OGE Form 278e (Updated Nov. 2021) (Expires 11/30/24)
U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)

Report Type:	Annual
Year (Annual Report only):	2021
Date of Appointment/Termination:	January 20, 2021

UNITED STATES OFFICE OF GOVERNMENT ETHICS
Preventing Conflicts of Interest in the Executive Branch

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Last Name	First Name	MI	Position	Agency
Harris	Kamala	D.	Vice President of the United States of America	

Other Federal Government Positions Held During the Preceding 12 Months:

Name of Congressional Committee Considering Nomination (Nominees only):

Filer's Certification - I certify that the statements I have made in this report are true, complete and correct to the best of my knowledge:

Signature: Kamala Harris

Date: 6 May 2022

Agency Ethics Official's Opinion – On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

Signature:

Date: May 10, 2022

Other Review Conducted By:

Signature:

Date:

U.S. Office of Government Ethics Certification (if required):

Signature: EMORY ROUNDS

Date: Digitally signed by EMORY ROUNDS
Date: 2022.05.12 16:22:24 -04'00'

Comments of Reviewing Officials:

Instructions for Part 1

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

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Part 1: Filer's Positions Held Outside United States Government

#	Organization Name	City/State	Organization Type	Position Held	From	To
1.	The KDH/DCE Family Trust (Reportable trust asset on Part 6.)	Los Angeles, CA	Trust	Trustee	10/2017	Present
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Instructions for Part 2

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

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Part 2: Filer's Employment Assets & Income and Retirement Accounts

#	Description	EIF	Value	Income Type	Income Amount
1.	State of California Savings Plan 457(b) Plan, deferred compensation	No			
1.1	International Fund	Yes	$15,001 - $50,000		None (or less than $201)
1.2	Large Cap Fund	Yes	$15,001 - $50,000		None (or less than $201)
1.3	Mid Cap Fund	Yes	$15,001 - $50,000		None (or less than $201)
1.4	SPP Target Date 2045	Yes	$15,001 - $50,000		None (or less than $201)
2.	City and County of San Francisco 457(b) Deferred Compensation Plan	No			
2.1	SFDCP Target Date 2025 Fund	Yes	$100,001 - $250,000		None (or less than $201)
2.2	SFDCP Target Date 2030 Fund	Yes	$100,001 - $250,000		None (or less than $201)
2.3	SFDCP Target Date 2035 Fund	Yes	$50,001 - $100,000		None (or less than $201)
2.4	SFDCP Stable Value Fund	Yes	$15,001 - $50,000		None (or less than $201)
2.5	SFDCP Large Cap Eq S&P 500 Ind	Yes	$100,001 - $250,000		None (or less than $201)
2.6	SFDCP Large Cap Growth Equity	Yes	$100,001 - $250,000		None (or less than $201)
3.	San Francisco Employees Retirement System (SFERS), defined benefit plan	N/A	$250,001 - $500,000		None (or less than $201)
4.	State of California Legislators' Retirement System Plan, defined benefit plan (value not readily ascertainable): eligible for $3,981/mo at age 60	N/A			None (or less than $201)
5.	"The Truths We Hold," Penguin Press (an imprint of Penguin Publishing, a division of Penguin Random House LLC) (value not readily ascertainable) -- See Endnote	N/A		royalties	$276,536.67
6.	"The Truths We Hold," The Bodley Head, London, United Kingdom (division of The Random House Group Ltd.) (value not readily ascertainable) -- See Endnote	N/A		royalties	$49,353.32
7.	"The Truths We Hold," Philomel Books, an abridged young reader's edition, (an imprint of Penguin Young Readers Group, a division of Penguin Random House LLC) (value not readily ascertainable)	N/A			None (or less than $201)
8.	"Smart on Crime: A Prosecutor's Solution for Making the Streets Safer," Chronicle Books LLC (value not readily ascertainable)	N/A			None (or less than $201)
9.	"SuperHeroes Are Everywhere," Philomel Books (an imprint of Penguin Young Readers Group, a division of Penguin Random House LLC) (value not readily ascertainable) -- See Endnote	N/A		royalties	$130,231.86

Endnote for Lines 5, 6 & 9: The royalties reported on the OGE 278 are the gross income amounts pertaining to "The Truths We Hold" and "SuperHeroes Are Everywhere."

Instructions for Part 3

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Part 3: Filer's Employment Agreements and Arrangements

#	Employer or Party	City/State	Status and Terms	Date
1.	State of California Savings Plus Plan 457(b)	Sacramento, CA	I will continue to participate in this defined contribution plan. The plan sponsor no longer makes contributions.	1/2011
2.	City and County of San Francisco 457(b) Deferred Compensation Plan	San Francisco, CA	There is no set monthly payment amount for this deferred compensation plan. I am eligible to withdraw from this plan. The underlying assets that were chosen for the plan are listed on Part 2. The plan sponsor no longer makes contributions.	2/1998
3.	San Francisco Employees Retirement System (SFERS)	San Francisco, CA	I will continue to participate in this defined benefit plan.	2/1998
4.	Legislators' Retirement System Plan	Sacramento, CA	I will continue to participate in this defined benefit plan.	1/2011
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Instructions for Part 4

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Part 4: Filer's Sources of Compensation Exceeding $5,000 in a Year

#	Source Name	City/State	Brief Description of Duties
1.	N/A		
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Instructions for Part 5

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Part 5: Spouse's Employment Assets & Income and Retirement Accounts

#	Description	EIF	Value	Income Type	Income Amount
1.	Venable LLP, capital account	N/A	None (or less than $1,001)		None (or less than $201)
2.	Individual Retirement Rollover Account	No			
2.1	U.S. brokerage money market account (cash)	N/A	$15,001 - $50,000		None (or less than $201)
2.2	Invesco Preferred ETF (PGX)	Yes	$1,001 - $15,000		None (or less than $201)
2.3	iShares iBoxx Investment Grade Corporate Bond (LQD)	Yes	$50,001 - $100,000		None (or less than $201)
2.4	iShares 20+ Year Treasury Bond (TLT)	Yes	$1,001 - $15,000		None (or less than $201)
2.5	iShares TIPS Bond (TIP)	Yes	$1,001 - $15,000		None (or less than $201)
2.6	iShares MBS ETF (MBB)	Yes	$50,001 - $100,000		None (or less than $201)
2.7	iShares Core MSCI Emerging Markets (IEMG)	Yes	$100,001 - $250,000		None (or less than $201)
2.8	iShares TR Core MSCI EAFE ETF (IEFA)	Yes	$250,001 - $500,000		None (or less than $201)
2.9	SPDR Bloomberg Barclays 1-3 Month T-Bill (BIL)	Yes	$1,001 - $15,000		None (or less than $201)
2.10	VanEck Vectors Fallen Angel High Yield Bond (ANGL)	Yes	$1,001 - $15,000		None (or less than $201)
2.11	Vanguard Small Cap Value Index (VBR)	Yes	$50,001 - $100,000		None (or less than $201)
2.12	Vanguard Small Cap Growth Index (VBK)	Yes	$15,001 - $50,000		None (or less than $201)
2.13	Vanguard Value Index ETF (VTV)	Yes	$250,001 - $500,000		None (or less than $201)
2.14	Vanguard Growth Index ETF (VUG)	Yes	$250,001 - $500,000		None (or less than $201)
2.15	Vanguard Short-Term Corporate Bond Index (VCSH)	Yes	$50,001 - $100,000		None (or less than $201)
2.16	Vanguard Intermediate Term Corporate Bond ETF (VCIT)	Yes	$1,001 - $15,000		None (or less than $201)
2.17	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Yes	$1,001 - $15,000		None (or less than $201)
3.	Whitwell Jacoby Emhoff LLP SEP IRA	No			

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Part 5: Spouse's Employment Assets & Income and Retirement Accounts

#	Description	EIF	Value	Income Type	Income Amount
3.1	Communication Services Select Sector SPDR Fund (XLC)	Yes	$1,001 - $15,000		None (or less than $201)
3.2	Consumer Discretionary Select Sector SPDR (XLY)	Yes	$1,001 - $15,000		None (or less than $201)
3.3	First Trust Dow Jones Internet Index ETF (FDN)	Yes	$1,001 - $15,000		None (or less than $201)
3.4	First Trust Cloud Computing ETF (SKYY)	Yes	$1,001 - $15,000		None (or less than $201)
3.5	Health Care Select SPDR (XLV)	Yes	$1,001 - $15,000		None (or less than $201)
3.6	iShares Nasdaq Biotech (IBB)	Yes	$1,001 - $15,000		None (or less than $201)
3.7	iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	Yes	$1,001 - $15,000		None (or less than $201)
3.8	iShares US Regional Banks ETF (IAT)	Yes	$1,001 - $15,000		None (or less than $201)
3.9	iShares MBS ETF (MBB)	Yes	$1,001 - $15,000		None (or less than $201)
3.10	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	$1,001 - $15,000		None (or less than $201)
3.11	iShares TR Core MSCI EAFE ETF (IEFA)	Yes	$15,001 - $50,000		None (or less than $201)
3.12	Energy Select Sector SPDR Fund (XLE)	Yes	$1,001 - $15,000		None (or less than $201)
3.13	SPDR US Financial Sector (XLF)	Yes	$1,001 - $15,000		None (or less than $201)
3.14	Vanguard Small Cap Index (VB)	Yes	$1,001 - $15,000		None (or less than $201)
3.15	Vanguard Consumer Staples Index Fund ETF (VDC)	Yes	$1,001 - $15,000		None (or less than $201)
3.16	Vanguard Materials Index Fund ETF (VAW)	Yes	$1,001 - $15,000		None (or less than $201)
3.17	Vanguard Information Technology Index Fund ETF (VGT)	Yes	$1,001 - $15,000		None (or less than $201)
3.18	Vanguard Industrials Index Fund ETF (VIS)	Yes	$1,001 - $15,000		None (or less than $201)
3.19	Vanguard Short-Term Corporate Bond Index Fund ETF (VCSH)	Yes	$1,001 - $15,000		None (or less than $201)
3.20	Vanguard Intermediate-Term Corporate Bond Index Fund ETF (VCIT)	Yes	$1,001 - $15,000		None (or less than $201)

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Part 5: Spouse's Employment Assets & Income and Retirement Accounts

#	Description	EIF	Value	Income Type	Income Amount
4.	DLA Piper LLP Profit Sharing and 401(k) Savings Plan	No			
4.1	Vanguard Target Retirement 2030 Trust II	Yes	$250,001 - $500,000		None (or less than $201)
5.	DLA Piper, cash balance pension plan	N/A	$100,001 - $250,000		None (or less than $201)
6.	DLA Piper, capital account	N/A	None (or less than $1,001)		None (or less than $201)
7.	DLA Piper LLP (law firm)	N/A		partnership share	
8.	Venable LLP (law firm)	N/A		partnership share	
9.	Georgetown University	N/A		salary	
10.	DLA Piper LLP (law firm)	N/A		promissory note forgiven	$170,000

Instructions for Part 6

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Part 6: Other Assets and Income

#	Description	EIF	Value	Income Type	Income Amount
1.	(Self) U.S. bank #1 (cash)	N/A	$250,001 - $500,000		None (or less than $201)
2.	(Self) U.S. bank #2 (cash)	N/A	$500,001 - $1,000,000	interest	$201 - $1,000
3.	(Spouse) U.S. bank #3 (cash)	N/A	$500,001 - $1,000,000		None (or less than $201)
4.	The KDH/DCE Family Trust	No			
4.1	(Joint) U.S. bank #4 (cash)	N/A	$250,001 - $500,000		None (or less than $201)
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Instructions for Part 7

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Part 7: Transactions

#	Description	Type	Date	Amount
1.	(Spouse) iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	purchase	January 12, 2021	$1,001 - $15,000
2.	(Spouse) Vanguard Small Cap Value (VBR)	purchase	January 12, 2021	$15,001 - $50,000
3.	(Spouse) iShares MBS ETF (MBB)	purchase	January 12, 2021	$15,001 - $50,000
4.	(Spouse) Vanguard Short-Term Corporate Bond (VCSH)	purchase	January 12, 2021	$1,001 - $15,000
5.	(Spouse) Vanguard Intermediate Term Corporate Bond ETF (VCIT)	purchase	January 12, 2021	$1,001 - $15,000
6.	(Spouse) iShares Core MSCI Emerging Markets (IEMG)	purchase	January 12, 2021	$15,001 - $50,000
7.	(Spouse) BlackRock Liquidity Fund (TSTXX)	sale	January 12, 2021	$15,001 - $50,000
8.	(Spouse) iShares TIPS Bond (TIP)	sale	January 12, 2021	$1,001 - $15,000
9.	(Spouse) Vanguard Small Cap Growth ETF (VBK)	sale	January 12, 2021	$1,001 - $15,000
10.	(Spouse) Vanguard Value ETF (VTV)	sale	January 12, 2021	$15,001 - $50,000
11.	(Spouse) Vanguard Growth ETF (VUG)	sale	January 12, 2021	$15,001 - $50,000
12.	(Spouse) Schwab Short-Term U.S. Treasury ETF (SCHO)	sale	January 12, 2021	$1,001 - $15,000
13.	(Spouse) iShares TR Core MSCI EAFE ETF (IEFA)	sale	January 12, 2021	$1,001 - $15,000
14.	(Spouse) SPDR Bloomberg Barclays 1-3 Month T-Bill (BIL)	sale	January 12, 2021	$1,001 - $15,000
15.	(Spouse) iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	purchase	September 13, 2021	$1,001 - $15,000
16.	(Spouse) Vanguard Small Cap Growth Index (VBK)	purchase	September 13, 2021	$1,001 - $15,000
17.	(Spouse) iShares MBS ETF (MBB)	purchase	September 13, 2021	$1,001 - $15,000
18.	(Spouse) Vanguard Short-Term Corporate Bond Index (VCSH)	purchase	September 13, 2021	$15,001 - $50,000
19.	(Spouse) iShares Core MSCI Emerging Markets (IEMG)	purchase	September 13, 2021	$1,001 - $15,000
20.	(Spouse) iShares TR Core MSCI EAFE ETF (IEFA)	purchase	September 13, 2021	$1,001 - $15,000

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Part 7: Transactions

#	Description	Type	Date	Amount
21.	(Spouse) SPDR Bloomberg Barclays 1-3 Month T-Bill (BIL)	purchase	September 13, 2021	$1,001 - $15,000
22.	(Spouse) Vanguard Small Cap Value (VBR)	sale	September 13, 2021	$1,001 - $15,000
23.	(Spouse) Vanguard Value ETF (VTV)	sale	September 13, 2021	$1,001 - $15,000
24.	(Spouse) Vanguard Growth ETF (VUG)	sale	September 13, 2021	$15,001 - $50,000
25.	(Spouse) Vanguard Intermediate Term Corporate Bond ETF (VCIT)	sale	September 13, 2021	$15,001 - $50,000
26.	(Spouse) Schwab Short-Term U.S. Treasury ETF (SCHO)	sale	September 13, 2021	$1,001 - $15,000
27.	(Spouse) iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	purchase	November 17, 2021	$1,001 - $15,000
28.	(Spouse) Vanguard Small Cap Value (VBR)	purchase	November 17, 2021	$1,001 - $15,000
29.	(Spouse) Vanguard Value ETF (VTV)	purchase	November 17, 2021	$1,001 - $15,000
30.	(Spouse) iShares MBS ETF (MBB)	purchase	November 17, 2021	$1,001 - $15,000
31.	(Spouse) Vanguard Short-Term Corporate Bond Index (VCSH)	purchase	November 17, 2021	$1,001 - $15,000
32.	(Spouse) iShares Core MSCI Emerging Markets (IEMG)	purchase	November 17, 2021	$1,001 - $15,000
33.	(Spouse) iShares TR Core MSCI EAFE ETF (IEFA)	purchase	November 17, 2021	$1,001 - $15,000
34.	(Spouse) Vanguard Growth ETF (VUG)	sale	November 17, 2021	$15,001 - $50,000
35.	(Spouse) Vanguard Small Cap ETF (VB)	purchase	January 12, 2021	$1,001 - $15,000
36.	(Spouse) iShares MBS ETF (MBB)	purchase	January 12, 2021	$1,001 - $15,000
37.	(Spouse) Vanguard Intermediate Term Corporate Bond ETF (VCIT)	purchase	January 12, 2021	$1,001 - $15,000
38.	(Spouse) iShares Inc Core MSCI Emerging Markets ETF (IEMG)	purchase	January 12, 2021	$1,001 - $15,000
39.	(Spouse) BlackRock Liquidity Fund (TSTXX)	sale	January 12, 2021	$1,001 - $15,000
40.	(Spouse) Vanguard Information Technology Fund (VGT)	sale	January 12, 2021	$1,001 - $15,000

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Part 7: Transactions

#	Description	Type	Date	Amount
41.	(Spouse) Communication Services Select Sector SPDR Fund (XLC)	sale	January 12, 2021	$1,001 - $15,000
42.	(Spouse) Energy Select Sector SPDR Fund (XLE)	purchase	March 9, 2021	$1,001 - $15,000
43.	(Spouse) Vanguard Short-Term Corporate Bond Index (VCSH)	purchase	October 20, 2021	$1,001 - $15,000
44.	(Spouse) Vanguard Intermediate Term Corporate Bond ETF (VCIT)	sale	October 20, 2021	$1,001 - $15,000

Instructions for Part 8

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Part 8: Liabilities

#	Creditor Name	Type	Amount	Year Incurred	Rate	Term
1.	(Spouse) Wells Fargo	Commercial Loan – Partner Capital Loan for DLA Piper LLP (paid off)	$500,001 - $1,000,000	2018	Libor + 1.3%	8 years
2.	(Spouse) DLA Piper LLP (US)	Promissory Note ($170,000) (forgiven in January 2021)	$100,001 - $250,000	2020	0	2 years
3.	Wells Fargo	Mortgage (personal residence) (property sold; loan paid off)	$1,000,001 - $5,000,000	2020	3.0%	10 years
4.	Wells Fargo	Mortgage (personal residence)	$1,000,001 - $5,000,000	2020	2.625%	7 year ARM
5.	Wells Fargo	Line of Credit (balance paid off January 2021)	$50,001 - $100,000	2016	3.5% (floating based on PRIME)	30 years
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Instructions for Part 9

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Part 9: Gifts and Travel Reimbursements

#	Source Name	City/State	Brief Description	Value
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