

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mulvaney, John M

Director, Office of Management and Budget

Report Year: 2018

Other Federal Government Positions Held During the Preceding 12 Months:

US Representative (1/2011 - 2/2017)

Acting Director-Bureau Cons. Fin. Protection (11/2017 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mulvaney, John M [electronically signed on 05/15/2018 by Mulvaney, John M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sutton, Yasaman, Certifying Official [electronically signed on 06/14/2018 by Sutton, Yasaman in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 06/17/2018 by Skalla, Daniel L in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Mulvaney Company, Inc.	See Endnote	Indian Land, South Carolina	Corporation	President	1/2009	3/2017
2	Education Trust for DC1		Indian Land, South Carolina	Trust	Trustee	12/2000	Present
3	Education Trust for DC2		Indian Land, South Carolina	Trust	Trustee	12/2000	Present
4	Education Trust for DC3		Indian Land, South Carolina	Trust	Trustee	12/2000	Present
5	Northwestern Mutual Irrevocable Life Insurance Trust		Charlotte, North Carolina	Trust	Trustee	3/1997	3/2017
6	MP/Collins Road LLC		Indian Land, South Carolina	Corporation	Managing Member	4/2007	3/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Powershares Water Resources Portfolio (PHO)	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
2	Prime Money Market Fund - RBC Reserve Class (TRMXX)		Yes	\$100,001 - \$250,000		\$201 - \$1,000
3	MP/Collins Road, LLC (undeveloped parcel, Indian Land, South Carolina) (value not readily ascertainable)	See Endnote	No			None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Mulvaney Properties/Old Hickory Manor, LLC (developed parcel, Van Wyck, South Carolina)		No	\$15,001 - \$50,000		None (or less than \$201)
5	The Mulvaney Group, Ltd. (undeveloped parcels in the vicinity of Charlotte, North Carolina)	See Endnote	No	\$250,001 - \$500,000	Capital Gains	\$100,001 - \$1,000,000
6	Button River, LLC (tree farm and land holding, Lancaster, South Carolina)	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
6.1	Northwestern Mutual Life Insurance Policy (universal)		N/A	\$250,001 - \$500,000		None (or less than \$201)
7	Indian Land Ventures, LLC, (owns mortgage on property referenced as to MP/Collins Road, Indian Land, South Carolina)	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
8	Newsome Family Note (personal loan)	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
9	The Mulvaney Company, Inc. (real estate holding company)		No			
9.1	Wren Development, LLC (residential real estate and rental property management company, Indian Land, South Carolina)		N/A	\$250,001 - \$500,000		None (or less than \$201)
10	South Carolina General Assembly defined benefit plan		N/A	\$1,001 - \$15,000		None (or less than \$201)
11	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)		Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
12	Hartford Dividend & Growth Fund (HDGIX)		Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
13	Nuveen Invt FDS Small Cap Value Fund (FSCCX)		Yes	\$50,001 - \$100,000		\$201 - \$1,000
14	Putnam Growth Opportunities Fund (PGOYX)		Yes	\$50,001 - \$100,000		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	South Carolina General Assembly	Columbia, South Carolina	I will continue to participate in this defined benefit plan.	1/2007

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	American Funds Capital World Growth and Income Fund (CWGIX)	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
2	Putnam International Growth A Fund (PINOX)	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
3	Key West, LLC (residential real estate, Key West, Florida)	See Endnote	No	\$250,001 - \$500,000	Rent or Royalties	\$1,001 - \$2,500
4	Qualified Personal Residence Trust (residential real estate, Key West, Florida).	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
5	The Mulvaney Group Limited (undeveloped parcels in the vicinity of Charlotte, North Carolina)		No	\$250,001 - \$500,000	Capital Gains	\$50,001 - \$100,000
6	RBC Insured Deposits (Cash Account)		No	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	iShares U.S. Medical Devices ETF (IHI)	See Endnote	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
2	iShares U.S. Pharmaceutical ETF (IHE)	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
3	iShares U.S. Utilities ETF (IDU)	See Endnote	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
4	The Growth Fund of America (AGTHX)	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	Voya Multi-Manager International Small Cap Fund (NTKLX)	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	John Hancock Freedom 529 Education Trust of America (2017-2020)	See Endnote	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
7	Putnam Multi-Cap Growth (PNOPX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Northwestern Mutual Life (Irrevocable Life Insurance Policy in Trust) (whole life)		N/A	\$250,001 - \$500,000		None (or less than \$201)
9	Prime Money Market Fund - RBC Reserve Class (TRMXX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
10	Cash Account (U.S. bank)		N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11	Cash Account (CD) (U.S. bank)		N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12	Technology SPDR ETF (XLK)	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
13	ProShares Ultra Short Euro (EUO)	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	Van Eck Vectors Morningstar Wide Moat ETF (MOAT)	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
15	SPDR S&P International Dividends ETF (DWX)	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
16	U.S. Global Jets Fund ETF (JETS)	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
17	Cash Account (U.S. credit union)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18	Gold Bars		N/A	\$15,001 - \$50,000		None (or less than \$201)
19	Silver Bars		N/A	\$1,001 - \$15,000		None (or less than \$201)
20	Cash Account (RBC)		N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
21	Residential Rental Property, Indian Land, South Carolina		N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
22	TD Ameritrade Cash Account			\$1,001 - \$15,000	Interest	None (or less than \$201)
23	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
24	Columbia Funds Series TR II Disciplined Growth Fund (CLQZX)		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
25	Invesco Diversified Dividend Y Fund (LCEYX)		Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
26	Nuveen Invt FDS Small Cap Value Fund (FSCCX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
27	Hartford Mutual Funds Midcap Fund (HFMCX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Alerian MLP ETF (AMLP)	Sale	030117	\$15,001 - \$50,000
2	Duff and Phelps Global Utiliyt Income Fund (DPG)	Sale	030117	\$15,001 - \$50,000
3	Global X Uranium ETF (URA)	Sale	030117	\$1,001 - \$15,000
4	Powershares Dynamic Oil and Gas Services ETF (PXJ)	Sale	030117	\$15,001 - \$50,000
5	Van Eck Junior Gold Miners ETF (GDXJ)	Sale	030117	\$15,001 - \$50,000
6	Gold Miners Equity ETF (GDX)	Sale	030117	\$15,001 - \$50,000
7	iShares U.S. Utilities ETF (IDU)	Sale	031317	\$15,001 - \$50,000
8	iShares U.S. Medical Devices ETF (IHI)	Sale	031317	\$15,001 - \$50,000
9	iShares U.S. Pharmaceutical ETF	Sale	031317	\$15,001 - \$50,000
10	Technology SPDR ETF (XLK)	Sale	031317	\$15,001 - \$50,000
11	Alerian MLP ETF (AMLP)	Sale	030117	\$1,001 - \$15,000
12	Adams Natural Resources Fund (PEO)	Sale	030117	\$1,001 - \$15,000
13	Central Fund of Canada (CEF)	Sale	030117	\$1,001 - \$15,000
14	Duff and Phelps Global Utility Income Fund	Sale	030117	\$15,001 - \$50,000
15	First Trust National Gas ETF (FCG)	Sale	030117	\$1,001 - \$15,000
16	Global X Uranium ETF (URA)	Sale	030117	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	Powershares Dynamic Oil and Gas Services ETF (PXJ)	Sale	030117	\$1,001 - \$15,000
18	Powershares Water Resources Portfolio (PHO)	Sale	030117	\$1,001 - \$15,000
19	DB Agricultural Fund	Sale	030117	\$1,001 - \$15,000
20	Van Eck Vectors Rare Earth/Strategic Metals ETF (REMX)	Sale	030117	\$1,001 - \$15,000
21	Van Eck Vectors Junior Gold Miners ETF (GDXJ)	Sale	030117	\$1,001 - \$15,000
22	Goild Miners Equity ETF (GDX)	Sale	030117	\$1,001 - \$15,000
23	ProShares Ultra Short Euro (EUO)	Sale	032317	\$1,001 - \$15,000
24	Van Eck Vectors Morningside Wide Moat ETF (MOAT)	Sale	032317	\$1,001 - \$15,000
25	U.S. Global Jets Fund ETF (JETS)	Sale	032317	\$1,001 - \$15,000
26	SPDR S&P International Dividends ETF (DWX)	Sale	052217	\$1,001 - \$15,000
27	Invesco Diversified Dividend Y Fund (LCEYX)	Purchase	031317	\$15,001 - \$50,000
28	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)	Purchase	031317	\$15,001 - \$50,000
29	Columbia Funds Series TR II Disciplined Growth Fund (CLQZX)	Purchase	031317	\$15,001 - \$50,000
30	Nuveen Invt FDS Small Cap Value Fund (FSCCX)	Purchase	031317	\$15,001 - \$50,000
31	Invesco Diversified Dividend Y Fund (LCEYX)	Purchase	041317	\$15,001 - \$50,000
32	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)	Purchase	041317	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
33	Columbia Funds Series TR II Disciplined Growth Fund (CLQZX)		Purchase	041317	\$15,001 - \$50,000
34	Nuveen Invt FDS Small Cap Value Fund (FSCCX)		Purchase	041317	\$15,001 - \$50,000
35	Invesco Diversified Dividend Y Fund (LCEYX)		Purchase	051217	\$15,001 - \$50,000
36	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)		Purchase	051217	\$15,001 - \$50,000
37	Columbia Funds Series TR II Disciplined Growth Fund (CLQZX)		Purchase	051217	\$15,001 - \$50,000
38	Nuveen Invt FDS Small Cap Value Fund (FSCCX)		Purchase	051217	\$15,001 - \$50,000
39	Columbia Funds Series TR II Disciplined Growth Fund (CLQZX)	See Endnote	Purchase	121217	\$1,001 - \$15,000
40	Columbia Funds Series TR II Disciplined Growth Fund (CLQZX)	See Endnote	Purchase	121217	\$1,001 - \$15,000
41	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)	See Endnote	Purchase	122617	\$1,001 - \$15,000
42	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)	See Endnote	Purchase	122617	\$1,001 - \$15,000
43	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)		Purchase	031317	\$15,001 - \$50,000
44	Hartford Dividend & Growth Fund (HDGIX)		Purchase	031317	\$15,001 - \$50,000
45	Nuveen Invt FDS Small Cap Value Fund (FSCCX)		Purchase	031317	\$15,001 - \$50,000
46	Hartford Dividend & Growth Fund (HDGIX)		Purchase	043117	\$15,001 - \$50,000
47	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)		Purchase	041317	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
48	Nuveen Invt FDS Small Cap Value Fund (FSCCX)		Purchase	041317	\$15,001 - \$50,000
49	Putnam Growth Opportunities Fund (PGOYX)		Purchase	041317	\$15,001 - \$50,000
50	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)		Purchase	051217	\$15,001 - \$50,000
51	Hartford Dividend & Growth Fund (HDGIX)		Purchase	051217	\$15,001 - \$50,000
52	Nuveen Invt FDS Small Cap Value Fund (FSCCX)		Purchase	051217	\$15,001 - \$50,000
53	Putnam Growth Opportunities Fund (PGOYX)		Purchase	051217	\$15,001 - \$50,000
54	Putnam Growth Opportunities Fund (PGOYX)	See Endnote	Purchase	120817	\$1,001 - \$15,000
55	Hartford Dividend & Growth Fund (HDGIX)	See Endnote	Purchase	121817	\$1,001 - \$15,000
56	American Beacon FDS Bridgeway Large Cap Fund (BWLYX)	See Endnote	Purchase	122617	\$1,001 - \$15,000
57	Putnam Growth Opportunity Fund (POGAX)	See Endnote	Exchange	032417	\$15,001 - \$50,000
58	Van Eck Global Hard Assets A Fund (GHAAX)		Sale	031017	\$15,001 - \$50,000
59	Hartford Mutual Funds Midcap Fund (HFMCX)		Purchase	031317	\$15,001 - \$50,000
60	The Growth Fund of America (AGTHX)		Sale	082117	\$1,001 - \$15,000
61	Hartford Mutual Funds Midcap Fund (HFMCX)		Sale	082117	\$1,001 - \$15,000
62	Voya Multi-Manager International Small Cap Fund (NTKLX)		Sale	082117	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
63	American Funds Capital World Growth and Income Fund (CWGIX)	Sale	030117	\$15,001 - \$50,000
64	Putnam International Growth A Fund (PINOX)	Sale	030117	\$1,001 - \$15,000
65	Van Eck International Investors Gold Fund A (INIVX)	Sale	030117	\$1,001 - \$15,000
66	Van Eck Global Hard Assets A Fund (GHAAX)	Sale	030117	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Suntrust Mortgage		Mortgage on Personal Residence	\$100,001 - \$250,000	2015	3.75%	Due May 2045
2	Indian Land Ventures LLC	See Endnote	Mortgage (investment/rental property)	\$1,000,001 - \$5,000,000	2008	4%	Due/In Foreclosure
3	Southern First Bank	See Endnote	Loan to Purchase Mortgage	\$1,000,001 - \$5,000,000	2016	4%	Due April 2018
4	SouthState Bank		Mortgage (investment/rental property)	\$100,001 - \$250,000	2017	4 1/2 %	Due Feb 2022
5	Southern First Bank		Exercised Line of Credit	\$100,001 - \$250,000	2017	Variable (WSJ Prime)	HELOC/Interst Monthly

9. Gifts and Travel Reimbursements

Endnotes

PART	#	ENDNOTE
1.	1	This is the parent/holding company for my real estate investments. It has no employees. I am the sole owner.
2.	1	Sold; generated Capital Gain
2.	3	This LLC has a minority ownership interest in Lancaster-Collins LLC which owns a parcel which is in foreclosure with mortgages greater than value.
2.	5	I have a 3% ownership interest in this real estate land holding company. The entity owns unimproved property in and around the Charlotte, NC metro area.
2.	6	33.3% ownership interest in tree farming and land holding company. It owns a property on Riverside Road in Lancaster, South Carolina, and another in Van Wyck, South Carolina. Also owns the Northwestern Mutual Life Insurance Policy identified separately in Section 2.
2.	7	33.3% ownership interest in real estate investment company in Indian Land, South Carolina.
2.	8	Interest accrues and is not paid.
5.	1	Sold; capital gain
5.	2	Sold; capital gain
5.	3	See endnote to the Qualified Personal Residence Trust in this same section.
5.	4	This trust expired in February 2017; Key West LLC (also identified in Section 5) was the beneficiary. Key West LLC now owns 100% of the relevant residential real estate.
6.	1	Sold; capital gain
6.	2	Sold; capital gain
6.	3	Sold; capital gain
6.	4	Education trusts for three children.
6.	5	Education trusts for three children.
6.	6	529 College Savings Plan
6.	7	Education trusts for three children.

PART	#	ENDNOTE
6.	12	Sold; capital gain
6.	13	Sold; capital gain
6.	14	Sold; captial gain
6.	15	Sold; capital gain
6.	16	Sold; capital gain
7.	39	Dividend Reinvestment
7.	40	Dividend Reinvestment
7.	41	Dividend Reinvestment
7.	42	Dividend Reinvestment
7.	54	Dividend Reinvestment
7.	55	Dividend Reinvestment
7.	56	Dividend Reinvestment
7.	57	Exchange/Merger: became Putnam Growth Opportunites Fund (PGOYX)
8.	2	This loan is to Lancaster-Collins Road LLC, which is owned partly by MP/Collins Road LLC, as identified in Part 2. I individually own MP/Collins Road LLC. I personally guaranteed this loan.
8.	3	This loan was made to Indian Land Ventures LLC. Nominee personally guaranteed this loan.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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