

Report Type: Termination Report

Year (Annual Report only):

Date of Appointment: 02/2021

Date of Termination: 01/2025

Appointment Type: PAS

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mayorkas, Alejandro N

Secretary, Department of Homeland Security

Date of Termination: 01/20/2025

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mayorkas, Alejandro N [electronically signed on 03/30/2025 by Mayorkas, Alejandro N in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ O'Connor, Michael, Certifying Official [electronically signed on 04/07/2025 by O'Connor, Michael in Integrity.gov]

Other review conducted by

/s/ O'Connor, Michael, Ethics Official [electronically signed on 04/07/2025 by O'Connor, Michael in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/02/2025 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Revocable Trust	Washington, District of Columbia	Trust	Trustee, Grantor	3/2006	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA 1	No			
1.1	Goldman Sachs Financial Square Treasury Instruments Fund (FTIXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Russell Investments Multi-Asset Growth Strategy Fund (RMGSX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.3	Russell Investments Opportunistic Credit Fund (RGCSX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.4	Russell Investments Emerging Markets Fund (REMSX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.5	Russell Investments U.S. Small Cap Equity Fund (RLESX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.6	Russell Investments International Developed Markets Fund Class S Shares (RINTX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.7	Russell Strategic Bond Fund (RFCTX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.8	Russell Investments U.S. Strategic Equity Fund (RSESX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	Russell Investments Global Infrastructure (RGISX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.10	Russell Investment Global Equity Fund (RGESX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.11	Cash	No	\$15,001 - \$50,000		None (or less than \$201)
1.12	Russell Investments Long Duration Bond Fund (RMHSX)		\$50,001 - \$100,000		None (or less than \$201)
2	IRA 2 - Beneficiary	No			
2.1	Baron Emerging Markets Fund (BEXIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Baron Small Cap Fund (BSFIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Baird Aggregate Bond Fund (BAGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Eaton Vance Income Fund of Boston (EIBIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	Washington Mutual Investors Fund (WMFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	MFS Value Fund Class I Shares (MEIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	T Rowe Price Overseas Stock Fund (TROSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	T Rowe Price Mid-Cap Growth Fund Inc (RPMGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	Virtus Ceredex Mid-Cap Value Equity Fund (SMVTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.10	Victory Sycamore Small Company Opportunity Fund (VSOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	Dodge & Cox Stock Fund (DODGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	Invesco Developing Markets Fund (ODVYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.13	Pimco Commodity Real Return Strategic Fund (PCRIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.14	Pimco Commodity Plus Strategy (PCLIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.15	Mainstay Winslow Large Cap Growth Fund (MLAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.16	Causeway Int'l Value Fund (CIVIX)		\$1,001 - \$15,000		None (or less than \$201)
2.17	Harbor Embark Commodity (ECSWX)		\$1,001 - \$15,000		None (or less than \$201)
2.18	American Funds Europacific Growth (AEPFX)		\$1,001 - \$15,000		None (or less than \$201)
3	Capital Group Municipal Income (CGMU)	No	\$50,001 - \$100,000	Dividends	None (or less than \$201)
4	Pimco Income Fund (PIMIX)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Carnegie Endowment for International Peace	Washington, District of Columbia	Part-Time Visiting Scholar	1/2025

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Family Revocable Trust	No			
1.1	Brokerage Account 1	No			
1.1.1	Baird Aggregate Bond Fund (BAGIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.1.2	Federated Hermes US Treasury Cash Reserve (UTIXX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.2	Brokerage Account 2	No			
1.2.1	First Eagle Global Fund (SGIIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.2.2	iShares National Muni Bond ETF (MUB)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.2.3	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.2.4	iShares Core S&P 500 ETF (IVV)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.5	iShares Russell 2000 ETF (IWM)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
1.2.6	iShares S&P 500 Value ETF (IVE)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.2.7	iShares S&P 500 Growth ETF (IVW)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
1.2.8	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.2.9	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.2.10	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.2.11	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.2.12	U.S. sweep account (cash)	N/A	\$15,001 - \$50,000		\$201 - \$1,000
1.2.13	Federated Hermes US Treasury Cash Reserves (UTIXX)	N/A	None (or less than \$1,001)		None (or less than \$201)
2	US bank (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Russell Investments Long Duration Bond Fund (RMHSX)	Purchase	11/11/2024	\$50,001 - \$100,000
2	Russell Investment Opportunistic Credit Fund (RGCX)	Purchase	11/07/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
3	Russell Investment Global Equity Fund (RGESX)		11/07/2024	\$50,001 - \$100,000
4	Russell Investment U.S. Small Cap Equity (RLESX)	Sale	11/07/2024	\$100,001 - \$250,000
5	Russell Investment Develop Market Fund (RINTX)	Sale	11/07/2024	\$15,001 - \$50,000
6	Russell Investment Strategic Bond Fund (RFCTX)	Sale	11/07/2024	\$1,001 - \$15,000
7	American Fund Europacific Growth Fund (AEPFX)	Purchase	04/15/2024	\$1,001 - \$15,000
8	T. Rowe Price Int'l Overseas Stock Fund (TROSX)	Sale	04/15/2024	\$1,001 - \$15,000
9	Causeway Int'l Value (CIVIX)	Purchase	04/16/2024	\$1,001 - \$15,000
10	Baron Investment Funds Small Cap FUnd (BSFIX)	Sale	07/26/2024	\$1,001 - \$15,000
11	Dodge & Cox Stock Fund (DODGX)	Purchase	07/26/2024	\$1,001 - \$15,000
12	Harbor Embark Commodity (ECSWX)	Purchase	07/26/2024	\$1,001 - \$15,000
13	Invesco Developing Markets Fund (ODVYX)	Purchase	07/26/2024	\$1,001 - \$15,000
14	Pimco Commodity Real Return Strategy Fund (PCRIX)	Sale	07/26/2024	\$1,001 - \$15,000
15	T. Rowe Price Midcap Growth Fund (RPMGX)	Purchase	07/26/2024	\$1,001 - \$15,000
16	Virtus Asset Trust Ceredex Midcap Value (SMVTX)	Sale	07/26/2024	\$1,001 - \$15,000
17	Victory Sycamore Small Company Opportunity Fund (VSOIX)	Sale	07/26/2024	\$1,001 - \$15,000
18	NYLI Winslow Large Cap Growth Fund (MLAIX)	Sale	12/02/2024	\$1,001 - \$15,000
19	Ishares National Muni Bond Fund (MUB)	Sale	04/09/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	Ishares Russell 2000 (IWM)	Sale	04/09/2024	\$1,001 - \$15,000
21	Ishares 500 Growth (IVW)	Sale	04/09/2024	\$50,001 - \$100,000
22	Vanguard Muni Bond Fund Tax Exempt Bond Index (VTEB)	Sale	04/09/2024	\$1,001 - \$15,000
23	Vanguard FTSE Developed Markets ETF (VEA)	Sale	04/09/2024	\$1,001 - \$15,000
24	First Eagle Funds Global Fund (SGIIX)	Sale	06/20/2024	\$1,001 - \$15,000
25	Ishares National Muni Bond Fund (MUB)	Sale	06/20/2024	\$1,001 - \$15,000
26	Ishares Core S&P Midcap (IJH)	Sale	06/20/2024	\$1,001 - \$15,000
27	Ishares Core S&P 500 Index	Sale	06/20/2024	\$15,001 - \$50,000
28	Ishares Russell 2000 (IWM)	Sale	06/20/2024	\$1,001 - \$15,000
29	Ishares S&P 500 Value (IVE)	Sale	06/20/2024	\$15,001 - \$50,000
30	Ishares S&P 500 Growth (IVW)	Sale	06/20/2024	\$15,001 - \$50,000
31	Vanguard Muni Bond Fund Tax Exempt Bond Index (VTEB)	Sale	06/20/2024	\$1,001 - \$15,000
32	Vanguard Midcap (VO)	Sale	06/20/2024	\$15,001 - \$50,000
33	Vanguard FTSE Developed Markets (VEA)	Sale	06/20/2024	\$1,001 - \$15,000
34	First Eagle Funds First Eagle Global Fund (SGIIX)	Sale	09/23/2024	\$1,001 - \$15,000
35	Ishares Core S&P Midcap (IJH)	Sale	09/23/2024	\$1,001 - \$15,000
36	Ishares Core S&P 500 Index (IVV)		09/23/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
37	Ishares Russell 2000 (IWM)	Sale	09/23/2024	\$15,001 - \$50,000
38	Ishares S&P 500 Value (IVE)	Sale	09/23/2024	\$15,001 - \$50,000
39	Ishares S&P 500 Growth (IVW)	Sale	09/23/2024	\$15,001 - \$50,000
40	Vanguard Midcap (VO)	Sale	09/23/2024	\$15,001 - \$50,000
41	Vanguard FTSE Developed Markets (VEA)	Sale	09/23/2024	\$1,001 - \$15,000
42	Capital Group Municipal Income (CGMU)	Purchase	12/11/2024	\$50,001 - \$100,000
43	Ishares National Muni Bond (MUB)	Sale	12/11/2024	\$50,001 - \$100,000
44	Pimco Income Fund (PIMIX)	Purchase	12/11/2024	\$15,001 - \$50,000
45	Vanguard Muni Bond Fund Tax Exempt Bond Index (VTEB)	Sale	12/11/2024	\$50,001 - \$100,000
46	Vanguard FTSE Developed Markets (VEA)	Sale	12/16/2024	\$50,001 - \$100,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Cenlar (from Citi)	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	3.25	30 year
2	Cenlar (from Citi)	Exercised Line of Credit	\$100,001 - \$250,000	2010	3.25	30 year

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
3	Chase Card Services	Credit Card	\$15,001 - \$50,000	2020	15.99%	Revolving

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	The Alfalfa Club	Washington, District of Columbia	Annual Dinner	500

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

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