Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Nason, Nicole R.

Administrator, Federal Highway Administration, Department of Transportation

Other Federal Government Positions Held During the Preceding 12 Months:

Assistant Secretary for Adminstration, Department of State (12/2017 - Present)

Senior Advisor, Secretary of State (6/2017 - 12/2017)

Names of Congressional Committees Considering Nomination:

- **Committee on Environment and Public Works**

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Nason, Nicole R. [electronically signed on 10/08/2018 by Nason, Nicole R. in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Kaleta, Judith, Certifying Official [electronically signed on 01/11/2019 by Kaleta, Judith in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 01/11/2019 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Health Fitness Inc.	Minneapolis, Minnesota	Corporation	Instructor	1/2015	5/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AAGTX - American Funds 2040 Retirement	Yes	$50,001 - $100,000		$2,501 - $5,000
2	Health Fitness Inc.	N/A		Salary	$1,765

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	General Electric Corp., severance and bonus receivable		N/A	Over $1,000,000	salary, bonus, severance	
2	General Electric, common stock		N/A	Over $1,000,000	Dividends	$15,001 - $50,000
3	Fidelity - General Electric 401(k) Plan		No			
3.1	STATE STREET SM CAP EQ FUND (SIVIX)		Yes	$15,001 - $50,000		$1,001 - $2,500
3.2	Fidelity - 2035 Target Retirement Fund		Yes	$15,001 - $50,000		$1,001 - $2,500
3.3	State Street Institutional International Equity Fund Class Investment (SIEIX)		Yes	$15,001 - $50,000		$1,001 - $2,500
3.4	GE RSP INCOME FUND (GESLX)		Yes	$15,001 - $50,000		$1,001 - $2,500
3.5	Fidelity - 2040 Target Retirement Fund		Yes	Over $1,000,000		$15,001 - $50,000
3.6	Mercer GE International Equity Fund		Yes	$1,001 - $15,000		$201 - $1,000
3.7	General Electric Common Stock Fund	See Endnote	Yes	$1,001 - $15,000		None (or less than $201)
4	General Electric, stock options (value not readily ascertainable)	See Endnote	N/A			None (or less than $201)
5	GE pension plan, defined benefit plan (value not readily ascertainable)		N/A			None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	T. Rowe Price Equity Index 500	Yes	$100,001 - $250,000		$5,001 - $15,000
2	T. Rowe Price QM U.S. Small-Cap Growth Equity	Yes	$50,001 - $100,000		$2,501 - $5,000
3	T. Rowe Price Blue Chip Growth	Yes	$100,001 - $250,000		$5,001 - $15,000
4	T. Rowe Price Real Estate	Yes	$15,001 - $50,000		$1,001 - $2,500
5	T. Rowe Price Capital Appreciation	Yes	$250,001 - $500,000		$15,001 - $50,000
6	Vanguard Growth ETF	Yes	$100,001 - $250,000		$5,001 - $15,000
7	FPA Crescent Fund	Yes	$100,001 - $250,000		$5,001 - $15,000
8	IShares Core S&P 500 ETF	Yes	$100,001 - $250,000		$5,001 - $15,000
9	Ishares MSCI Multifactor USA ETF	Yes	$100,001 - $250,000		$5,001 - $15,000
10	Proshares S&P 500 Dividends Aristocrats ETF	Yes	$50,001 - $100,000		$5,001 - $15,000
11	XTrackers MSCI EAFE Hedged Equity ETF (DWS)	Yes	$50,001 - $100,000		$5,001 - $15,000
12	JPMORGAN DIVERSIFIED RETURN INTL EQ ETF (JPIN)	Yes	$50,001 - $100,000		$5,001 - $15,000
13	IShares S&P Core Small Cap ETF	Yes	$15,001 - $50,000		$2,501 - $5,000
14	SPDR FD TECHNOLOGY ETF - XLK	Yes	$15,001 - $50,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	GS Active Beta EM Equity ETF (GEM)	Yes	$15,001 - $50,000		$1,001 - $2,500
16	FIRST TRUST TACTICAL HIGH YIELD ETF	Yes	$15,001 - $50,000		$1,001 - $2,500
17	ISHARES MSCI EAFE SMALL-CAP ETF (SCZ)	Yes	$15,001 - $50,000		$201 - $1,000
18	AMERICAN BEACON THE LONDON CO EQ FUND (ABCYX)	Yes	$100,001 - $250,000		$5,001 - $15,000
19	LOOMIS SAYLES GROWTH FUND (LSGRX)	Yes	$100,001 - $250,000		$5,001 - $15,000
20	THORNBURG INVMNT INCM BLDR (TIBIX)	Yes	$100,001 - $250,000		$5,001 - $15,000
21	FIRST EAGLE GLOBAL FUND (SGIIX)	Yes	$100,001 - $250,000		$5,001 - $15,000
22	Chiron Capital Allocation Fund (CCAPX)	Yes	$100,001 - $250,000		$5,001 - $15,000
23	JPMORGAN GLOBAL ALLOCATION FUND (GAOSX)	Yes	$100,001 - $250,000		$5,001 - $15,000
24	AQR STYLE PREMIA ALTERNATIVE FUND (QSPIX)	Yes	$50,001 - $100,000		$2,501 - $5,000
25	BLACKSTONE ALT MULTI-STRATEGY FUND (BXMIX)	Yes	$50,001 - $100,000		$5,001 - $15,000
26	BLACKROCK STRATEGIC INCM OPP FUND (BSIIX)	Yes	$50,001 - $100,000		$5,001 - $15,000
27	PMCO INCOME FUND (PONPX)	Yes	$50,001 - $100,000		$5,001 - $15,000
28	DOUBLELINE TOTAL RETURN BOND FUND (DBLTX)	Yes	$50,001 - $100,000		$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	GOTHAM ABSOLUTE RETURN FUND (GARIX)	Yes	$15,001 - $50,000		$2,501 - $5,000
30	KOPERNIK GLOBAL ALL-CAP FUND (KGGIX)	Yes	$15,001 - $50,000		$2,501 - $5,000
31	LOOMIS SAYLES SNR FLTG RTE FXD INC FUND (LSFYX)	Yes	$15,001 - $50,000		$2,501 - $5,000
32	PMCO SHORT-TERM FUND (PTSPX)	Yes	$15,001 - $50,000		$1,001 - $2,500
33	RICHARDSON TEX GO REF AND IMPT BDS LT SER A APR16 05.000%FEB15 2027	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
34	LEWISVILLE TEX INDPT SCH DIST ULTD TAX REF BDS B MAY16 05.000%AUG15 2028	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
35	KITSAP CO WA SCH DIST NO 401 ULTD TAX GO SCH GTD MAY16 04.000%DEC01 2029	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
36	NEWPORT NEWS VA GO GEN IMPT REF BDS SER A JUN16 02.000%AUG01 2024	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
37	TAYLOR TEX INDPT SCH DIST ULTD TAX PSF GTD JUN16 05.000%FEB15 2025	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
38	VANCOUVER WASH LTD TAX GO REF BDS LT SER B JUL15 05.000%DEC01 2022	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
39	OKLAHOMA CITY OKLA GO BDS 2017 MAR17 05.000%MAR01 2027	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
40	NEWPORT NEWS VA GO GEN IMPT REF BDS SER A JUN16 04.000%AUG01 2026	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
41	TEMPLE TEX INDPT SCH DIST ULTD TAX PSF GTD APR16 05.000%FEB01 2022	N/A	$15,001 - $50,000	Interest	$201 - $1,000
42	TROTWOOD-MADISON CITY SCH DIST OHIO GO SCH GTD SEP16 04.000%DEC01 2029	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	MASON CNTY WASH SCH DIST NO 309 SHELTON SCH GTD JUN17 04.000%DEC01 2032	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
44	SPOKANE CNTY WA SD#356 CENT VY GO BDS SCH GTD MAY18 05.000%DEC01 2027	N/A	$1,001 - $15,000	Interest	$1,001 - $2,500
45	NEW ALBANY PLAIN LCL SCH DIST OHIO GO ULTD TAX SEP16 04.000%DEC01 2028	N/A	$1,001 - $15,000	Interest	$201 - $1,000
46	OTTAWA CNTY MICH WTR SUPPLY SYS REF BDS LT APR15 04.000%AUG01 2023	N/A	$1,001 - $15,000	Interest	$201 - $1,000
47	GRANDFALLS ROYALTY TEX INDPT SCH DIST PSF GTD JAN15 04.000%FEB15 2023	N/A	$1,001 - $15,000	Interest	$201 - $1,000
48	PIERCE CNTY WA SCH DIST NO 402 UNLTD TAX SCH GTD NOV17 04.000%DEC01 2033	N/A	$1,001 - $15,000	Interest	$201 - $1,000
49	BUNCOMBE CNTY NC MET SEW DIST SEW SYS REV REV JUL17 05.000%JUL01 2032	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
50	PURDUE UNIV IND UNIV REVS STUDENT FEE BDS CC MAY16 05.000%JUL01 2031	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
51	TRINITY RIVER AUTH TEX REV REV BDS 2017 JUL17 05.000%FEB01 2031	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
52	RHODE IS INFRASTR BK WPC REV REF REV BDS SER A JUN16 05.000%OCT01 2030	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
53	MAINE MUN BD BK MUN BD BK BDS SER A MAY16 05.000%NOV01 2026	N/A	$15,001 - $50,000	Interest	$201 - $1,000
54	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV A JUL17 05.000%FEB15 2028	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
55	SUNSHINE ST GOVERNMENTAL FING COMMN FLA REV SER 1 APR11 05.000%SEP01 2022	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
56	OHIO ST MAJOR NEW ST INFRASTRUCTURE PJ REV 1 AUG16 05.000%DEC15 2024	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	MISSISSIPPI DEV BK SPL OBLIG SPL OBLIG BDS MAR17 05.000%MAR01 2032	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
58	MITCHELL IN HIGH SBC 1ST MTG FIRST MTG SCH GTD MAR15 04.000%JUL15 2023	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
59	NORTH DAKOTA ST HSG FIN AGY REV BDS HOME MTG MAY16 02.000%JUL01 2023	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
60	MAINE MUN BD BK MUN BD BK BDS SER A MAY16 05.000%NOV01 2025	N/A	$15,001 - $50,000	Interest	$201 - $1,000
61	BUNCOMBE CNTY NC MET SEW DIST SEW SYS REV REV JUL17 05.000%JUL01 2030	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
62	MICHIGAN ST BLDG AUTH REV REV REF BDS SER I AUG16 05.000%OCT15 2030	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
63	VERMONT HSG FIN AGY MULTIPLE PURP BDS B FEB16 02.200%MAY01 2024	N/A	$1,001 - $15,000	Interest	$201 - $1,000
64	General Electric Co Shares	N/A	$15,001 - $50,000	Dividends	$5,001 - $15,000
65	JONES LANG LASALLE INCOME PROPERTY TRUST INC CLASS M	N/A	$100,001 - $250,000	Dividends Capital Gains	$2,501 - $5,000
66	BLACKSTONE STRAT. PART. SECONDARIES VII TRUST CLASS A	Yes	$50,001 - $100,000		$2,501 - $5,000
67	CZECH III SENIOR LOAN TRUST CLASS A	Yes	$15,001 - $50,000		$5,001 - $15,000
68	APOLLO EUROPEAN FINANCE ACCESS FUND III, L.P. SERIES A	Yes	$15,001 - $50,000		$1,001 - $2,500
69	BLACKSTONE ALTERNATIVES SOLUTION IV L.P. TRANCHE A	Yes	$15,001 - $50,000		$1,001 - $2,500
70	SOF GLOBAL REAL ESTATE FUND XI, L.P. CLASS A	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
71	BLACKROCK LIQUIDITY FUNDS FEDFUND INST (TFDXX)		Yes	$500,001 - $1,000,000		$5,001 - $15,000
72	Wells Fargo, N.A. (cash)		N/A	$50,001 - $100,000	Interest	$201 - $1,000
73	Synchrony Bank, FSB (cash)		N/A	$100,001 - $250,000	Interest	$201 - $1,000
74	E*Trade Securities (cash)		N/A	$100,001 - $250,000	Interest	$201 - $1,000
75	Peoples United Bank, NA (cash)		N/A	$15,001 - $50,000	Interest	$201 - $1,000
76	Virginia 529 - 2021 Portfolio (Chesapeake)		Yes	$100,001 - $250,000		None (or less than $201)
77	Virginia 529 - 2021 Portfolio (Chesapeake)		Yes	$100,001 - $250,000		None (or less than $201)
78	Connecticut 529 (CHET) - Aggressive Managed Allocation 9-10		Yes	$100,001 - $250,000		None (or less than $201)
79	Berkshire Hathaway B		N/A	$15,001 - $50,000		None (or less than $201)
80	Walt Disney Co shares		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
81	GOLDMAN SACHS RISING DIVIDEND GROWTH (GSRLX)		Yes	$15,001 - $50,000		$5,001 - $15,000
82	GOTHAM ABSOLUTE RETURN (GARIX)		Yes	$15,001 - $50,000		$201 - $1,000
83	PIMCO INCOME FUND CL P (PONPX)		Yes	$50,001 - $100,000		$2,501 - $5,000
84	PIMCO SHORT TERM FD CLASS P (PTSPX)		Yes	$15,001 - $50,000		$201 - $1,000
85	London & Loomis Institutional	See Endnote	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
85.1	ALIBABA GROUP HOLDING (BABA)	N/A	$1,001 - $15,000		None (or less than $201)
85.2	ALPHABET INC (GOOG)	N/A	$1,001 - $15,000		None (or less than $201)
85.3	ALTRIA GROUP INC (MO)	N/A	$1,001 - $15,000		None (or less than $201)
85.4	AMAZON COM INC (AMZN)	N/A	$1,001 - $15,000		None (or less than $201)
85.5	AMER EXPRESS COMPANY (AXP)	N/A	$1,001 - $15,000		None (or less than $201)
85.6	Amgen Inc	N/A	$1,001 - $15,000		None (or less than $201)
85.7	AUTODESK INC (ADSK)	N/A	$1,001 - $15,000		$201 - $1,000
85.8	AUTOMATIC DATA PROC (ADP)	N/A	$1,001 - $15,000		None (or less than $201)
85.9	BLACKROCK INC(BLK)	N/A	$1,001 - $15,000		None (or less than $201)
85.10	CA INC (CA)	N/A	$1,001 - $15,000		None (or less than $201)
85.11	CARNIVAL CORP (CCL)	N/A	$1,001 - $15,000		$201 - $1,000
85.12	CERNER CORP (CERN)	N/A	$1,001 - $15,000		None (or less than $201)
85.13	CHEVRON CORP (CVX)	N/A	$1,001 - $15,000		None (or less than $201)
85.14	CROWN CASTLE REIT (CCI	N/A	$1,001 - $15,000		None (or less than $201)
85.15	CINN FINCL CRP OHIO (CINF)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
85.16	CISCO SYSTEMS INC (CSCO)	N/A	$1,001 - $15,000		None (or less than $201)
85.17	COCA COLA (KO)	N/A	$1,001 - $15,000		None (or less than $201)
85.18	DIAGEO PLC ADR (DEO)	N/A	$1,001 - $15,000		None (or less than $201)
85.19	DOMINION ENERGY INC (D)	N/A	$1,001 - $15,000		None (or less than $201)
85.20	DANONE-SPONS ADR(DANOY)	N/A	$1,001 - $15,000		None (or less than $201)
85.21	DUKE ENERGY CORP (DUK)	N/A	$1,001 - $15,000		None (or less than $201)
85.22	DEERE CO (DE)	N/A	$1,001 - $15,000		None (or less than $201)
85.23	ELI LILLY & CO (LLY)	N/A	$1,001 - $15,000		None (or less than $201)
85.24	EXPEDITORS INTL WASH INC(EXPD)	N/A	$1,001 - $15,000		None (or less than $201)
85.25	FACEBOOK INC (FB)	N/A	$1,001 - $15,000		None (or less than $201)
85.26	FACTSET RESH INC (FDS)	N/A	$1,001 - $15,000		None (or less than $201)
85.27	GENL DYNAMICS CORP (GD)	N/A	$1,001 - $15,000		None (or less than $201)
85.28	GENERAL MILLS(GIS)	N/A	$1,001 - $15,000		None (or less than $201)
85.29	INTEL CORP(INTC)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
85.30	KINDER MORGAN INC. (KMI)	N/A	$1,001 - $15,000		None (or less than $201)
85.31	LOWE'S COMPANIES (LOW)	N/A	$1,001 - $15,000		None (or less than $201)
85.32	MERCK AND CO INC(MRK)	N/A	$1,001 - $15,000		None (or less than $201)
85.33	MICROSOFT CORP (MSFT)	N/A	$1,001 - $15,000		None (or less than $201)
85.34	MONSTER BEVERAGE (MNST)	N/A	$1,001 - $15,000		None (or less than $201)
85.35	NEWMARKET CORP (NEU)	N/A	$1,001 - $15,000		None (or less than $201)
85.36	NORFOLK SOUTHERN CORP (NSC)	N/A	$1,001 - $15,000		None (or less than $201)
85.37	NOVARTIS ADR (NVS)	N/A	$1,001 - $15,000		None (or less than $201)
85.38	ORACLE CORP (ORCL)	N/A	$1,001 - $15,000		None (or less than $201)
85.39	PAYCHEX INC (PAYX)	N/A	$1,001 - $15,000		None (or less than $201)
85.40	PHILIP MORRIS INTL (PM)	N/A	$1,001 - $15,000		None (or less than $201)
85.41	PFIZER INC (PFE)	N/A	$1,001 - $15,000		None (or less than $201)
85.42	PROCTER & GAMBLE CO (PG)	N/A	$1,001 - $15,000		None (or less than $201)
85.43	QUALCOMM INC (QCOM)	N/A	$1,001 - $15,000		$201 - $1,000
85.44	REGENERON PHARMACTCLS (REGN)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
85.45	SEI INVT CO (SEIC)	N/A	$1,001 - $15,000		None (or less than $201)
85.46	SCHLUMBERGER LTD (SLB)	N/A	$1,001 - $15,000		None (or less than $201)
85.47	TARGET CORP COM (TGT)	N/A	$1,001 - $15,000		None (or less than $201)
85.48	UNITED PARCEL SVC (UPS)	N/A	$1,001 - $15,000		None (or less than $201)
85.49	VARIAN MEDICAL SYS INC (VAR)	N/A	$1,001 - $15,000		None (or less than $201)
85.50	VERIZON COMMUNICATNS COM (VZ)	N/A	$1,001 - $15,000		None (or less than $201)
85.51	VISA INC (V)	N/A	$1,001 - $15,000		None (or less than $201)
85.52	YUM BRANDS INC (YUM)	N/A	$1,001 - $15,000		None (or less than $201)
85.53	YUM CHINA HOLDINGS INC (YUMC)	N/A	$1,001 - $15,000		None (or less than $201)
85.54	WELLS FARGO & CO (WFC)	N/A	$1,001 - $15,000		None (or less than $201)
85.55	APPLE INC (APPL)	N/A	$1,001 - $15,000		None (or less than $201)
85.56	COLGATE PALMOLIVE (CL)	N/A	$1,001 - $15,000		None (or less than $201)
85.57	JOHNSON AND JOHNSON COM (JNJ)	N/A	$1,001 - $15,000		None (or less than $201)
85.58	PACCAR INC(PCAR)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
85.59	STARBUCKS CORP(SBUX)	N/A	$1,001 - $15,000		None (or less than $201)
86	VANGUARD EMERG MKTS SEL STK INV (VMMSX)	Yes	$1,001 - $15,000		None (or less than $201)
87	CLARK & SKAMANIA WA SD#112-6 ULTD SCH GTD JUN15 05.000%DEC01 2021	N/A	$1,001 - $15,000	Interest	$201 - $1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	APOLLO EUROPEAN FINANCE ACCESS FUND III, L.P. SERIES A		Capital Call	$50,001 - $100,000	2017	N/A	On Demand
2	BLACKSTONE ALTERNATIVES SOLUTION IV L.P. TRANCHE A		Capital Call	$100,001 - $250,000	2017	N/A	On Demand
3	Liberty Bank		Mortgage on Personal Residence	$250,001 - $500,000	2017	2.875%	30 yr.
4	Liberty Bank		Exercised Line of Credit	$15,001 - $50,000	2017	4.75%	5 yrs.
5	Key Bank	See Endnote	Mortgage on Personal Residence	$500,001 - $1,000,000	2014	3.75%	30 yr
6	Peoples United Bank	See Endnote	Exercised Line of Credit	$15,001 - $50,000	2015	4.75%	5 yrs

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
7	American Express	Credit Card	$15,001 - $50,000	1992	20%	revolving
8	CZECH III SENIOR LOAN TRUST CLASS A	Capital Call	$50,001 - $100,000	2016	N/A	On Demand
9	BLACKSTONE STRAT. PART. SECONDARIES VII TRUST CLASS A	Capital Call	$50,001 - $100,000	2016	N/A	On Demand
10	SOF (STARWOOD) GLOBAL REAL ESTATE FUND XI, L.P. CLASS A	Capital Call	$50,001 - $100,000	2016	N/A	On Demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
5.	3.7	The GE Stock Fund invests at least 98% of its assets in GE Stock, with the remainder held in cash or cash equivalents (currently through investment in the GE RSP Government Money Market Fund).
5.	4	All options are GE Common Stock; all options are vested 125,000 shares - Strike Price: 21.59; Expiration Date: 9/7/2022 175,000 shares - Strike Price: 23.78; Expiration Date: 9/13/2023 175,000 shares - Strike Price: 26.10; Expiration Date: 9/14/2023 150,000 shares - Strike Price: 24.95; Expiration Date: 9/14/2023
6.	85	This asset is no longer held.
8.	5	Key Bank mortgage note is fully repaid as it was refinanced with Liberty Bank mortgage note.
8.	6	Account closed upon Liberty Bank refinancing.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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