

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Watt, Melvin

Director, Federal Housing Finance Agency

Date of Termination: 01/06/2019

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Watt, Melvin [electronically signed on 01/17/2019 by Watt, Melvin in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dent, Sean C., Certifying Official [electronically signed on 03/01/2019 by Dent, Sean C. in Integrity.gov]

Other review conducted by

/s/ Christopher, Rachel, Ethics Official [electronically signed on 01/23/2019 by Christopher, Rachel in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/22/2019 by Granahan, Megan in Integrity.gov]

Data Revised 01/23/2019

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA Amazon.com Inc	No	\$15,001 - \$50,000		None (or less than \$201)
2	IRA Ariel Appreciation Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3	IRA Transamerica Insurance Fixed Annuity	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4	IRA L-3 Communications Holdings, Inc	No	\$1,001 - \$15,000		None (or less than \$201)
5	IRA Novartis AG Spon ADR	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
6	IRA Franklin Convertible Securities Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7	IRA Goldman Sachs Trust Rising Dividend Growth Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8	NC 547b Deferred Compensation Plan	No			
8.1	NC Large Cap Value Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9	IRA Apache Corp	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
10	IRA Cheniere Energy Inc	No	\$1,001 - \$15,000		None (or less than \$201)
11	IRA Schlumberger Ltd	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	IRA Caterpillar Inc	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
13	IRA Apple, Inc	No	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
14	IRA Gilead Sciences Inc	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15	IRA Catalyst Biosciences Inc	No	None (or less than \$1,001)		None (or less than \$201)
16	Netflix, Inc. - Common Stock	No	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
17	Undeveloped lot - Burke County, NC	No	\$15,001 - \$50,000		None (or less than \$201)
18	Undeveloped Lot - Charlotte, NC (half interest)	No	\$15,001 - \$50,000		None (or less than \$201)
19	Catalyst Biosciences Inc.	See Endnote	No	None (or less than \$1,001)	None (or less than \$201)
20	Westside 2000, Ltd	No	\$1,001 - \$15,000		None (or less than \$201)
21	Valero Energy Corp	No	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
22	Alibaba Group Holdings, Ltd (IRA)	No	\$15,001 - \$50,000		None (or less than \$201)
23	Cisco Systems Inc (IRA)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24	International Paper Co (IRA)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
25	NVIDIA Corporation - Common Stock (IRA)	No	\$15,001 - \$50,000		None (or less than \$201)
26	Vertex Pharmaceuticals Inc (IRA)	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	Workdday Inc (IRA)	No	\$1,001 - \$15,000		None (or less than \$201)
28	Cohen & Steers Energy Com (IRA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
29	Abbie, Inc	No	\$1,001 - \$15,000		None (or less than \$201)
30	Tesla Motors, Inc. - Common Stock	No	\$1,001 - \$15,000		None (or less than \$201)
31	Union Pacific Corp	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32	United Health	No	\$15,001 - \$50,000	Dividends Capital Gains	None (or less than \$201)
33	Continental Resources, Inc	No	\$1,001 - \$15,000		None (or less than \$201)
34	Ivesco S&P Emerging Markets	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	Shopify Inc	No	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
36	Michael Kors Holdings	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
37	AT&T Inc	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
38	Dominion Energy, Inc	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	State of North Carolina	Raleigh, North Carolina	I continue to participate in the NC 457b Deferred Compensation Plan. Upon resignation from State employment, the State ceased making contributions.	1/1985

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA Ariel Appreciation Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	IRA Wells Fargo Advantage Fund Small Cap Growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	AMG Times Square Small Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Clarkson Partners Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Brown Advisory Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Europacific Growth Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7	JP Morgan Mid Cap Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	JP Morgan US Large Cap Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Hartford Mid Cap Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	John Hancock Disciplined Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	Lazard Emerging Market Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	MFS Research Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	MFS International Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	Metropolitan West Total Return Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	SEI US Managed Volatility Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	Victory Trivalent Markets Equity Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Wells Fargo International Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	AQR Managed Futures Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	AMG River Road Small Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	Baird Aggregate Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	Nuveem Preferred & Income 2022	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Blackrock Mun Target	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2	Ishares India 50	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Nuveen Intermediate Duration Muni Bond A	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	SPDR EURO STOXX 50	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	Caterpillar Inc	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6	Mainstay Funds Trust High Yield Municipal Bond Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Apple Inc. - Common Stock	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8	Incyte Corporation - Common Stock	No	\$1,001 - \$15,000		None (or less than \$201)
9	Diageo PLC	No	\$1,001 - \$15,000		None (or less than \$201)
10	Shopify Inc	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
11	Mylan Inc. - Common Stock	No	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
12	Eaton Vance AR Municipal Income 2028	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13	First Trust Global Wind Energy ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	Alibaba Group Holdings	No	\$15,001 - \$50,000		None (or less than \$201)
15	Gilead Sciences Inc	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
16	Microsoft Corp	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17	NetFlix Inc	No	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
18	Square Inc	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	Tesla Motors, Inc. - Common Stock	No	\$1,001 - \$15,000		None (or less than \$201)
20	Invesco Aerospace	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	Invesco Solar	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	Wayfair Inc	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
23	General Mills Inc	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
24	Valero Energy Corp	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
25	Raymond James Bank Deposit Program	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
26	Spectra Energy Corp	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Tesla Motors, Inc. - Common Stock	Purchase	11/27/2017	\$1,001 - \$15,000
2	Clarkson Partners Fund Institutional (Sp IRA)	Sale	11/16/2017	\$1,001 - \$15,000
3	Deutsche Global Real Estate Securities Fund (Sp IRA)	Sale	11/17/2017	\$1,001 - \$15,000
4	Workday Inc. Class A (IRA)	Purchase	11/27/2017	\$1,001 - \$15,000
5	Caterpillar Inc	Purchase	03/26/2018	\$1,001 - \$15,000
6	NVIDIA Corporation - Common Stock (IRA)	Purchase	03/28/2018	\$1,001 - \$15,000
7	General Mills Inc	Purchase	03/26/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
8	Apple Inc. - Common Stock	Purchase	03/26/2018	\$15,001 - \$50,000
9	Incyte Corporation - Common Stock	Purchase	03/28/2018	\$1,001 - \$15,000
10	Apache Corp (IRA)	Sale	03/28/2018	\$1,001 - \$15,000
11	Netflix, Inc. - Common Stock (IRA)	Sale	04/24/2018	\$15,001 - \$50,000
12	Caterpillar, Inc	Purchase	04/27/2018	\$1,001 - \$15,000
13	Tesla, Inc (IRA)	Purchase	04/27/2018	\$1,001 - \$15,000
14	Netflix, Inc (IRA)	Purchase	04/27/2018	\$15,001 - \$50,000
15	General Mills, Inc.	Sale	04/27/2018	\$1,001 - \$15,000
16	Metropolitan West Total Return (Spouse IRA)	Sale	05/11/2018	\$1,001 - \$15,000
17	NetFlix Inc (IRA)	Sale	05/22/2018	\$15,001 - \$50,000
18	Mylan NV SHS Euro	Sale	05/25/2018	\$1,001 - \$15,000
19	Unitedhealth Group Inc (IRA)	Purchase	05/22/2018	\$15,001 - \$50,000
20	Square Inc Class A	Purchase	05/25/2018	\$1,001 - \$15,000
21	Baird Aggregate Bond Fund (Spouse IRA)	Sale	05/11/2018	\$1,001 - \$15,000
22	Michael Kors Holdings Ltd (IRA)	Sale	05/22/2018	\$1,001 - \$15,000
23	Abbvie Ince (IRA)	Purchase	05/25/2018	\$1,001 - \$15,000
24	International Paper Co (IRA)	Sale	05/25/2018	\$1,001 - \$15,000
25	Continental Resources, Inc (IRA)	Purchase	05/25/2018	\$1,001 - \$15,000
26	Union Pacific Corp (IRA)	Purchase	05/25/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	Unitedhealth Group Inc (IRA)	Sale	05/25/2018	\$15,001 - \$50,000
28	NetFlix Inc (IRA)	Purchase	06/29/2018	\$15,001 - \$50,000
29	Caterpillar Inc	Purchase	06/29/2018	\$1,001 - \$15,000
30	Wayfair, Inc	Sale	06/19/2018	\$1,001 - \$15,000
31	Valero Energy Corp (IRA)	Purchase	06/29/2018	\$1,001 - \$15,000
32	Novartis AG (IRA)	Sale	06/29/2018	\$1,001 - \$15,000
33	Valero Energy Corp	Sale	05/17/2018	\$1,001 - \$15,000
34	Blackrock Municipal Holdings	Sale	02/13/2018	\$15,001 - \$50,000
35	AT&T Inc	Sale	02/13/2018	\$1,001 - \$15,000
36	Nvidia Corp	Purchase	02/14/2018	\$1,001 - \$15,000
37	United Health Group Inc	Purchase	02/14/2018	\$15,001 - \$50,000
38	Spectra Energyy	Sale	02/13/2018	\$1,001 - \$15,000
39	Dominion Energy Inc	Sale	02/13/2018	\$1,001 - \$15,000
40	Alibaba Group Holdings Ltd	Purchase	02/14/2018	\$15,001 - \$50,000
41	NetFlix Inc	Purchase	02/14/2018	\$1,001 - \$15,000
42	Wayfair Inc	Purchase	02/14/2018	\$1,001 - \$15,000
43	Shopify Inc.	Sale	08/12/2018	\$1,001 - \$15,000
44	Shopify Inc (IRA)	Sale	08/02/2018	\$1,001 - \$15,000
45	Netflix, Inc. - Common Stock	Purchase	11/11/2018	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
46	NetFlix Inc	Purchase	10/26/2018	\$1,001 - \$15,000
47	NetFlix Inc	Purchase	11/30/2018	\$15,001 - \$50,000
48	Caterpillar Inc	Purchase	10/26/2018	\$1,001 - \$15,000
49	Wayfair Inc (IRA)	Sale	12/19/2017	\$1,001 - \$15,000
50	Alibaba Group Holdings Limited (IRA)	Purchase	11/27/2017	\$15,001 - \$50,000
51	SEI U.S. Managed Volatility Fund Class Y (Sp IRA)	Purchase	11/17/2017	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo Bank	Mortgage on Personal Residence	\$250,001 - \$500,000	2012	2.25%	30 yrs
2	Wells Fargo Bank	Mortgage on Personal Residence	\$250,001 - \$500,000	2010	3.875%	30 yrs
3	Mechanics & Farmers Bancorp	Exercised Line of Credit	\$15,001 - \$50,000	2004	prime + .5%	15 yrs

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	19	Stock issued as a result of merger between Targacept Inc and Catalyst Biosciences Inc.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
