

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Censky, Stephen Lee

Deputy Secretary, Department of Agriculture

Report Year: 2020

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Censky, Stephen Lee [electronically signed on 06/23/2020 by Censky, Stephen Lee in Integrity.gov] - Filer received a 90 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bender, Stuart, Certifying Official [electronically signed on 08/23/2020 by Bender, Stuart in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 09/01/2020 by Granahan, Megan in Integrity.gov]

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Data Revised 07/31/2020

Data Revised 07/25/2020

Data Revised 07/24/2020

Data Revised 07/22/2020

Data Revised 07/21/2020

Data Revised 07/20/2020

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	American Soybean Association 457(b)				
1.1	Vanguard Federal Money Market Fund Investor Shares	Yes	None (or less than \$1,001)		None (or less than \$201)
1.2	Principal Blue Chip R4 Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2	American Soybean Association defined benefit pension (value not readily ascertainable)			Will receive \$3,338 per month beginning at age 67.	

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## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	American Soybean Association	St. Louis, Missouri	Neither I nor the plan sponsor made further contributions to this plan after my separation from the American Soybean Association in October 2017. Funds in this 401(k) account were rolled over into my personal IRA account in January 2018.	7/1994
2	American Soybean Association	St. Louis, Missouri	I will continue to participate in this defined benefit plan.	7/1994

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#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	American Soybean Association	St. Louis, Missouri	Neither I nor the plan sponsor made further contributions after my separation from the American Soybean Association in October 2017.	10/2010

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

#### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Hartford Annuities – Director Non-Qualified Variable Annuity				
1.1	Hartford Total Return Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Hartford Dividend and Growth HLS Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Hartford Global Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Hartford Stock HLS Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Hartford Capital Appreciation Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.6	Hartford International Opportunities Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Hartford Small Cap Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Hartford Disciplined Equity Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	IRA #1				
2.1	U.S. Brokerage Account (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
2.2	Griffin Cap Essential Asset REIT	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.3	Kite RLTY Group TR Com New REIT	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.4	CD #1	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
2.5	CD #2	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
2.6	CD #3	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
2.7	CD #4	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
3	IRA #2				
3.1	U.S. Brokerage Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	EUROPACIFIC GROWTH FUND CLASS F2 - AMERICAN FUNDS N/L (AEPFX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
3.3	LAZARD EMERGING MARKETS EQUITY PORT INSTITUTIONAL SHS N/L (LZEMX)	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	MFS INTERNATIONAL GROWTH FUND CLASS I N/L (MQGIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.5	VICTORY TRIVALENT INTL SMALL-CAP FUND CLASS Y N/L (MYSIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.6	Causeway International Value Fund (CIVIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.7	ARTISAN INTERNATIONAL FUND ADVISOR CLASS N/L (APDIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.8	HARDING LOEVNER EMERG MARKETS PORT FUND ADVISOR CL N/L (HLEMX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.9	OAKMARK INTERNATIONAL FUND INVESTOR CLASS N/L (OAKIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.10	WELLS FARGO INTERNATIONAL VALUE FUND CLASS I N/L (WVIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3.11	GQG PARTNERS EMERGING MARKETS EQUITY FUND INST CLASS N/L (GQGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.12	BAILLIE GIFFORD EMERGING MARKETS EQUITIES INST CL N/L (BGEGX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	IRA (#3)				
4.1	INDEXIQ ETF TRUST IQ HEDGE MULTI-STRATEGY TRACKER ETF (QAI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	ISHARES TR CORE S&P MCP ETF (IJH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	ISHARES TR CORE MSCI EAFE (IEFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.4	ISHARES TR CORE S&P SCP ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	ISHARES INCORPORATED CORE MSCI EMKT (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.7	U.S. Brokerage Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.8	ISHARES TR MIN VOL USA ETF (USMV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	SPDR S&P DIVIDENT ETF (SDY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	US Savings Bonds (Child #1)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	US Savings Bonds (Child #2)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	U.S. bank account #1 (checking)	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	U.S. bank account #2 (savings)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	U.S. bank account #3 (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
10	U.S. bank account #1 (checking) for Child #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Investment Account #2	See Endnote			
11.1	U.S. Brokerage Account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.2	INDEXIQ ETF TRUST IQ HEDGE MULTI-STRATEGY TRACKER ETF (QAI)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.3	ISHARES TR RUS 1000 VAL ETF (IWD)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.4	ISHARES TR RUS 1000 GRW ETF (IWF)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.5	ISHARES TR RUSSELL 2000 ETF (IWM)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.6	ISHARES TR CORE MSCI EAFE (IEFA)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
11.7	ISHARES INCORPORATED CORE MSCI EMKT (IEMG)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.8	SPDR S&P MIDCAP 400 ETF TRUST (MDY)	Yes	None (or less than \$1,001)		None (or less than \$201)
11.9	ISHARES TR MIN VOL USA ETF (USMV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.10	SPDR S&P DIVIDEND ETF (SDY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	Hartford 4658 Hartford Frontier 2012 Indexed Universal Life Insurance Policy	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Hartford 4658 Hartford Frontier 2012 Indexed Universal Life insurance Policy	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	U.S. BROKERAGE ACCOUNT (CASH)	N/A	None (or less than \$1,001)		None (or less than \$201)
15	IRA #4				
15.1	CHIRON CAPITAL ALLOCATION FUND CLASS I N/L (CCAPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.2	WESTERN ASSET MACRO OPPORTUNITIES FUND CLASS I N/L (LAOIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15.3	AQR STYLE PREMIA ALTERNATIVE FUND CLASS I N/L (QSPIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15.4	AQR MANAGED FUTURES STRATEGY FUND CLASS I N/L (AQMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.5	BLACKROCK EVENT DRIVEN EQUITY FUND INST CLASS N/L (BILPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.6	GATEWAY FUND CLASS A M/F - NATIXIS ADVISOR (GATEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.7	JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL I N/L (JHAIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
15.8	ABBEY CAPITAL FUTURES STRATEGY VALUE FUND CLASS I N/L (ABYIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15.9	BOSTON PARTNERS LONG/SHORT RESEARCH FUND INST CLASS N/L (BPIRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.10	VANGUARD MARKET NEUTRAL FUND INVESTOR N/L (VMNFX)	Yes	None (or less than \$1,001)		None (or less than \$201)
15.11	WILLIAM BLAIR MACRO ALLOCATION FUND CLASS I N/L (WMCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.12	U.S. Brokerage Account	N/A	None (or less than \$1,001)		None (or less than \$201)
15.13	U.S. Brokerage Account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
16	IRA #5				
16.1	CLARKSTON PARTNERS FUND INSTITUTIONAL CLASS N/L (CISMX)	Yes	None (or less than \$1,001)		None (or less than \$201)
16.2	JPMORGAN MID CAP VALUE FUND CLASS L N/L (FLMVX)	Yes	None (or less than \$1,001)		None (or less than \$201)
16.3	HARTFORD MIDCAP FUND CLASS I N/L (HFMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.4	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS I N/L (JVLIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.5	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS I N/L (SOPYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.6	LOOMIS SAYLES GROWTH FUND CLASS Y N/L - NATIXIS ADVISOR (LSGRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.7	MERIDIAN GROWTH FUND INVESTOR CLASS N/L (MRIGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.8	PARNASSUS CORE EQUITY FUND INSTITUTIONAL CLASS N/L (PRILX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.9	T. ROWE PRICE SMALL CAP VALUE FUND INVESTOR CLASS N/L (PRSVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.10	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
16.11	U.S. Brokerage Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.12	American Mutual Fund Class F2 (AMRFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.13	Janus Henderson Forty Fund Class I N/L (JCAPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.14	Nuance Mid Cap Value Fund Inst N/L (NMVLX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.15	ISHARES TR MSCI MIN VOL ETF (USMV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17	U.S. bank account #4 (CD)	N/A	\$15,001 - \$50,000		\$201 - \$1,000
18	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)	See Endnote	Yes	None (or less than \$1,001)	None (or less than \$201)

## 7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	CQG Partners Emerging Markets Equity Fund Inst Class N/L (GQGIX)		Purchase	06/17/2019	\$15,001 - \$50,000
2	Baillie Gifford Emerging Markets Equities Inst CI N/L Fund (BGEGX)		Purchase	06/17/2019	\$15,001 - \$50,000
3	SPDR S&P Dividend ETF (SDY)	See Endnote	Purchase	11/20/2019	\$1,001 - \$15,000
4	SPDR S&P Dividend ETF (SDY)	See Endnote	Purchase	11/20/2019	\$15,001 - \$50,000
5	American Mutual Fund Class F2 (AMRFX)		Purchase	08/15/2019	\$15,001 - \$50,000
6	Janus Henderson Forty Fund Class I N/L (JCAPX)		Purchase	08/14/2019	\$15,001 - \$50,000
7	Ishares TR MSCI Min Vol ETF (USMV)	See Endnote	Purchase	08/15/2019	\$15,001 - \$50,000
8	Europacific Growth Fund Class F2 - Americana Funds N/L (AEPFX)		Sale	06/04/2019	\$1,001 - \$15,000
9	Lazard Emerging Markets Equity Ports Institutional SHS N/L (LZEMX)		Sale	06/17/2019	\$50,001 - \$100,000
10	Artisan International Fund Advisor Class N/L (APDIX)		Sale	06/03/2019	\$1,001 - \$15,000
11	IShares TR CORE MSCI EAFE (IEFA)	See Endnote	Sale	11/20/2019	\$1,001 - \$15,000
12	Vanguard Index Funds S&P 500 ETF SHS New (VOO)		Sale	02/07/2019	\$1,001 - \$15,000
13	Ishares TR MIN VOL USA ETF (USMV)	See Endnote	Sale	11/20/2019	\$1,001 - \$15,000
14	IQ Hedge Multi-Strategy Tracker ETF (QAI)		Sale	01/01/2019	\$1,001 - \$15,000
15	Ishares TR RUS 1000 VAL ETF (IWD)		Sale	01/30/2019	\$1,001 - \$15,000
16	Ishares TR RUS 1000 GRW ETF (IWF)		Sale	01/30/2019	\$1,001 - \$15,000
17	Ishares TR RUSSELL 2000 ETF (IWM)		Sale	01/30/2019	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
18	Ishares TR CORE MSCI EAFE (IEFA)	See Endnote	Sale	01/30/2019	\$1,001 - \$15,000
19	SPDR S&P MIDCAP 400 ETF TRUST (MDY)		Sale	01/30/2019	\$1,001 - \$15,000
20	SPDR S&P MIDCAP 400 ETF TRUST (MDY)		Sale	05/28/2019	\$1,001 - \$15,000
21	John Hancock Global Absolute Return Strategies FD CL I N/L (JHAIX)		Sale	02/06/2019	\$1,001 - \$15,000
22	Vanguard Market Neutral Fund Investor N/L (VMNFX)		Sale	08/14/2019	\$1,001 - \$15,000
23	Clarkston Partners Fund Institutional Class N/L (CISMX)		Sale	08/14/2019	\$15,001 - \$50,000
24	JPMorgan Mid Cap Value fund Class L N/L (FLMVX)		Sale	11/19/2019	\$15,001 - \$50,000
25	John Hancock Disciplined Value Fund Class I N/L (JVLIX)		Sale	08/15/2019	\$1,001 - \$15,000
26	Loomis Sayles Growth Fund Class Y N/L - Natixis Advisor (LSGRX)		Sale	08/14/2019	\$15,001 - \$50,000
27	Harding Loevner Emerg Markets Port Fund Advisor CL N/L (HLEMX)		Purchase	06/03/2019	\$1,001 - \$15,000
28	Lazard Emerging Markets Equity Port Institutional SHS N/L (LZEMX)		Purchase	06/03/2019	\$1,001 - \$15,000
29	Oakmark International Value Fund Investor Class N/L (OAKIX)		Purchase	06/03/2019	\$1,001 - \$15,000
30	Causeway International Value Fund Institutional Class N/L (CIVIX)		Purchase	06/03/2019	\$1,001 - \$15,000
31	MFS International Growth Fund Calss I N/L (MQGIX)		Sale	06/04/2019	\$1,001 - \$15,000
32	Wells Fargo International Value Fund Class I N/L (WFVIX)		Purchase	06/04/2019	\$1,001 - \$15,000
33	IShares TR MSCI MIN VOL ETF (USMV)	See Endnote	Purchase	02/07/2019	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
34	IShares TR CORE MSCI EAFE (IEFA)	See Endnote	Purchase	02/11/2019	\$1,001 - \$15,000
35	Blackrock Event Driven Equity Fund Inst Class N/L (BILPX)		Purchase	02/05/2019	\$1,001 - \$15,000
36	Western Asset Macro Opportunities Fund Class I N/L (LAOIX)		Purchase	02/05/2019	\$1,001 - \$15,000
37	William Blair Macro Allocation Fund Class I N/L (WMCIX)		Purchase	02/05/2019	\$1,001 - \$15,000
38	Blackrock Event Driven Equity Fund Inst Class N/L (BILPX)		Purchase	08/14/2019	\$1,001 - \$15,000
39	AQR Style Premia Alterantive Fund Class I N/L (QSPIX)		Purchase	08/14/2019	\$1,001 - \$15,000
40	Vanguard Market Neutral Fund Investor N/L (VMNFX)		Sale	08/14/2019	\$1,001 - \$15,000
41	Nuance Mid Cap Value Fund Inst N/L (NMVLX)		Purchase	11/19/2019	\$15,001 - \$50,000
42	IShares TR RSU 1000 GRW ETF (IWF)		Purchase	01/08/2019	\$1,001 - \$15,000
43	IShares TR RUSSELL 2000ETF (IWM)		Purchase	01/08/2019	\$1,001 - \$15,000
44	IShares TR CORE MSCI EAFE (IEFA)	See Endnote	Purchase	01/08/2019	\$1,001 - \$15,000
45	SPDR S&P Midcap 400 ETF (MDY)		Purchase	01/08/2019	\$1,001 - \$15,000
46	IShares Incorporated CORE MSCI EMKT (IEMG)		Sale	01/08/2019	\$1,001 - \$15,000
47	IQ Hedge Multi-Strategy Tracker ETF (QAI)		Sale	01/08/2019	\$1,001 - \$15,000
48	IQ Hedge Multi-Strategy Tracker ETF (QAI)		Sale		\$1,001 - \$15,000
49	IShares Incorporated CORE MSCI EMKT (IEMG)		Sale	01/30/2019	\$1,001 - \$15,000
50	IShares TR MSCI VOL ETF (USMV)	See Endnote	Purchase	02/07/2019	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
51	IShares TR RUS 1000 VAL ETF (IWD)		Sale	02/07/2019	\$1,001 - \$15,000
52	IShares TR RUS 1000 GRW ETF (IWF)		Sale	02/07/2019	\$1,001 - \$15,000
53	IShares Incorporated CORE MSCI EMKT (IEMG)		Purchase	05/28/2019	\$1,001 - \$15,000
54	IQ Hedge Multi-Strategy Tracker ETF (QAI)		Sale	05/28/2019	\$1,001 - \$15,000
55	Ishares TR RUS 1000 VAL ETF (IWD)		Sale	05/28/2019	\$1,001 - \$15,000
56	Ishares TR RUS 1000 GRW ETF (IWF)		Sale	05/28/2019	\$1,001 - \$15,000
57	IShares TR CORE MSCI EAFE (IEFA)	See Endnote	Sale	05/28/2019	\$1,001 - \$15,000
58	SPDR S&P MIDCAP 400 ETF TRUST (MDY)		Sale	05/28/2019	\$1,001 - \$15,000
59	IShares TR MSCI VOL ETF (USMV)		Sale	05/28/2019	\$1,001 - \$15,000
60	IShares TR RUSSELL 2000ETF (IWM)		Sale	05/28/2019	\$1,001 - \$15,000
61	Ishares TR RUS 1000 VAL ETF (IWD)		Sale	09/08/2019	\$1,001 - \$15,000
62	IQ Hedge Multi-Strategy Tracker ETF (QAI)		Sale	09/09/2019	\$1,001 - \$15,000
63	Ishares TR MSCI Min Vol ETF (USMV)	See Endnote	Sale	09/09/2019	\$1,001 - \$15,000
64	Ishares TR RUS 1000 GRW ETF (IWF)		Sale	09/09/2019	\$1,001 - \$15,000
65	IShares Incorporated CORE MSCI EMKT (IEMG)		Sale	09/09/2019	\$1,001 - \$15,000
66	IShares TR CORE MSCI EAFE (IEFA)	See Endnote	Sale	09/09/2019	\$1,001 - \$15,000
67	SPDR S&P MIDCAP 400 ETF TRUST (MDY)		Sale	09/09/2019	\$1,001 - \$15,000
68	IQ Hedge Multi-Strategy Tracker ETF (QAI)		Sale	10/03/2019	\$1,001 - \$15,000
69	IShares Incorporated Core MSCI EMKT (IEMG)		Sale	10/03/2019	\$1,001 - \$15,000
70	IShares TR CORE MSCI EAFE (IEFA)	See Endnote	Sale	10/03/2019	\$1,001 - \$15,000
71	IShares TR RUSSELL 2000ETF (IWM)		Sale	10/03/2019	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
72	SPDR S&P MIDCAP 400 ETF TRUST (MDY)		Sale	10/03/2019	\$1,001 - \$15,000
73	Ishares TR RUS 1000 VAL ETF (IWD)		Sale	10/03/2019	\$1,001 - \$15,000
74	Ishares TR RUS 1000 VAL ETF (IWD)		Sale	11/14/2019	\$1,001 - \$15,000
75	Ishares TR RUS 1000 GRW ETF (IWF)		Sale	11/14/2019	\$1,001 - \$15,000
76	Ishares TR RUSSELL 2000 ETF (IWM)		Sale	11/14/2019	\$1,001 - \$15,000
77	IShares Incorporated CORE MSCI EMKT (IEMG)		Sale	11/14/2019	\$1,001 - \$15,000
78	SPDR S&P MIDCAP 400 ETF TRUST (MDY)		Sale	11/14/2019	\$1,001 - \$15,000
79	Ishares TR CORE MSCI EAFE (IEFA)	See Endnote	Sale	11/14/2019	\$1,001 - \$15,000
80	Ishares TR CORE MSCI EAFE (IEFA)	See Endnote	Sale	11/20/2019	\$15,001 - \$50,000
81	Ishares TR MSCI Min Vol ETF (USMV)	See Endnote	Sale	11/20/2019	\$1,001 - \$15,000
82	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)		Purchase	01/07/2019	\$1,001 - \$15,000
83	FRANKLIN 529 US GOVERNMENT MONEY PORTFOLIO CLASS A M/M (FMFPA)		Sale	01/29/2019	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	United Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2017	3.25	30 years

## 9. Gifts and Travel Reimbursements

None

### Endnotes

PART	#	ENDNOTE
6.	11	Assets held in the account designated as Investment Account #1 on the prior report were either sold or transferred to this account in January 2018.
6.	18	This was a 529 college account for dependent child's education.
7.	3	This exchange traded fund is in IRA # 3.
7.	4	This exchange traded fund is in Investment Account # 2.
7.	7	This Exchanged Traded Fund is within IRA # 5.
7.	11	This mutual fund is in IRA # 3.
7.	13	This Exchange Traded Fund is in IRA # 3.
7.	18	This mutual fund is in Investment Account # 2.
7.	33	This Exchange Traded Fund is in IRA # 3.
7.	34	This mutual fund is in Investment Account # 2.
7.	44	This mutual fund is in Investment Account # 2.
7.	50	This Exchange Traded Fund is in Investment Account # 2.
7.	57	This mutual fund is in Investment Account # 2.
7.	63	This Exchange Traded Fund is in Investment Account # 2.
7.	66	This mutual fund is in Investment Account # 2.
7.	70	This mutual fund is in Investment Account # 2.



PART	#	ENDNOTE
7.	79	This mutual fund is in Investment Account # 2.
7.	80	This mutual fund is in Investment Account # 2.
7.	81	This Exchange Traded Fund is in Investment Account # 2.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

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