OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved
OMB No. 3209-0001

Date of Appointment, Candidacy, Election, or Nomination (*Month, Day, Year*)	Reporting Status (Check Appropriate Boxes)	Incumbent ☐	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate ☒	Termination Filer ☐	Termination Date (*If Applicable*) (*Month, Day, Year*)

Reporting Individual's Name	Last Name: JAMES	First Name and Middle Initial: DEBORAH L.
Position for Which Filing	Title of Position: Secretary	Department or Agency (*If Applicable*): Department of the Air Force
Location of Present Office (or forwarding address)	Address (*Number, Street, City, State, and ZIP Code*): 1710 SAIC Drive, McLean, VA 22102	Telephone No. (*Include Area Code*): 703-676-6508
Position(s) Held with the Federal Government During the Preceding 12 Months (*If Not Same as Above*)	Title of Position(s) and Date(s) Held: Member, Defense Advisory Committee on Women in the Service (SGE) 2010-Present	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Senate Armed Services Committee	Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No

Certification	Signature of Reporting Individual	Date (*Month, Day, Year*)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge	Deborah Lee James	Aug 2, 2013
Other Review (If desired by agency)	Signature of Other Reviewer	Date (*Month, Day, Year*)
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	Date (*Month, Day, Year*)
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Robert S. Taylor	8/6/2013
Office of Government Ethics Use Only	Signature	Date (*Month, Day, Year*)
	Walter M. Shaub, Jr.	8/16/13

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* _____) ☐

(*Check box if comments are continued on the reverse side*) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

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Supersedes Prior Editions.

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Reporting Individual's Name	SCHEDULE A	Page Number
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C															
														Type				Amount											
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse). None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date *(Mo., Day, Yr.)* Only if Honoraria
Examples: Central Airlines Common				x												x						x								
Examples: Doe Jones & Smith, Hometown, State			x																										Law Partnership Income $130,000	
Examples: Kempstone Equity Fund					x								x										x							
Examples: IRA: Heartland 500 Index Fund							x						x											x						
1 SAIC (salary)																													$ 537,070.28	
2 SAIC 401K Excess Deferral Plan - PIMCO Total Return Fund Institutional Class					x								x			x				x										
3 SAIC 401K Retirement Plan (consisting of): - SAIC Common Stock Fund		x														x					x									
4 - Vanguard Target Retirement 2025 Trust Plus		x											x							x										
5 - Vanguard Total Return Bond Fund						x							x							x										
6 - SAIC Closed Stock Fund			x													x					x									

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		3

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
																Type				Amount											
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	SAIC Equity Award Plan (stock options):																															
2	- 31,500 vested sh; strike $18.46, exp. 4/2014																				X											
3	- 13,506 unvested sh; strike $17.42, exp. 4/2015; vesting 4/1/2014																				X											
4	- 20,258 vested sh; strike $17.42, exp. 4/2015																				X											
5	- 30,227 unvested sh; strike $16.92, exp. 3/2018; vesting: 10,075sh on 4/1/2014: 20,152 on 4/1/2015																				X											
6	- 20,151 vested sh; strike $16.92, exp. 3/2018																				X											
7	- 54,945 unvested sh; strike $13.21, exp. 3/2019; vesting: 13,736 on 3/30/14, 13,737 on 3/30/15, 27,472 on 3/30/16																				X											
8	- 13,737 vested sh; strike $13.21, exp. 3/2019																				X											
9	- 137,363 unvested sh; strike $13.55, exp. 4/20; vesting: 27,472 4/5/14, 27,473 4/5/15, 27,472 4/5/16, 54,946 4/5/17																				X											

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James, Deborah L.		4

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B													BLOCK C															
														Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1																															
2	SAIC Keystaff Executive Stock Deferral Plan (KESDP) consisting of:																									X					
3	- SAIC Restricted Stock (vested)						X									X															
4	- SAIC Stock								X							X															
5	- SAIC Restricted Unvested Stock						X									X															
6	SAIC Employee Stock Purchase Plan (restricted stock) (vested)				X															X											
7	SAIC Common Stock					X														X											
8	SAIC Management Stock Compensation Plan (MSCP) - SAIC Restricted Stock (vested)			X												X						X									
9	SAIC Keystaff Deferral Plan - Moody's Seasoned Corporate Bond (cash receivable)						X							X								X									

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James, Deborah L.		5

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.																
BLOCK A	BLOCK B													BLOCK C																
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 SAIC anticipated incentive award and incentive plan (receivables)						X													X											
2 SAIC prorated payment for SAIC unvested stock options and SAIC unvested restricted stock (receivables)					X														X											
3																															
4 United Technologies Corp Employee Savings Plan (401(k)), consisting of:																															
5 - UT Target Retirement 2025 Fund		X											X							X										
6 - UT Small Company Stock Fund (replicates return of Russell Small Cap Completeness)			X										X									X								
7 PNC Bank (checking)				X															X											
8 PNC Bank (savings)				X															X											
9 First Federal Bank (checking)		X																	X											

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Assets and Income	Valuation of Assets at close of reporting period												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B															BLOCK C												
																Type				Amount										
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 CGM Realty Fund					X								X									X									
2 LDG INC, Baltimore MD (commercial real estate company, Silver Spring MD)									X							X									X						
3 Acorn Storage No. 1, LLC, Baltimore MD (commercial real estate company, Silver Spring MD)					X											X		X				X									
4 E. Brooke Lee, Jr. Properties, Inc., Baltimore MD (commercial real estate company, Silver Spring MD)						X										X								X								
5																																
6																																
7 Rollover IRA consisting of: - Fideltiy Capital & Income (FAGIX)				X									X								X										
8 - Fidelity Growth Company (FDGRX)					X								X								X										
9 - Fidelity Large Cap (FLCSX)					X								X								X										

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																Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 S	Engility Corporation (formerly L-3 MPRI), Chantilly VA																															Spousal Salary	
2 S	Engility Corp Incentive Compensation Plan: Restricted Stock Units (EGL)			X																X												
3 S	Engility Master Savings Plan consisting of: - Blackrock High Yield Bond (BRHYX)			X										X						X												
4	- Fidelity Spartan 500 Index Institutional (FXSIX)			X										X						X												
5 S	Fidelity Large Cap (FLCSX)					X								X							X												
6 S	Wells Fargo (checking)				X															X												
7 S	Wells Fargo (savings)					X														X												
8 S	Virginia College Tuition Fund, Prepaid 5-year Plan			X																X												
9 S	Virginia College Tuition Fund, inVEST - Piedmont Portfolio		X											X						X												

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															Type				Amount												Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000		
1	Morgan Stanley ROTH IRA consisting of:																																	
2	- Morgan Stanley Bank N.A.'s Bank Deposit Program (BDP) sweep account (cash)		X																X		X													
3	- 3M (MMM)		X																		X													
4	- Accenture PLC Ireland (ACN)		X																		X													
5	- American Express (AXP)		X																		X													
6	- AMGEN Inc (AMGN)		X														X			X		X												
7	- Apple Inc (AAPL)		X														X			X		X												
8	- Baidu Inc Ads (BIDU)		X																		X													
9	- Bank of New York Mellon Corp (BK)		X														X			X		X												

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Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
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1 Morgan Stanley ROTH IRA consisting of: (cont'd)																															
2 - Berkshire Hathaway Cl B New (BRK-B)		X																X		X											
3 - CH Robinson Worldwide Inc (CHRW)		X																	X												
4 - Coca Cola (KO)		X																	X												
5 - Coinstar Inc (CSTR)		X																	X												
6 - CSX Corp (CSX)		X																	X												
7 - Deere & Co (DE)		X																	X												
8 - DirecTV Com (DTV)		X																	X												
9 - Discover Financial Services (DFS)		X																	X												

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1 Morgan Stanley ROTH IRA consisting of: (cont'd)																															
2 - Dollar Gen Corp New Com (DG)		X																		X											
3 - Dr Pepper Snapple Group Inc (DPS)		X																		X											
4 - Glaxosmithkline PLC ADS (GSK)		X																		X											
5 - Google (GOOG)		X																		X											
6 - HCP Inc (HCP)		X											X							X											
7 - Infosys Limited ADS (INFY)		X																		X											
8 - IBM (IBM)		X																		X											
9 - JPMorgan Chase & Co (JPM)		X																		X											

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Assets and Income	Valuation of Assets at close of reporting period												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
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	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley ROTH IRA consisting of: (cont'd)																															
2 - Medtronic Inc (MDT)		X																		X											
3 - Microsoft Corp (MSFT)		X																		X											
4 - Moody's Corp (MCO)		X																		X											
5 - Qualcomm Inc (QCOM)		X																		X											
6 - Rockwell Collins Inc (COL)		X																		X											
7 - Senior Housing Property Trust (SNH)		X											X							X											
8 - Union Pacific Corp (UNP)		X																		X											
9 - UnitedHealth Group Inc (UNH)		X														X			X		X										

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		12

Assets and Income	Valuation of Assets at close of reporting period												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B												BLOCK C															
													Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley ROTH IRA consisting of: (cont'd)																															
2 - Verizon Communications (VZ)		X														X			X		X										
3 - VIACOM Inc New Cl B (VIAB)		X																		X											
4 - VMWare Inc Cl A (VMW)		X																		X											
5 - WABCO Holding Inc (WBC)		X																		X											
6 - Wal Mart Stores Inc (WMT)		X																		X											
7 - Walt Disney Co Holding Co (DIS)		X														X			X		X										
8 - Wells Fargo & Co New (WFC)		X																		X											
9 - Yahoo Inc (YHOO)		X																		X											

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		13

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																
																Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Morgan Stanley ROTH IRA consisting of: (cont'd)																															
2	- iShares Dow Jones Select Dividend Index (DVY)		X											X							X											
3	- ProShares Short 20+ Year Treasury (TBF)		X											X							X											
4	- WisdomTree Emerging Markets Equity Income (DEM)		X											X							X											
5	- American Funds Inc Fund of Amer F-1 (IFAFX)		X											X								X										
6	- Blackrock Global Allocation Institutional (MALOX)		X											X								X										
7	- Columbia Contrarian Core Z (SMGIX)		X											X										X								
8	- Columbia Strategic Income Z (LSIZX)		X											X										X								
9	- Davis New York Venture Y (DNVYX)		X											X							X											

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U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		14

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
																Type				Amount											
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Morgan Stanley ROTH IRA consisting of: (cont'd)																														
2	- Franklin Mutual Global Discovery Z (MDISX)		X											X							X										
3	- Franklin Utilities Advisory (FRUAX)		X											X							X										
4	- MFS Diversified Income I (DIFIX)		X											X								X									
5	- MFS Total Return I (MTRIX)		X											X							X										
6	- Neuberger Berman Equity Income Institutional (NBHIX)		X											X								X									
7	- Oppenheimer Developing Markets Y (ODVYX)		X											X							X										
8	- Principal Midcap A (PEMGX)		X											X							X										
9	- SunAmerica Focused Dividend Strategy A (FDSAX)		X											X								X									

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		15

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
															Type				Amount											
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Morgan Stanley ROTH IRA consisting of: (cont'd)																														
2	- Wells Fargo Advantage Asset Allocation Adm (EAIFX)		X											X								X									
3																															
4	- Abbot Laboratories (SOLD)	X															X			X		X									
5	- Ameriprise Financial Inc (SOLD)	X																		X		X									
6	- Bristol Myers Squibb (SOLD)	X															X			X		X									
7	- EMC (SOLD)	X																		X		X									
8	- Johnson & Johnson (SOLD)	X															X			X		X									
9	- L-3 Communications (SOLD)	X															X			X		X									

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		16

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.																
BLOCK A	BLOCK B													BLOCK C																
														Type				Amount												
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (07) consisting of:																															
2 - 3M (MMM)		X														X					X									
3 - Abbott Laboratories (ABT)		X														X					X									
4 - AbbVie Inc Com (ABBV)		X																		X										
5 - Accenture PLC Ireland Cl A (ACN)		X														X			X		X									
6 - American Express Co (AXP)			X													X					X									
7 - Apple Inc (AAPL)			X													X					X									
8 - Baidu inc ADS (BIDU)		X														X				X										
9 - Bank of America Corp (BAC)		X														X				X										

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		17

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.																	
BLOCK A	BLOCK B																BLOCK C														
																	Type				Amount										
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (07) consisting of: (cont'd)																															
2 - Bank of New York Mellon Corp (BK)		X														X			X			X									
3 - Berkshire Hathaway CL B NEW (BRK-B)			X																X			X									
4 - Coca Cola (KO)		X														X					X										
5 - ConocoPhillips (COP)		X														X					X										
6 - DirecTV COM (DTV)			X																X												
7 - Discover Financial Services (DFS)			X																X												
8 - Dollar General Corp New COM (DG)		X																	X												
9 - Exxon Mobil Corp (XOM)		X														X					X										

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		18

Assets and Income	Valuation of Assets at close of reporting period												Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.																
BLOCK A	BLOCK B												BLOCK C																
													Type				Amount												
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (07) consisting of: (cont'd)																															
2 - Federal Realty Investment Trust S B I (FRT)		X											X								X									
3 - HCP Inc (HCP)		X											X							X										
4 - International Business Machines Corp (IBM)			X													X					X									
5 - JP Morgan Chase & Co (JPM)		X																		X										
6 - Medtronic Inc (MDT)		X														X					X									
7 - Microsoft Corp (MSFT)		X														X					X									
8 - Moody's Corp (MCO)		X																	X		X									
9 - NVR Incorpoated New (NVR)		X																		X										

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		19

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B													BLOCK C															
														Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (07) consisting of: (cont'd)																														
2 - Panera Bread Company CL A (PNRA)		X																		X										
3 - Procter & Gamble (PG)		X																		X										
4 - Repligen CP (RGEN)		X																		X										
5 - Rockwell Collins Inc (COL)		X																		X										
6 - Sanofi (SNY)		X																	X		X										
7 - Saul Centers Inc (BFS)			X										X								X										
8 - Senior Housing Property Trust SBI (SNH)			X										X								X										
9 - Union Pacific Corp (UNP)		X														X					X										

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		20

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (07) consisting of: (cont'd)																															
2 - UnitedHealth Group Inc (UNH)			X																	X											
3 - Viacom Inc New CL B (VIAB)		X																		X											
4 - Wabco Holdings Inc (WBC)		X																		X											
5 - Wal Mart Stores Inc (WMT)		X														X					X										
6 - Walt Disney Company (Holding Co) (DIS)		X														X			X			X									
7 - Washington Real Estate Investment Trust Maryland (WRE)		X											X							X											
8 - Wells Fargo & Co New (WFC)			X													X					X										
9 - Yahoo Inc (YHOO)			X																	X											

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Reporting Individual's Name: James, Deborah L.

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (07) consisting of: (cont'd)																															
2 - WisdomTree Emerging Market Equity Income Fund (DEM)			X										X							X											
3 - AllianceBern TX Managed Balance Wealth St Adv (AGIYX)				X									X									X									
4 - American Funds Investment Co of America F2 (ICAFX)						X							X											X							
5 - American Funds Tax-Advantaged Income Portfolio F2 (TXIFX)			X										X								X										
6 - Columbia Contrarian Core Z (SMGIX)			X										X								X										
7 - Davis New York Venture Y (DNVYX)		X											X							X											
8 - Federated Muni and Stock Advantage Institutional Shares (FMUIX)		X											X								X										
9 - Franklin High Yield Tax-Free Income Advantage (FHYVX)					X								X												X						

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		22

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																		
															Type				Amount												Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000		
1	Morgan Stanley Trust Account (07) consisting of: (cont'd)																																	
2	- Janus Balanced I (JBALX)				X									X										X									
3	- MFS Total Return I (MTRIX)				X									X											X								
4	- Oppenheimer Developing Markets Y (ODVYX)		X											X							X												
5	- Principal Midcap A (PEMGX)			X										X							X												
6	- SunAmerica New Focused Dividend Strategy A (FDSAX)			X										X								X											
7	- Proshares Short 20+ Year Treasury				X									X							X												
8	- Ares Capital Corp (ARCC)	X															X							X									
9																																		

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: James, Deborah L.

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
														Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (07) consisting of: (cont'd)																															
2																															
3 - Century Link inc (CTL) (SOLD)	X															X			X			X									
4 - Bristol Myers Squibb (BMY) (SOLD)	X															X			X		X										
5 - Eaton Vance Tax Managed (ETV) (SOLD)	X																		X		X										
6 - Intel Corp (INTC) (SOLD)	X															X					X										
7 - Johnson & Johnson (JNJ) (SOLD)	X															X			X		X										
8 - L-3 communications Holding Inc (LLL) (SOLD)	X															X						X									
9 - McDonalds (MCD) (SOLD)	X															X					X										

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		24

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B													BLOCK C														
														Type				Amount										
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (07) consisting of: (cont'd)																														
2 - Oracle ((ORCL) (SOLD)	X															X			X		X									
3 - Pepsico (PEP) (SOLD)	X															X			X			X								
4 - EMC (SOLD)	X																		X			X								
5 - Rackspace Hosting Inc (RAX) (SOLD)	X																		X			X								
6 - Stanley Black & Decker (SWK) (SOLD)	X																		X		X									
7 - Archer Deniels Midland (ADM) (SOLD)	X															X			X			X								
8 - Ameriprise Financial Inc (SOLD)	X															X			X			X								
9																														

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		25

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B													BLOCK C															
														Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (08) consisting of:																															
2 - Morgan Stanley Bank N.A.'s Bank Deposit Program (BDP) sweep account (cash)		X																	X											
3 - SAIC			X													X						X									
4 - South Carolina State Public Services Authority Rev Ref A (1/1/2020)		X																X				X									
5 - South Carolina Transportation Infrastructure BK Rev Ser A (10/1/2019)			X															X				X									
6 - Columbia South Carolina Waterworks & Sewer Systems Rev (2/1/2022)				X														X					X								
7 - Western Carolina Regl Sewer Authority South Carolina Sewer Systems Rev (3/1/2025)		X																X		X											
8 - Lexington County South Carolina School District No 001 (3/1/20121)			X															X				X									
9 - San Franscisco California City & County CTFS Partner Rev (4/1/2017)			X															X				X									

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		26

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B													BLOCK C															
														Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (08) consisting of: (cont'd)																															
2 - Piedmont Municipal Power Agency South Carolina Electric Rev Ser A-3 (1/1/2018)			X															X				X								
3 - South Carolina State Public Service Authority Rev Ser A (1/1/2018)		X																X				X								
4 - Berkeley County South Carolina School District Ref (1/15/2019)		X																X			X									
5 - Orange County Virginia Economic Development Authoirty Lease Rev Ref VA Projs (2/1/2019)			X															X				X								
6 - Berkeley County South Carolina General Obligation (9/1/2019)			X															X				X								
7 - South Carolina State Public Service Authority Rev Ser A (1/1/2020)			X															X			X									
8 - Beverly Hills California Public Financing Authority Lease Rev (6/1/2020)		X																X			X									
9 - Los Angeles California Uniform School District Ser B (7/1/2020)			X															X				X								

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		27

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																
															Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Morgan Stanley Trust Account (08) consisting of: (cont'd)																															
2	- Westlands California Water District Rev CTFS Ptn Ser B (9/1/2020)		X																X			X									
3	- Los Angeles California Unif School District Measure R Series H (7/1/2021)			X															X			X									
4	- California State Department of Water Res Power Supply Rev Ser F 5 (5/1/2022)			X															X			X									
5	- California State Department of Water Res Power Supply Rev Ser H (5/1/2022)			X															X			X									
6	- California Health Facilities Financing Authority Rev Ref (7/1/2022)		X																X			X									
7	- Campbell California Uniform High School District Ser A (8/1/2011)		X																X			X									
8	- Carlsbad California Uniform School District CTFS Partn Rev A (10/1/2022)			X															X			X									
9	- Chula Vista California Elementary School District CTFS Partn Rev Elementary School Building PJ (9/1/2023)			X															X			X									

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Reporting Individual's Name	**SCHEDULE A** continued (Use only if needed)	Page Number
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Assets and Income BLOCK A	**Valuation of Assets** at close of reporting period BLOCK B													**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C															
																	Type				**Amount**											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempt Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date *(Mo., Day, Yr.)* Only if Honoraria
1 Morgan Stanley Trust Account (08) consisting of: (cont'd)																															
2 - Alameda Corridor Transportation Authority California RV CNVRSN 10 1 12 CPN 5.30-A (10/1/2023)			X															X			X										
3 - Corona California Public Finance Authority Lease Rev Ser C (9/1/2024)		X																X			X										
4 - Chula Vista California Elementary School District CTFS Partn Rev Elementary School Building PJ (9/1/2027)			X															X				X									
5 - Pennsylvania State Turnpike Common Turnpike Sub Rev C2 OY 5.35 ID 10 28 10 (12/1/2030)			X																X												
6 - California Health Facilities Financing Authoirty rev Rady Children's Hospital (8/15/2031)			X															X				X									
7 - San Juan California Basin Authority Lease Rev Ground Water Recovery PJ (12/1/2034)		X																X			X										
8 - Metropolitan Washington DC Arpts Authority Dulles B OY 6.5 ID 05 27 10 (10/1/2044)			X																X												
9 - Nuveen Dividend Advantage Municipal Fund (NVG)			X										X									X									

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
James, Deborah L.		29

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B													BLOCK C															
														Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Morgan Stanley Trust Account (08) consisting of: (cont'd)																															
2 - Federated Muni & Stock Advantage C (FMUCX)			X										X							X										
3 - Oppenheimer Rochester Limited Term Muni C (OITCX)			X										X									X								
4 - San Diego CA Uniform School District Ref Ser F (2019-07-01) (SOLD)	X																	X	X		X										
5 - Redwood City CA Elementary School District Unlimited Tax (2016-08-01) (SOLD)	X																	X	X		X										
6																															
7																															
8																															
9																															

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B	Page Number
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Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x)			Date *(Mo., Day, Yr.)*	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☐

	Source *(Name and Address)*	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$385
1			
2			
3			
4			
5			

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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)										
						$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10 %	on demand					x						
1	Wells Fargo	Mortgage on personal residence	2011	3.25%	15						X					
2																
3																
4																
5																

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Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Pursuant to the SAIC Keystaff Deferral Plan, I will receive a lump sum distribution of my deferred compensation within 90-days of my resignation.	SAIC, McLean VA	1/2002
2	I retain interests in 2 SAIC 401K Plans (no further contributions will be made by me or SAIC upon my resignation).	SAIC, McLean VA	1/2002
3	Within 90 days of appointment, I will divest all SAIC common stock and vested restricted stock held in the SAIC Employee Stock Purchase Plan.	SAIC, McLean VA	1/2002
4	Within 90 days of appointment, I will divest all vested restricted stock in the SAIC Management Stock Compensation Plan.	SAIC, McLean VA	1/2002
5	Pursuant to SAIC's Equity Award Plan, I will forfeit all unvested stock options upon my departure, except I will receive a payment for pro-rata vesting of unvested stock options for this plan year. Within 90-days of appointment, I will also	SAIC, McLean VA	1/2002
6	divest all vested stock options.		

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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x) $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10 %	on demand					x						
1																
2																
3																
4																
5																

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Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	I will divest interest in the United Technologies 401K within 90-days of appointment (no further contributions have been made since my departure)	United Technologies	4/1998
2	Before I assume DoD duties, I will receive a cash payment of $225,000 representing my pro-rata share of this year's incentive plan	SAIC, McLean VA	7/2013
3	Before I assume DoD duties, I will receive a cash payment of $237,500 representing my pro-rata share of the incentive award for contributing to Project Gemini	SAIC, McLean VA	7/2013
4	Pursuant to the SAIC Keystaff Executive Stock Deferral Plan, I will foreit all unvested SAIC restricted stock upon my departure, except I will receive a payment for pro-rata vesting of unvested restricted stock for this plan year.	SAIC, McLean VA	1/2002
5	I will divest (sell or forfeit) all vested stock interests within 90 days of my appointment.		
6			

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	SAIC, McLean VA	Defense Contractor	Presid, Tech. & Engineering Sec.	2/2013	Present
2	SAIC, McLean VA (employed since 2002)	Defense Contractor	Exec. Vice Presid. Communications & Govt Affairs	8/2010	1/2013
3	Deborah R. Lee James Trust (revocable)	Personal Trust	Trustee	6/1996	Present
4	Atlantic Council of the United States, Washington DC	Non-profit organization.	Board Member	6/2012	Present
5	Tragedy Assistance Program for Survivors (TAPS), Washington DC	Non-profit organization	Board Member	6/2010	Present
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	SAIC, McLean VA (Presid, Tech. & Enginnering Sec.)	Responsible for sector encompassing a wide range of software and hardware design, development and integration, logistics and supply chain management, training services, mission systems engineering and
2		program support services.
3	SAIC, McLean VA (Exec. Vice Presid. Communications & Government Affairs)	Responsible for media relations, marketing communications, congressional affairs, policy analysis, compliance with laws governing engagement with public officials, corporate responsibility and community
4		relations.
5		
6		