CAP FUND	X			11/26/13	X											
5	PRIMECAP ODYSSEY GROWTH FUND	X			11/26/13	X											
6	THORNBURG INTERNATIONAL VALUE FUND	X			5/14/13	X											
7	THORNBURG INTERNATIONAL VALUE FUND	X			10/23/13	X											
8	TOCQUEVILLE SELECT FUND	X			10/8/13	X											
9	TWEEDY BROWNE WORLDWIDE HIGH DIVIDEND VALUE FUND	X			2/22/13	X											
10	TWEEDY BROWNE GLOBALVALUE FUND	X			4/10/13	X											
11																	
12																	
13																	
14																	
15																	
16																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name
Mabus, Raymond

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (*Name and Address*)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10%	on demand					x						
1	Morgan Stanley Margin	Security based credit line	2013	3.99	on demand				X							
2	Metropolitan Bank, Ridgeland, MS	Mortgage on personal residence	2012	4.5	15 yrs								X			
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	MS Public Employees Retirement System. Defined Benefit Plan from which I currently receive $20,465 per year. Benefit increases 2% per year for each year that I am retired from MS.	MS Public Employees Retirement System	11/08
2	25,000 vested stock options granted by Thomas Engine Company	Thomas Engine Comapny	4/04
3	2,995 warrants for Fusion Telecommunications International Preferred Stock	Fusion Telecommunications International	4/09
4			
5			
6			

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name		Page Number
Mabus, Raymond	SCHEDULE D	23 of 23

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization (*Name and Address*)	Type of Organization	Position Held	From (*Mo., Yr.*)	To (*Mo., Yr.*)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		