Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Quarles, Randal Keith

Member and Vice Chairman for Supervision, Board of Governors of the Federal Reserve System

Date of Termination: 12/24/2021

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Quarles, Randal K [electronically signed on 01/04/2022 by Quarles, Randal K in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Croston, Sean, Certifying Official [electronically signed on 01/04/2022 by Croston, Sean in Integrity.gov]

Other review conducted by
/s/ Croston, Sean, Ethics Official [electronically signed on 01/04/2022 by Croston, Sean in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 01/18/2022 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Bank Managed IRA Account	No			
1.1	U.S. investment account (cash)	N/A	$1,001 - $15,000	Interest	None (or less than $201)
1.2	SPDR S&P 500 (SPY)	Yes	$100,001 - $250,000		$2,501 - $5,000
1.3	iShares MSCI EAFE Index (EFA)	Yes	$100,001 - $250,000		$2,501 - $5,000
1.4	iShares Russell 2000 Index (IWM)	Yes	$50,001 - $100,000		$201 - $1,000
1.5	iShares MSCI Emerging Markets Index (EEM)	Yes	$50,001 - $100,000		$201 - $1,000
1.6	iShares Dow Jones Select Dividend Index (DVY)	Yes	$15,001 - $50,000		$201 - $1,000
2	Avalon Advisors, LLC, carried interest (Americas - wealth advisory) (See Part 3, Item 1)	N/A	$1,000,001 - $5,000,000		None (or less than $201)
3	GPS Hospitality Holding Company LLC, carried interest (Americas - Quick Service Restaurants) (See Part 3, Item 1)	N/A	$1,000,001 - $5,000,000		None (or less than $201)
4	Savant Capital Management, LLC, carried interest (Americas - Wealth Advisory) (See Part 3, Item 1)	N/A	$1,000,001 - $5,000,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Cynosure Management, LLC	See Endnote	Salt Lake City, Utah	I have a fully vested carried interest in investment vehicles advised by Cynosure Management or affiliates of Cynosure Management that have made private investments in the companies identified in the endnote to this item. Proceeds of this carried interest are contractually obligated to be paid contemporaneously with their receipt by Cynosure.	1/2014

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Marriner S. Eccles Foundation	N/A		salary, director fees	
2	U.S. retirement account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
3	Brokerage IRA	No			
3.1	Fidelity Advisor Series VIII Diversified Intl. Fund	Yes	$50,001 - $100,000		$1,001 - $2,500
4	George S. and Dolores Dore Eccles Foundation	N/A		consulting fee	

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse Revocable Trust					
1.1	General Electric Common Stock		N/A	Over $1,000,000	Dividends	$100,001 - $1,000,000
1.2	Union Pacific Common Stock		N/A	Over $1,000,000	Dividends	$50,001 - $100,000
1.3	Occidental Petroleum (OXY) (U.S. Energy)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.4	HLKS BK II, LLC		No			
1.4.1	Cynosure GPS LLC		No		Dividends	
1.4.1.1	Cynosure BK II, LLC		No			
1.4.1.1.1	GPS Hospitality Holding Company LLC Senior Preferred Units - Series B (Americas - Quick Service Restaurants)	See Endnote	N/A	$15,001 - $50,000	Dividends	$5,001 - $15,000
1.5	iShares MSCI EAFE ETF		Yes	Over $1,000,000		$15,001 - $50,000
1.6	iShares Core S&P 500 ETF		Yes	$50,001 - $100,000		$201 - $1,000
1.7	Supervalu Inc.		N/A	$1,001 - $15,000		None (or less than $201)
1.8	First Trust Dow Jones Global Select Dividend Index Fund		Yes	Over $1,000,000		$15,001 - $50,000
1.9	First Trust Morningstar Dividend Index Leaders Fund		Yes	Over $1,000,000		$15,001 - $50,000
1.10	WisdomTree U.S. Total Dividend Fund		Yes	Over $1,000,000		$15,001 - $50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	iShares Select Dividend Index Fund	Yes	Over $1,000,000		$50,001 - $100,000
1.12	iShares MSCI Emerging Markets ETF	Yes	Over $1,000,000		$15,001 - $50,000
1.13	iShares Russell 2000	Yes	Over $1,000,000		$5,001 - $15,000
1.14	SPDR S&P 500 ETF T	Yes	Over $1,000,000		$5,001 - $15,000
1.15	WisdomTree U.S. High Dividend Fund	Yes	Over $1,000,000		$15,001 - $50,000
1.16	US Bank Account, Institution #1 (Cash)	N/A	$250,001 - $500,000	Interest	$201 - $1,000
1.17	Wabtec Corporation Common Stock	N/A	$100,001 - $250,000	Dividends	$201 - $1,000
2	Family Trust #1				
2.1	General Electric Common Stock	N/A	Over $1,000,000	Dividends	$50,001 - $100,000
2.2	Union Pacific Corp	N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
2.3	iShares MSCI EAFE Index	Yes	$50,001 - $100,000		$2,501 - $5,000
2.4	iShares MSCI Emerging Markets Index	Yes	$50,001 - $100,000		$1,001 - $2,500
2.5	iShares Russell 2000 Index	Yes	$50,001 - $100,000		$201 - $1,000
2.6	iShares S&P 500 Index	Yes	$500,001 - $1,000,000		$5,001 - $15,000
2.7	U.S. Bank Account - Institution #1 (Cash)	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.8	Caterpillar Inc		N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
2.9	iShares Russell Midcap Index		Yes	$50,001 - $100,000		$201 - $1,000
2.10	Wabtec Corporation Common Stock			$50,001 - $100,000	Dividends	$201 - $1,000
3	CEP Fairview Property LLC	See Endnote	No			
3.1	Undeveloped land in Fairview, Utah (former sheep ranch)		N/A	$100,001 - $250,000		None (or less than $201)
4	GSL Artemia Worldwide (Global - Agricultural Production)		N/A	Over $1,000,000	Dividends	$100,001 - $1,000,000
5	RHLKS, LLC	See Endnote	No			
5.1	NTR , Inc. (Americas - Oil and Gas)		N/A	$1,001 - $15,000		None (or less than $201)
6	Family Trust #2	See Endnote				
6.1	Cynosure Management, LLC (Americas - Asset Management)		N/A	Over $1,000,000	Partnership Income	$63,166
7	Fuzzy, LLC		No			
7.1	HLKS Investments, LLC		No			
7.1.1	CompleteXRM Plan Plus Online Common Stock (Americas - Customer Relationship Management Software)		N/A	$50,001 - $100,000		None (or less than $201)
7.1.2	ExpertVoice, Inc. Common Stock (Americas - Business Software)		N/A	$250,001 - $500,000		None (or less than $201)
7.1.3	MedMountain Ventures I, LLC (Americas - Medical Technology)		No	$15,001 - $50,000	Dividends	$5,001 - $15,000
7.1.3.1	Boka Science Inc. (Americas - Medical Diagnostics)		N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1.3.2	ReddyPort Inc. (Americas - Medical Orthopedics)		N/A			
7.1.3.3	Superconductive Health, Inc. (Americas - Medical Data)		N/A			
7.1.3.4	LineLogic, LLC (Americas - Catheter Tubing Technology)					
7.1.3.5	Concha Labs (Americas - Hearing Aids)					
7.1.3.6	Inherent Biosciences, Inc. (Americas - Fertility Diagnostics)					
7.1.3.7	Pocket Naloxone Corp (Americas - Nasal Swabs)					
7.1.3.8	FluidX (Americas - Vascular Occlusion Technology)		N/A			
7.1.3.9	Emergency Scientific, LLC (Americas - Hemorrhage Treatment)		N/A			
7.1.3.10	Epitel, Inc. (Americas - Medical Technology)		N/A			
7.1.3.11	Netic Health (Americas - Medical Technology)		N/A			
7.1.4	Pelion Venture Partners V, LP	See Endnote	No	$100,001 - $250,000	Capital Gains Dividends Interest	$50,001 - $100,000
7.1.4.1	Blyncsy, Inc (Americas - Traffic Management Software)		N/A			
7.1.4.2	Grow, Inc. (Americas - Business Analytics Software)		N/A			
7.1.4.3	Movement Ventures, Inc. (Americas - Publishing and Marketing Software)		N/A			
7.1.4.4	Neurala, Inc. (Americas - Network Software)		N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1.4.5	ObservePoint, Inc. (Americas - Data Analytics Software)		N/A			
7.1.4.6	Deserve/SelfScore, Inc. (Americas - Credit Analytics Software)		N/A			
7.1.4.7	Canopy Tax, Inc. (Americas - Tax Accounting Software)		N/A			
7.1.4.8	33 Across, Inc. (Americas - Marketing Software)	See Endnote	N/A			
7.1.5	Daniels Capital Partners		No	$1,001 - $15,000	Capital Gains	$5,001 - $15,000
7.2	Cynosure Avalon Holdings I, LLC	See Endnote	No			
7.2.1	Avalon Advisors, LLC (Americas - Wealth Advisory)		N/A	$1,000,001 - $5,000,000	Partnership Income	$77,835
7.3	Cynosure SRAC I, LLC	See Endnote	No			
7.3.1	GPS Hospitality Holding Company, LLC Senior Preferred Units - Series F (Americas - Quick Service Restaurants)	See Endnote	N/A	$15,001 - $50,000	Dividends	$15,001 - $50,000
7.4	Cynosure Investment Partners Class 2018 Anglo		No			
7.4.1	Anglo International Shipping (U.K. - Maritime Shipping)		N/A	$250,001 - $500,000		None (or less than $201)
8	Cynosure Management, LLC	See Endnote	N/A	$250,001 - $500,000	Partnership Income	$13,975
9	Brownie, LLC - B Units		No			
10	Eccles Investment, LLC		No			
10.1	A hotel and restaurant in the Deer Valley Ski Resort, Utah		N/A	Over $1,000,000		None (or less than $201)
10.2	A condominium in Sun Valley, Idaho		N/A	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.3	A condominium in Park City, Utah		N/A	$50,001 - $100,000		None (or less than $201)
10.4	A condominium in Sun Valley, Idaho		N/A	$100,001 - $250,000	Rent or Royalties	$2,501 - $5,000
10.5	HILD, LLC		No			
10.5.1	Reverse Mortgage Loans Eastern European countries (underlying assets below reporting thresholds)		N/A	$1,001 - $15,000		None (or less than $201)
10.6	Sorenson Capital Fund II, LP Continuation Vehicle	See Endnote	No	$1,001 - $15,000	Capital Gains Dividends	$100,001 - $1,000,000
10.6.1	AccessData Group, LLC (Americas - Digital Forensics)		N/A			
10.6.2	Workfront, Inc. (Americas - Project Management Software)		N/A			
10.6.3	Bamboo HR, LLC (Americas - Human Resources Software)		N/A			
10.6.4	ExpertVoice, Inc. (Americas - Business Software)		N/A			
10.6.5	RTC Aerospace, LLC (Americas - Aerospace)		N/A			
10.6.6	Wilson Electronics, Inc. (Americas - Communications Technology)		N/A			
10.6.7	Home Brands Group (Americas - Franchising)		N/A			
11	DVP LLC		No			
11.1	Developable Real Estate at Deer Valley Ski Resort (Utah)		N/A	Over $1,000,000		None (or less than $201)
11.2	U.S. Bank Account - Institution #1 (Cash)		N/A	Over $1,000,000	Interest	$201 - $1,000
12	Family Trust #3		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.1	General Electric Common Stock		N/A	$100,001 - $250,000	Dividends	$5,001 - $15,000
12.2	Fuzzy, LLC - B Units		No			
12.3	Brownie, LLC - B Units		No			
12.4	Fuzzy, LLC - C Units		No			
12.4.1	Cynosure Avalon Holdings I, LLC		No			
12.4.1.1	Avalon Advisors, LLC (Americas - Wealth Advisory)		N/A	$50,001 - $100,000	Partnership Income	$3,557
12.5	iShares Russell 2000 Index		Yes	$50,001 - $100,000		$201 - $1,000
12.6	iShares MSCI EAFE Index		Yes	$100,001 - $250,000		$201 - $1,000
12.7	iShares MSCI Emerging Markets Index		Yes	$50,001 - $100,000		$201 - $1,000
12.8	Fuzzy LLC - D Units		No			
12.8.1	Cynosure SRAC I LLC		No			
12.8.1.1	GPS Hospitality Holding Company, LLC Senior Preferred Units - Series F (Americas - Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000	Dividends	$15,001 - $50,000
12.9	Wabtec Corporation Common Stock		N/A	$15,001 - $50,000	Dividends	None (or less than $201)
12.10	Occidental Petroleum Common Stock (U.S. - Energy)		N/A	$1,001 - $15,000	Dividends	None (or less than $201)
12.11	Fuzzy, LLC - E Units		No			
12.11.1	Anglo International Shipping (U.K. - Maritime Shipping)		N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.12	Union Pacific Corp. (UNP)			$15,001 - $50,000	Dividends	$201 - $1,000
12.13	SPDR S&P 500		Yes	$250,001 - $500,000		$2,501 - $5,000
12.14	iShares Select Dividend Index Fund		Yes	$50,001 - $100,000		$1,001 - $2,500
12.15	Brownie, LLC - C Units	See Endnote	No			
12.15.1	GPS Hospitality Holding Company, LLC Senior Preferred Units (Americas Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000	Capital Gains	$5,001 - $15,000
13	Family Trust #4		No			
13.1	General Electric Common Stock		N/A	$100,001 - $250,000	Dividends	$5,001 - $15,000
13.2	Fuzzy, LLC - B Units		No			
13.3	Brownie, LLC - B Units		No			
13.4	Fuzzy, LLC - C Units		No			
13.4.1	Cynosure Avalon Holdings I, LLC		No			
13.4.1.1	Avalon Advisors, LLC (Americas - Wealth Advisory)		N/A	$50,001 - $100,000	Partnership Income	$3,557
13.5	iShares Russell 2000 Index		Yes	$50,001 - $100,000		$201 - $1,000
13.6	iShares MSCI EAFE Index		Yes	$100,001 - $250,000		$201 - $1,000
13.7	iShares MSCI Emerging Markets Index		Yes	$50,001 - $100,000		$201 - $1,000
13.8	Wabtec Corporation Common Stock		N/A	$15,001 - $50,000	Dividends	None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.9	Occidental Petroleum Common Stock (U.S. - Energy)		N/A	$1,001 - $15,000	Dividends	None (or less than $201)
13.10	Fuzzy, LLC - E Units		No			
13.10.1	Anglo International Shipping (U.K. - Maritime Shipping)		N/A	$15,001 - $50,000		None (or less than $201)
13.11	Union Pacific Corp. (UNP)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
13.12	SPDR S&P 500		Yes	$250,001 - $500,000		$2,501 - $5,000
13.13	iShares Select Dividend Index Fund		Yes	$50,001 - $100,000		$1,001 - $2,500
13.14	Fuzzy LLC - D Units		No			
13.14.1	Cynosure SRAC I LLC		No		Interest	
13.14.1.1	GPS Hospitality Holding Company, LLC Senior Preferred Units - Series F (Americas - Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000	Dividends	$15,001 - $50,000
13.15	Brownie, LLC - C Units	See Endnote	No			
13.15.1	GPS Hospitality Holding Company, LLC Senior Preferred Units (Americas - Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000	Dividends	$5,001 - $15,000
14	Family Trust #5		No			
14.1	General Electric Common Stock		N/A	$50,001 - $100,000	Dividends	$5,001 - $15,000
14.2	Fuzzy, LLC - B Units		No			
14.3	Brownie, LLC - B Units		No			
14.4	Fuzzy, LLC - C Units		No			
14.4.1	Cynosure Avalon Holdings I, LLC		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.4.1.1	Avalon Advisors, LLC (Americas - Wealth Advisory)		N/A	$50,001 - $100,000	Partnership Income	$3,557
14.5	iShares Russell 2000 Index		Yes	$50,001 - $100,000		$201 - $1,000
14.6	iShares Select Dividend Index Fund		Yes	$15,001 - $50,000		$1,001 - $2,500
14.7	iShares MSCI EAFE Index		Yes	$100,001 - $250,000		$201 - $1,000
14.8	iShares MSCI Emerging Markets Index		Yes	$50,001 - $100,000		$201 - $1,000
14.9	Wabtec Corporation Common Stock		N/A	$15,001 - $50,000	Dividends	None (or less than $201)
14.10	Occidental Petroleum Common Stock (U.S. - Energy)		N/A	$15,001 - $50,000	Dividends	None (or less than $201)
14.11	Fuzzy, LLC - E Units		No			
14.11.1	Anglo International Shipping (U.K. - Maritime Shipping)		N/A	$15,001 - $50,000		None (or less than $201)
14.12	Union Pacific Corp. (UNP)		N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
14.13	SPDR S&P 500		Yes	$250,001 - $500,000		$2,501 - $5,000
14.14	Fuzzy LLC - D Units		No			
14.14.1	Cynosure SRAC I LLC		No			
14.14.1.1	GPS Hospitality Holding Comany, LLC Senior Preferred Units - Series F (Americas - Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000		$15,001 - $50,000
14.15	Brownie, LLC - C Units	See Endnote	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.15.1	GPS Hospitality Holding Company, LLC Senior Preferred Units (Americas - Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000	Dividends	$2,501 - $5,000
15	Family Trust #6		No			
15.1	Ranch near Hailey, Idaho		N/A	Over $1,000,000		None (or less than $201)
15.2	Union Pacific Common Stock		N/A	Over $1,000,000	Dividends	$50,001 - $100,000
15.3	General Electric Common Stock		N/A	$500,001 - $1,000,000	Dividends	$2,501 - $5,000
15.4	Cynosure Investment Partners Class 2019 Steward		No			
15.4.1	Steward Partners (U.S. - Wealth Advisory)		N/A	$250,001 - $500,000	Partnership Income	$686
15.5	Cynosure Investment Partners 2019 Visible		No			
15.5.1	Visible Supply Chain (U.S. - Logistics)	See Endnote	N/A	None (or less than $1,001)	Capital Gains Dividends	$100,001 - $1,000,000
15.6	Cynosure Investment Partners 2019 Invictus		No			
15.6.1	Invictus Opportunity Fund II (U.S. - Real Estate)	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
15.7	Cynosure Investment Partners 2019 Avenue Value		No			
15.7.1	Avenue Value Credit Fund	See Endnote	No	$50,001 - $100,000		None (or less than $201)
15.8	Cynosure Investment Partners 2019 GMP		No			
15.8.1	Gold Medal Pools (U.S. - Construction)		N/A	$50,001 - $100,000		None (or less than $201)
15.9	Cynosure Investment Partners 2019 SG Credit		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.9.1	SG Credit (private credit fund)		No	$15,001 - $50,000	Interest	$201 - $1,000
15.10	Cynosure Investment Partners 2019 RE Assets		No	$100,001 - $250,000	Interest Rent or Royalties	$201 - $1,000
15.10.1	Riverstone CW, LLC (Americas - Real Estate)		N/A			
15.10.2	Fairways on Thunderbird (Americas - Real Estate Holding)		N/A			
15.10.3	Belvedere (Americas - Real Estate)		N/A			
15.10.4	River Forrest (Americas - Real Estate)		N/A			
15.10.5	Brighton Park (Americas - Real Estate)	See Endnote	N/A			
15.10.6	Houston Lake (Americas - Real Estate)	See Endnote	N/A			
15.10.7	Level at 401 (Americas - Real Estate)	See Endnote	N/A			
15.10.8	The Point at Beaufont (Americas - Real Estate)	See Endnote	N/A			
15.11	U.S. Bank Account - Institution #1 (Cash)		N/A	$500,001 - $1,000,000	Interest	$1,001 - $2,500
15.12	Cynosure Partners 2020, LP	See Endnote	No	Over $1,000,000		
16	US Bank Account, Institution #1 (Cash)		N/A	$50,001 - $100,000	Interest	$201 - $1,000
17	Revolving Credit Note from Cynosure Investments, LLC		N/A	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000
18	US Bank Account, Institution #1 (Cash)		N/A	$50,001 - $100,000	Interest	None (or less than $201)
19	iShares MSCI EAFE		Yes	$1,000,001 - $5,000,000		$15,001 - $50,000
20	First Trust Dow Jones Global Select Dividend Index Fund		Yes	$1,000,001 - $5,000,000		$15,001 - $50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	First Trust Morningstar Dividend Index Leaders Fund		Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
22	WisdomTree U.S. Total Dividend Fund		Yes	$1,000,001 - $5,000,000		$15,001 - $50,000
23	iShares Select Dividend Index Fund		Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
24	iShares MSCI Emerging Markets ETF		Yes	$500,001 - $1,000,000		$15,001 - $50,000
25	iShares Russell 2000 ETF		Yes	$500,001 - $1,000,000		$5,001 - $15,000
26	SPDR S&P 500		Yes	$1,000,001 - $5,000,000		$5,001 - $15,000
27	WisdomTree U.S. High Dividend Fund		Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
28	Cynosure GPS LLC		No			
28.1	Cynosure BK III, LLC	See Endnote	No			
28.1.1	GPS Hospitality Holding Company LLC Senior Preferred Units - Series C (Americas - Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000	Dividends	$5,001 - $15,000
28.2	Cynosure Pop I, LLC	See Endnote	No			
28.2.1	GPS Hospitality Holding Company, LLC Senior Preferred Units - Series D (Americas - Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000	Dividends	$5,001 - $15,000
28.3	Cynosure Pop II, LLC	See Endnote	No			
28.3.1	GPS Hospitality Holding Company, LLC Senior Preferred Units - Series E (Americas - Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000	Dividends	$5,001 - $15,000
29	Cynosure Savant Holdings LLC		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29.1	Cynosure Savant Holdings I, LLC	See Endnote	No			
29.1.1	Savant Capital Management, LLC (Americas - Wealth Advisory)	See Endnote	N/A	$1,000,001 - $5,000,000	Capital Gains	$1,000,001 - $5,000,000
29.2	Cynosure Prumentum LLC		No			
29.2.1	Prumentum Group (Americas - Wealth Management)		N/A	$500,001 - $1,000,000	Dividends	$15,001 - $50,000
30	Wabtec Corporation Common Stock		N/A	$15,001 - $50,000	Dividends	None (or less than $201)
31	Cynosure Investment Partners 2019 Steward		No			
31.1	Steward Partners (U.S. - Wealth Advisory)		N/A	$1,000,001 - $5,000,000	Partnership Income	$26,376
32	Cynosure Investment Partners 2019 Visible		No		Dividends	
32.1	Visible Supply Chain (U.S. - Logistics)	See Endnote	N/A	None (or less than $1,001)	Capital Gains Dividends	Over $1,000,000
33	Cynosure Investment Partners 2019 GMP		No			
33.1	Gold Medal Pools (U.S. - Construction)		N/A	$250,001 - $500,000		None (or less than $201)
34	Cynosure Investment Partners 2019 SG Credit		No			
34.1	SG Credit (Private Credit Fund)		No	$100,001 - $250,000	Interest	$1,001 - $2,500
35	Cynosure Investment Partners 2019 RE Assets		No	$250,001 - $500,000	Interest Rent or Royalties	$2,501 - $5,000
35.1	Riverstone CW, LLC (Americas. - Real Estate)		N/A			None (or less than $201)
35.2	Fairways on Thunderbird (Americas - Real Estate)		N/A			
35.3	Belvedere (Americas - Real Estate)		N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.4	River Forrest (Americas - Real Estate)		N/A			
35.5	Brighton Park (Americas - Real Estate)	See Endnote	N/A			
35.6	Houston Lake (Americas - Real Estate)	See Endnote	N/A			
35.7	Level at 401 (Americas - Real Estate)	See Endnote	N/A			
35.8	The Point at Beaufont (Americas - Real Estate)	See Endnote	N/A			
36	Health Catalyst, LLC (Americas - Health Care Software and Data Analytics)		N/A	$50,001 - $100,000		None (or less than $201)
37	Revolving Credit Note from Goldener Hirsch Inn	See Endnote	N/A	Over $1,000,000	Interest	$1,001 - $2,500
38	CloudFlare, Inc. (Americas - Online Security Software)		N/A	$500,001 - $1,000,000		None (or less than $201)
39	Cynosure Avalon BuildGroup, LLC (Global - Technology)		No	$100,001 - $250,000		None (or less than $201)
39.1	VidMob, Inc. (Americas - Video Technology)		N/A			
39.2	Yonder (Popily, Inc.) (Americas - A.I. Software)		N/A			
39.3	CSDC Holdco Inc. Preferred Stock, Series A (Calytera, Inc.) (Americas - Government Operations Software)	See Endnote	N/A			
39.4	Anaconda Inc. (Americas - Data Science)	See Endnote	N/A			
39.5	Fiix Corp. Preferred Stock Series A (Americas - Management Software)	See Endnote	N/A			
39.6	X-Mode, Inc. / Digital Envoy (Americas - Location Technology)	See Endnote	N/A			
39.7	BuildGroup Data Services Inc. / Squirro (Global - Fundraising Technology)	See Endnote	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39.8	DigniFi (Americas - Software)		N/A			
39.9	Cybrary (Americas - Cybersecurity)		N/A			
39.10	Benefitfocus (Americas - Employee Benefits Management)		N/A			
39.11	Andela (Global - Business Software)	See Endnote	N/A			
39.12	Flowspace (Americas - Cloud Logistics)	See Endnote	N/A			
40	General Electric Common Stock		N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
41	Brownie, LLC - C Units	See Endnote	No			
41.1	GPS Hospitality Holding Company, LLC Senior Preferred Units (Americas - Quick Service Restaurants)	See Endnote	N/A	$1,001 - $15,000	Dividends	$2,501 - $5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Cynosure Partners 2020 LP	Purchase	02/12/2021	Over $1,000,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage on Personal Residence	$1,000,001 - $5,000,000	2016	3.15%	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
3.	1	Cynosure-advised investment vehicles in which I have a carried interest have invested in the following portfolio companies: Avalon Advisors (a wealth advisory firm in Houston, TX), GPS Hospitality (a fast food franchisee in Atlanta, GA), Savant Capital (a wealth advisory firm in Rockford, IL),
6.	1.4.1.1.1	These units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	3	The land in Fairview Utah previously held by the LL Peterson Land Company Partnership was transferred to the newly formed CEP Fairvew Property LLC in December 2020. The ownership of the Partnership and the LLC are the same, and there was no change in the economics. The transfer was made simply for ease of administration.
6.	5	RHLKS, LLC is a single-asset vehicle.
6.	6	This trust is for the sole benefit of the filer's wife, children, and grandchildren; the filer retains no interest in either the trust or the underlying assets.
6.	7.1.4	Sub-assets of Pelion Venture Partners V determined as of 12/24/21 reflecting acquisitions and divestitures of partnership since last SF 278 reporting date.
6.	7.1.4.8	This company was sold from the Pelion portfolio during 2021. Filer has no further direct or indirect interest in this company.
6.	7.2	Cynosure Avalon Holdings I, LLC is a single-asset vehicle.

PART	#	ENDNOTE
6.	7.3	Cynosure SRAC I, LLC is a single-asset vehicle that owns its interest in GPS Hospitality Holding Company through Cynosure SRAC, LLC, which is also a single-asset vehicle.
6.	7.3.1	These units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	8	Filer's spouse owns this interest in Cynosure Management directly, in addition to her beneficial interest in Cynosure Management through Family Trust #2, of which she and the filer's children are life beneficiaries, and the filer's grandchildren are residual beneficiaries.
6.	10.6	During 2021, West Rim Capital Partners II, LP combined its assets with Sorenson Capital Partners II, LP as a continuation vehicle, and the combined entity has the name of Sorenson Capital Partners II, LP. Sub-assets of Sorenson Capital Partners determined as of 12/24/21, reflecting acquisitions and divestitures by the partnership since the last SF 278 report.
6.	12.8.1.1	These units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	12.15	Gift from grandfather. Brownie C Units represent the interest held by Brownie, LLC in GPS Hospitality Holding Company, LLC, an owner of quick service restaurants.
6.	12.15.1	Owing to a clerical error, filer's prior year SF 278 showed that Brownie, LLC held an interest in Visible Supply Chain (reflecting a contribution from filer's father-in-law of his indirect holding in Visible). In actuality, the contribution was of filer's father-in-law's indirect interest in GPS Hospitality Holding Company. The value of the gift to filer's dependent children was identical, however, and filer's report already showed exposure to both Visible and GPS through separate line items, so the disclosure of filer's interests was not materially affected. This entry has been amended to reflect the actual holding. The GPS units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	13.14.1.1	These units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	13.15	Gift from grandfather. Brownie C Units represent the interest held by Brownie, LLC in GPS Hospitality Holding Company, LLC, an owner of quick service restaurants.

PART	#	ENDNOTE
6.	13.15.1	Owing to a clerical error, filer's prior year SF 278 showed that Brownie, LLC held an interest in Visible Supply Chain (reflecting a contribution from filer's father-in-law of his indirect holding in Visible). In actuality, the contribution was of filer's father-in-law's indirect interest in GPS Hospitality Holding Company. The value of the gift to filer's dependent children was identical, however, and filer's report already showed exposure to both Visible and GPS through separate line items, so the disclosure of filer's interests was not materially affected. This entry has been amended to reflect the actual holding. The GPS units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	14.14.1.1	These units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	14.15	Gift from grandfather. Brownie C Units represent the interest held by Brownie, LLC in GPS Hospitality Holding Company, LLC, an owner of quick service restaurants.
6.	14.15.1	Owing to a clerical error, filer's prior year SF 278 showed that Brownie, LLC held an interest in Visible Supply Chain (reflecting a contribution from filer's father-in-law of his indirect holding in Visible). In actuality, the contribution was of filer's father-in-law's indirect interest in GPS Hospitality Holding Company. The value of the gift to filer's dependent children was identical, however, and filer's report already showed exposure to both Visible and GPS through separate line items, so the disclosure of filer's interests was not materially affected. This entry has been amended to reflect the actual holding. The GPS units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	15.5.1	Cynosure sold its interest in Visible for cash during 2021; the filer has no further direct or indirect interest in Visible
6.	15.6.1	This fund owns interests in newly originated non-agency residential mortgages.
6.	15.7.1	Avenue Value Credit Fund, L.P invests in individual corporate stressed and distressed debt securities of U.S. companies.
6.	15.10.5	CIP 2019 RE Assets acquired an interest in Brighton Park (200 apartments in Byron, GA) in 2021.
6.	15.10.6	CIP 2019 RE Assets acquired an interest in Houston Lake (300 apartments in Warner Robins, GA) in 2021.

PART	#	ENDNOTE
6.	15.10.7	CIP 2019 RE Assets acquired an interest in Level at 401 (300 apartments in Raleigh, NC) in 2021.
6.	15.10.8	CIP 2019 RE Assets acquired an interest in The Point at Beaufort (312 apartments in Richmond, VA) in 2021.
6.	15.12	This investment has no underlying assets currently; it has been formed to purchase unspecified assets in the future.
6.	28.1	Cynosure BK III, LLC is a single-asset vehicle.
6.	28.1.1	These units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	28.2	Cynosure Pop I, LLC is a single-asset vehicle.
6.	28.2.1	These units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	28.3	Cynosure Pop II, LLC is a single-asset vehicle.
6.	28.3.1	These units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.
6.	29.1	Cynosure Savant Holdings I, LLC is a single-asset vehicle that owns its interest in Savant Capital Management, LLC through Cynosure Savant, LLC, which is also a single-asset vehicle.
6.	29.1.1	Savant effected a recapitalization during 2021, which resulted in a return of capital and distribution of income, while maintaining a portion of filer's interest in the company.
6.	32.1	Cynosure sold Visible Supply Chain from its portfolio during 2021. Filer has no further direct or indirect interest in Visible.
6.	35.5	CIP 2019 RE Assets acquired an interest Brighton Park (200 apartments in Byron, GA) in 2021.
6.	35.6	CIP 2019 RE Assets acquired an interest in Houston Lake (300 apartments in Warner Robins, GA) in 2021
6.	35.7	CIP 2019 RE Assets acquired an interest in Level at 401 (300 apartments in Raleigh, NC) in 2021.

PART	#	ENDNOTE
6.	35.8	CIP 2019 RE Assets acquired an interest in The point at Beaufont (312 apartments in Richmond, VA) in 2021.
6.	37	Further amounts were lent by filer's spouse during 2021
6.	39.3	BuildGroup sold its interest in CSDC Holdco during 2021; filer has no further direct or indirect interest in CSDC.
6.	39.4	BuildGroup sold its interest in Anaconda during 2021. Filer has no further direct or indirect interest in Anaconda.
6.	39.5	BuildGroup sold its interest in Flix Corp. during 2021. Filer has no further direct or indirect interest in Flix Corp.
6.	39.6	X-Mode, Inc. merged with Digital Envoy (a location technology company in the Americas) during 2021. The resulting company will be known as Digital Envoy.
6.	39.7	BuildGroup Data Services merged with Squirro (a fundraising technology company in the Americas) during 2021. The company will be known as Squirro.
6.	39.11	During 2021, BuildGroup acquired an interest in Andela, a platform that helps businesses recruit software developers working remotely in developing countries.
6.	39.12	During 2021, BuildGroup acquired in interest in Flowspace, a cloud logistics software company
6.	41	Gift from parent. Brownie C Units represent the interest held by Brownie, LLC in GPS Hospitality Partners, a US quick service restaurants company.
6.	41.1	Owing to a clerical error, filer's prior year SF 278 showed that Brownie, LLC held an interest in Visible Supply Chain (reflecting a contribution from filer's father-in-law of his indirect holding in Visible). In actuality, the contribution was of filer's father-in-law's indirect interest in GPS Hospitality Holding Company. The value of the gift to filer's dependent children was identical, however, and filer's report already showed exposure to both Visible and GPS through separate line items, so the disclosure of filer's interests was not materially affected. This entry has been amended to reflect the actual holding. The GPS units consisted of a fixed return on the principal investment amount, plus warrants for a claim on additional equity in the company. The company repaid the principal amount in August of 2021, so the units now consist only of the warrants. Given the current value of the equity in the company, the warrants have a low carrying value.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).