

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Scalia, Eugene

Secretary of Labor, Department of Labor

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:

Senior Fellow, Administrative Conference of the United States (6/2018 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Scalia, Eugene [electronically signed on 05/14/2020 by Scalia, Eugene in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Constantine, Peter J, Certifying Official [electronically signed on 06/24/2020 by Constantine, Peter J in Integrity.gov]

Other review conducted by

/s/ Mancher, Zachary D, Ethics Official [electronically signed on 06/24/2020 by Mancher, Zachary D in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/22/2020

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	Law Firm	Partner	3/2003	9/2019
2	Revocable Family Trust #1	McLean, Virginia	Trust	Trustee	3/2017	Present
3	Ethics and Public Policy Center	Washington, District of Columbia	Non-Profit	Director	3/2019	9/2019
4	Family Trust #2	McLean, Virginia	Trust	Trustee	3/1996	7/2019

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Wells Fargo IRA	No			
1.1	DODGE & COX STK FD (DODGX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.2	DODGE & COX INCOME FD (DODIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.3	DODGE & COX FDS	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.4	FIDELITY TOTAL MARKET INDEX FUND (FSKAX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.5	GATEWAY FUND CL Y (GTEYX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.6	GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD (FTIXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	INVESCO OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y (ODVYX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.8	PRINCIPAL FDS INC MIDCAP FUND INSTL CLASS (PCBIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.9	T ROWE PRICE SHORT TRM BD FD INC (PRWBX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	Gibson, Dunn & Crutcher LLP	N/A		Partnership share and bonus	\$4,057,470
3	Gibson, Dunn & Crutcher LLP capital account	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4	Gibson, Dunn & Crutcher LLP self-insurance reserve	N/A	\$50,001 - \$100,000		None (or less than \$201)
5	Gibson, Dunn & Crutcher LLP defined benefit plan (value not readily ascertainable): currently eligible for \$25,922.27 per month upon departure from firm	N/A			None (or less than \$201)
6	Schwab IRA	No			
6.1	iShares Core MSCI EAFE (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	Vanguard Value ETF (VTV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	US bank account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.5	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.6	iShares US Treasury Bond (GOVT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	Ishares Tr Intrm Tr Crp Etf (IGIB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.9	iShares S&P Small-Cap 600 Value ETF (IJS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.10	iShares S&P Small-Cap 600 Growth ETF (IJT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.11	iShares MBS (MBB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.12	Vanguard Growth ETF (VUG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Gibson, Dunn & Crutcher LLP 401(k) and profit sharing plan	No			
7.1	Loomis Sayles Core Bond (NERNX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
7.2	T. Rowe Price Balanced Inst (RPBAX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
7.3	Vanguard Windsor II Adm (VWNAX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
7.4	Vanguard S&P 500 Inst Plus Index (VINIX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
7.5	T Rowe Price Blue Chip Growth (TRBCX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
7.6	EuroPacific Growth Class R-6 (RERGX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7	Vanguard S&P Mid Cap 400 Fund (VSPMX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
7.8	Neuberger Berman Genesis R6 (NRGSX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	Pursuant to the partnership agreement, the firm agreed to return my capital account. The total amount of the payment was calculated as of the date of my departure and was provided to me in 2020.	4/2003
2	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	Pursuant to the partnership agreement, the firm agreed to return my self insurance reserve. The total amount of the payment was calculated based on costs incurred by the firm relating to defending claims made against the firm or any settlements paid, and was provided to me in 2020.	4/2003
3	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	Pursuant to the partnership agreement, following my departure from the firm, I received my final partnership share in one lump-sum payment. The total amount of the payment was calculated as of the date of my departure. This calculation included: (1) the firm's actual earnings for 2018; (2) my predetermined share of firm 2019 earnings; and (3) the number of days in 2019 that I was with the firm, minus the amount was already paid in 2019 at the time of my departure.	4/2003
4	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The firm will not make further contributions.	4/2003
5	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	The firm distributed my share of the partner cash balance plan after my departure. The firm did not make further contributions after my departure.	4/2003

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
6	Gibson, Dunn & Crutcher LLP	Washington, District of Columbia	I will continue to participate in this defined benefit plan. Pursuant to the partnership agreement, I will receive monthly payments after my departure. Pursuant to the firm's partnership agreement, the payments will be fixed during my government service. These payments will also be fixed for at least one year after the end of my government service. Subsequent payments to me under the defined benefit plan will not share in fees received by the firm for services performed by the firm during my government service in particular matters in which the United States is a party or has a direct and substantial interest.	4/2003

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Goldman Sachs Financial Square Funds - Treasury Instruments Fund (FTIXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	T. Rowe Price Tax-Free High Yield Fund Inc (PRFHX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3	T. Rowe Price Summit Municipal Intermediate Fund (PRSMX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Thornburg Limited Term Municipal Fund Class I (LTMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	Eaton Vance Global Macro Absolute Return Fund Class I (EIGMX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6	Dodge & Cox Stock Fund (DODGX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7	Gateway Fund Class Y Shares (GTEYX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
8	Fidelity Total Market Index Fund (FSKAX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9	Principal MidCap Fund Institutional Class (PCBIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10	CRM Small/Mid Cap Value Fund Class Institutional (CRIAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	T. Rowe Price Blue Chip Growth Fund (TRBCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Invesco Oppenheimer Developing Markets Fund Class Y (ODVYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Dodge & Cox International Stock Fund (DODFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
14	U.S. bank account #1 (cash)	N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
15	U.S. bank account #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	U.S. bank account #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	U.S. bank account #4 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	U.S. bank account #5 (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
19	U.S. bank account #6 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20	U.S. bank account #7 (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
21	Family trust #3	No			
21.1	U.S. bank account #8 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	US Brokerage Account #1	No			
22.1	Schwab Value Advantage Money Inv (SWVXX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
23	US Brokerage Account #2	No			
23.1	Stone Ridge Alternative Lending Risk Premium Fund (LENDX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
23.2	Stone Ridge Reinsurance Risk Premium Interval Fund (SRRIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
24	US Brokerage Account #3	No			
24.1	U.S. cash account	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.2	iShares MSCI EAFE (EFA)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
24.3	SPDR S&P 500 ETF (SPY)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
24.4	Vanguard REIT ETF (VNQ)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
24.5	SPDR Blmbg Barclays 1-3 Mth T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.6	iShares Russell 2000 (IWM)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
24.7	iShares Core US Aggregate Bond (AGG)	Yes	None (or less than \$1,001)		\$201 - \$1,000
24.8	Alerian MLP ETF (AMLPL)	Yes	None (or less than \$1,001)		\$201 - \$1,000
24.9	SPDR® Blmbg Barclays 1-3 Mth T-Bill ETF (BIL)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
24.10	SPDR® Blmbg Barclays High Yield Bd ETF (JNK)	Yes	None (or less than \$1,001)		\$201 - \$1,000
24.11	iShares TIPS Bond (TIP)	Yes	None (or less than \$1,001)		\$201 - \$1,000
25	US Brokerage Account #4	No			
25.1	Broadstone Net Lease, Inc. (single-tenant, net-leased REIT)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
26	US Brokerage Account #5	No			
26.1	DFA US Vector Equity I (DFVEX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
26.2	U.S. cash account	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	US Brokerage Account #6	No			
27.1	DFA US Core Equity 2 (DFQTX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
27.2	DFA Emerging Markets Core Equity I (DFCEX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
27.3	DFA International Core Equity I (DFIEX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.4	DFA Global Real Estate Securities Port (DFGEX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
27.5	U.S. cash account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
28	VA (CollegeAmerica) 529 College Savings Plan #1	No			None (or less than \$201)
28.1	American Funds US Government MMkt 529F1 (FARXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
28.2	American Funds US Government Sec 529F1 (CGTFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28.3	American Funds AMCAP 529F1 (CAFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28.4	American Funds ST Bd Fd of Amer 529F1 (CFAMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
28.5	American Funds Washington Mutual 529F1 (CWMFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28.6	American Funds Interm Bd Fd of Amer 529F1 (CBOFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	VA (CollegeAmerica) 529 College Savings Plan #2	No			
29.1	American Funds Income Fund of Amer 529F1 (CIMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.2	American Funds AMCAP 529F1 (CAFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.3	American Funds Capital World Bond 529F1 (CCWFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.4	American Funds Europacific Growth 529F1 (CEUFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29.5	American Funds Interim Bd Fd of Amer 529F1 (CBOFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.6	American Funds Washington Mutual 529F1 (CWMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.7	American Funds SMALLCAP World 529F1 (CSPFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	VA (CollegeAmerica) 529 College Savings Plan #3	No			
30.1	American Funds US Government MMkt 529F1 (FARXX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
30.2	American Funds ST Bd Fd of Amer 529F1 (CFAMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31	VA (CollegeAmerica) 529 College Savings Plan #4	No			
31.1	American Funds Income Fund of Amer 529F1 (CIMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31.2	American Funds AMCAP 529F1 (CAFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31.3	American Funds Capital World Bond 529F1 (CCWFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31.4	American Funds Europacific Growth 529F1 (CEUFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31.5	American Funds Interim Bd Fd of Amer 529F1 (CBOFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31.6	American Funds Washington Mutual 529F1 (CWMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31.7	American Funds SMALLCAP World 529F1 (CSPFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	VA (CollegeAmerica) 529 College Savings Plan #5	No			
32.1	American Funds US Government MMkt 529F1 (FARXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.2	American Funds AMCAP 529F1 (CAFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
32.3	American Funds ST Bd Fd of Amer 529F1 (CFAMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.4	American Funds Capital World Bond 529F1 (CCWFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.5	American Funds Europacific Growth 529F1 (CEUFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.6	American Funds Interm Bd Fd of Amer 529F1 (CBOFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
32.7	American Funds Washington Mutual 529F1CWMFX	Yes	\$15,001 - \$50,000		None (or less than \$201)
32.8	American Funds SMALLCAP World 529F1 (CSPFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	VA (CollegeAmerica) 529 College Savings Plan #6	No			
33.1	American Funds Income Fund of Amer 529F1 (CIMFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
33.2	American Funds AMCAP 529F1 (CAFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.3	American Funds Europacific Growth 529F1 (CEUFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
33.4	American Funds Interm Bd Fd of Amer 529F1 (CBOFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.5	American Funds Washington Mutual 529F1 (CWMFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.6	American Funds Capital Income Bldr 529F1 (CIRFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
33.7	American Funds SMALLCAP World 529F1 (CSPFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34	VA (CollegeAmerica) 529 College Savings Plan #7	No	None (or less than \$1,001)	Cash distributions	\$32,688
35	Virginia Prepaid 529 #1 (4 years)	N/A	\$15,001 - \$50,000		None (or less than \$201)
36	Virginia Prepaid 529 #2 (4 years)	N/A	\$1,001 - \$15,000	Cash distributions	\$6,663
37	Virginia Prepaid 529 #3 (4 years)	N/A	\$15,001 - \$50,000		None (or less than \$201)
38	Virginia Prepaid 529 #4 (4 years)	N/A	\$50,001 - \$100,000		None (or less than \$201)
39	Virginia Prepaid 529 #5 (4 years)	N/A	\$15,001 - \$50,000	Cash distributions	\$6,497
40	UTMA Account #1	No			
40.1	DFA Global Equity I (DGEIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
41	UTMA Account #2	No			
41.1	DFA Global Equity I (DGEIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
42	UTMA Account #3	No			
42.1	DFA Global Equity I (DGEIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
43	Family trust #2	See Endnote	No		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43.1	The Hartford, universal life insurance policy	N/A	\$100,001 - \$250,000		None (or less than \$201)
44	Allianz Life of America, fixed index annuity	N/A	\$250,001 - \$500,000		None (or less than \$201)
45	Virginia Prepaid 529 #6 (4 years)	N/A	None (or less than \$1,001)	Cash distribution	\$7,736
46	Family trust #4	See Endnote	No	Distribution	\$780
46.1	Blackrock Large Cap FCS Growth Fund Instl (MALHX)	Yes	\$1,001 - \$15,000		
46.2	Blackrock Advantage Lrg Cap Value Fund Instl (MALVX)	Yes	\$1,001 - \$15,000		
46.3	Blackrock Capital Appreciation Fd Inc Inst (MAFGX)	Yes	\$1,001 - \$15,000		
46.4	Blackrock Advantage Small Cap Growth Fd Instl (PGSIX)	Yes	\$1,001 - \$15,000		
46.5	Blackrock GNMA Inst (BGNIX)	Yes	\$1,001 - \$15,000		
46.6	Blackrock Equity Dividend Fund Instl (MADVX)	Yes	\$1,001 - \$15,000		
46.7	Blackrock Total Return Fund Instl (MAHQX)	Yes	\$1,001 - \$15,000		
46.8	iShares Russell 1000 Growth Index (IWF)	Yes	\$1,001 - \$15,000		
46.9	iShares Iboxx \$ Invt Grade Corp Bd (LQD)	Yes	\$1,001 - \$15,000		
46.10	iShares Select Dividend ETF (DVY)	Yes	\$1,001 - \$15,000		
46.11	iShares Edge MSCI Min Vol USA ETF (USMV)	Yes	\$1,001 - \$15,000		
46.12	iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	Yes	\$1,001 - \$15,000		
46.13	iShares TR Core MSCI EAF ETF (IEFA)	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46.14	BLF Fedfund Cash Reserve (BFRXX)	Yes	\$1,001 - \$15,000		
46.15	iShares Core S&P 500 ETF (IVV)	Yes	\$1,001 - \$15,000		
47	Family trust #5	See Endnote	No	\$250,001 - \$500,000	None (or less than \$201)
47.1	Blackrock Capital Appreciation Fd Inc Inst (MAFGX)	Yes			
47.2	Blackrock Equity Dividend Fund Instl (MADVX)	Yes			
47.3	Blackrock Total Return Fund Instl (MAHQX)	Yes			
47.4	iShares Russell 1000 Growth Index (IWF)	Yes			
47.5	iShares Iboxx \$ Invt Grade Corp Bd (LQD)	Yes			
47.6	iShares Select Dividend ETF (DVY)	Yes			
47.7	iShares Intl Select Dividend ETF (IDV)	Yes			
47.8	iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	Yes			
47.9	BLF Fedfund Cash Reserve (BFRXX)	Yes			
47.10	iShares Core U.S. Aggregate Bond ETF (AGG)	Yes			
47.11	iShares Core S&P 500 ETF (IVV)	Yes			
47.12	iShares MSCI EAFE (EFA)	Yes			
47.13	iShares Edge MSCI Min Vol USA ETF (USMV)	Yes			
47.14	iShares MBS ETF (MBB)	Yes			
47.15	iShares J.P. Morgan Emerging Markets Bond ETF (EMB)	Yes			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47.16	iShares Russell 2000 Value ETF (IWN)	Yes			
47.17	iShares TR Core MSCI EAF ETF (IEFA)	Yes			
47.18	Blackrock Advantage Lrg Cap Value Fund Instl (MALVX)	Yes			
47.19	Blackrock Large Cap FCS Growth Fund Instl (MALHX)	Yes			
47.20	Blackrock Floating Rate Income Fund (BFRIX)	Yes			
47.21	Blackrock Global Dividend Fund Inst (BIBDX)	Yes			
47.22	Blackrock Advantage Small Cap Growth Fd Instl (PGSIX)	Yes			
47.23	Blackrock GNMA Inst (BGNIX)	Yes			
47.24	BlackRock High Yield Bond Portfolio Institutional (BHYIX)	Yes			
47.25	Blackrock Inflation Protected Bond Fund Inst (BPRIX)	Yes			
48	Family trust #6	See Endnote	No	\$500,001 - \$1,000,000	None (or less than \$201)
48.1	BLF Fedfund Cash Reserve (BFRXX)	Yes			
48.2	iShares Russell 1000 Growth Index (IWF)	Yes			
48.3	iShares MSCI EAFE (EFA)	Yes			
48.4	iShares Iboxx \$ Invt Grade Corp Bd (LQD)	Yes			
48.5	iShares Core U.S. Aggregate Bond ETF (AGG)	Yes			
48.6	iShares Select Dividend ETF (DVY)	Yes			
48.7	iShares Edge MSCI Min Vol USA ETF (USMV)	Yes			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48.8	iShares MBS ETF (MBB)	Yes			
48.9	iShares Intl Select Dividend ETF (IDV)	Yes			
48.10	iShares J.P. Morgan Emerging Markets Bond ETF (EMB)	Yes			
48.11	iShares Russell 2000 Value ETF (IWN)	Yes			
48.12	iShares Core S&P 500 ETF (IVV)	Yes			
48.13	iShares Russell 2000 ETF (IWM)	Yes			
48.14	iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	Yes			
48.15	iShares TR Core MSCI EAF ETF (IEFA)	Yes			
48.16	Blackrock Advantage Lrg Cap Value Fund Instl (MALVX)	Yes			
48.17	Blackrock Large Cap FCS Growth Fund Instl (MALHX)	Yes			
48.18	Blackrock Floating Rate Income Fund (BFRIX)	Yes			
48.19	Blackrock Inflation Protected Bond Fund Inst (BPRIX)	Yes			
48.20	Blackrock Global Dividend Fund Inst (BIBDX)	Yes			
48.21	Blackrock Capital Appreciation Fd Inc Inst (MAFGX)	Yes			
48.22	Blackrock Advantage Small Cap Growth Fd Instl (PGSIX)	Yes			
48.23	Blackrock GNMA Inst (BGNIX)	Yes			
48.24	BlackRock High Yield Bond Portfolio Institutional (BHYIX)	Yes			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48.25	Blackrock Equity Dividend Fund Instl (MADVX)	Yes			
48.26	Blackrock Total Return Fund Instl (MAHQX)	Yes			
49	VA (Invest529) 529 College Savings Plan #1	No			
49.1	Stable Value Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
50	VA (Invest529) 529 College Savings Plan #2	No			
50.1	FDIC-Insured Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	CRM SMALL/MID CAP VALUE FUND CLASS INST	Purchase	12/12/2019	\$50,001 - \$100,000
2	DODGE & COX STK FD	Purchase	12/12/2019	\$100,001 - \$250,000
3	DODGE & COX INCOME FD	Purchase	12/12/2019	\$100,001 - \$250,000
4	DODGE & COX FDS INTL STK FD	Purchase	12/12/2019	\$100,001 - \$250,000
5	FIDELITY TOTAL MARKET INDEX FUND	Purchase	12/12/2019	\$250,001 - \$500,000
6	GATEWAY FUND CL Y	Purchase	12/12/2019	\$100,001 - \$250,000
7	GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL	Purchase	12/12/2019	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
8	INVESCO OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y	Purchase	12/12/2019	\$100,001 - \$250,000
9	PRINCIPAL FDS INC MIDCAP FUND INSTL CLASS	Purchase	12/12/2019	\$50,001 - \$100,000
10	T ROWE PRICE SHORT TRM BD FD INC	Purchase	12/12/2019	\$100,001 - \$250,000
11	T ROWE PRICE BLUE CHIP GROWTH FUND	Purchase	12/12/2019	\$100,001 - \$250,000
12	FIDELITY TOTAL MARKET INDEX FUND	Purchase	12/16/2019	\$1,001 - \$15,000
13	INVESCO OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y	Purchase	12/16/2019	\$1,001 - \$15,000
14	DODGE & COX STK FD	Purchase	12/23/2019	\$1,001 - \$15,000
15	DODGE & COX INCOME FD	Purchase	12/23/2019	\$1,001 - \$15,000
16	DODGE & COX FDS INTL STK FD	Purchase	12/23/2019	\$1,001 - \$15,000
17	PRINCIPAL FDS INC MIDCAP FUND INSTL CLASS	Purchase	12/12/2019	\$1,001 - \$15,000
18	FIDELITY TOTAL MARKET INDEX FUND	Purchase	12/16/2019	\$1,001 - \$15,000
19	DODGE & COX STK FD	Purchase	12/23/2019	\$1,001 - \$15,000
20	DODGE & COX FDS INTL STK FD	Purchase	12/23/2019	\$1,001 - \$15,000
21	GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL	Sale	10/11/2019	\$1,001 - \$15,000
22	Broadstone Net Lease, Inc.	Purchase	10/16/2019	\$1,001 - \$15,000
23	Broadstone Net Lease, Inc.	Purchase	11/15/2019	\$1,001 - \$15,000
24	Broadstone Net Lease, Inc.	Purchase	12/13/2019	\$1,001 - \$15,000
25	DFA US Vector Equity (DFVEX)	Purchase	12/18/2019	\$1,001 - \$15,000
26	Stone Ridge Tr V Alt Lendg Prem (LENDX)	Purchase	12/20/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	iShares Russell 2000 (IWM)	Purchase	12/03/2019	\$50,001 - \$100,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	43	The filer has partial interest in this trust. The total cash value of the underlying asset is reported.
6.	46	The filer has a partial income interest in this trust and his dependent children have remainder interests in this trust.
6.	47	The filer's dependent children have remainder interests in this trust. The current value of the trust is reported.
6.	48	The filer's dependent children have remainder interests in this trust. The current value of the trust is reported.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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