Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Burns, William Joseph

Director, CIA, Central Intelligence Agency

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Burns, William Joseph [electronically signed on 05/12/2023 by Burns, William Joseph in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Kirkpatrick, Arthur G, Certifying Official [electronically signed on 05/17/2023 by Kirkpatrick, Arthur G in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 06/02/2023 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Carnegie Endowment for International Peace 403(b) Account		No			
1.1	T Rowe Price Retirement 2030 Fund (TRRCX)		Yes	$500,001 - $1,000,000		None (or less than $201)
2	"Back Channel: A Memoir of Diplomacy and the Case for Its Renewal," Random House (value not readily ascertainable)		N/A			None (or less than $201)
3	International Paper Stock (vested common stock)		N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
4	International Paper Restricted Stock Units (vested)	See Endnote	N/A	None (or less than $1,001)	Cash Payment - $1,873,865	
5	"Back Channel: A Memoir of Diplomacy and the Case for Its Renewal," Hurst Publishers, UK Foreign Rights (value not readily ascertainable)		N/A		Rent or Royalties	$201 - $1,000
6	"Back Channel: A Memoir of Diplomacy and the Case for Its Renewal," Alpina OOO Publisher, Russian Foreign Rights (value not readily ascertainable)		N/A		Rent or Royalties	$201 - $1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Carnegie Endowment for International Peace	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	3/2015
2	International Paper	Memphis, Tennessee	In accordance with the terms of the International Paper Company Restricted Stock and Deferred Compensation Plan for Non-Employee Directors (Plan), when I resigned from my position with International Paper, a pro rata portion of my restricted stock vested based on the number of months that I served on the board during the period May 2020-April 2021. I forfeited any restricted stock that was unvested as of the date of my resignation. Also pursuant to the Plan, in January 2022 International Paper liquidated my vested restricted stock units and gave me a cash payout that was determined based upon the closing value of the stock as of December 2021.	2/2015

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Center for Strategic and International Studies 401(k)	No			
1.1	TIAA Traditional	N/A	$15,001 - $50,000		None (or less than $201)
1.2	CREF Stock R2	Yes	$50,001 - $100,000		None (or less than $201)
1.3	CREF Inflation Linked Bond R2	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4	CREF Money Market R2	Yes	$1,001 - $15,000		None (or less than $201)
2	United Nations Defined Benefit Pension Plan (value not readily ascertainable)	N/A		Retirement Payments	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	INVESTMENT ACCOUNT	No			
1.1	American funds EuroPacific Growth Fund Class F2 Shares (AEPFX)	Yes	$100,001 - $250,000		$1,001 - $2,500
1.2	American Funds New World Fund, Inc Class F2 Shares (NFFFX)	Yes	$250,001 - $500,000		$2,501 - $5,000
1.3	AMG Yacktman Fund Class I Shares (YACKX)	Yes	$100,001 - $250,000		$2,501 - $5,000
1.4	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Yes	$1,001 - $15,000		None (or less than $201)
1.5	Cullen High Dividend Equity Fund Class I Shares (CHDVX)	Yes	$100,001 - $250,000		$2,501 - $5,000
1.6	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	$250,001 - $500,000		$1,001 - $2,500
1.7	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Yes	$100,001 - $250,000		$5,001 - $15,000
1.8	Invesco Balanced-Risk Allocation Fund Class Y Shares (ABRYX)	Yes	$1,001 - $15,000		$201 - $1,000
1.9	Permanent Portfolio Class I Shares (PRPFX)	Yes	$100,001 - $250,000		$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.10	PIMCO All Asset Fund Class I-2 Shares (PALPX)		Yes	$100,001 - $250,000		$5,001 - $15,000
1.11	PIMCO Income Fund Class I-2 Shares (PONPX)		Yes	None (or less than $1,001)		$5,001 - $15,000
1.12	T Rowe Price Dividend Growth Fund, Inc (PRDGX)		Yes	$250,001 - $500,000		$5,001 - $15,000
1.13	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)		Yes	$250,001 - $500,000		$5,001 - $15,000
1.14	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	See Endnote	Yes	$1,001 - $15,000		$5,001 - $15,000
1.15	US Bank #1 (cash)		N/A	$100,001 - $250,000		None (or less than $201)
1.16	iShares MSCI ACWI ETF (ACWI)		Yes	$100,001 - $250,000		$1,001 - $2,500
1.17	iShares MSCI ACWI ex US ETF (ACWX)		Yes	$100,001 - $250,000		$2,501 - $5,000
1.18	iShares Core 1-5 Year USD Bond ETF (ISTB)		Yes	$500,001 - $1,000,000		$5,001 - $15,000
1.19	Vanguard Total Bond Market Index Fund ETF Shares (BND)		Yes	$100,001 - $250,000		$2,501 - $5,000
1.20	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)		Yes	$50,001 - $100,000		$1,001 - $2,500
2	Prudential Financial, Inc. (PRU)		N/A	$1,001 - $15,000		None (or less than $201)
3	Sylvamo Corporation (SLVM)		N/A	None (or less than $1,001)	Capital Gains Dividends	$2,501 - $5,000
4	Estate of a Family Member (value not readily ascertainable)		N/A			None (or less than $201)
5	US INVESTMENT ACCOUNT: Inherited IRA		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Cash distribution ($1,702)		N/A	None (or less than $1,001)		$1,001 - $2,500
5.2	Cash		N/A	$1,001 - $15,000		None (or less than $201)
6	INVESTMENT ACCOUNT 2		No			
6.1	Invesco S&P 500 Equal Weight ETF (RSP)		Yes	$50,001 - $100,000		$201 - $1,000
6.2	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)		Yes	$50,001 - $100,000		$1,001 - $2,500
6.3	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)		Yes	$50,001 - $100,000		$1,001 - $2,500
6.4	U.S. Bank #1		N/A	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000
7	Estate of a Family Member (value not readily ascertainable)		N/A			None (or less than $201)
8	US Credit Union #1		N/A	$50,001 - $100,000		None (or less than $201)
9	Trust Account	See Endnote				
9.1	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)		Yes	$250,001 - $500,000		$5,001 - $15,000
9.2	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)		Yes	$50,001 - $100,000		$1,001 - $2,500
9.3	Vanguard Federal Money Market Fund Investor Shares (VMFXX)		N/A	$15,001 - $50,000		$201 - $1,000
9.4	Connecticut State, bonds		N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
9.5	Massachusetts State, bonds		N/A	$50,001 - $100,000	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.6	New York State, bonds	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
9.7	New York/New Jersey State, bond	N/A	$1,001 - $15,000		None (or less than $201)
9.8	New York, NY, bond	N/A	$1,001 - $15,000	Interest	$201 - $1,000
9.9	Chicago, IL, bond	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
9.10	Wisconsin State, bond	N/A	$1,001 - $15,000	Interest	$201 - $1,000
9.11	US Bank #2	N/A	$250,001 - $500,000	Interest	$201 - $1,000
9.12	iShares MSCI Japan IMI ETF	Yes	None (or less than $1,001)		$201 - $1,000
9.13	McDonald's Corp. (MCD)	N/A	None (or less than $1,001)	Capital Gains	$2,501 - $5,000
9.14	Vertex Pharmaceuticals, Inc. (VRTX)	N/A	None (or less than $1,001)	Capital Gains	$2,501 - $5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	12/05/2022	$15,001 - $50,000
2	Permanent Portfolio Class I Shares (PRPFX)	Purchase	12/08/2022	$1,001 - $15,000
3	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Purchase	12/15/2022	$1,001 - $15,000
4	AMG Yacktman Fund Class I Shares (YACKX)	Purchase	12/16/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
5	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Purchase	12/19/2022	$1,001 - $15,000
6	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	12/19/2022	$1,001 - $15,000
7	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Purchase	12/22/2022	$1,001 - $15,000
8	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	12/23/2022	$1,001 - $15,000
9	Cullen High Dividend Equity Fund Class I Shares (CHDVX)	Purchase	12/27/2022	$1,001 - $15,000
10	PIMCO All Asset Fund Class I-2 Shares (PALPX)	Purchase	12/30/2022	$1,001 - $15,000
11	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Purchase	12/22/2022	$100,001 - $250,000
12	iShares MSCI ACWI ETF (ACWI)	Purchase	12/22/2022	$100,001 - $250,000
13	iShares MSCI ACWI ex US ETF (ACWX)	Purchase	12/22/2022	$100,001 - $250,000
14	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	12/22/2022	$50,001 - $100,000
15	iShares Core 1-5 Year USD Bond ETF (ISTB)	Purchase	12/22/2022	$500,001 - $1,000,000
16	Invesco Balanced-Risk Allocation Fund Class Y Shares (ABRYX)	Sale	12/21/2022	$100,001 - $250,000
17	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Sale	12/21/2022	$100,001 - $250,000
18	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Sale	12/21/2022	$100,001 - $250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
19	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Sale	12/21/2022	$500,001 - $1,000,000
20	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	10/18/2022	$1,001 - $15,000
21	Invesco Balanced-Risk Allocation Fund Class Y Shares (ABRYX)	Purchase	10/18/2022	$1,001 - $15,000
22	Cullen High Dividend Equity Fund Class I Shares (CHDVX)	Purchase	10/18/2022	$1,001 - $15,000
23	PIMCO All Asset Fund Class I-2 Shares (PALPX)	Purchase	10/18/2022	$1,001 - $15,000
24	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Purchase	10/18/2022	$1,001 - $15,000
25	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	10/18/2022	$1,001 - $15,000
26	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Purchase	10/18/2022	$1,001 - $15,000
27	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Purchase	10/18/2022	$1,001 - $15,000
28	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	10/19/2022	$1,001 - $15,000
29	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Purchase	10/19/2022	$1,001 - $15,000
30	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	10/19/2022	$1,001 - $15,000
31	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Purchase	10/19/2022	$1,001 - $15,000
32	PIMCO Income Fund Class I-2 Shares (PONPX)	Sale	10/18/2022	$1,001 - $15,000
33	Permanent Portfolio Class I Shares (PRPFX)	Sale	10/18/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
34	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Sale	10/19/2022	$1,001 - $15,000
35	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Sale	10/19/2022	$1,001 - $15,000
36	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	09/22/2022	$1,001 - $15,000
37	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Purchase	09/30/2022	$1,001 - $15,000
38	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Purchase	07/18/2022	$1,001 - $15,000
39	PIMCO All Asset Fund Class I-2 Shares (PALPX)	Purchase	06/10/2022	$1,001 - $15,000
40	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Purchase	06/15/2022	$1,001 - $15,000
41	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	06/24/2022	$1,001 - $15,000
42	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	06/01/2022	$1,001 - $15,000
43	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Purchase	06/01/2022	$1,001 - $15,000
44	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Purchase	06/01/2022	$1,001 - $15,000
45	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	06/01/2022	$1,001 - $15,000
46	Permanent Portfolio Class I Shares (PRPFX)	Purchase	06/01/2022	$1,001 - $15,000
47	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Purchase	06/01/2022	$1,001 - $15,000
48	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	06/02/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
49	PIMCO Income Fund Class I-2 Shares (PONPX)	Purchase	04/14/2022	$1,001 - $15,000
50	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Purchase	04/14/2022	$15,001 - $50,000
51	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	04/14/2022	$15,001 - $50,000
52	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Purchase	04/14/2022	$1,001 - $15,000
53	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Purchase	04/18/2022	$1,001 - $15,000
54	First EagleGlobal Fund Class I Shares (SGIIX)	Sale	04/14/2022	$15,001 - $50,000
55	Invesco Balanced-Risk Allocation Fund Class Y Shares (ABRYX)	Sale	04/14/2022	$1,001 - $15,000
56	AMG Yacktman Fund Class I Shares (YACKX)	Sale	04/14/2022	$1,001 - $15,000
57	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Sale	04/14/2022	$15,001 - $50,000
58	Cullen High Dividend Equity Fund Class I Shares (CHDVX)	Sale	04/14/2022	$15,001 - $50,000
59	PIMCO All Asset Fund Class I-2 Shares (PALPX)	Sale	04/14/2022	$1,001 - $15,000
60	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Sale	04/14/2022	$1,001 - $15,000
61	Permanent Portfolio Class I Shares (PRPFX)	Sale	04/14/2022	$1,001 - $15,000
62	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Sale	04/18/2022	$15,001 - $50,000
63	PIMCO Income Fund Class I-2 Shares (PONPX)	Purchase	03/11/2022	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
64	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)		Purchase	03/24/2022	$1,001 - $15,000
65	PIMCO Income Fund Class I-2 Shares (PONPX)		Sale	12/21/2022	$100,001 - $250,000
66	Wells Fargo & Co	See Endnote	Sale	10/24/2022	$1,001 - $15,000
67	Walmart, Inc. (WMT)		Sale	10/24/2022	$15,001 - $50,000
68	W P Carey Inc.		Sale	10/24/2022	$50,001 - $100,000
69	Unilever		Sale	10/24/2022	$15,001 - $50,000
70	Procter & Gamble Co. (PG)		Sale	10/24/2022	$1,001 - $15,000
71	Novartis		Sale	10/24/2022	$1,001 - $15,000
72	Microsoft Corp. (MSFT)		Sale	10/24/2022	$50,001 - $100,000
73	McDonald's Corp. (MCD)		Sale	10/24/2022	$15,001 - $50,000
74	Johnson & Johnson (JNJ)		Sale	10/24/2022	$1,001 - $15,000
75	iShares MSCI Japan ETF		Sale	10/24/2022	$15,001 - $50,000
76	General Electric Co. (GE)		Sale	10/24/2022	$1,001 - $15,000
77	Meta Platforms Inc. Class A		Sale	10/24/2022	$1,001 - $15,000
78	Warner Brothers Discovery Inc.		Sale	10/24/2022	$1,001 - $15,000
79	AT&T Inc.		Sale	10/24/2022	$1,001 - $15,000
80	Honeywell International, Inc. (HON)		Sale	11/22/2022	$15,001 - $50,000
81	QUALCOMM, Inc. (QCOM)		Sale	11/22/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
82	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	11/22/2022	$1,001 - $15,000
83	International Paper Co. (IP)	Sale	12/20/2022	$100,001 - $250,000
84	Sylvamo Corporation (SLVM)	Sale	12/20/2022	$15,001 - $50,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	See Endnote	Mortgage on Personal Residence	$250,001 - $500,000	2008	3.5%	30 year fixed
2	Bank of America		Mortgage on Personal Residence	$500,001 - $1,000,000	2022	2.375	30 year fixed

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	4	Per my nominee 278e report, in January 2022 International Paper liquidated these RSUs based on the value of the stock as of 12/31/21.

PART	#	ENDNOTE
6.	1.14	This Vanguard mutual fund was incorrectly reported last year as the Vanguard Institutional Short-Term Bond Fund (VISTX).
6.	9	Trust Account assets inherited by spouse so not reflected on prior annual OGE Form 278e.
7.	66	Lines 1-17 assets inherited by spouse so not reflected on prior annual OGE Form 278e.
8.	1	The Wells Fargo mortgage was paid off in 2022.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).