Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

SHERWOOD-RANDALL, ELIZABETH

Deputy Secretary of Energy, Department of Energy

Date of Termination: 01/20/2017

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ SHERWOOD-RANDALL, ELIZABETH [electronically signed on 04/06/2017 by SHERWOOD-RANDALL, ELIZABETH in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Beard, Susan F, Certifying Official [electronically signed on 04/19/2017 by Beard, Susan F in Integrity.gov]

Other review conducted by
/s/ Hymer, Christina J, Ethics Official [electronically signed on 04/07/2017 by Hymer, Christina J in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Rounds, Emory, Certifying Official [electronically signed on 04/20/2017 by Rounds, Emory in Integrity.gov]

Data Revised 04/07/2017

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Sherwood-Randall Recovable Trust	San Francisco, California	Trust	Co-Trustee	12/2006	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse Retirement Plan				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	Artesian Resources Corporation (ARTNA)	N/A	None (or less than $1,001)	Capital Gains Dividends	$1,001 - $2,500
1.2	Chase Corp (CCF)	N/A	None (or less than $1,001)	Capital Gains	$2,501 - $5,000
1.3	SPDR Morgan Stanley Technology (MTK)	Yes	None (or less than $1,001)		$1,001 - $2,500
1.4	Everest RE Group Ltd (RE)	N/A	None (or less than $1,001)	Dividends Capital Gains	$15,001 - $50,000
1.5	Guggenheim S&P EQ WT Healthcare (RYH)	Yes	None (or less than $1,001)		$15,001 - $50,000
1.6	Consumer Staples Select SEC SPDR (XLP)	Yes	None (or less than $1,001)		$5,001 - $15,000
1.7	Consumer Discretionary SPDR (XLY)	Yes	None (or less than $1,001)		$5,001 - $15,000
1.8	Cranbrook Investment Realty Fund	Yes	$50,001 - $100,000		$1,001 - $2,500
1.9	Schwab Government MMMF (SWGXX)	Yes	$15,001 - $50,000		None (or less than $201)
1.10	Guggenheim S&P 500 Equal Weight ETF (RSP)	Yes	None (or less than $1,001)		$5,001 - $15,000
1.11	Schwab US Aggregate Bond ETF (SCHZ)	Yes	$100,001 - $250,000		$2,501 - $5,000
1.12	Schwab Fundamental US Large Co Idx (SFLNX)	Yes	$50,001 - $100,000		$5,001 - $15,000
1.13	Schwab Emerging Markets Equity ETF	Yes	$15,001 - $50,000		$201 - $1,000
1.14	Schwab U.S. Small-Cap ETF	Yes	$50,001 - $100,000		$1,001 - $2,500
1.15	Schwab International Equity ETF	Yes	$50,001 - $100,000		$1,001 - $2,500
1.16	Schwab U.S. Mid-Cap ETF	Yes	$50,001 - $100,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	SEP IRA				
2.1	26054LNG4 - Dow Chemical Co 3.55% 9/15/18	N/A	$1,001 - $15,000		None (or less than $201)
2.2	61745EM81 - Morgan Stanley FLT 2023 LKD to Inflation	N/A	$1,001 - $15,000		None (or less than $201)
2.3	Guggenheim S&P 500 Equal Weight (RSP)	Yes	None (or less than $1,001)		$5,001 - $15,000
2.4	Schwab Deposit Accounts	Yes	$1,001 - $15,000		None (or less than $201)
2.5	iShares MSCI EAFE (EFA)	Yes	$1,001 - $15,000		$201 - $1,000
2.6	Schwab Fdmtl US Lg Co Idx (SFLNX)	Yes	$15,001 - $50,000		$1,001 - $2,500
2.7	Schwab Emerging Markets Equity ETF	Yes	$1,001 - $15,000		None (or less than $201)
2.8	Schwab International Equity ETF	Yes	$1,001 - $15,000		$201 - $1,000
2.9	Schwab U.S. Aggregate Bond ETF	Yes	$50,001 - $100,000		$201 - $1,000
2.10	Schwab U.S. Mid-Cap ETF	Yes	$15,001 - $50,000		$201 - $1,000
2.11	Schwab U.S. Small-Cap ETF	Yes	$15,001 - $50,000		$201 - $1,000
3	Joint Trust				
3.1	IShares S&P Global Healthcare (IXJ)	Yes	None (or less than $1,001)		$5,001 - $15,000
3.2	Powershares Insured CALI MUNI BOND (PWZ)	Yes	None (or less than $1,001)		$201 - $1,000
3.3	Valmont Industries (VMI)	N/A	None (or less than $1,001)	Dividends Capital Gains	$2,501 - $5,000
3.4	Schwab Cash Deposits	N/A	$1,001 - $15,000		None (or less than $201)
3.5	Schwab Fdmtl US Lg Co Idx (SFLNX)	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.6	iShares Russell 1000 Index	Yes	$1,001 - $15,000		None (or less than $201)
3.7	Schwab Emerging Markets Equity ETF	Yes	$1,001 - $15,000		None (or less than $201)
3.8	Schwab Fundamental US Large Co ETF (FNDX)	Yes	$1,001 - $15,000		None (or less than $201)
3.9	Schwab International Equity ETF	Yes	$1,001 - $15,000		$201 - $1,000
3.10	Schwab U.S. Broad Market ETF	Yes	$1,001 - $15,000		None (or less than $201)
3.11	Schwab U.S. Mid-Cap ETF	Yes	$1,001 - $15,000		None (or less than $201)
3.12	Schwab U.S. Small-Cap ETF	Yes	$1,001 - $15,000		None (or less than $201)
3.13	Schwab U.S. Aggregate Bond ETF	Yes	$15,001 - $50,000		$201 - $1,000
4	DC UTMA				
4.1	Fabrinet (FN)	N/A	$1,001 - $15,000		None (or less than $201)
4.2	Consumer Staples Select Sector SPDR (XLP)	Yes	None (or less than $1,001)		$201 - $1,000
4.3	Schwab Cash Deposits	N/A	$1,001 - $15,000		None (or less than $201)
4.4	iShares Core US Aggregate Bond (AGG)	Yes	$1,001 - $15,000		None (or less than $201)
4.5	Schwab US Mid-Cap ETF (SCHM)	Yes	$1,001 - $15,000		None (or less than $201)
4.6	Schwab Fdmtl US Lg Co Idx (SFLNX)	Yes	$1,001 - $15,000		$201 - $1,000
4.7	Schwab International Equity ETF	Yes	$1,001 - $15,000		None (or less than $201)
4.8	Schwab U.S. Small-Cap ETF	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.9	Schwab U.S. Aggregate Bond ETF		Yes	$1,001 - $15,000		None (or less than $201)
5	DC Indiv					
5.1	IShares MSCI EAFE Index ETF (EFA)		Yes	$1,001 - $15,000		None (or less than $201)
5.2	Fabrinet (FN)		N/A	$1,001 - $15,000		None (or less than $201)
5.3	Consumer Staples Select Sector SPDR		Yes	None (or less than $1,001)		$201 - $1,000
5.4	Schwab Government MMMF (SWGXX)		Yes	$1,001 - $15,000		None (or less than $201)
5.5	Schwab International Equity ETF (SCHF)		Yes	$1,001 - $15,000		None (or less than $201)
5.6	Schwab US Mid-Cap ETF (SCHM)		Yes	$1,001 - $15,000		None (or less than $201)
5.7	Schwab Fdmtl US Lg Co Idx (SFLNX)		Yes	$1,001 - $15,000		$201 - $1,000
5.8	Schwab Emerging Markets Equity ETF		Yes	$1,001 - $15,000		None (or less than $201)
5.9	Schwab U.S. Small-Cap ETF		Yes	$1,001 - $15,000		None (or less than $201)
5.10	iShares Barclays Aggregate Bond	See Endnote	Yes	$1,001 - $15,000		$201 - $1,000
5.11	Schwab U.S. Aggregate Bond ETF		Yes	$1,001 - $15,000		None (or less than $201)
6	DC 529 2					
6.1	iShares College Portfolio		Yes	$15,001 - $50,000		$15,001 - $50,000
7	DC 529 1					
7.1	iShares 2018 College Portfolio		Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	PBSMG Holdings, LLC, Danville, CA (33.33% interest in LLC; underlying investment in medical office building)	N/A	$15,001 - $50,000		None (or less than $201)
9	Pacific Brain & Spine Medical Group, Danville, CA (33.33% interest in medical practice)	N/A	$500,001 - $1,000,000	Spouse Salary & Business Income	
10	Cranbrook Investment Realty Fund	Yes	$50,001 - $100,000		$1,001 - $2,500
11	Holly Mortgage Trust '04 Preferreds	Yes	$15,001 - $50,000		$1,001 - $2,500
12	Ivy Realty Trust	Yes	$1,001 - $15,000		None (or less than $201)
13	International Hotel Associates No. 7 LLC, Los Angeles, CA	Yes	$15,001 - $50,000		$1,001 - $2,500
14	Bank of America Checking/Savings	N/A	$15,001 - $50,000		None (or less than $201)
15	Spouse 401(k)				
15.1	PIMCO Total Return Fund (PTTAX)	Yes	$250,001 - $500,000		$5,001 - $15,000
15.2	JP Morgan Smart Retirement Income Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
15.3	JP Morgan Smart Retirement 2035 Fund	Yes	$100,001 - $250,000		$5,001 - $15,000
15.4	Franklin Small Cap Value Fund	Yes	$50,001 - $100,000		$2,501 - $5,000
15.5	JANUS Intech US Managed Volatility Fund	Yes	$100,001 - $250,000		$1,001 - $2,500
15.6	Oppenheimer Int'l Growth Fund CL Y	Yes	$15,001 - $50,000		$201 - $1,000
16	Northwestern Mutual Whole Life Insurance	No	$100,001 - $250,000	Dividends	$5,001 - $15,000
17	Hill Physicians Medical Group - Consulting Fee	N/A		Consulting Fee	

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	BX - Blackstone Group LP	Sale	04/27/2016	$1,001 - $15,000
2	VMI - VALMONT INDUSTRIES INC	Sale	04/27/2016	$1,001 - $15,000
3	CCF - CHASE CORP	Sale	04/27/2016	$1,001 - $15,000
4	RE - EVEREST RE GROUP LTD	Sale	04/27/2016	$15,001 - $50,000
5	Artesian Resources Corporation - Class A Non-Voting Common Stock	Sale	04/27/2016	$1,001 - $15,000
6	Schwab Emerging Markets Equity ETF	Purchase	04/27/2016	$1,001 - $15,000
7	Schwab International Equity ETF	Purchase	04/27/2016	$1,001 - $15,000
8	Schwab U.S. Aggregate Bond ETF	Purchase	04/27/2016	$50,001 - $100,000
9	Schwab U.S. Mid-Cap ETF	Purchase	04/27/2016	$15,001 - $50,000
10	Schwab U.S. Small-Cap ETF	Purchase	04/27/2016	$15,001 - $50,000
11	Guggenheim S&P 500 Eq Wt (RSP)	Sale	04/27/2016	$15,001 - $50,000
12	PIMCO Enhanced Short Maturity ETF (MINT)	Sale	04/27/2016	$1,001 - $15,000
13	Royce Value Trust (RVT)	Sale	04/27/2016	$15,001 - $50,000
14	Schwab Fdmtl US Lg Co Idx	Sale	04/27/2016	$1,001 - $15,000
15	Commonwealth Global Fund (CNGLX)	Sale	05/09/2016	$1,001 - $15,000
16	Schwab Emerging Markets Equity ETF	Purchase	05/09/2016	$1,001 - $15,000
17	Schwab Emerging Markets Equity ETF	Purchase	04/27/2016	$1,001 - $15,000
18	Schwab International Equity ETF	Purchase	04/27/2016	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
19	Schwab U.S. Aggregate Bond ETF	Purchase	04/27/2016	$50,001 - $100,000
20	Schwab U.S. Mid-Cap ETF	Purchase	04/27/2016	$50,001 - $100,000
21	Schwab U.S. Small-Cap ETF	Purchase	04/27/2016	$50,001 - $100,000
22	Schwab Fdmtl US Lg Co Idx	Purchase	04/27/2016	$15,001 - $50,000
23	Commonwealth Africa Fund (CAFRX)	Sale	05/09/2016	$1,001 - $15,000
24	Guggenheim S&P 500 Equal Wt (RSP)	Sale	04/27/2016	$100,001 - $250,000
25	PIMCO Enhanced Short Maturity ETF (MINT)	Sale	04/27/2016	$15,001 - $50,000
26	Royce Value Trust (RVT)	Sale	04/27/2016	$15,001 - $50,000
27	Consumer Discretionary Select Sectors SPDR ETF(XLY)	Sale	04/27/2016	$15,001 - $50,000
28	Consumer Staples Select Sector SPDR ETF (XLP)	Sale	04/27/2016	$15,001 - $50,000
29	Guggenheim S&P 500 Healthcare Eq Wt (RYH)	Sale	04/27/2016	$15,001 - $50,000
30	iShares Cohen & Steers Realty Majors	Sale	04/27/2016	$15,001 - $50,000
31	iShares Floating Rate Note	Sale	04/27/2016	$15,001 - $50,000
32	SPDR Barclays Capital Short Term Corp Bd	Sale	04/27/2016	$15,001 - $50,000
33	SPDR Morgan Stanley Technology	Sale	04/27/2016	$1,001 - $15,000
34	Western Asset Global Corp Def Opp (GDO)	Sale	04/27/2016	$1,001 - $15,000
35	Schwab Emerging Markets Equity ETF	Purchase	04/27/2016	$1,001 - $15,000
36	Schwab International Equity ETF	Purchase	04/27/2016	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
37	Schwab U.S. Aggregate Bond ETF	Purchase	04/27/2016	$15,001 - $50,000
38	Schwab U.S. Mid-Cap ETF	Purchase	04/27/2016	$1,001 - $15,000
39	Schwab U.S. Small-Cap ETF	Purchase	04/27/2016	$1,001 - $15,000
40	Schwab Fdmtl US Lg Co Idx	Purchase	04/27/2016	$1,001 - $15,000
41	iShares Russell 1000 Index	Purchase	04/27/2016	$1,001 - $15,000
42	Schwab Fundamental US Large Co ETF (FNDX)	Purchase	04/27/2016	$1,001 - $15,000
43	Schwab U.S. Broad Market ETF	Purchase	04/27/2016	$1,001 - $15,000
44	Schwab Fdmtl US Lg Co Idx	Sale	07/18/2016	$1,001 - $15,000
45	Schwab U.S. Mid-Cap ETF	Sale	05/02/2016	$1,001 - $15,000
46	iShares S&P Global Healthcare	Sale	04/27/2016	$1,001 - $15,000
47	PowerShares Calif AMT Free Muni Bd ETF (PWZ)	Sale	04/27/2016	$1,001 - $15,000
48	Schwab Emerging Markets Equity ETF	Purchase	05/25/2016	$1,001 - $15,000
49	Schwab U.S. Small-Cap ETF	Purchase	05/25/2016	$1,001 - $15,000
50	Schwab U.S. Aggregate Bond ETF	Purchase	04/27/2016	$1,001 - $15,000
51	Schwab U.S. Small-Cap ETF	Purchase	04/27/2016	$1,001 - $15,000
52	Commonwealth Global Fund (CNGLX)	Sale	05/25/2016	$1,001 - $15,000
53	Consumer Staples Select Sector SPDRs (XLP)	Sale	04/27/2016	$1,001 - $15,000
54	Western Asset Global Corp Def Opp (GDO)	Sale	04/27/2016	$1,001 - $15,000
55	iShares MSCI EAFE Index	Sale	04/27/2016	$1,001 - $15,000
56	Schwab U.S. Mid-Cap ETF	Sale	04/27/2016	$1,001 - $15,000
57	Schwab International Equity ETF	Purchase	05/25/2016	$1,001 - $15,000
58	Schwab U.S. Aggregate Bond ETF	Purchase	04/27/2016	$1,001 - $15,000
59	Schwab U.S. Mid-Cap ETF	Purchase	04/27/2016	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
60	Schwab U.S. Small-Cap ETF	Purchase	04/27/2016	$1,001 - $15,000
61	Commonwealth Global Fund (CNGLX)	Sale	05/25/2016	$1,001 - $15,000
62	Schwab Fdmtl US Lg Co Idx	Sale	04/27/2016	$1,001 - $15,000
63	Consumer Staples Select Sector SPDR ETF (XLP)	Sale	04/27/2016	$1,001 - $15,000
64	Western Asset Glb Corp Def Opp Common (GDO)	Sale	04/27/2016	$1,001 - $15,000
65	14912HPM6 - Caterpillar Finl Corp Pwrntsbe	Sale	9/15/2016	$1,001 - $15,000
66	96121BAJ6 - Westpac Bkg Corp Med Tm Nt Be	Sale	2/16/2016	$1,001 - $15,000
67	Franklin Small Cap Value Fund - Advisor Class	Purchase	12/15/2016	$1,001 - $15,000
68	Janus INTECH U.S. Managed Volatility Fund - Class A	Purchase	12/21/2016	$1,001 - $15,000
69	JPMorgan SmartRetirement 2035 Fund - Select Class	Purchase	12/16/2016	$1,001 - $15,000
70	JPMorgan SmartRetirement 2035 Fund - Select Class	Purchase	12/30/2016	$1,001 - $15,000
71	JPMorgan SmartRetirement Income Fund - Select Class	Purchase	3/21/2016	$15,001 - $50,000
72	JPMorgan SmartRetirement Income Fund - Select Class	Purchase	12/30/2016	$1,001 - $15,000
73	iShares College Portfolio	Sale	05/24/2016	$15,001 - $50,000
74	iShares College Portfolio	Sale	09/15/2016	$15,001 - $50,000
75	iShares College Portfolio	Sale	11/28/2016	$15,001 - $50,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Sun Trust Mortgage, Inc., Richmond, VA	Mortgage on Personal Residence	$1,000,001 - $5,000,000	2013	4.75	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	5.10	Inadvertently omitted from prior year disclosures. The purchase date was 10/20/2014 and the amount category is $1K to $15K

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).