

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Deyo, Russell C

, Department of Homeland Security

Date of Termination: 01/20/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dornburg, Erica M, Certifying Official [electronically signed on 02/26/2019 by Dornburg, Erica M in Integrity.gov]

Other review conducted by

/s/ Dornburg, Erica M, Ethics Official [electronically signed on 02/26/2019 by Dornburg, Erica M in Integrity.gov]

U.S. Office of Government Ethics Certification

Certification Declined on 4/24/19 by Apol, David.

Data Revised 04/24/2019

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(04/24/2019, Apol, David): Decline to certify since OGE did not receive the final report until more than 2 years after the filer terminated federal employment.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Johnson & Johnson	New Brunswick, New Jersey	I will continue to participate in the Consolidated Retirement Plan of Johnson & Johnson, a defined benefit pension plan from which I receive payments.	9/1985
2	Johnson & Johnson	New Brunswick, New Jersey	Johnson & Johnson Health Plan: I receive post-retirement medical coverage for life through the J&J Health Plan administered through Aetna.	9/1985
3	Johnson & Johnson	New Brunswick, New Jersey	Johnson & Johnson Savings Plan with a defined contribution 401(k) feature and an ESOP feature.	9/1985
4	Johnson & Johnson	New Brunswick, New Jersey	Johnson & Johnson incentive stock option plan, vested stock options. No future option grants will accrue.	12/1985
5	Johnson & Johnson	New Brunswick, New Jersey	Johnson & Johnson Executive Life Insurance Plan.	1/1992
6	Johnson & Johnson	New Brunswick, New Jersey	Johnson & Johnson: Pursuant to established practice for retired executives, I am entitled to receive discounts on company products, access to company gym facilities, and use of the company's clinical/medical team.	3/2012

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
7	Johnson & Johnson	New Brunswick, New Jersey	Johnson & Johnson: Pursuant to Johnson & Johnson established practice for retired Executive Committee members, I am entitled to shared office space, shared professional assistant support, and parking.	3/2012

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	BARON SMALL CAP FUND INSTITUTIONAL (BSFIX)	No	\$50,001 - \$100,000	Dividends	\$15,001 - \$50,000
2	DODGE & COX INTERNATIONAL STOCK FUND (DODFX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
3	HARDING LOEVNER INTERNATIONAL EQUITY PORTFOLIO (HLMIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4	VANGUARD MID CAP ETF (VO)	No	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
5	BOSTON MASS SER A G.O. 05.000% DUE 04/01/2021	No	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	CHICAGO IL MET WTR RECLAMATION GO REF-SER A DST GTR CHICAGO 05.000% DUE 12/01/2021	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7	DELAWARE ST REF GO P/R 07/01/20@100 05.000% DUE 07/01/2027	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
8	DELAWARE ST UNREFUNDED GO CALL 07/01/20@100 05.000% DUE 07/01/2027	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9	FAIRFAX CNTY VA SER B GO 05.000% DUE 04/01/2024	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
10	FORSAN TX INDEP SCH DIST SCH BLDG GO CALL 02/15/18@100 05.000% DUE 02/15/2028	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11	HUNTSVILLE AL REF-CAP IMPT SER A GENERAL OBLIGATION UNLTD WARRANT 05.000% DUE 09/01/2017	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
12	ILLINOIS FIN AUTH SER A REV ART INSTITUTE CHICAGO 05.250% DUE 03/01/2019	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
13	ILLINOIS ST GO CALL 05/01/2024@100 05.000% DUE 05/01/2029	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
14	ILLINOIS ST SALES TAX REV FIRST SER REV NATL FGIC 06.000% DUE 06/15/2027	No	\$100,001 - \$250,000		\$2,501 - \$5,000
15	INDIANA ST FIN AUTH REV RFDG-ST REF ST REVOLVING FD PG C 05.000% DUE 02/01/2020	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16	KANSAS ST DEPT OF TRANSPRTN HI SER B REV 05.000% DUE 09/01/2019	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
17	MASSACHUSETTS BAY TRANSN AUTH SR SER A REV BONDS 05.000% DUE 07/01/2027	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
18	MASSACHUSETTS ST GO REF-SER A 05.250% DUE 08/01/2020	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	MASSACHUSETTS ST WTR POLLUTN REF POOL PG REV 05.250% DUE 08/01/2029	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
20	MASSACHUSETTS ST WTR RESOURCES GEN- SER B REV 05.250% DUE 08/01/2029	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
21	MICHIGAN ST FIN AUTH REVENUE REF- UNEMPL OBLIG CALL 7/1/16@100 05.000% DUE 07/01/2022	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
22	NEW JERSEY ENVRNMNTL INFRASTRU REF- ENVRNM REV 05.250% DUE 09/01/2018	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
23	OHIO ST UNIV SER D REV 05.000% DUE 12/01/2030	No	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
24	ORANGE CNTY FL SALES TAX REV SER C 05.000% DUE 01/01/2024	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
25	OREGON ST DPT TRNSN HWY USER TAX REV SR LIEN-SER A 05.000% DUE 11/15/2017	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
26	PENNSYLVANIA ST TURNPIKE COMMI REF SER A REV AGM 05.250% DUE 07/15/2027	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
27	SAN ANTONIO TX ELEC & GAS REF REV 05.250% DUE 02/01/2025	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
28	SCOTTSDALE ARIZ WTR & SWR REVENUE BONDS REF 05.250% DUE 07/01/2023	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
29	UNIVERSITY TEX PERM UNIV FD RFDG REF SER B 05.250% DUE 07/01/2026	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30	VANGUARD FUNDS INTER-TERM TAX EXEMPT FD#542 ADMIRAL SHARES (VWIUX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
31	WAKE CNTY N C RFDG-SER C REF SER C 05.000% DUE 03/01/2025	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
32	PNC GOVT. MONEY MARKET FUND	No	\$250,001 - \$500,000	Interest	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	iShares MSCI ACWI Index Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
34	SPDR S&P 500 ETF Trust	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
35	Vanguard Short Term Bond ETF	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
36	Vanguard Total Bond Market ETF	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
37	iShares S&P National Muni Bond Fund	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
38	Child #1 Trust				
38.1	iShares Core S&P Midcap ETF	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
38.2	Vanguard FTSE Developed Markets ETF	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
38.3	iShares MSCI ACWI Index Fund	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
39	Vanguard Total Bond Market ETF	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Alibaba Group Holding LTD ADR (BABA)	Sale	7/29/2016	\$1,001 - \$15,000
2	Amdocs Limited (DOX)	Sale	7/29/2016	\$1,001 - \$15,000
3	Apartment Invt and MGMT CO (AIV)	Sale	7/29/2016	\$1,001 - \$15,000
4	Archer-Daniels-Midland Co (ADM)	Sale	7/29/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
5	Berkshire Hathaway INC (BRKB)	Sale	7/29/2016	\$1,001 - \$15,000
6	Burlington Stores (BURL)	Sale	7/29/2016	\$1,001 - \$15,000
7	Cigna Corporation (CI)	Sale	7/29/2016	\$15,001 - \$50,000
8	Constellation Brands Inc (STZ)	Sale	7/29/2016	\$15,001 - \$50,000
9	Dollar General Corp (DG)	Sale	7/29/2016	\$1,001 - \$15,000
10	DOW Chemical CO (DOW)	Sale	7/29/2016	\$15,001 - \$50,000
11	EBay (EBAY)	Sale	7/29/2016	\$1,001 - \$15,000
12	Edwards LifeSciences Corp (EW)	Sale	7/29/2016	\$1,001 - \$15,000
13	Equifax (EFX)	Sale	7/29/2016	\$15,001 - \$50,000
14	General Dynamics	Sale	7/29/2016	\$1,001 - \$15,000
15	Hain Celestial Group Inc (HAIN)	Sale	7/29/2016	\$1,001 - \$15,000
16	Huntington Bancshares Inc (HBAN)	Sale	7/29/2016	\$1,001 - \$15,000
17	Invesco (IVZ)	Sale	7/29/2016	\$1,001 - \$15,000
18	L Brands Inc (LB)	Sale	7/29/2016	\$15,001 - \$50,000
19	Monster Beverage Corp (MNST)	Sale	7/29/2016	\$1,001 - \$15,000
20	Northrup Grumman Corp (NOC)	Sale	7/29/2016	\$15,001 - \$50,000
21	PayPal Holdings Inc (PYPL)	Sale	7/29/2016	\$15,001 - \$50,000
22	PPG Industries Inc (PPG)	Sale	7/29/2016	\$1,001 - \$15,000
23	Principal Financial Group (PFG)	Sale	7/29/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
24	Public Storage	Sale	7/29/2016	\$1,001 - \$15,000
25	Regeneron Pharmaceuticals Inc (REGN)	Sale	7/29/2016	\$1,001 - \$15,000
26	Reynolds American Inc (RAI)	Sale	7/29/2016	\$15,001 - \$50,000
27	Rockwell Collins Inc (COL)	Sale	7/29/2016	\$1,001 - \$15,000
28	SEI Investment Co (SEIC)	Sale	7/29/2016	\$15,001 - \$50,000
29	Tyco Intl PL (TYC)	Sale	7/29/2016	\$1,001 - \$15,000
30	Tyson Foods Inc (TSN)	Sale	7/29/2016	\$15,001 - \$50,000
31	UnitedHealth Group Inc (UNH)	Sale	7/29/2016	\$1,001 - \$15,000
32	US Bancorp DeL (USB)	Sale	7/29/2016	\$1,001 - \$15,000
33	VIPShop Holdings LTD ADR (VIPS)	Sale	7/29/2016	\$1,001 - \$15,000
34	VOYA Fincl Inc (VOYA)	Sale	7/29/2016	\$1,001 - \$15,000
35	Westrock CO (WRK)	Sale	7/29/2016	\$1,001 - \$15,000
36	XCEL Energy Inc (XEL)	Sale	7/29/2016	\$1,001 - \$15,000
37	Vanguard Total Stock Market ETF (VTI)	Sale	7/29/2016	\$250,001 - \$500,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	JP Morgan Chase, New York, NY	Exercised Line of Credit	\$1,000,001 - \$5,000,000	2014	1.25	open-ended

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
