

June 30, 2017

Mary Johnson
Designated Agency Ethics Official
National Mediation Board
1301 K St NW, Suite 250 East
Washington, DC 20005

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest in the event that I am confirmed for the position of Member, National Mediation Board.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I am the sole proprietor of G.W. Fauth & Associates. Upon confirmation, G.W. Fauth & Associates will cease engaging in any business, including the representation of clients. During my appointment to the position of Member, National Mediation Board, the business will remain dormant and will not advertise. I will not perform any services for the business, except that I will comply with any requirements involving legal filings, taxes and fees that are necessary to maintain the business while it is in an inactive status. As a Member of the National Mediation Board, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of G.W. Fauth & Associates. In addition, I will not participate personally and substantially in any particular matter involving specific parties in which I know a former client of mine is a party or represents a party for a period of one year after I last provided service to that client, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

Upon confirmation, I will resign from my position as managing member of 116 S. Royal Street Partners, LLC. During my appointment, I will not manage this entity or provide any services material to the production of income. Instead, I will receive only passive investment income from it. As a Member of the National Mediation Board, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of 116 S. Royal Street Partners, LLC, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1).

Upon confirmation, I will resign from my position as a co-trustee of the Gerald W. Fauth Jr. Family Trust. I will not participate personally and substantially in any particular matter that

to my knowledge has a direct and predictable effect on the financial interests of the Gerald W. Fauth Jr. Family Trust, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

If I have a managed account or otherwise use the services of an investment professional during my appointment, I will ensure that the account manager or investment professional obtains my prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the exemption at 5 C.F.R. § 2640.201(a), obligations of the United States.

I will meet in person with you during the first week of my service in the position of Member, National Mediation Board in order to complete the initial ethics briefing required under 5 C.F.R. § 2638.305. Within 90 days of my confirmation, I will document my compliance with this ethics agreement by notifying you in writing when I have completed the steps described in this ethics agreement.

I understand that as an appointee I will be required to sign the Ethics Pledge (Exec. Order No. 13770) and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this ethics agreement.

I have been advised that this ethics agreement will be posted publicly, consistent with 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports.

Sincerely,

A handwritten signature in black ink, appearing to read "Gerald W. Fauth", written in a cursive style.

Gerald William Fauth