

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Barrett, Barbara M

Secretary, Department of the Air Force

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:

Regent, Smithsonian Institution (1/2013 - 10/2019)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Barrett, Barbara M [electronically signed on 05/14/2020 by Barrett, Barbara M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ McGuire, Shannon A, Certifying Official [electronically signed on 09/20/2020 by McGuire, Shannon A in Integrity.gov]

Other review conducted by

/s/ McGuire, Shannon A, Ethics Official [electronically signed on 09/20/2020 by McGuire, Shannon A in Integrity.gov]

/s/ McGuire, Shannon A, Screener [electronically signed on 07/13/2020 by McGuire, Shannon A in Integrity.gov]

Data Revised 10/06/2020

Data Revised 09/20/2020

Data Revised 07/13/2020

Data Revised 07/12/2020

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Rand Corporation		Santa Monica, California	Non-Profit	Trustee	11/2009	10/2019
2	George W. Bush Presidential Center		Dallas, Texas	Non-Profit	Trustee	6/2019	10/2019
3	The Committee for Economic Development		Arlington, Virginia	Non-Profit	Trustee	1/2014	10/2019
4	The Albert and Mary Lasker Foundation		New York, New York	Non-Profit	Director	1/2012	10/2019
5	Hoover Institution		Stanford, California	Non-Profit	Overseer	1/2011	10/2019
6	The Greater Ravalli Foundation		Hamilton, Montana	Non-Profit	Director	1/2011	10/2019
7	Horatio Alger Association	See Endnote	Alexandria, Virginia	Non-Profit	Director	4/1999	10/2019
8	Craig and Barbara Barrett Foundation		Paradise Valley, Arizona	Non-Profit	Officer	1/2006	10/2019
9	California Institute of Technology	See Endnote	Pasadena, California	Non-Profit	Trustee	1/2014	10/2019
10	Filer's Revocable Family Trust		Palo Alto, California	Trust	Trustee	1/2000	Present
11	Spouse's revocable family trust		Palo Alto, California	Trust	trustee	1/2000	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TC Ranch, LLC, 50% Equity in LLC a.k.a. Triple Creek Guest Ranch	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	K12 Inc, Board Member Fees	N/A	\$100,001 - \$250,000	Director Fees	\$222,738
2	50% Equity in TC Ranch, LLC	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3	The Principles of Engineering Materials," Pearson (value not readily ascertainable))	N/A		Rent or Royalties	\$1,001 - \$2,500

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Casa Grande Partners I, LLC, Phoenix, AZ (real estate investment)		Yes	\$1,001 - \$15,000		None (or less than \$201)
2	CB Ranch, LLC, Darby, MT (ranch investment)	See Endnote	N/A	Over \$50,000,000		None (or less than \$201)
3	Geothermic Solutions LLC, Palo Alto, CA (consultants on Geothermal Energy)		N/A	\$100,001 - \$250,000		None (or less than \$201)
4	Digi.Me, London, UK (data mobility)		N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Imperial Topaz, Paradise Valley, AZ (fine jewels)		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
6	CenturyLink Inc Common Stock	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000
7	Exponent, Inc Common Stock	See Endnote	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
8	Intel Corporation - Common Stock	See Endnote	N/A	Over \$50,000,000	Dividends Capital Gains	Over \$5,000,000
9	U.S. Bank Account #1 (cash) Morgan Stanley		N/A	\$15,001 - \$50,000		None (or less than \$201)
10	U.S. Bank Account #2 (cash) UMB		N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
11	AON PLC - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
12	BB&T Corp- Common Stock	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
13	Chubb Ltd - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
14	Cigna Corporation- Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Citigroup Inc - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
16	Comcast Corporation - Class A Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
17	Danaher Corp - Common Stock	See Endnote	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
18	Duke Energy Corp - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
19	DXC Technology - Common Stock	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
20	Eaton Corp PLC - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
21	EOG Resources - Common Stock	See Endnote	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
22	Fidelity National Info Services Inc. - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
23	Firstenergy Corp - Common Stock	See Endnote	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000
24	Fiserv, Inc. - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$201 - \$1,000
25	General Mills Inc. - Common Stock	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
26	Goldman Sachs Group Inc. - Common Stock	See Endnote	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
27	Illinois Tool Works Inc. - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
28	Johnson & Johnson - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	JP Morgan Chase & Co - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
30	Kimberly Clark - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
31	Lear Corp - Common Stock	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32	Medtronic PLC - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
33	Nasdaq OMX Group	See Endnote	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34	Nestle - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
35	PepsiCo Inc - Common Stock	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
36	Pfizer Inc. - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
37	Philip Morris Intl Inc. - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
38	PNC Finl Services Group - Common Stock	See Endnote	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
39	PPG Industries Inc. - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
40	Roche Holdings Ltd - Common Stock	See Endnote	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
41	Schlumberger Ltd - Common Stock	See Endnote	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
42	Stanley Black & Decker Inc. - Common Stock	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	State Street Corp - Common Stock	See Endnote	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
44	Texas Instruments Inc. - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
45	Thermo Fisher Scientific inc. - Common Stock	See Endnote	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
46	Travelers Cos Inc. - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
47	Union Pacific Corp - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
48	US Bancorp - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
49	Xcel Energy Inc. - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
50	U.S. Bank Account #3 (cash) Merrill Lynch		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
51	U.S. Bank Account #4 (cash) UMB		N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
52	China Mobil - Common Stock	See Endnote	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
53	Perkinelmer Inc. - Common Stock	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
54	U.S. Bank Account #5 (cash)MML		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
55	Franklin Utilities Advisor - MF	See Endnote	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
56	The Income Fund of America MF		Yes	\$250,001 - \$500,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	Blackrock Multi Asset Inc Instl MF	See Endnote	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
58	Putnam Ultra Short Duration Inc Cl Y MF		Yes	\$50,001 - \$100,000		\$201 - \$1,000
59	iShares US Utilities Fund ETF	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
60	Invesco Taxable Municipal Bond ETF (Symbol BAB)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
61	IShares US ETF Tr Sht Mat Bd Fd		Yes	\$15,001 - \$50,000		\$201 - \$1,000
62	Pimco ETF Tr Short Trm Mun BF (SMMU	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
63	Pimco ETF Tr Enhanced Shrt Maturity MINT	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
64	Vaneck Vectors ETF Tr AMT Free Shrt Maturity		Yes	\$15,001 - \$50,000		None (or less than \$201)
65	IShares Tr Pfd & Incm Sec		Yes	\$15,001 - \$50,000		\$201 - \$1,000
66	Wells Fargo & Co - Common Stock	See Endnote	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
67	Casa Grande Partners II, LLC, Phoenix, AZ (real estate investment)		Yes	\$1,001 - \$15,000		None (or less than \$201)
68	Tallwave Commercialization Fund Scottsdale, AZ (invests in start-up companies)		Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
69	Impele Holdings, LLC, Glendale, AZ (can conduct search of Arizona corporations on its web site)		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
70	SVG Thrive Fund, Los Gatos, CA (food tech)		Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
71	Raytheon Co (RTN) (listed on the entrants records but sold prior to taking position)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
72	Whole Trees LLC, Madison WI (engineering of round timber for commercial construction)	See Endnote	N/A	\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500

7. Transactions

None

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Tallwave Commercialization Fund		Capital Commitment	\$250,001 - \$500,000	2019	N/A	on demand
2	SVG Thrive Fund		Capital Commitment	\$250,001 - \$500,000	2019	N/A	On demand

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	7	Vice President of the Board of Directors September 2017 - October 2019
1.	9	Barbara Barrett was the Vice Chairman of the Jet Propulsion Laboratory Governance Subcommittee.
6.	2	operating cattle and bison ranch owned by the Barretts; Barretts do no work on the ranch; They hired people to operate and run the ranch. They are the passive investors.
6.	6	SOLD 1/10/2020
6.	7	Sold on various transaction dates in January 2020. Please note, OGE provided SecAF an extension until 22 Jan 2020 to complete her divestiture; all transactions were completed prior to 22 Jan 2020.
6.	8	Sold on various transaction dates in January 2020. Please note, OGE provided SecAF an extension until 22 Jan 2020 to complete her divestiture; all transactions were completed prior to 22 Jan 2020.
6.	11	Sold 01/07/2020
6.	12	On 12/09/2019 BB&T completed its acquisition of Sun Trust to form Truist Financial Corp, TFC. On that day (12/9), the 2,087 shares of BB&T were exchanged into 2,087 of Truist Financial Corp. On 1/7/2020 all shares were sold - on 278T, reported as Truist Financial Corp rather than BB&T.
6.	13	Sold 01/07/2020
6.	14	Sold 01/07/2020
6.	15	Sold 01/07/2020
6.	16	SOLD 1/7/2020
6.	17	sold 1/7/2020
6.	18	SOLD 1/7/2020
6.	19	This was sold in June of 2019. The new entrant report submitted in 2019, prior to the Secretary's position, was based on items dated through May, 2019.
6.	20	SOLD 1/7/2020
6.	21	SOLD 1/7/2020
6.	22	SOLD 1/7/2020
6.	23	SOLD 1/7/2020

PART	#	ENDNOTE
6.	24	SOLD 1/7/2020
6.	25	SOLD JUNE, 2019 prior to confirmation as SecAF.
6.	26	Sold January 7, 2020
6.	27	SOLD 1/7/2020
6.	28	SOLD 1/7/2020
6.	29	SOLD 1/7/2020
6.	30	SOLD 1/7/2020
6.	31	Sold 1/7/2020
6.	32	SOLD 1/7/2020
6.	33	Sold 1/7/2020
6.	34	SOLD 1/7/2020
6.	35	Sold 1/7/2020
6.	36	SOLD 1/7/2020
6.	37	SOLD 1/7/2020
6.	38	Sold 1/7/2020
6.	39	SOLD 1/7/2020
6.	40	Sold 1/7/2020
6.	41	Sold 1/7/2020
6.	42	Sold 1/7/2020
6.	43	Sold 1/7/2020
6.	44	SOLD 1/7/2020
6.	45	Sold 1/7/2020

PART	#	ENDNOTE
6.	46	SOLD 1/7/2020
6.	47	SOLD 1/7/2020
6.	48	Sold 1/7/2020
6.	49	Sold 1/7/2020
6.	52	Sold 1/15/2020
6.	53	Sold 1/8/2020
6.	55	SOLD 1/6/2020
6.	57	SOLD 3/23/2020
6.	59	Sold 1/6/20.
6.	62	Sold 1/6/2020
6.	63	SOLD 1/6/2020
6.	66	SOLD 1/7/2020
6.	71	Filer sold asset in 2019 prior to confirmation as SecAF.
6.	72	This was item #4 under Part 6 on New Entrant report.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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