

Report Type:	Annual
Year (Annual Report only):	2014
Date of Appointment/Termination:	April 4, 2014


Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information				
Last Name	First Name	MI	Position	Agency
Lu	Christopher	P	Deputy Secretary	Department of Labor


Other Federal Government Positions Held During the Preceding 12 Months:

Name of Congressional Committee Considering Nomination (Nominees only):


Filer's Certification - I certify that the statements I have made in this report are true, complete and correct to the best of my knowledge:

Signature: 	Date: MAY 14, 2015
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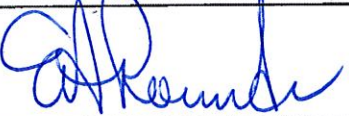
Agency Ethics Official's Opinion – On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

Signature: 	Date: 6/12/2015
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Other Review Conducted By:

Signature: 	Date: 6/11/2015
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U.S. Office of Government Ethics Certification (if required):

Signature: 	Date: 8-06-15
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Comments of Reviewing Officials:

OGE RECEIVED : JUN 26 2015 

2. rev'd 5/15/15
 JUB
 7. rev'd 6/11/15
 JUB

Instructions for Part 1

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

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Part 1: Filer's Positions Held Outside United States Government

#	Organization Name	City/State	Organization Type	Position Held	From	To
1. ✓	Fiscal Note	Bethesda, MD	Corporation	Advisory Board Member/Consultant	7/2013	4/2014
2. ✓	Bow River Group, LLC	Arlington, VA	Consulting Firm (Dormant)	Founder	9/2013	Present
3.	Reed Elsevier	Washington, DC	Corporation	Consultant	11/2013	4/2014
4.	Georgetown University McCourt School of Public Policy	Washington, DC	Educational Institution	Visiting Fellow	9/2013	1/2014
5. ✓	Center for the Study of the Presidency and Congress	Washington, DC	Non-Profit Organization	Senior Fellow	7/2013	4/2014
6. ✓	Information Technology Industry Council	Washington, DC	Trade Association	Consultant	2/2013	4/2014
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Instructions for Part 2

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Part 2: Filer's Employment Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
1.	Fiscal Note (Private Stock - Value Not Ascertainable)	N	None (or less than \$1,001)		None (or less than \$201)
1.	Information Technology Industry Council	N		Consulting fee	\$17,500
2.	Reed Elsevier	N		Consulting fee	\$45,000
3.	Missouri Western State University	N		Speaking fee	\$3,500
4.	University of Cincinnati	N		Speaking fee	\$3,000
2.	Center for the Study of the Presidency and Congress	N		Consulting fee	\$9,000

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Filer's Name					Page Number
Christopher P. Lu					
Part 2: Filer's Employment Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
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Instructions for Part 3

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Part 3: Filer's Employment Agreements and Arrangements

#	Employer or Party	City/State	Status and Terms	Date
1.	N/A			
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Instructions for Part 4

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Filer's Name	Page Number
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Part 4: Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	Source Name	City/State	Brief Description of Duties
1.	N/A		
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Instructions for Part 5

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Part 5: Spouse's Employment Assets and Income

#	Description	EIF	Value	Income Type	Income Amount
1.	Sidley Austin Cash Balance Plan		\$250,001 - \$500,000		None (or less than \$201)
2.	SA Investment Partnership III, LLC		\$100,001 - \$250,000		None (or less than \$201)
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Instructions for Part 6

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Part 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
1. ✓	T. Rowe Price Global Stock	Y	\$100,001 - \$250,000		\$201 - \$1,000
2. ✓	Harbor Capital Appreciation	Y	\$100,001 - \$250,000		\$5,001 - \$15,000
3. ✓	Harbor International	Y	\$250,001 - \$500,000		\$5,001 - \$15,000
4. ✓	Invesco American Franchise	Y	\$50,001 - \$100,000		\$5,001 - \$15,000
5. ✓	T. Rowe Price Mid-Cap Growth	Y	\$100,001 - \$250,000		\$2,501 - \$5,000
6. ✓	T. Rowe Price Mid-Cap Value	Y	\$100,001 - \$250,000		\$15,001 - \$50,000
7. ✓	T. Rowe Price Science & Technology	Y	\$1,001 - \$15,000		\$1,001 - \$2,500
8. ✓	T. Rowe Price Small Cap Stock	Y	\$250,001 - \$500,000		\$15,001 - \$50,000
9. ✓	State Street S&P 500	Y	\$250,001 - \$500,000		None (or less than \$201)
10. ✓	Vanguard Equity Income	Y	\$100,001 - \$250,000		\$5,001 - \$15,000
11. ✓	Vanguard Extended Market	Y	\$50,001 - \$100,000		\$201 - \$1,000
12. ✓	Vanguard Total Bond	Y	\$100,001 - \$250,000		\$5,001 - \$15,000
13. ✓	Vanguard Total International	Y	\$100,001 - \$250,000		\$2,501 - \$5,000
14. ✓	Vanguard Total Stock Market	Y	\$500,001 - \$1,000,000		\$5,001 - \$15,000
15. ✓	T. Rowe Price Virginia Bond	Y	\$250,001 - \$500,000		\$5,001 - \$15,000
16. ✓	Presidential Bank (Checking)	N/A	\$50,001 - \$100,000		\$201 - \$1,000
17. ✓	Capital One (Checking)	N/A	\$50,001 - \$100,000		\$201 - \$1,000
18. ✓	Condo in Canmore, Alberta	N	\$100,001 - \$250,000	Rental income	\$5,001 - \$15,000

Instructions for Part 7

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Part 7: Transactions

#	Description	Type	Date	Amount
1. ✓	Annaly Capital Management	sale	5/19/14	\$1,001 - \$15,000
2. ✓	Berkshire Hathaway	sale	5/19/14	\$1,001 - \$15,000
3. ✓	Cisco	sale	5/19/14	\$1,001 - \$15,000
4. ✓	Coca-Cola	sale	5/19/14	\$1,001 - \$15,000
5. ✓	Procter & Gamble	sale	5/19/14	\$1,001 - \$15,000
6. ✓	Microsoft	sale	5/19/14	\$1,001 - \$15,000
7. ✓	CBS	sale	5/19/14	\$1,001 - \$15,000
8. ✓	Viacom	sale	5/19/14	\$1,001 - \$15,000
9. ✓	Vanguard Total Stock	purchase	Monthly	\$1,001 - \$15,000
10. ✓	Vanguard Total Bond	purchase	Monthly	\$1,001 - \$15,000
11. ✓	Virginia Bond	purchase	Monthly	\$1,001 - \$15,000
12. ✓	Vanguard Total International	purchase	Monthly	\$1,001 - \$15,000
13. ✓	T. Rowe Price Mid-Cap Value	purchase	Monthly	\$1,001 - \$15,000
14. ✓	T. Rowe Price Mid-Cap Growth	purchase	Monthly	\$1,001 - \$15,000
15. ✓	FPA Perennial to Vanguard Extended Market	exchange	12/2/13	\$1,001 - \$15,000
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Instructions for Part 8

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Part 8: Liabilities

#	Creditor Name	Type	Amount	Year Incurred	Rate	Term
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Instructions for Part 9

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Part 9: Gifts and Travel Reimbursements

#	Source Name	City/State	Brief Description	Value
1.	Harvard Law School	Cambridge, MA	Reimbursement of travel to speak to law school class - Oct. 29-30, 2014	\$316.70
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