

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Coats, Daniel R

Director of National Intelligence, Office of the Director of National Intelligence

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Coats, Daniel R [electronically signed on 07/15/2019 by Coats, Daniel R in Integrity.gov] - Filer received a 75 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Cox, Kerri A, Certifying Official [electronically signed on 07/30/2019 by Cox, Kerri A in Integrity.gov]

Other review conducted by

/s/ Cobb, Alicia, Ethics Official [electronically signed on 07/29/2019 by Cobb, Alicia in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/05/2019 by Granahan, Megan in Integrity.gov]

Data Revised 07/30/2019

Data Revised 07/29/2019

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity IRA	No			
1.1	Vident US Equity (VUSE)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
1.2	ETF Series US Diversified Real Estate (PPTY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Eaton Vance Emerging & Frontier Countries Equity Fund (EICOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	AQR Large Cap Multi Style (QCERX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.5	Vanguard FTSE Europe ETF (VGK)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.6	Vident International Equity Fund (VIDI)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.7	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.8	iShares Core MSCI Pacific (IPAC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.9	American Beacon Sim High Yield Opps Y Cls (SHOYX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.10	Eaton Vance Global Macro Advantage I (EGRIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	Flexshares IBOXX 5 Year Target Duration (TDTF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.12	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.13	Vident Core US Bond Strategy (VBND)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.14	PIMCO CommoditiesPLUS (PCLIX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.15	iShares Comex Gold Trust (IAU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	Fidelity Gov't Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.17	Vanguard Inter Gov Bond (VGIT)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
2	U.S. bank account #2 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3	U.S. bank account #3 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
4	Belvedere Equity Fund	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
5	Ohio National Life Insurance Company (variable annuity)	No			
5.1	PIMCO Total Return	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
5.2	Fidelity VIP Eq-Inc	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.3	Franklin Income VIP	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6	Ohio National Life Insurance Company (variable annuity)	No			
6.1	PIMCO Total Return	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
6.2	Fidelity VIP Eq-Inc	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.3	Franklin Income VIP	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
7	Ohio National Life Insurance Company - whole life insurance policy	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Ohio National Life Insurance Company - whole life insurance policy	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
9	Ohio National Life Insurance Company - whole life insurance policy	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
10	Radio Paradiso LP (Christian Radio Station, Berlin Germany)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Family Trust #1	See Endnote	No		
11.1	AQR Large Cap Multi Style (QCERX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
11.2	AQR Small Cap Multi Style (QSERX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
11.3	Gotham Enhanced Return Inst (GENIX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
11.4	Vident US Equity (VUSE)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
11.5	Eaton Vance Emerging & Frontier Countries Equity Fund (EICOX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.6	Vident International Equity Fund (VIDI)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
11.7	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
11.8	Eaton Vance Global Macro Advantage I (EGRIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.9	Vident Core US Bond Strategy (VBND)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
11.10	Spartan US Govt Money Market (SPAXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.11	Vanguard FTSE Europe ETF (VGK)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.12	Weatherstorm Forensic Acctg Long/Short Index (FLAG)	Yes	None (or less than \$1,001)		\$201 - \$1,000
11.13	iShares Core MSCI Pacific (IPAC)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.14	American Beacon Sim High Yield Opps Y Cls (SHOYX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11.15	Flexshares IBOXX 5 Year Target Duration (TDTF)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.16	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
11.17	Vanguard Inter Gov't Bond (VGIT)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12	Family Trust # 2	See Endnote	No		
12.1	Regal-Beloit Corporation (RBC)	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
12.2	AQR Large Cap Multi Style (QCERX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
12.3	AQR Small Cap Multi Style (QSERX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
12.4	Gotham Enhanced Return Institutional (GENIX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
12.5	Vident US Equity (VUSE)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12.6	Eaton Vance Emerging & Frontier Countries Equity Fund (EICOX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12.7	Vanguard FTSE Europe ETF (VGK)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
12.8	Vident International Equity Fund (VIDI)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.9	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12.10	iShares Core MSCI Pacific (IPAC)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12.11	American Beacon Sim High Yield (SHOYX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
12.12	Eaton Vance Global Macro Advantage I (EGRIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
12.13	Flexshares IBOXX 5 Year Target (TDTF)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12.14	PIMCO Inv GR Corporate Bond In (PIGIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12.15	Vident Core US Bond Strategy (VBND)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12.16	Fidelity Gov't Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.17	Vanguard Inter Gov Bond (VGIT)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
13	Regal-Beloit Corporation (RBC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
14	West Fork Irrevocable Trust	No			
14.1	Undeveloped Land (Conroe, TX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
15	Southeast Landco Aquisition Fund LLC	No			
15.1	Undeveloped Land (Atlanta, GA)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
16	Carolina Partner Group LLC	No			
16.1	Undeveloped Land (North Carolina)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	17th Street Properties, LLC	No			
17.1	Undeveloped Land (North Carolina)	N/A	\$250,001 - \$500,000		None (or less than \$201)
18	AES Corp (AES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	Coca-Cola Co (KO)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
20	AQR Large Cap Multi Style Fund Class (QCERX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
21	Vident US Equity (VUSE)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
22	Eaton Vance Emerging & Frontier Countries Equity Fund (EICOX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
23	Vanguard FTSE Europe ETF (VGK)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
24	Vident International Equity Fund (VIDI)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
25	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
26	iShares Core MSCI Pacific (IPAC)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
27	American Beacon Sim High Yield Opps Y Cls (SHOYX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
28	Flexshares IBOXX 5 Year Target Duration (TDTF)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
29	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
30	Vanguard Inter Gov't Bond (VGIT)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	Vident Core US Bond Strategy (VBND)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
32	PIMCO CommoditiesPlus (PCLIX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
33	iShares Comex Gold Trust (IAU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34	Fidelity Gov't Cash Reserves (FDRXX)	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
35	ETF Series US Diversified Real Estate (PPTY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36	iShares Barclay Agg Bond (AGG)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AQR Large Cap Multi Strategy (QCERX)	Purchase	01/05/2018	\$1,001 - \$15,000
2	Eaton Vance Global Macro Abs Ret (EGRIX)	Purchase	01/05/2018	\$1,001 - \$15,000
3	Flexshares IBOXX 5 Year Target Duration (TDTF)	Purchase	01/05/2018	\$1,001 - \$15,000
4	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	01/05/2018	\$1,001 - \$15,000
5	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	01/05/2018	\$1,001 - \$15,000
6	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	01/09/2018	\$1,001 - \$15,000
7	ETF Series US Diversified Real Estate (PPTY)	Purchase	04/25/2018	\$1,001 - \$15,000
8	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	04/26/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
9	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	06/21/2018	\$1,001 - \$15,000
10	Flexshares IBOXX 5 Year Target Duration (TDTF)	Purchase	07/09/2018	\$1,001 - \$15,000
11	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	07/09/2018	\$15,001 - \$50,000
12	iShares Core MSCI Pacific (IPAC)	Purchase	07/09/2018	\$1,001 - \$15,000
13	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	07/11/2018	\$1,001 - \$15,000
14	PIMCO CommoditiesPLUS (PCLIX)	Purchase	12/03/2018	\$1,001 - \$15,000
15	Vanguard FTSE Europe ETF (VGK)	Purchase	12/03/2018	\$1,001 - \$15,000
16	Vanguard Inter Gov Bond (VGIT)	Purchase	12/03/2018	\$1,001 - \$15,000
17	iShares Comex Gold Trust (IAU)	Purchase	12/03/2018	\$1,001 - \$15,000
18	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	12/04/2018	\$15,001 - \$50,000
19	AQR Large Cap Multi Strategy (QCERX)	Purchase	12/19/2018	\$1,001 - \$15,000
20	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	12/21/2018	\$1,001 - \$15,000
21	Baron Real Estate Instl (BREIX)	Sale	01/05/2018	\$1,001 - \$15,000
22	Vanguard FTSE Europe ETF (VGK)	Sale	01/05/2018	\$1,001 - \$15,000
23	Vanguard Inter Gov Bond (VGIT)	Sale	01/05/2018	\$1,001 - \$15,000
24	iShares Core MSCI Pacific (IPAC)	Sale	01/05/2018	\$1,001 - \$15,000
25	Fidelity Gov't Cash Reserves (FDRXX)	Sale	01/08/2018	\$1,001 - \$15,000
26	Baron Real Estate Instl (BREIX)	Sale	04/25/2018	\$1,001 - \$15,000
27	Fidelity Gov't Cash Reserves (FDRXX)	Sale	04/27/2018	\$1,001 - \$15,000
28	Vident Core U.S. Bond Strategy Fund (VBND)	Sale	07/09/2018	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
29	Fidelity Gov't Cash Reserves (FDRXX)	Sale	07/10/2018	\$1,001 - \$15,000
30	AQR Large Cap Multi Strategy (QCERX)	Sale	12/03/2018	\$1,001 - \$15,000
31	ETF Series US Diversified Real Estate (PPTY)	Sale	12/03/2018	\$1,001 - \$15,000
32	Eaton Vance Emerging & Frontier Countries Equity Fund (EICOX)	Sale	12/03/2018	\$1,001 - \$15,000
33	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Sale	12/03/2018	\$15,001 - \$50,000
34	Vident Core U.S. Bond Strategy Fund (VBND)	Sale	12/03/2018	\$1,001 - \$15,000
35	Vident US Equity (VUSE)	Sale	12/03/2018	\$1,001 - \$15,000
36	Fidelity Gov't Cash Reserves (FDRXX)	Sale	12/05/2018	\$15,001 - \$50,000
37	Spartan US Govt Money Market (SPAXX)	Purchase	03/22/2018	\$1,001 - \$15,000
38	Vanguard FTSE Europe ETF (VGK)	Purchase	04/06/2018	\$1,001 - \$15,000
39	Weatherstorm Forensic Acctg Long/Short Index (FLAG)	Purchase	04/06/2018	\$15,001 - \$50,000
40	iShares Core MSCI Pacific (IPAC)	Purchase	04/06/2018	\$1,001 - \$15,000
41	Spartan US Govt Money Market (SPAXX)	Purchase	04/09/2018	\$1,001 - \$15,000
42	Spartan US Govt Money Market (SPAXX)	Purchase	04/10/2018	\$15,001 - \$50,000
43	Eaton Vance Global Macro Advantage I (EGRIX)	Purchase	04/25/2018	\$1,001 - \$15,000
44	Flexshares IBOXX 5 Year Target Duration (TDTF)	Purchase	04/25/2018	\$1,001 - \$15,000
45	Vanguard Inter Gov't Bond (VGIT)	Purchase	04/25/2018	\$1,001 - \$15,000
46	Weatherstorm Forensic Acctg Long/Short Index (FLAG)	Purchase	04/25/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
47	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	04/25/2018	\$1,001 - \$15,000
48	Spartan US Govt Money Market (SPAXX)	Purchase	06/21/2018	\$1,001 - \$15,000
49	Spartan US Govt Money Market (SPAXX)	Purchase	06/25/2018	\$15,001 - \$50,000
50	Spartan US Govt Money Market (SPAXX)	Purchase	06/27/2018	\$1,001 - \$15,000
51	AQR Large Cap Multi Style (QCERX)	Purchase	07/09/2018	\$15,001 - \$50,000
52	AQR Small Cap Multi Style (QSERX)	Purchase	07/09/2018	\$1,001 - \$15,000
53	Flexshares IBOXX 5 Year Target Duration (TDTF)	Purchase	07/09/2018	\$1,001 - \$15,000
54	Gotham Enhanced Return Inst (GENIX)	Purchase	07/09/2018	\$15,001 - \$50,000
55	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	07/09/2018	\$15,001 - \$50,000
56	Vanguard FTSE Europe ETF (VGK)	Purchase	07/09/2018	\$1,001 - \$15,000
57	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	07/09/2018	\$15,001 - \$50,000
58	iShares Core MSCI Pacific (IPAC)	Purchase	07/09/2018	\$1,001 - \$15,000
59	Spartan US Govt Money Market (SPAXX)	Purchase	07/11/2018	\$15,001 - \$50,000
60	Spartan US Govt Money Market (SPAXX)	Purchase	12/05/2018	\$250,001 - \$500,000
61	Spartan US Govt Money Market (SPAXX)	Purchase	12/06/2018	\$250,001 - \$500,000
62	Gotham Enhanced Return Inst (GENIX)	Purchase	12/14/2018	\$1,001 - \$15,000
63	AQR Large Cap Multi Style (QCERX)	Purchase	12/19/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	AQR Large Cap Multi Style (QCERX)	Purchase	12/19/2018	\$1,001 - \$15,000
65	AQR Small Cap Multi Style (QSERX)	Purchase	12/19/2018	\$1,001 - \$15,000
66	Spartan US Govt Money Market (SPAXX)	Purchase	12/21/2018	\$1,001 - \$15,000
67	Spartan US Govt Money Market (SPAXX)	Purchase	12/24/2018	\$1,001 - \$15,000
68	Eaton Vance Emerging & Frontier Countries Equity Fund (EICOX)	Purchase	12/28/2018	\$1,001 - \$15,000
69	Spartan US Govt Money Market (SPAXX)	Sale	01/16/2018	\$1,001 - \$15,000
70	Spartan US Govt Money Market (SPAXX)	Sale	02/16/2018	\$15,001 - \$50,000
71	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Sale	04/06/2018	\$1,001 - \$15,000
72	Vanguard Inter Gov Bond (VGIT)	Sale	04/06/2018	\$15,001 - \$50,000
73	Vident Core US Bond Strategy (VBND)	Sale	04/06/2018	\$1,001 - \$15,000
74	Vident International Equity Fund (VIDI)	Sale	04/06/2018	\$1,001 - \$15,000
75	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	04/06/2018	\$15,001 - \$50,000
76	Spartan US Govt Money Market (SPAXX)	Sale	04/16/2018	\$1,001 - \$15,000
77	Spartan US Govt Money Market (SPAXX)	Sale	04/26/2018	\$1,001 - \$15,000
78	Spartan US Govt Money Market (SPAXX)	Sale	04/27/2018	\$15,001 - \$50,000
79	Vanguard Inter Gov Bond (VGIT)	Sale	06/21/2018	\$1,001 - \$15,000
80	Vident Core US Bond Strategy (VBND)	Sale	06/21/2018	\$1,001 - \$15,000
81	Vident International Equity Fund (VIDI)	Sale	06/21/2018	\$1,001 - \$15,000
82	Vident US Equity (VUSE)	Sale	06/21/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
83	Spartan US Govt Money Market (SPAXX)	Sale	07/03/2018	\$15,001 - \$50,000
84	Vident Core US Bond Strategy (VBND)	Sale	07/09/2018	\$50,001 - \$100,000
85	Weatherstorm Forensic Acctg Long/Short Index (FLAG)	Sale	07/09/2018	\$50,001 - \$100,000
86	Spartan US Govt Money Market (SPAXX)	Sale	07/10/2018	\$15,001 - \$50,000
87	Spartan US Govt Money Market (SPAXX)	Sale	07/16/2018	\$1,001 - \$15,000
88	Spartan US Govt Money Market (SPAXX)	Sale	10/12/2018	\$1,001 - \$15,000
89	AQR Large Cap Multi Style (QCERX)	Sale	12/04/2018	\$15,001 - \$50,000
90	AQR Small Cap Multi Style (QSERX)	Sale	12/04/2018	\$15,001 - \$50,000
91	American Beacon Sim High Yield Opps Y Cls (SHOYX)	Sale	12/04/2018	\$1,001 - \$15,000
92	Eaton Vance Emerging & Frontier Countries Equity Fund (EICOX)	Sale	12/04/2018	\$15,001 - \$50,000
93	Eaton Vance Global Macro Advantage I (EGRIX)	Sale	12/04/2018	\$50,001 - \$100,000
94	Flexshares IBOXX 5 Year Target Duration (TDTF)	Sale	12/04/2018	\$15,001 - \$50,000
95	Gotham Enhanced Return Inst (GENIX)	Sale	12/04/2018	\$15,001 - \$50,000
96	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Sale	12/04/2018	\$50,001 - \$100,000
97	Vanguard FTSE Europe ETF (VGK)	Sale	12/04/2018	\$15,001 - \$50,000
98	Vanguard Inter Gov't Bond (VGIT)	Sale	12/04/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
99	Vident Core US Bond Strategy (VBND)	Sale	12/04/2018	\$50,001 - \$100,000
100	Vident International Equity Fund (VIDI)	Sale	12/04/2018	\$15,001 - \$50,000
101	Vident US Equity (VUSE)	Sale	12/04/2018	\$50,001 - \$100,000
102	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	12/04/2018	\$50,001 - \$100,000
103	iShares Core MSCI Pacific (IPAC)	Sale	12/04/2018	\$15,001 - \$50,000
104	Spartan US Govt Money Market (SPAXX)	Sale	12/10/2018	\$500,001 - \$1,000,000
105	Regal-Beloit Corporation (RBC)	Purchase	1/12/18	\$1,001 - \$15,000
106	Regal-Beloit Corporation (RBC)	Purchase	4/13/18	\$1,001 - \$15,000
107	Vident Core US Bond Strategy (VBND)	Purchase	04/06/2018	\$15,001 - \$50,000
108	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	04/09/2018	\$1,001 - \$15,000
109	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	04/10/2018	\$15,001 - \$50,000
110	Flexshares IBOXX 5 Year Target Duration (TDTF)	Purchase	04/25/2018	\$1,001 - \$15,000
111	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	04/25/2018	\$1,001 - \$15,000
112	Vident Core US Bond Strategy (VBND)	Purchase	06/21/2018	\$1,001 - \$15,000
113	Vident International Equity Fund (VIDI)	Purchase	06/21/2018	\$1,001 - \$15,000
114	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	06/25/2018	\$1,001 - \$15,000
115	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	06/25/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
116	Vanguard FTSE Europe ETF (VGK)	Purchase	06/27/2018	\$1,001 - \$15,000
117	AQR Large Cap Multi Style (QCERX)	Purchase	07/09/2018	\$1,001 - \$15,000
118	AQR Small Cap Multi Style (QSERX)	Purchase	07/09/2018	\$1,001 - \$15,000
119	Flexshares IBOXX 5 Year Target Duration (TDTF)	Purchase	07/09/2018	\$1,001 - \$15,000
120	Gotham Enhanced Return Inst (GENIX)	Purchase	07/09/2018	\$1,001 - \$15,000
121	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	07/09/2018	\$15,001 - \$50,000
122	iShares Core MSCI Pacific (IPAC)	Purchase	07/09/2018	\$1,001 - \$15,000
123	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	07/11/2018	\$15,001 - \$50,000
124	Regal-Beloit Corporation (RBC)	Purchase	07/13/2018	\$1,001 - \$15,000
125	Gotham Enhanced Return Inst (GENIX)	Purchase	12/14/2018	\$1,001 - \$15,000
126	AQR Large Cap Multi Style (QCERX)	Purchase	12/19/2018	\$1,001 - \$15,000
127	AQR Large Cap Multi Style (QCERX)	Purchase	12/19/2018	\$1,001 - \$15,000
128	AQR Small Cap Multi Style (QSERX)	Purchase	12/19/2018	\$1,001 - \$15,000
129	Vident Core US Bond Strategy (VBND)	Purchase	12/21/2018	\$1,001 - \$15,000
130	Vident International Equity Fund (VIDI)	Purchase	12/21/2018	\$1,001 - \$15,000
131	Vident US Equity (VUSE)	Purchase	12/21/2018	\$1,001 - \$15,000
132	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	12/24/2018	\$1,001 - \$15,000
133	Eaton Vance Emerging & Frontier Countries Equity Fund (EICOX)	Purchase	12/28/2018	\$1,001 - \$15,000
134	Fidelity Gov't Cash Reserves (FDRXX)	Sale	01/16/2018	\$1,001 - \$15,000
135	Fidelity Gov't Cash Reserves (FDRXX)	Sale	04/02/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
136	AQR Small Cap Multi Style (QSERX)	Sale	04/06/2018	\$1,001 - \$15,000
137	Vanguard FTSE Europe ETF (VGK)	Sale	04/06/2018	\$1,001 - \$15,000
138	Vanguard Inter Gov Bond (VGIT)	Sale	04/06/2018	\$15,001 - \$50,000
139	Vident International Equity Fund (VIDI)	Sale	04/06/2018	\$1,001 - \$15,000
140	Vident US Equity (VUSE)	Sale	04/06/2018	\$1,001 - \$15,000
141	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	04/06/2018	\$1,001 - \$15,000
142	iShares Core MSCI Pacific (IPAC)	Sale	04/06/2018	\$1,001 - \$15,000
143	Fidelity Gov't Cash Reserves (FDRXX)	Sale	04/16/2018	\$1,001 - \$15,000
144	Fidelity Gov't Cash Reserves (FDRXX)	Sale	04/26/2018	\$1,001 - \$15,000
145	Fidelity Gov't Cash Reserves (FDRXX)	Sale	04/27/2018	\$1,001 - \$15,000
146	Vident Core US Bond Strategy (VBND)	Sale	06/21/2018	\$1,001 - \$15,000
147	Vident International Equity Fund (VIDI)	Sale	06/21/2018	\$1,001 - \$15,000
148	Fidelity Gov't Cash Reserves (FDRXX)	Sale	07/05/2018	\$1,001 - \$15,000
149	Vident Core US Bond Strategy (VBND)	Sale	07/09/2018	\$15,001 - \$50,000
150	Weatherstorm Forensic Acctg Long/Short Index (FLAG)	Sale	07/09/2018	\$15,001 - \$50,000
151	Fidelity Gov't Cash Reserves (FDRXX)	Sale	07/10/2018	\$15,001 - \$50,000
152	Fidelity Gov't Cash Reserves (FDRXX)	Sale	07/16/2018	\$1,001 - \$15,000
153	Fidelity Gov't Cash Reserves (FDRXX)	Sale	10/12/2018	\$1,001 - \$15,000
154	AQR Large Cap Multi Style (QCERX)	Purchase	01/05/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
155	American Beacon Sim High Yield Opps Y Cls (SHOYX)	Purchase	01/05/2018	\$1,001 - \$15,000
156	Eaton Vance Global Macro Advantage I (EGRIX)	Purchase	01/05/2018	\$1,001 - \$15,000
157	Flexshares IBOXX 5 Year Target Duration (TDTF)	Purchase	01/05/2018	\$1,001 - \$15,000
158	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	01/05/2018	\$15,001 - \$50,000
159	Vident Core US Bond Strategy (VBND)	Purchase	01/05/2018	\$15,001 - \$50,000
160	iShares Comex Gold Trust (IAU)	Purchase	01/05/2018	\$1,001 - \$15,000
161	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	01/09/2018	\$15,001 - \$50,000
162	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	01/24/2018	\$1,001 - \$15,000
163	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	02/16/2018	\$15,001 - \$50,000
164	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	03/22/2018	\$1,001 - \$15,000
165	AQR Large Cap Multi Style (QCERX)	Purchase	04/25/2018	\$15,001 - \$50,000
166	ETF Series US Diversified Real Estate (PPTY)	Purchase	04/25/2018	\$15,001 - \$50,000
167	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	04/25/2018	\$1,001 - \$15,000
168	Vident Core US Bond Strategy (VBND)	Purchase	04/25/2018	\$1,001 - \$15,000
169	Vident US Equity (VUSE)	Purchase	04/25/2018	\$1,001 - \$15,000
170	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	04/26/2018	\$15,001 - \$50,000
171	PIMCO CommoditiesPLUS (PCLIX)	Purchase	06/14/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
172	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	06/21/2018	\$1,001 - \$15,000
173	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	06/25/2018	\$1,001 - \$15,000
174	Eaton Vance Global Macro Advantage I (EGRIX)	Purchase	07/09/2018	\$1,001 - \$15,000
175	Flexshares IBOXX 5 Year Target Duration (TDTF)	Purchase	07/09/2018	\$15,001 - \$50,000
176	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	07/09/2018	\$50,001 - \$100,000
177	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	07/09/2018	\$1,001 - \$15,000
178	iShares Core MSCI Pacific (IPAC)	Purchase	07/09/2018	\$1,001 - \$15,000
179	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	07/11/2018	\$15,001 - \$50,000
180	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	07/31/2018	\$1,001 - \$15,000
181	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	08/31/2018	\$1,001 - \$15,000
182	PIMCO CommoditiesPLUS (PCLIX)	Purchase	09/13/2018	\$1,001 - \$15,000
183	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	09/20/2018	\$1,001 - \$15,000
184	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	09/28/2018	\$1,001 - \$15,000
185	Eaton Vance Global Macro Advantage I (EGRIX)	Purchase	10/04/2018	\$1,001 - \$15,000
186	Flexshares IBOXX 5 Year Target Duration (TDTF)	Purchase	10/04/2018	\$1,001 - \$15,000
187	Vanguard FTSE Europe ETF (VGK)	Purchase	10/04/2018	\$1,001 - \$15,000
188	Vanguard Inter Gov Bond (VGIT)	Purchase	10/04/2018	\$15,001 - \$50,000
189	iShares Comex Gold Trust (IAU)	Purchase	10/04/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
190	iShares Core MSCI Pacific (IPAC)	Purchase	10/04/2018	\$1,001 - \$15,000
191	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	10/05/2018	\$15,001 - \$50,000
192	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	10/31/2018	\$1,001 - \$15,000
193	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Purchase	10/30/2018	\$1,001 - \$15,000
194	AQR Large Cap Multi Style (QCERX)	Purchase	12/19/2018	\$1,001 - \$15,000
195	AQR Large Cap Multi Style (QCERX)	Purchase	12/19/2018	\$1,001 - \$15,000
196	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	12/21/2018	\$1,001 - \$15,000
197	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	12/24/2018	\$1,001 - \$15,000
198	PIMCO CommoditiesPLUS (PCLIX)	Purchase	12/26/2018	\$1,001 - \$15,000
199	Eaton Vance Emerging & Frontier Countries Equity Fund (EICOX)	Purchase	12/28/2018	\$1,001 - \$15,000
200	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	12/28/2018	\$1,001 - \$15,000
201	iShares Barclays Agg Bond (AGG)	Purchase	12/28/2018	\$1,000,001 - \$5,000,000
202	Fidelity Gov't Cash Reserves (FDRXX)	Purchase	12/31/2018	\$500,001 - \$1,000,000
203	Baron Real Estate Instl (BREIX)	Sale	01/05/2018	\$1,001 - \$15,000
204	PIMCO CommoditiesPLUS (PCLIX)	Sale	01/05/2018	\$1,001 - \$15,000
205	Vanguard FTSE Europe ETF (VGK)	Sale	01/05/2018	\$15,001 - \$50,000
206	Vident International Equity Fund (VIDI)	Sale	01/05/2018	\$1,001 - \$15,000
207	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	01/05/2018	\$1,001 - \$15,000
208	iShares Core MSCI Pacific (IPAC)	Sale	01/05/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
209	Fidelity Gov't Cash Reserves (FDRXX)	Sale	01/08/2018	\$15,001 - \$50,000
210	Fidelity Gov't Cash Reserves (FDRXX)	Sale	01/16/2018	\$1,001 - \$15,000
211	Fidelity Gov't Cash Reserves (FDRXX)	Sale	04/16/2018	\$1,001 - \$15,000
212	Baron Real Estate Instl (BREIX)	Sale	04/25/2018	\$15,001 - \$50,000
213	PIMCO CommoditiesPLUS (PCLIX)	Sale	04/25/2018	\$1,001 - \$15,000
214	iShares Comex Gold Trust (IAU)	Sale	04/25/2018	\$1,001 - \$15,000
215	Fidelity Gov't Cash Reserves (FDRXX)	Sale	04/27/2018	\$50,001 - \$100,000
216	AQR Large Cap Multi Style (QCERX)	Sale	07/09/2018	\$15,001 - \$50,000
217	Vident Core US Bond Strategy (VBND)	Sale	07/09/2018	\$50,001 - \$100,000
218	Vident US Equity (VUSE)	Sale	07/09/2018	\$1,001 - \$15,000
219	Fidelity Gov't Cash Reserves (FDRXX)	Sale	07/10/2018	\$15,001 - \$50,000
220	Fidelity Gov't Cash Reserves (FDRXX)	Sale	07/16/2018	\$1,001 - \$15,000
221	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Sale	10/04/2018	\$15,001 - \$50,000
222	Vident US Equity (VUSE)	Sale	10/04/2018	\$15,001 - \$50,000
223	Fidelity Gov't Cash Reserves (FDRXX)	Sale	10/09/2018	\$15,001 - \$50,000
224	Fidelity Gov't Cash Reserves (FDRXX)	Sale	10/12/2018	\$1,001 - \$15,000
225	American Beacon Sim High Yield Opps Y Cls (SHOYX)	Sale	12/28/2018	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
226	Eaton Vance Global Macro Advantage I (EGRIX)	Sale	12/28/2018	\$250,001 - \$500,000
227	Flexshares IBOXX 5 Year Target Duration (TDTF)	Sale	12/28/2018	\$100,001 - \$250,000
228	PIMCO Inv Gr Corporate Bond Inst (PIGIX)	Sale	12/28/2018	\$250,001 - \$500,000
229	Vanguard Inter Gov Bond (VGIT)	Sale	12/28/2018	\$250,001 - \$500,000
230	Vident Core US Bond Strategy (VBND)	Sale	12/28/2018	\$250,001 - \$500,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	CIA Officers Memorial Foundation	Herndon, Virginia	Ambassador Richard M. Helms Award Ceremony tickets for filer and spouse.	\$2000

Endnotes

PART	#	ENDNOTE
6.	11	Spouse is not a trustee and is one of a number of family members eligible to receive distributions of income or principal from this trust.
6.	12	Spouse is not a trustee and is one of a number of family members eligible to receive distributions of income or principal from this trust.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
