

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Huerta, Michael

Administrator, Federal Aviation Administration, Department of Transportation

Report Year: 2016

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Huerta, Michael [electronically signed on 05/23/2016 by Huerta, Michael in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaleta, Judith, Certifying Official [electronically signed on 06/17/2016 by Kaleta, Judith in Integrity.gov]

Other review conducted by

/s/ Huezo, Hector, Ethics Official [electronically signed on 06/14/2016 by Huezo, Hector in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Rounds, Emory, Certifying Official [electronically signed on 08/25/2016 by Rounds, Emory in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	MPH Consulting, LLC	See Endnote	Washington, District of Columbia	Corporation	President	4/2009	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Xerox Corporation		Dallas, Texas	Vested stock options from former employer, Affiliated Computer Services, Inc., now a division of Xerox Corporation	3/2009

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Lincoln National Life Insurance	N/A		consulting fees	
2	Lincoln Financial Advisors	N/A		consulting fees	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	American Funds - Growth Fund of America R5 (RGAFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2	Capital One Bank (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
3	Zions Bank (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
4	Fidelity International Discovery (FIGRX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	Fidelity Spartan 500 Index Inv (FUSEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Fidelity Growth Strategies (FDEGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Greenspring Fund (GRSPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Permanent Portfolio (PRPFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Putnam Investors Class A (PINVX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
10	Putnam Voyager Class A (PVOYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	Fidelity Government Cash Reserves (FDRXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
12	James Balanced: Golden Rainbow Fund (GLRBX)	Yes	None (or less than \$1,001)		None (or less than \$201)
13	Fidelity Tax Free Money Market (FMOXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
14	BlackRock Global Dividend Port Cl Ins (BIBDX)	See Endnote	Yes	\$1,001 - \$15,000	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	BlackRock High Yield Bd Port Blkrk Sh Cl (BRHYX)	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
16	BlackRock Floating Rate Inc Port Instl (BFRIX)	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17	Fidelity Government Cash Reserves (FDRXX)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
19	iShares International Dividend ETF (IDV)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20	iShares iBoxx High Yield Corporate Bond ETF (HYG)	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
21	iShares 1-3 Year Credit Bond ETF (CSJ)	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22	iShares US Preferred Stock ETF (PFF)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
23	iShares Trust iShares Core High Dividend (HDV)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24	iShares Trust Floating Rate BD ETF (FLOT)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
25	Xerox Corporation (XRX) Vested Stock Options		N/A	\$100,001 - \$250,000		None (or less than \$201)
26	DC College Savings 17+	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Capital One Bank (cash)		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
28	American EuroPacific Growth (AEPGX)		Yes	None (or less than \$1,001)		None (or less than \$201)
29	American New Economy (ANEFX)		Yes	None (or less than \$1,001)		None (or less than \$201)
30	DC College Savings Acacia Principal Plus		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	Fidelity Cash Reserves (FDRXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
32	Fidelity Contrafund (FCNTX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
33	Fidelity Low-Priced Stock Fund (FLPSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34	Fairholme Fund (FAIRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35	SPDR Gold Trust (GLD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	Piedmont Office Realty Trust (PDM)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
37	Cambria Shareholder Yield ETF (SYLD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
38	Powershares BuyBack Achievers ETF (PKW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
39	Schwab Deposit Accounts (cash)	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
40	Janus Overseas (JAOSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
41	Janus Twenty (JAVLX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
42	Mutual Global Discovery (MDISX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
43	Selected American Shares (SLASX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
44	Vanguard Growth Index Fund (VIGRX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
45	American Washington Mutual Investors Fund (AWSHX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
46	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47	ALPS Alerian MLP ETF (AMLP)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
48	iShares Select Dividend ETF (DVY)	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
49	American Funds College Enrollment Fund (CENAX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
50	WisdomTree Europe Hedged Equity ETF (HEDJ)		Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	(S) Leidos Holdings (LDOS)		Sale	06/22/15	\$1,001 - \$15,000
2	(S) Science Application Int'l Corp. (SAIC)		Sale	06/22/15	\$1,001 - \$15,000
3	(S) American Funds College Enrollment Fund (CENAX)		Purchase	10/19/15	\$15,001 - \$50,000
4	(S) American EuroPacific Growth Fund (CEUAX)		Sale	10/19/15	\$1,001 - \$15,000
5	(S) American Growth Fund for America (CGFAX)		Sale	10/19/15	\$1,001 - \$15,000
6	(S) American New World Fund (CNWAX)		Sale	10/19/15	\$1,001 - \$15,000
7	(S) American Small Cap World Fund (CSPAX)		Sale	10/19/15	\$1,001 - \$15,000
8	(S) American Capital World Bond Fund (CCWAX)		Sale	10/19/15	\$1,001 - \$15,000
9	(S) WisdomTree Europe Hedged Equity ETF (HEDJ)		Purchase	06/30/15	\$1,001 - \$15,000
10	(S) DC College Savings Plan Acacia Principal Plus		Purchase	12/24/15	\$1,001 - \$15,000
11	BlackRock High Yield Bd Port Blkrk Sh Cl (BRHYX)		Purchase	02/11/15	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	BlackRock Floating Rate Income Port Instl (BFRIX)	Purchase	02/11/15	\$1,001 - \$15,000
13	iShares International Dividend ETF (IDV)	Purchase	02/11/15	\$1,001 - \$15,000
14	iShares iBoxx High Yield Corporate Bond ETF (HYG)	Purchase	02/11/15	\$1,001 - \$15,000
15	BlackRock Strategic Income Opprtsi Instl (BSIIX)	Purchase	02/11/15	\$1,001 - \$15,000
16	BlackRock Strategic Income Opprtsi Instl (BSIIX)	Purchase	03/24/15	\$1,001 - \$15,000
17	iShare international Dividend ETF (IDV)	Purchase	03/24/15	\$1,001 - \$15,000
18	iShares iBoxx High Yield Corporate Bond ETF (HYG)	Purchase	03/24/15	\$1,001 - \$15,000
19	iShares Trust iShares Core High Dividend (HDV)	Purchase	03/24/15	\$1,001 - \$15,000
20	BlackRock High Yield Bd Port Blkrk Sh Cl (BRHYX)	Sale	03/24/15	\$1,001 - \$15,000
21	BlackRock Global Dividend Port Cl Ins (BIBDX)	Sale	03/24/15	\$1,001 - \$15,000
22	iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	Sale	03/24/15	\$1,001 - \$15,000
23	iShares Intermediate Credit Bond ETF (CIU)	Sale	03/24/15	\$1,001 - \$15,000
24	James Balanced: Golden Rainbow Fund (GLRBX)	Sale	04/09/15	\$1,001 - \$15,000
25	BlackRock High Yield Bd Port Blkrk Sh Cl (BRHYX)	Purchase	05/15/15	\$1,001 - \$15,000
26	BlackRock Global Dividend Port Cl Ins (BIBDX)	Purchase	05/19/15	\$1,001 - \$15,000
27	iShares Select Dividend ETF (DVY)	Purchase	05/19/15	\$1,001 - \$15,000
28	iShares 1-3 Year Credit Bond ETF (CSJ)	Purchase	05/19/15	\$1,001 - \$15,000
29	BlackRock Strategic Income Opprtsi Instl (BSIIX)	Sale	05/19/15	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
30	iShares International Dividend ETF (IDV)	Sale	05/19/15	\$1,001 - \$15,000
31	iShares iBoxx High Yield Corporate Bond ETF (HYG)	Sale	05/19/15	\$1,001 - \$15,000
32	iShares Trust iShares Core High Dividend (HDV)	Sale	05/19/15	\$1,001 - \$15,000
33	ALPS Alerian MLP ETF (AMLPL)	Purchase	06/15/15	\$1,001 - \$15,000
34	iShares Trust iShares Core High Dividend (HDV)	Sale	06/15/15	\$1,001 - \$15,000
35	BlackRock Global Dividend Port CI (BIBDX)	Purchase	07/20/15	\$1,001 - \$15,000
36	iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	Purchase	07/20/15	\$1,001 - \$15,000
37	BlackRock Strategic Income Opprtsi Instl (BSIIX)	Sale	07/20/15	\$1,001 - \$15,000
38	iShares International Dividend ETF (IDV)	Sale	07/20/15	\$1,001 - \$15,000
39	iShares 1-3 Year Credit Bond ETF (CSJ)	Sale	07/20/15	\$1,001 - \$15,000
40	iShares Trust iShares Core High Dividend (HDV)	Sale	07/20/15	\$1,001 - \$15,000
41	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	Purchase	08/18/15	\$1,001 - \$15,000
42	iShares International Dividend ETF (IDV)	Sale	08/18/15	\$1,001 - \$15,000
43	iShares Trust iShares Core High Dividend (HDV)	Sale	08/18/15	\$1,001 - \$15,000
44	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	Purchase	09/14/15	\$1,001 - \$15,000
45	iShares Trust iShares Core High Dividend (HDV)	Sale	09/14/15	\$1,001 - \$15,000
46	BlackRock Floating Rate Inc Port Instl (BFRIX)	Purchase	10/20/15	\$1,001 - \$15,000
47	iShares 1-3 Year Credit Bond ETF (CSJ)	Purchase	10/20/15	\$1,001 - \$15,000
48	BlackRock Strategic Income Opprtsi Instl (BSIIX)	Sale	10/20/15	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
49	iShares Trust iShares Core High Dividend (HDV)	Sale	10/20/15	\$1,001 - \$15,000
50	BlackRock Floating Rate Inc Port Instl (BFRIX)	Purchase	12/14/15	\$1,001 - \$15,000
51	iShares 1-3 Year Credit Bond ETF (CSJ)	Purchase	12/14/15	\$1,001 - \$15,000
52	BlackRock Strategic Income Opprtsi Instl (BSIIX)	Sale	12/14/15	\$1,001 - \$15,000
53	iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	Sale	12/14/15	\$1,001 - \$15,000
54	iShares 1-3 Year Credit Bond ETF (CSJ)	Purchase	12/21/15	\$1,001 - \$15,000
55	iShares Trust Floating Rate Bond ETF (FLOT)	Purchase	12/21/15	\$1,001 - \$15,000
56	iShares Trust iShares Core High Dividend (HDV)	Purchase	12/21/15	\$1,001 - \$15,000
57	iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	Sale	12/21/15	\$1,001 - \$15,000
58	iShares International Dividend ETF (IDV)	Sale	12/21/15	\$1,001 - \$15,000
59	iShares iBoxx High Yield Corporate Bond ETF (HYG)	Sale	12/21/15	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo Home Mortgage, Inc.		Mortgage on Personal Residence	\$500,001 - \$1,000,000	2012	4.125%	30 years
2	Pentagon Federal Credit Union	See Endnote	Exercised Line of Credit	\$50,001 - \$100,000	2012	3.75%	5 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	Dormant since June 2010.
6.	14	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
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6.	16	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	17	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	18	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	19	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	20	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	21	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	22	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	23	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	24	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	26	Managed by Calvert Investment Management, Inc.
6.	46	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	47	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
6.	48	This fund is included in an account managed by Fidelity Portfolio Advisory Services.
8.	2	Home equity line of credit on primary residence.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
