

Report Type: Termination Report

Year (Annual Report only):

Date of Appointment: 02/2024

Date of Termination: 01/2025

Appointment Type: PAS

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Campbell, Kurt M

Deputy Secretary, Department of State

Date of Termination: 01/20/2025

Other Federal Government Positions Held During the Preceding 12 Months:

Senior Coordinator for Indo Pacific Affairs, Deputy Asst. to the President, National Security Council (1/2021 - 2/2024)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Campbell, Kurt M [electronically signed on 02/10/2025 by Campbell, Kurt M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Chabora, Paige E, Certifying Official [electronically signed on 02/18/2025 by Chabora, Paige E in Integrity.gov]

Other review conducted by

/s/ Chabora, Paige E, Ethics Official [electronically signed on 02/18/2025 by Chabora, Paige E in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/11/2025 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	iShares Preferred and Income Securities ETF (PFF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Shares (VCIT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.3	Lord Abbett Short Duration Income Fund Class I (LLDYX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.4	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.5	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	JPMorgan Ultra-Short Income ETF (JPST)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.7	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.8	U.S. Brokerage #1 Bank Deposit Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.9	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.10	Lord Abbett Ultra Short Bond Fund Class I Shares (LUBYX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	Akre Focus Fund Institutional Class Shares (AKRIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.12	First Eagle Overseas Fund Class I (SGOIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.13	Goldman Sachs International Small Cap Insights Fund Institutional Class (GICIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.14	JOHCM International Select Fund Institutional Shares (JOHIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.15	Tweedy, Brown International Value Fund (TBGVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.16	Undiscovered Managers Behavioral Value Fund Class L (UBVLX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.17	T. Rowe Price International Discovery Fund I Class (TIDDX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.18	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.19	iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.20	iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.21	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.22	iShares iBonds Dec 2027 Term Corporate ETF (IBDS)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.23	iShares iBonds Dec 2028 Term Corporate ETF (IBDT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.24	iShares iBonds Dec 2029 Term Corporate ETF (IBDU)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.25	JPMorgan Core Plus Bond ETF (JCPB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Center for a New American Security 403(b) plan	No			
2.1	Large Cap U.S. Stock - Vanguard 500 Index Fund Admiral Shares	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	Mid Cap U.S. Stock - Allspring Special Mid Cap Value Fund Class R6 Shares	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	International Stock - MFS International Diversification Fund Class R6 Shares	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	Center for Strategic International Studies and Harvard University, 403(b) plans	No			
3.1	TIAA Traditional Annuity	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.2	CREF Stock	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.3	TIAA Real Estate	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	CREF Core Bond	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	CREF Money Market Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	The Asia Group LLC, 401(k) Plan	No			
4.1	T. Rowe Price Retirement I 2020 Fund - Class I	Yes	\$250,001 - \$500,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	The Asia Group LLC	Washington, District of Columbia	I will continue to participate in The Asia Group's defined contributions plan. The plan sponsor will not make further contributions after my separation.	3/2013
2	Harvard University	Cambridge, Massachusetts	I continue to participate in this defined contribution plan. The plan sponsor ceased making contributions on my separation.	8/1989
3	Center for a New American Security	Washington, District of Columbia	I continue to participate in this defined contribution plan. The plan sponsor ceased making contributions on my separation.	1/2007
4	Center for Strategic International Studies	Washington, District of Columbia	I continue to participate in two defined contribution plans. The sponsor ceased making contributions to these plans on my separation.	2/2000

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Massachusetts Institute of Technology, 401(k) Plan	No			
1.1	Vanguard Russell 3000 Index Fund Institutional Shares (VRTTX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	IRA #1	No			
2.1	Fidelity Capital & Income Fund (FAGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Fidelity Asset Manager 50% (FASMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	Fidelity Puritan Fund (FPURX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	National Bureau of Economic Research, 401(a)	No			
3.1	Vanguard 500 Index Fund Investor Shares (VFINX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Vanguard International Value Fund Investor Shares (VTRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	The Brookings Institution TIAA	No			
4.1	TIAA Traditional	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.2	CREF Stock	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.3	CREF Bond Market	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	TIAA Real Estate	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.5	CREF Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.6	CREF Equity Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.7	CREF Global Equities	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.8	CREF Money Market Account	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	IRA #2	No			
5.1	Franklin Templeton Mutual Beacon Fund - Class Z	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	IRA #3	No			
6.1	Vanguard Dividend Growth Investor Shares (VDIGX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.2	Akre Focus Fund Institutional Class (AKRIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.3	First Eagle Overseas Fund Class I (SGOIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.4	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.5	iShares Preferred and Income Securities (PFF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.6	JPMorgan Ultra-Short Income ETF (JPST)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	JOHCM International Select Fund Institutional Shares (JOHIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.8	Lord Abbett Short Duration Income Fund Class I Shares (LLDYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.9	Lord Abbett Ultra Short Bond Fund Class I (LUBYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.10	Tweedy, Browne International Value Fund (TBGVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.11	Undiscoverable Managers Behavioral Value Fund Class L (UBVLX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.12	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.13	Vanguard Short-Term Corporate Bond Index Fund ETF Shares (VCSH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.14	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Shares (VCIT)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.15	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.16	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.17	U.S. Brokerage #1 Cash Deposit Accounts	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.18	iShares Core Dividend Growth ETF (DGRO)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.19	iShares Core High Dividend ETF (HDV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.20	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.21	iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.22	iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.23	SPDR S&P Dividend ETF (SDY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.24	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.25	iShares iBonds Dec 2027 Term Corporate ETF (IBDS)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.26	iShares iBonds Dec 2028 Term Corporate ETF (IBDT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.27	iShares iBonds Dec 2029 Term Corporate ETF (IBDU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Massachusetts Institute of Technology, defined benefit plan (value not readily ascertainable): eligible for \$939.97 per month at age 65	N/A			None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 Cash account	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
2	U.S. bank #2 Cash account	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
3	DC College Savings Plan	No			
3.1	2025 Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	U.S. brokerage account #1	No			
4.1	iShares Core High Dividend ETF (HDV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.2	iShares Core S&P Small Cap ETF (IJR)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
4.3	iShares Core Dividend Growth ETF (DGRO)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
4.4	iShares MSCI USA Min Vol Factor ETF (USMV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.5	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
4.6	SPDR S&P 500 ETF Trust (SPY)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
4.7	SPDR S&P Dividend ETF (SDY)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.8	Goldman Sachs International Small Cap Insights Fund Institutional Class (GICIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.9	Vanguard Consumer Staples Index ETF Shares (VDC)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.10	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
4.11	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
4.12	Goldman Sachs Emerging Markets Equity Fund Institutional Class (GEMIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4.13	Vanguard Mid-Cap Value Index Fund ETF Shares (VOE)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.14	Akre Focus Fund Institutional Class (AKRIX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.15	First Eagle Overseas Fund Class I (SGOIX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.16	iShares Preferred and Income Securities ETF (PFF)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.17	JPMorgan Ultra-Short Income ETF (JPST)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.18	JOHCM International Select Fund Institutional Shares (JOHIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
4.19	Lord Abbett Ultra Short Bond Fund Class I (LUBYX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.20	Tweedy Brown International Value Fund (TBGVX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.21	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.22	Vanguard Short-Term Corporate Bond Index Fund ETF Shares (VCSH)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.23	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
4.24	Cash Deposits	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
5	U.S. brokerage account #2	No			
5.1	American Funds Fundamental Investors Class A Shares (ANCFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	DC College Savings Plan	No			
6.1	In-College Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	iShares iBonds Dec 2027 Term Corporate ETF (IBDS)	Purchase	01/02/2024	\$1,001 - \$15,000
2	iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Purchase	01/02/2024	\$1,001 - \$15,000
3	iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)	Purchase	01/02/2024	\$1,001 - \$15,000
4	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Purchase	01/02/2024	\$1,001 - \$15,000
5	JPMorgan Core Plus Bond ETF (JCPB)	Purchase	01/02/2024	\$15,001 - \$50,000
6	iShares iBonds Dec 2029 Term Corporate ETF (IBDU)	Purchase	01/02/2024	\$50,001 - \$100,000
7	iShares iBonds Dec 2028 Term Corporate ETF (IBDT)	Purchase	01/02/2024	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
8	Goldman Sachs US Equity Dividend and Premium Fund Institutional Shares (GSPKX)	Sale	01/02/2024	\$1,001 - \$15,000
9	Vanguard Short-Term Tax-Exempt Fund Admiral Shares (VWSUX)	Sale	01/02/2024	\$50,001 - \$100,000
10	Fidelity Intermediate Municipal Income Fund (FLTMX)	Sale	04/08/2024	\$15,001 - \$50,000
11	Vanguard Short-Term Tax-Exempt Fund Admiral Shares (VWSUX)	Sale	04/08/2024	\$50,001 - \$100,000
12	iShares Preferred and Income Securities ETF (PFF)	Sale	04/08/2024	\$15,001 - \$50,000
13	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	04/08/2024	\$15,001 - \$50,000
14	JOHCM International Select Fund Class I Shares (JOHIX)	Sale	06/24/2024	\$50,001 - \$100,000
15	Tweedy, BrowneGlobal Value Fund (TBGVX)	Sale	06/24/2024	\$50,001 - \$100,000
16	First Eagle Overseas Fund Class I Shares (SGOIX)	Sale	06/24/2024	\$50,001 - \$100,000
17	First Eagle Overseas Fund Class I Shares (SGOIX)	Sale	09/16/2024	\$50,001 - \$100,000
18	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Sale	09/16/2024	\$15,001 - \$50,000
19	JOHCM International Select Fund Class I Shares (JOHIX)	Sale	09/16/2024	\$50,001 - \$100,000
20	Tweedy, BrowneGlobal Value Fund (TBGVX)	Sale	09/17/2024	\$50,001 - \$100,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
