

Report Type: Nominee Report

Year (Annual Report only):

Date of Appointment:

Date of Termination:

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mody, Arjun

Deputy Commissioner, Social Security Administration

Other Federal Government Positions Held During the Preceding 12 Months:

Staff Director, Senate Republican Conference, Chair Senator John Barrasso, U.S. Senate (9/2019 - 1/2025)

Names of Congressional Committees Considering Nomination:

- Committee on Finance
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mody, Arjun [electronically signed on 07/28/2025 by Mody, Arjun in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Harris, Christopher, Certifying Official [electronically signed on 09/29/2025 by Harris, Christopher in Integrity.gov]

Other review conducted by

/s/ Ringham, Rebecca, Ethics Official [electronically signed on 09/29/2025 by Ringham, Rebecca in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Bortot, Deborah, Certifying Official [electronically signed on 09/29/2025 by Bortot, Deborah in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Ivy Hill Advisors, LLC	Washington, District of Columbia	Corporation	President	3/2025	Present
2	Trump Vance 2025 Transition Inc.	Washington, District of Columbia	Presidential Transition Team	Advisor (volunteer)	7/2024	4/2025

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Common Values PAC	N/A		Salary	\$40,000
2	Roth IRA	No			
2.1	Invesco NASDAQ 100 ETF (QQQM)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	IRA #2	No			
3.1	WILLIAM BLAIR ALL CAP GROWTH SMA	No			
3.1.1	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.2	ACV Auctions Inc. Class A Common Stock (ACVA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.3	Advanced Drainage Systems, Inc. (WMS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.4	Advanced Micro Devices, Inc. (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.5	Agilent Technologies, Inc. (A)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.6	Alphabet Inc SHS CL A (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.7	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.8	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.9	BALDWIN INSURANCE GRP INC (BWIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.10	The Brink's Co. (BCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.11	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.12	Cameco Corporation Common Stock (CCJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.13	The Carlyle Group Inc. Common Stock (CG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.14	Chipotle Mexican Grill, Inc. (CMG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.15	Clearwater Analytics Holdings Inc. Class A Common Stock (CWAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.16	Confluent Inc. Class A Common Stock (CFLT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.17	Copart, Inc. (CPRT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.18	Doximity Inc. Class A Common Stock (DOCS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.19	Dynatrace Inc. Common Stock (DT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.20	Evercore, Inc. (EVR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.21	HAMMOND PWR SOL A SUBVTG (HMDPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.22	IDEXX Laboratories, Inc. (IDXX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.23	Intuit, Inc. (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.24	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.25	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.26	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.1.27	Modine Manufacturing Co. (MOD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.28	Montrose Environmental Group Inc. Common Stock (MEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.29	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.1.30	Oracle Corp. (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.31	Pure Storage, Inc. (PSTG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.32	Q2 Holdings, Inc. (QTWO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.33	ServiceNow, Inc. (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.34	TransUnion (TRU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.35	Tyler Technologies, Inc. (TYL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.36	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.37	Verra Mobility Corp. (VRRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.38	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.39	West Pharmaceutical Services, Inc. (WST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	FIRST EAGLE GLOBAL ADR SMA	No			
3.2.1	AIA GROUP LTD (AAGIY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.2	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.3	Ambev SA (ABEV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.4	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.5	BAE SYSTEMS PLC SPN ADR (BAESY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.6	The Bank of New York Mellon Corporation (BK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.7	BARRICK MINING CORP (B)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.8	Becton, Dickinson and Company (BDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.9	BIO-Rad Laboratories, Inc. (BIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.10	British American Tobacco Industries p.l.c. Common Stock ADR (BTI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.11	C.H. Robinson Worldwide, Inc. (CHRW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.12	COMPAGNIE FINANCIERE RICHEMONT (CFRUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.13	Colgate-Palmolive Co. (CL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.14	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.15	Cummins, Inc. (CMI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.16	DANONE-SPONS ADR (DANOY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.17	Dollar General Corp. (DG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.18	Elevance Health Inc. Common Stock (ELV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.19	Equity Residential (EQR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.20	Expeditors International of Washington, Inc. (EXPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.21	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

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3.2.22	FANUC LTD-UNSP (FANUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.23	Fomento Economico Mexicano S.A.B. de C.V. Common Stock (FMX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.24	Haleon plc American Depositary Shares (Each representing two Ordinary Shares) (HLN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.25	HCA Healthcare, Inc. (HCA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.26	Imperial Oil Limited Common Stock (IMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.27	Lloyds Banking Group Plc American Depositary Shares (LYG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.28	LVMH MOET HENNESSY LOUIS VUITTON ADR (LVMUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.29	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.30	MERCK KGAA-ADR (MKKGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.31	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.32	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.33	MITSUBISHI ELEC ADR (MIELY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.34	MS&AD INSURANCE GROUP HLDGS (MSADY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.35	Newmont Corp. (NEM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

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3.2.36	Oracle Corp. (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.37	Otis Worldwide Corporation Common Stock (OTIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.38	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.39	POWER CORPORATION OF CANADA LTD (PWCDF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.40	PROSUS N V (PROSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.41	RECKITT BENCKISER GROUP (RBGLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.42	SALESFORCE INC. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.43	Schlumberger NV (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.44	SECOM CO LTD ADR (SOMLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.45	SHELL PLC (SHEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.46	SHIMANO INC ADR (SMNNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.47	SMC CORP JAPAN (SMCAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.48	SOMPO HOLDINGS, INC. (SMPNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.49	SPDR Bloomberg 1-3 Month T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.50	SPDR Gold Shares (GLD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2.51	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.52	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.53	Unilever PLC Common Stock (UL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.54	Universal Health Services, Inc. (UHS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.55	UOL GROUP LTD SPON ADR (UOLGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.56	Wheaton Precious Metals Corp Common Shares (Canada) (WPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.57	Willis Towers Watson Public Limited Company Ordinary Shares (WTW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3	GQG PARTNERS US EQUITY SMA	No			
3.3.1	ALLSTATE CORP DEL COM (ALL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.2	Altria Group, Inc. (MO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.3	American International Group, Inc. (AIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.4	American Water Works Co., Inc. (AWK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.5	American Electric Power Co., Inc. (AEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.6	AT&T INC. (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3.7	Atmos Energy Corp. (ATO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.8	CenterPoint Energy, Inc. (CNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.9	Cigna Corp. (CI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.10	Cincinnati Financial Corp. (CINF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.11	CME Group, Inc. (CME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.12	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.13	Diageo plc Common Stock (DEO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.14	DTE Energy Co. (DTE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.15	Duke Energy Corp. (DUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.16	Enbridge Inc Common Stock (ENB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.17	EXELON CORPORATION (EXC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.18	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.19	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.20	The Kroger Co. (KR)	N/A	\$1,001 - \$15,000		None (or less than \$201)

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3.3.21	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.22	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.23	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.24	Novartis AG Common Stock (NVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.25	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.26	PPL Corp. (PPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.27	Progressive Corp. (PGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.28	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.29	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3.30	Xcel Energy, Inc. (XEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4	RIVERBRIDGE ALL CAP GROWTH SMA	No			
3.4.1	Alkami Technology Inc. Common Stock (ALKT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.2	ALPHABET INC SHS CL A (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.3	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.4	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

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3.4.5	Analog Devices, Inc. (ADI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.6	Cintas Corp. (CTAS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.7	CoStar Group, Inc. (CSGP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.8	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.9	The Ensign Group, Inc. (ENSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.10	Fair Isaac Corp. (FICO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.11	Fastenal Co. (FAST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.12	Five Below, Inc. (FIVE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.13	Floor & Decor Holdings, Inc. (FND)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.14	Freshpet Inc. (FRPT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.15	Globant S.A. Common Shares (GLOB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.16	Grand Canyon Education, Inc. (LOPE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.17	Guidewire Software, Inc. (GWRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.18	HEICO Corp. (HEI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

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3.4.19	IDEXX Laboratories, Inc. (IDXX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.20	Kinsale Capital Group, Inc. (KNSL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.21	Medpace Holdings, Inc. (MEDP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.22	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.23	Motorola Solutions, Inc. (MSI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.24	Netflix, Inc. (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.25	Paycom Software, Inc. (PAYC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.26	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.4.27	Paylocity Holding Corp. (PCTY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.28	Privia Health Group Inc. Common Stock (PRVA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.29	RB Global Inc. (RBA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.30	Rollins, Inc. (ROL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.31	S&P Global, Inc. (SPGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.32	Salesforce Inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

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3.4.33	ServiceNow, Inc. (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.34	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.35	Synopsys, Inc. (SNPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.36	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.37	Tyler Technologies, Inc. (TYL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.38	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.39	Veeva Systems, Inc. (VEEV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.40	Verisk Analytics, Inc. (VRSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.41	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.42	West Pharmaceutical Services, Inc. (WST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4.43	Workiva, Inc. (WK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Ivy Hill Advisors, LLC (Public Affairs Consulting)	N/A	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Ivy Hill Advisors, LLC	Washington, District of Columbia	My consulting business will be placed into inactive status upon confirmation. All outstanding client fees will be fixed before I enter government service.	7/2025

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Common Values PAC	Alexandria, Virginia	Services as Consultant
2	Senate Opportunity Fund	Washington, District of Columbia	Services as Consultant through Ivy Hill Advisors, LLC
3	Energy Capital Partners	New York, New York	Services as Consultant through Ivy Hill Advisors, LLC
4	Ivy Hill Advisors, LLC	Washington, District of Columbia	Services as Consultant

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Johns Hopkins Community Physicians	N/A		salary, bonus	
2	IRA	No			
2.1	Morgan Stanley ATLANTA CAPITAL HIGH QUALITY SELECT EQ	No			
2.1.1	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1.2	AMETEK, Inc. (AME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.3	Autodesk, Inc. (ADSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.4	Booz Allen Hamilton Holding Corp. (BAH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.5	Broadridge Financial Solutions, Inc. (BR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.6	Carlisle Cos., Inc. (CSL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.7	CDW Corp. (CDW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.8	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.9	Equifax, Inc. (EFX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.10	Fiserv, Inc. (FISV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.11	Gartner, Inc. (IT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.12	GoDaddy, Inc. (GDDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.13	Markel Corp. (MKL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.14	Martin Marietta Materials, Inc. (MLM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.15	O'REILLY AUTOMOTIVE INC. (ORLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1.16	Ross Stores, Inc. (ROST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.17	S&P Global, Inc. (SPGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.18	The Sherwin-Williams Co. (SHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.19	STERIS Plc (Ireland) (STE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.20	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.21	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.22	TransUnion (TRU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.23	Trimble, Inc. (TRMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.24	Verisk Analytics, Inc. (VRSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.25	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.1.26	White Mountains Insurance Group Ltd. (WTM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	COLUMBIA CONTRARIAN CORE SMA	No			
2.2.1	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.2	ALPHABET INC SHS CL A (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.3	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2.4	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.5	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.6	American Tower Corporation (REIT) (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.7	Aon Plc (AON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.8	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.9	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.10	Boeing Co (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.11	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.12	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.13	ConocoPhillips (COP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.14	Dell Technologies, Inc. (DELL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.15	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.16	DTE Energy Co. (DTE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.17	eBay, Inc. (EBAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2.18	Electronic Arts, Inc. (EA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.19	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.20	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.21	Intuit, Inc. (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.22	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.23	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.24	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.25	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.26	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.27	Pinterest, Inc. (PINS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.28	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.29	Public Service Enterprise Group, Inc. (PEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.30	RTX Corporation Common Stock (RTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.31	S&P Global, Inc. (SPGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2.32	The Charles Schwab Corp. (SCHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.33	Synopsys, Inc. (SNPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.34	T-Mobile US, Inc. (TMUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.35	TE Connectivity Ltd. (TEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.36	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.37	Uber Technologies, Inc. (UBER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.38	Vertex Pharmaceuticals, Inc. (VRTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.39	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.40	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.41	Wells Fargo & Co. (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2.42	Zimmer Biomet Holdings, Inc. (ZBH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Johns Hopkins Health System Corporation 403b	No			
3.1	Vanguard Institutional Index Instl PI	Yes	\$250,001 - \$500,000		None (or less than \$201)
4	Sibley Memorial Hospital 401k	No			
4.1	Fidelity 500 Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	GOLDMAN SACHS TAX ADVANTGED CORE S&P 500	No			
1.1	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	Adobe, Inc. (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	Advanced Micro Devices, Inc. (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Aflac, Inc. (AFL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	Alphabet, Inc. Cl A (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.8	Alphabet, Inc. (GOOG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.9	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.10	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.11	Ameren Corp. (AEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.12	American Tower Corporation (REIT) (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.13	Ameriprise Financial, Inc. (AMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.14	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.15	American Electric Power Co., Inc. (AEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.16	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.17	Applied Materials, Inc. (AMAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.18	Arista Networks, Inc. (ANET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.19	AT&T, Inc. (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.20	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.21	Baker Hughes Co (BKR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.22	The Bank of New York Mellon Corporation (BK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.23	Berkshire Hathaway Inc. (BRKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.24	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.25	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.26	Capital One Financial Corp. (COF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.27	Carnival Corp. (CCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.28	Caterpillar, Inc. (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.29	CBRE Group, Inc. (CBRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.30	Cigna Corp. (CI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.31	Cloudflare Inc. Class A Common Stock (NET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.32	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.33	Colgate-Palmolive Co. (CL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.34	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.35	Constellation Energy Corporation Common Stock (CEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.36	Corteva, Inc. (CTVA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.37	Costco Wholesale Corp. (COST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.38	Deere & Co. (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.39	Diamondback Energy, Inc. (FANG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.40	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.41	Dollar General Corp. (DG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.42	Duke Energy Corp. (DUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.43	DuPont de Nemours, Inc. (DD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.44	Eaton Corp. Plc (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.45	Electronic Arts, Inc. (EA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.46	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.47	Entergy Corp. (ETR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.48	EOG Resources, Inc. (EOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.49	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.50	FedEx Corp. (FDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.51	Fifth Third Bancorp (FITB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.52	Arthur J. Gallagher & Co. (AJG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.53	Garmin Ltd. (GRMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.54	GE Aerospace (GE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.55	GE Vernova, Inc. (GEV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.56	General Mills, Inc. (GIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.57	General Motors Co. (GM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.58	Halliburton Co. (HAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.59	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.60	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.61	Illinois Tool Works, Inc. (ITW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.62	Insulet Corp. (PODD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.63	Intuit, Inc. (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.64	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.65	IQVIA Holdings, Inc. (IQV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.66	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.67	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.68	KeyCorp (KEY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.69	KKR & Co., Inc. (KKR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.70	KLA Corp. (KLAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.71	Lam Research Corp. (LRCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.72	Lennar Corp. (LEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.73	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.74	Loews Corp. (L)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.75	Lowe's Companies, Inc. (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.76	L3Harris Technologies, Inc. (LHX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.77	Marsh & McLennan Cos., Inc. (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.78	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.79	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.80	McKesson Corp. (MCK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.81	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.82	Micron Technology, Inc. (MU)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.83	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.84	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.85	Moody's Corp. (MCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.86	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.87	MSCI, Inc. (MSCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.88	Netflix, Inc. (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.89	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.90	Northrop Grumman Corp. (NOC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.91	NVIDIA Corp. (NVDA)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.92	O'Reilly Automotive, Inc. (ORLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.93	Oracle Corp. (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.94	PACCAR, Inc. (PCAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.95	Palantir Technologies Inc. Class A Common Stock (PLTR)	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
1.96	Parker-Hannifin Corp. (PH)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.97	Paychex, Inc. (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.98	Paycom Software, Inc. (PAYC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.99	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.100	Phillips 66 (PSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.101	The PNC Financial Services Group, Inc. (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.102	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.103	Progressive Corp. (PGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.104	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.105	Public Service Enterprise Group, Inc. (PEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.106	QUALCOMM, Inc. (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.107	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.108	Republic Services, Inc. (RSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.109	ResMed, Inc. (RMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.110	Royal Caribbean Cruises Ltd. (RCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.111	RTX Corporation Common Stock (RTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.112	S&P Global, Inc. (SPGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.113	Salesforce, inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.114	The Charles Schwab Corp. (SCHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.115	ServiceNow, Inc. (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.116	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.117	Stryker Corp. (SYK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.118	Synchrony Financial (SYF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.119	T-Mobile US, Inc. (TMUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.120	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.121	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.122	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.123	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.124	Trane Technologies plc (TT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.125	Uber Technologies, Inc. (UBER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.126	Union Pacific Corp. (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.127	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.128	U.S. Bancorp (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.129	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.130	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.131	Vistra Corp. (VST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.132	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.133	Wells Fargo & Co. (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.134	Welltower, Inc. (WELL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.135	The Williams Cos., Inc. (WMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.136	Workday, Inc. (WDAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.137	Zions Bancorporation NA (ZION)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.138	Zoetis, Inc. (ZTS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	ARK Innovation ETF (ARKK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	T Rowe Price Tax-Efficient Equity Fund (PREFIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	BlackRock Liquidity Funds Treasury Trust Fund Institutional Shares (TTTXX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
5	STARWOOD REAL ESTATE INCOME TRUST INC CLASS S BROKERAGE CLASS	N/A	\$15,001 - \$50,000	Nondividend distributions	\$1,366
6	BLACKSTONE PRIVATE CREDIT FUND CLASS S	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	TPG TWIN BROOK CAPITAL INCOME FUND CLASS S	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8	BLUE OWL CREDIT INCOME CORP. CLASS S	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9	HAMILTON LANE PRIVATE ASSETS FUND CLASS R XHLRX	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
10	BLACKSTONE REAL ESTATE INCOME TRUST INC CLASS S BROKERAGE CLASS	N/A	\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500
11	PARTNERS GROUP PRIVATE EQUITY MASTER FUND LLC CLASS A	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12	BLUE OWL TECHNOLOGY FINANCE CORP.	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13	NB CROSSROADS PRIVATE MARKETS FUND VII LP	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	The Bank of Nova Scotia Noted Linked to Euro STOXX 50 Index	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	SPDR Dow Jones Industrial Average ETF Trust (DIA)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	SPDR S&P 500 ETF Trust (SPY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Invesco QQQ Trust, Series 1 (QQQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19	KKR REAL ESTATE SELECT TRUST INC. CLASS U	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20	KKR INFRASTRUCTURE CONGLOMERATE LLC CLASS U	N/A	\$15,001 - \$50,000	Capital Gains Dividends Interest	\$201 - \$1,000
21	NEW YORK N Y GO BDS SER C OCT20 05.000%AUG01 2034	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22	OHIO ST HWY CAP IMPT GO BDS W JUN20 05.000%MAY01 2031	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
23	DISTRICT COLUMBIA GO BDS SER A FEB19 05.000%OCT15 2034	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
24	WASHINGTON ST VARIOUS PURP GO BDS SER C FEB19 05.000%FEB01 2033	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
25	FLORIDA ST BRD ED PUB ED FULL FAITH CREDIT CAP C AUG18 05.000%JUN01 2029 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
26	MAINE ST GO BDS SER B JUN19 05.000%JUN01 2028	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
27	FLORIDA ST BRD ED PUB ED CAP OUTLAY REF BDS SER B SEP17 05.000%JUN01 2027	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
28	LEWISVILLE TEX INDPT SCH DIST UNLTD TAX PSF GTD JUL20 05.000%AUG15 2026 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	NORTH CAROLINA ST GO REF BDS SER A MAR16 05.000%JUN01 2028	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
30	BROWARD CNTY FLA TOURIST DEV TAX REV BDS DEC21 05.000%SEP01 2032	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31	CENTRAL PUGET SOUND WA RTA REV IMPT REF BDS 1 S NOV21 05.000%NOV01 2034	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
32	SOUTH DAKOTA CONSERVANCY DIST REV ST REVOLVING JUL20 05.000%AUG01 2032 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
33	MARYLAND ST TRANSN AUTH TRANSN FACS PRJS REV JUN20 05.000%JUL01 2035 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
34	PRIVATE COLLEGES & UNIVS AUTH GA REV BDS EMORY B JUN20 05.000%SEP01 2031 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
35	INDIANA ST FIN AUTH REV REF LEASE SER A MAR22 05.000%FEB01 2033 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
36	UNIVERSITY UTAH UNIV REV GEN BDS SER A DEC19 05.000%AUG01 2032	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
37	NEW YORK ST URBAN DEV CORP REV PERS INCOME E DEC20 05.000%MAR15 2031 bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
38	TEXAS WTR DEV BRD REV ST REVOLVING FD REV BDS JUN20 05.000%AUG01 2027	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
39	HOUSTON TEX UTIL SYS REV COMB FIRST LIEN REF C JUL20 05.000%NOV15 2028 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
40	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV A MAR21 05.000%MAR15 2030 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	LOWER COLO RIV AT TEX TRANS RV REF BDS LCRA MAY20 05.000%MAY15 2030	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
42	INDIANA ST FIN AUTH REV REF LEASE SER A MAR22 05.000%FEB01 2029 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
43	PIMA CNTY ARIZ SWR REV SYS OBLIGS SER B DEC20 05.000%JUL01 2027 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
44	MICHIGAN FIN AUTH REV HOSP REF BDS BHSH SER A MAY22 05.000%APR15 2037	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
45	OHIO ST ADULT CORRECTIONAL CAP FACS B NOV17 05.000%OCT01 2030 Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
46	TEXAS A & M UNIV REV FING SYS BDS SER E DEC17 05.000%MAY15 2027	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
47	UNIV CALIF REGTS MED CTR POOLED REV BDS SER P MAY22 05.000%MAY15 2037	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
48	NEBRASKA PUB PWR DIST REV GEN REV BDS SER A-1 FEB15 05.000%JAN01 2026	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
49	NY ST DORM AUTH SLS TAX REV BDS SER C PRF28 JUL18 05.000%MAR15 2029	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
50	Candian Imperial Bank of Commerce structured note, Linked to the SP500	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	Bank of American structured note, Linked to Palantir	N/A	\$1,001 - \$15,000		None (or less than \$201)
52	Bank of Nova Scotia structured note, Linked to the Dow Jones Industrial Average	N/A	\$1,001 - \$15,000		None (or less than \$201)
53	Canadian Imperial Bank of Commerce structured note, Linked to the Dow Jones Industrial Average	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
54	Canadian Imperial Bank of Commerce structured note, Linked to the Dow Jones Industrial Average	N/A	\$1,001 - \$15,000		None (or less than \$201)
55	Bank of Nova Scotia structured note, Linked to the Dow Jones Industrial Average	N/A	\$1,001 - \$15,000		None (or less than \$201)
56	Bank of Nova Scotia structured note, Linked to the SP500	N/A	\$1,001 - \$15,000		None (or less than \$201)
57	Canadian Imperial Bank of Commerce structured note, Linked to the R2000	N/A	\$1,001 - \$15,000		None (or less than \$201)
58	Canadian Imperial Bank of Commerce structured note, Linked to the SP500	N/A	\$1,001 - \$15,000		None (or less than \$201)
59	Bank of America structured note, Linked to the Dow Jones Industrial Average	N/A	\$1,001 - \$15,000		None (or less than \$201)
60	HSBC structured note, Linked to Nvidia	N/A	\$1,001 - \$15,000		None (or less than \$201)
61	Schwab Value Advantage Money Fund Investor Class Shares (SWVXX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
62	Fundrise	No			
62.1	The Innovation Fund (ARKVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
62.2	The Income Real Estate Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
62.3	The Flagship Real Estate Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
62.4	Rise Company Corp.	N/A	\$1,001 - \$15,000		None (or less than \$201)
63	Titan Invest	No			
63.1	Flagship Fund	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
63.1.1	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.2	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.3	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.4	S&P Global, Inc. (SPGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.5	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.6	TransDigm Group, Inc. (TDG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.7	Uranium Energy Corp. (UEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.8	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.9	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.10	Ciena Corp. (CIEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.11	ServiceNow, Inc. (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.12	T-Mobile US, Inc. (TMUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.13	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.14	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
63.1.15	The Charles Schwab Corp. (SCHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.1.16	Uber Technologies, Inc. (UBER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.2	Crypto Fund	No			
63.2.1	iSHARES ETHEREUM TRUST ETF (ETHA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
63.2.2	iSHARES BITCOIN TR ETF (IBIT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
63.3	Offshore Fund	No			
63.3.1	SAFRAN SA (SAFRY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.4	Opportunities Fund	No			
63.4.1	GE AEROSPACE (GE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63.5	Carlyle Tactical Private Credit Fund TAKNX	Yes	\$1,001 - \$15,000		None (or less than \$201)
63.6	Automated Stocks Fund	No			
63.6.1	iShares Core S&P 500 ETF (IVV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
63.6.2	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
63.7	ARK Venture Fund (ARKVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
63.8	APOLLO DIVERSIFIED CREDIT FUND (CRDIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
63.9	APOLLO DIVERSIFIED REAL ESTATE FUND (GRIFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
63.10	Automated Bonds (Underlying Assets Not Reportable)	No	\$1,001 - \$15,000		None (or less than \$201)
63.11	Gabelli US Treasury Money Market Fund Class AAA Shares (GABXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
64	201 8th Street LLC	No			
64.1	Residential rental property, Washington D.C.	N/A	\$15,001 - \$50,000		None (or less than \$201)
65	NY Life Insurance (Whole)	N/A	\$50,001 - \$100,000		None (or less than \$201)
66	NY Life Insurance (Whole)	N/A	\$50,001 - \$100,000		None (or less than \$201)
67	Northwestern Mutual Insurance 1 (Whole)	N/A	\$100,001 - \$250,000		None (or less than \$201)
68	Northwestern Mutual Insurance 2 (Whole)	N/A	\$100,001 - \$250,000		None (or less than \$201)
69	DC IRA #1	No			
69.1	Vanguard FTSE Developed Markets Fund ETF Shares (VEA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
69.2	iShares Core S&P US Value ETF (IUSV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
69.3	iShares Core S&P US Growth ETF (IUSG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
70	DC IRA #2	No			
70.1	Vanguard FTSE Developed Markets Index Fund ETF Shares (VEA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
70.2	iShares Core S&P US Value ETF (IUSV)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
70.3	iShares Core S&P US Growth ETF (IUSG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
71	D.C. College Savings 529 Plan (DC #1)	No			
71.1	JPMorgan US Equity Fund Class R6 Shares (JUEMX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
72	DC College Savings Plan (DC #2)	No			
72.1	JPMorgan US Equity Fund Class R6 Shares (JUEMX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
73	BLUE OWL TECHNOLOGY FINANCE CORP (OTF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
74	Emergent BioSolutions, Inc. (EBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
75	Nuveen AMT-Free Municipal Credit Income Fund (NVG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
76	COLLER SECONDARIES PRIVATE EQUITY OPPORTUNITIES FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)
77	OAKTREE STRATEGIC CREDIT FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2021	2.00	15 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
6.	1.95	Sold subsequent to filing

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
