

Report Type: Termination Report

Year (Annual Report only):

Date of Appointment: 03/2021

Date of Termination: 01/2025

Appointment Type: PAS

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Becerra, Xavier

Secretary, Department of Health & Human Services

Date of Termination: 01/20/2025

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Becerra, Xavier [electronically signed on 02/19/2025 by Becerra, Xavier in Integrity.gov] - Filer received a 45 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hall, Randall, Certifying Official [electronically signed on 03/07/2025 by Hall, Randall in Integrity.gov]

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Other review conducted by

/s/ CardMina, Mary, Ethics Official [electronically signed on 03/05/2025 by CardMina, Mary in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 04/07/2025 by Granahan, Megan in Integrity.gov]

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	California Public Employees' Retirement System (CalPERS) Pension, 401(a) defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
2	State of California, 457(b) plan	No			
2.1	Savings Plus Program Target Date Fund 2020, Pre-Tax	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	State of California, 401(k) plan	No			
3.1	Savings Plus Program Target Date Fund 2020	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Filer's IRA	No			
4.1	JPMorgan Large Cap Growth Fund - Class A (OLGAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	American Funds Washington Mutual Investors Fund - Class F1 (WSHFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	JPMorgan Equity Income Fund - Class A (OIEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	Janus Henderson Enterprise Fund - Class T (JAENX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	American Funds New Perspective Fund - Class F-1 (NPFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	American Funds Capital World Growth & Income Fund - Class F1 (CWGFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	iShares U.S. Real Estate ETF (IYR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.9	Calvert Equity Fund - Class A (CSIEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	T. Rowe Price Global Stock Fund - I Class (TRGLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	Madison Mid Cap Fund Class Y Shares (GTSGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.12	Eaton Vance Floating-Rate Advantage Fund Class A Shares (EAFAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.13	PIMCO Active Bond Exchange-Traded Fund (BOND)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.14	PIMCO Investment Grade Corporate Bond Index Exchange-Traded Fund (CORP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.15	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.16	SPDR Bloomberg 3-12 Month T-Bill ETF SPDR Bloomberg 3-12 Month T-Bill ETF (BILS)	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	State of California, 457(b) plan	Sacramento, California	I retain this defined contribution plan. The plan sponsor no longer makes contributions.	1/2017

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	State of California, 401(k) plan	Sacramento, California	I retain this defined contribution plan. The plan sponsor no longer makes contributions.	1/2017
3	CalPERS Pension, 401(a) defined benefit plan	Sacramento, California	I retain this defined benefit plan.	1/2017

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	California Health Care Foundation (health care philanthropy)	N/A		Director Fees	
2	University of California, Davis	N/A		Salary	
3	University of California, 401(a) plan	No			
3.1	UC Pathway Fund 2030	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	University of California, 403(b) plan	No			
4.1	UC Pathway Fund 2035	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	University of California, 457(b) plan	No			
5.1	UC Pathway Fund 2035	Yes	\$100,001 - \$250,000		None (or less than \$201)
6	George Washington University Retirement Plan for Faculty & Staff, 401(a) plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
7	George Washington University Supplemental Retirement Plan, 403(b) plan	No			
7.1	TIAA-CREF Money Market Fund - Institutional Class (TCIXX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
8	USC Medical Center, 457(b) plan	No			
8.1	Vanguard Target Retirement 2030 Fund (VTHR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	USC Medical Center, 401(a) plan	No			
9.1	TIAA Traditional	N/A	\$250,001 - \$500,000		None (or less than \$201)
10	USC Medical Center, 403(b) plan	No			
10.1	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
10.2	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHR)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11	Valley Medical Group, 401(k) plan	No			
11.1	Vanguard Treasury Money Market Fund - Investor Class (VUSXX)	See Endnote	Yes	\$50,001 - \$100,000	None (or less than \$201)
12	Virginia Hospital Center, 403(b) plan	No			
12.1	Vanguard Target Retirement 2030 Fund (VTHR)	Yes	\$100,001 - \$250,000		None (or less than \$201)
13	Virginia Hospital Center, 401(k) plan	No			
13.1	Vanguard Target Retirement 2035 Fund (VTTHX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	Washington Hospital Center, deferred compensation savings plan	No			
14.1	Fidelity Freedom 2035 Fund Class K Shares (FSNUX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15	Washington Hospital Center, 403(b) plan	No			
15.1	Empower Annuity Insurance Co. Guaranteed Long-Term Fund	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.2	Fidelity Freedom 2035 Fund Class K Shares (FSNUX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16	Spouse's IRA #1	No			
16.1	Eaton Vance Floating-Rate Advantage Fund - Class A (EAFAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.2	PIMCO Active Bond Exchange-Traded Fund (BOND)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.3	PIMCO Investment Grade Corporate Bond Index ETF (CORP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.4	JPMorgan Large Cap Growth Fund - Class A (OLGAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.5	American Funds Washington Mutual Investors Fund - Class F1 (WSHFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.6	JPMorgan Equity Income Fund - Class A (OIEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.7	Janus Hernderson Enterprise Fund - Class T (JAENX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.8	American Funds New Perspective Fund - Class F-1 (NPPFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.9	American Funds Capital World Growth & Income Fund - Class F-1 (CWGFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.10	iShares U.S. Real Estate ETF (IYR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.11	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.12	Calvert Equity Fund - Class A (CSIEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.13	T. Rowe Price Global Stock Fund - I Class (TRGLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.14	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.15	SPDR Bloomberg 3-12 Month T-Bill ETF (BILS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.16	Madison Mid Cap Fund Class Y Shares (GTSGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Spouse's IRA #2	No			
17.1	Eaton Vance Floating-Rate Advantage Fund - Class A (EAFAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.2	PIMCO Active Bond Exchange-Traded Fund (BOND)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.3	PIMCO Investment Grade Corporate Bond Index ETF (CORP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.4	JPMorgan Large Cap Growth Fund - Class A (OLGAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.5	American Funds Washington Mutual Investors Fund - Class F1 (WSHFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.6	JPMorgan Equity Income Fund - Class A (OIEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.7	Janus Henderson Enterprise Fund - Class T (JAENX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.8	American Funds New Perspective Fund - Class F-1 (NPFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.9	American Funds Capital World Growth & Income Fund - Class F-1 (CWGFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.10	iShares U.S. Real Estate ETF (IYR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.11	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17.12	Calvert Equity Fund Class A Shares (CSIEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.13	T Rowe PriceGlobal Stock Fund Class I Shares (TRGLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.14	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.15	SPDR Bloomberg 3-12 Month T-Bill ETF (BILS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.16	Madison Mid Cap Fund Class Y Shares (GTSGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Brokerage Account	No			
1.1	Eaton Vance Floating-Rate Advantage Fund - Class A (EAFAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
1.2	JPMorgan Large Cap Growth Fund - Class A (OLGAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3	American Funds Washington Mutual Investors Fund - Class F1 (WSHFX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
1.4	JPMorgan Equity Income Fund - Class A (OIEIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.5	Janus Henderson Enterprise Fund - Class T (JAENX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.6	American Funds New Perspective Fund - Class F-1 (NPFFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.7	American Funds Capital World Growth & Income Fund - Class F-1 (CWGFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.8	iShares U.S. Real Estate ETF (IYR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.9	U.S. brokerage money market account (cash)	N/A	\$100,001 - \$250,000		\$201 - \$1,000
1.10	Calvert Equity Fund Class A Shares (CSIEX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
1.11	T Rowe PriceGlobal Stock Fund Class I Shares (TRGLX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.12	Madison Mid Cap Fund Class Y Shares (GTSGX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2	U.S. credit union (cash)	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
3	Residential Real Estate, Monterey Park, CA	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
4	Residential Real Estate, Los Angeles, CA	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$50,001 - \$100,000
5	Residential Real Estate, Sacramento, CA	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Residential Real Estate, Sacramento, CA	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Filer's IRA: JPMorgan Large Cap Growth Fund Class A Shares (OLGAX)	Sale	02/26/2024	\$1,001 - \$15,000
2	Filer's IRA: PIMCO Active Bond Exchange-Traded Fund (BOND)	Purchase	02/26/2024	\$1,001 - \$15,000
3	Filer's IRA: SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	02/26/2024	\$1,001 - \$15,000
4	Spouse's UC 401(a) plan: UC Pathway 2030	Purchase	11/27/2024	\$1,001 - \$15,000
5	Spouse's UC 401(a) plan: UC Pathway 2030	Purchase	01/02/2025	\$1,001 - \$15,000
6	Spouse's UC 403(b) plan: UC Pathway 2020	Purchase	01/02/2024	\$1,001 - \$15,000
7	Spouse's UC 403(b) plan: UC Pathway 2020	Sale	07/12/2024	\$100,001 - \$250,000
8	Spouse's UC 403(b) plan: UC Pathway 2035	Purchase	07/12/2024	\$100,001 - \$250,000
9	Spouse's UC 403(b) plan: UC Pathway 2035	Purchase	11/01/2024	\$1,001 - \$15,000
10	Spouse's UC 403(b) plan: UC Pathway 2035	Purchase	11/27/2024	\$1,001 - \$15,000
11	Spouse's UC 403(b) plan: UC Pathway 2035	Purchase	01/02/2025	\$1,001 - \$15,000
12	Spouse's UC 457(b) plan: UC Pathway 2020	Sale	07/12/2024	\$100,001 - \$250,000
13	Spouse's UC 457(b) plan: UC Pathway 2035	Purchase	07/12/2024	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
14	Spouse's UC 457(b) plan: UC Pathway 2035		Purchase	01/02/2025	\$1,001 - \$15,000
15	Spouse's USC Medical Center 403(b) plan: Allspring Short Duration Government Bond Fund Class R6		Sale	07/12/2024	\$50,001 - \$100,000
16	Spouse's USC Medical Center 403(b) plan: Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHRX)		Purchase	07/12/2024	\$50,001 - \$100,000
17	Spouse's Washington Hospital Center deferred compensation savings plan: iShares US Aggregate Bond Index Fund Class K Shares (WFBIX)		Sale	07/12/2024	\$15,001 - \$50,000
18	Spouse's Washington Hospital Center deferred compensation savings plan: Fidelity Freedom 2035 Fund Class K Shares (FSNUX)		Purchase	07/12/2024	\$15,001 - \$50,000
19	Spouse's Washington Hospital Center 403(b) plan: Principal Fixed Account (Washington Hospital Center MedStar GIBC)	See Endnote	Sale	07/12/2024	\$15,001 - \$50,000
20	Spouse's Washington Hospital Center 403(b) plan: Empower Annuity Insurance Co. Guaranteed Long-Term Fund	See Endnote	Purchase	07/12/2024	\$15,001 - \$50,000
21	Spouse's Washington Hospital Center 403(b) plan: Empower Annuity Insurance Co. Guaranteed Long-Term Fund	See Endnote	Sale	07/12/2024	\$15,001 - \$50,000
22	Spouse's Washington Hospital Center 403(b) plan: Fidelity Freedom 2035 Fund Class K Shares (FSNUX)	See Endnote	Purchase	07/12/2024	\$15,001 - \$50,000
23	Spouse's IRA #1: Eaton Vance Floating-Rate Advantage Fund Class A Shares (EAFAX)		Purchase	02/27/2024	\$1,001 - \$15,000
24	Spouse's IRA #1: PIMCO Active Bond Exchange-Traded Fund (BOND)		Purchase	02/27/2024	\$1,001 - \$15,000
25	Spouse's IRA #1: PIMCO Investment Grade Corporate Bond Index Exchange-Traded Fund (CORP)		Purchase	02/27/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
26	Spouse's IRA #1: JPMorgan Large Cap Growth Fund Class A Shares (OLGAX)	Sale	02/27/2024	\$1,001 - \$15,000
27	Spouse's IRA #1: Washington Mutual Investors Fund Class F1 Shares (WSHFX)	Sale	02/27/2024	\$1,001 - \$15,000
28	Spouse's IRA #1: iShares US Real Estate ETF (IYR)	Purchase	02/27/2024	\$1,001 - \$15,000
29	Spouse's IRA #1: Calvert Equity Fund Class A Shares (CSIEX)	Sale	02/27/2024	\$1,001 - \$15,000
30	Spouse's IRA #1: SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	02/27/2024	\$1,001 - \$15,000
31	Spouse's IRA #1: SPDR Bloomberg 3-12 Month T-Bill ETF SPDR Bloomberg 3-12 Month T-Bill ETF (BILS)	Purchase	02/27/2024	\$1,001 - \$15,000
32	Spouse's IRA #1: Madison Mid Cap Fund Class Y Shares (GTSGX)	Sale	02/27/2024	\$1,001 - \$15,000
33	Spouse's IRA #2: Eaton Vance Floating-Rate Advantage Fund Class A Shares (EAFAX)	Purchase	02/27/2024	\$1,001 - \$15,000
34	Spouse's IRA #2: PIMCO Active Bond Exchange-Traded Fund (BOND)	Purchase	02/27/2024	\$1,001 - \$15,000
35	Spouse's IRA #2: PIMCO Investment Grade Corporate Bond Index Exchange-Traded Fund (CORP)	Purchase	02/27/2024	\$1,001 - \$15,000
36	Spouse's IRA #2: JPMorgan Large Cap Growth Fund Class A Shares (OLGAX)	Sale	02/27/2024	\$1,001 - \$15,000
37	Spouse's IRA #2: Washington Mutual Investors Fund Class F1 Shares (WSHFX)	Sale	02/27/2024	\$1,001 - \$15,000
38	Spouse's IRA #2: JPMorgan Equity Income Fund Class A Shares (OIEIX)	Sale	02/27/2024	\$1,001 - \$15,000
39	Spouse's IRA #2: Janus Henderson Enterprise Fund Class T Shares (JAENX)	Sale	02/27/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
40	Spouse's IRA #2: iShares US Real Estate ETF (IYR)	Purchase	02/27/2024	\$1,001 - \$15,000
41	Spouse's IRA #2: Calvert Equity Fund Class A Shares (CSIEX)	Sale	02/27/2024	\$1,001 - \$15,000
42	Spouse's IRA #2: SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	02/27/2024	\$1,001 - \$15,000
43	Spouse's IRA #2: SPDR Bloomberg 3-12 Month T-Bill ETF SPDR Bloomberg 3-12 Month T-Bill ETF (BILS)	Purchase	02/27/2024	\$1,001 - \$15,000
44	Spouse's IRA #2: Madison Mid Cap Fund Class Y Shares (GTSGX)	Sale	02/27/2024	\$1,001 - \$15,000
45	U.S. Brokerage Account: JPMorgan Large Cap Growth Fund Class A Shares (OLGAX)	Purchase	01/11/2024	\$1,001 - \$15,000
46	U.S. Brokerage Account: Washington Mutual Investors Fund Class F1 Shares (WSHFX)	Purchase	01/11/2024	\$1,001 - \$15,000
47	U.S. Brokerage Account: Washington Mutual Investors Fund Class F1 Shares (WSHFX)	Purchase	05/07/2024	\$1,001 - \$15,000
48	U.S. Brokerage Account: JPMorgan Equity Income Fund Class A Shares (OIEIX)	Purchase	01/11/2024	\$1,001 - \$15,000
49	U.S. Brokerage Account: JPMorgan Equity Income Fund Class A Shares (OIEIX)	Purchase	05/07/2024	\$1,001 - \$15,000
50	U.S. Brokerage Account: Janus Henderson Enterprise Fund Class T Shares (JAENX)	Purchase	01/11/2024	\$1,001 - \$15,000
51	U.S. Brokerage Account: Janus Henderson Enterprise Fund Class T Shares (JAENX)	Purchase	05/07/2024	\$1,001 - \$15,000
52	U.S. Brokerage Account: New Perspective Fund Class F1 Shares (NPFFX)	Purchase	01/11/2024	\$1,001 - \$15,000
53	U.S. Brokerage Account: Capital World Growth & Income Fund Class F1 Shares (CWGFX)	Purchase	01/11/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
54	U.S. Brokerage Account: Calvert Equity Fund Class A Shares (CSIEX)	Purchase	01/11/2024	\$1,001 - \$15,000
55	U.S. Brokerage Account: Calvert Equity Fund Class A Shares (CSIEX)	Purchase	05/07/2024	\$1,001 - \$15,000
56	U.S. Brokerage Account: T Rowe PriceGlobal Stock Fund Class I Shares (TRGLX)	Purchase	01/12/2024	\$1,001 - \$15,000
57	U.S. Brokerage Account: Madison Mid Cap Fund Class Y Shares (GTSGX)	Purchase	01/11/2024	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Congressional Federal Credit Union	See Endnote	Mortgage (investment/rental property)	\$10,001 - \$15,000	2011	2.75%	15 Years
2	Congressional Federal Credit Union	See Endnote	Mortgage (investment/rental property)	\$100,001 - \$250,000	2021	2.25%	10 Years
3	Congressional Federal Credit Union	See Endnote	Mortgage (investment/rental property)	\$100,001 - \$250,000	2021	2.25%	10 Years

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
5.	11.1	Balance includes unvested portion of asset.
7.	19	See asset 15.1 in Part 5.
7.	20	See asset 15.1 in Part 5.
7.	21	See asset 15.2 in Part 5.
7.	22	See asset 15.2 in Part 5.
8.	1	Property in Los Angeles, California.
8.	2	Property in Sacramento, California.
8.	3	Property in Sacramento, California.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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