

OGE Form 278e (Updated 08/2024) (Expires 08/31/2027)

U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)

Report Type: Annual Report

Year (Annual Report only): 2026

Date of Appointment: 05/2025

Date of Termination:

Appointment Type: PAS

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Ueland, Eric M

Deputy Director for Management, Office of Management and Budget

Report Year: 2026

Other Federal Government Positions Held During the Preceding 12 Months:

Commissioner, U.S. Commission on International Religious Freedom (5/2022 - 1/2025) See endnote

Senior Advisor and Acting Chief of Staff , Office of Management and Budget (1/2025 - 5/2025)

Acting Director , Office of Government Ethics (7/2025 - 12/2025)

PTDO Administrator, USAID (11/2025 - Present)

Deputy Administrator for Programming and Chief Operating Officer and Deputy Administrator Management and Resources, USAID (10/2025 - Present)

Senior Official Performing the Duties of Controller, Office of Federal Financial Management, Office of Management and Budget (9/2025 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Ueland, Eric M [electronically signed on 05/25/2026 by Ueland, Eric M in Integrity.gov] - Filer received a 14 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Brazill, Jon, Certifying Official [electronically signed on 06/11/2026 by Brazill, Jon in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/23/2026 by Granahan, Megan in Integrity.gov]

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1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Ueland Consulting LLC	See Endnote	Arlington , Virginia	Corporation	President/CEO	3/2021	Present
2	Trump Vance 2025 Transition, Inc.		Washington, District of Columbia	Presidential Transition	Volunteer	11/2024	1/2025
3	Center for Constitutional Liberty, Benedictine College		Atchison , Kansas	University/College	Advisory Board member	7/2022	1/2025

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Ueland Consulting LLC (Consultancy.)	See Endnote	N/A	\$250,001 - \$500,000	Consulting Fees	\$570,420
1.1	The Heritage Foundation		N/A		Fellowship income to Ueland Consulting LLC	\$55,000
2	IRA #1		No			
2.1	SPDR Dow Jones Industrial Average ETF Trust (DIA)		Yes	\$100,001 - \$250,000		None (or less than \$201)
2.2	Vanguard 500 Index Fund ETF Shares (VOO)		Yes	\$50,001 - \$100,000		None (or less than \$201)
3	IRA #2		No			
3.1	FedFund Premier Shares (BUPXX)		Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	None (or less than \$1,001)		None (or less than \$201)
3.3	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	Schwab Short-Term US Treasury ETF (SCHO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.7	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.10	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	Lord Abbett Floating Rate Fund Class I Shares (LFRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	Neuberger Berman Strategic Income Fund Institutional Class Shares (NSTLX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.13	Nuveen Strategic Income Fund Class I Shares (FCBYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.14	PIMCO Income Fund Class I-2 Shares (PONPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	IRA #3	No			
4.1	FedFund Premier Shares (BUPXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.4	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.5	Schwab Short-Term US Treasury ETF (SCHO)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.6	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.7	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.8	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.9	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.10	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.11	Lord Abbett Floating Rate Fund Class I Shares (LFRIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.12	Neuberger Berman Strategic Income Fund Institutional Class Shares (NSTLX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.13	Nuveen Strategic Income Fund Class I Shares (FCBYX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.14	PIMCO Income Fund Class I-2 Shares (PONPX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Ueland Consulting LLC	Arlington, Virginia	My consulting business is dormant and will be inactive during my appointment. There have been no client fees received since before I entered government service, and none will be accepted during my service. There are no outstanding client fees.	3/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Diocese of Arlington	N/A		salary	
2	IRA #1	No			
2.1	FedFund Premier Shares (BUPXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.2	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.3	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	Schwab Short-Term US Treasury ETF (SCHO)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.11	Lord Abbett Floating Rate Fund Class I Shares (LFRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	Neuberger Berman Strategic Income Fund Institutional Class Shares (NSTLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.13	Nuveen Strategic Income Fund Class I Shares (FCBYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.14	PIMCO Income Fund Class I-2 Shares (PONPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Catholic Diocese of Arlington, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	FedFund Premier Shares (BUPXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	BlackRock Equity Dividend Fund Institutional Shares (MADVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Causeway International Value Fund Institutional Class Shares (CIVIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Edgewood Growth Fund Institutional Class Shares (EGFIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Fidelity Advisor Municipal Income Fund Class I Shares (FROGX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	iShares Russell 1000 Growth ETF (IWF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	iShares Russell 1000 Value ETF (IWD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	iShares Core MSCI International Developed Markets ETF (IDEV)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11	Delaware Small Cap Core Fund Institutional Class Shares (DCCIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	MFS Municipal Income Fund Class I Shares (MIMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13	MFS Value Fund Class I Shares (MEIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	SPDR Nuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15	VanEck Vectors High-Yield Municipal Index ETF (HYD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	Franklin Income Fund Class A1 Shares (FKINX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
19	Invesco QQQ Trust, Series 1 (QQQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
20	iShares Russell 1000 Value ETF (IWD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
21	U.S. bank #1 (cash)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
22	FedFund Institutional Shares (TFDXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
23	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
24	U.S. bank #3 (cash)	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
25	U.S. bank #4 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
26	Knights of Columbus Whole Life Insurance	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
27	Knights of Columbus Whole Life Insurance	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28	Lincoln Financial, variable annuity	No			
28.1	American Funds Insurance Series - Asset Allocation Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
28.2	American Funds Capital Income Bldr R6	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
28.3	LVIP Government Money Market Fund	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	529 plan #1 - Virginia (College America) College Savings Plan	No			
30.1	American Balanced Fund Class 529-A Shares (CLBAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31	529 plan #2 - Virginia (College America) College Savings Plan	No			
31.1	American Balanced Fund Class 529-A Shares (CLBAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
32	Residential Real Estate, Arlington, VA	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Franklin Income Fund Class A1 Shares (FKINX)	Sale	12/15/2025	\$50,001 - \$100,000
2	FedFund Institutional Shares (TFDXX)	Sale	12/15/2025	\$500,001 - \$1,000,000
3	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	02/26/2025	\$50,001 - \$100,000
4	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Sale	02/26/2025	\$15,001 - \$50,000
5	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	02/26/2025	\$15,001 - \$50,000
6	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	02/26/2025	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
7	Invesco Preferred ETF (PGX)	Sale	02/26/2025	\$1,001 - \$15,000
8	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Sale	02/26/2025	\$50,001 - \$100,000
9	iShares MBS ETF (MBB)	Sale	02/26/2025	\$100,001 - \$250,000
10	iShares TIPS Bond ETF (TIP)	Sale	02/26/2025	\$1,001 - \$15,000
11	PIMCO Income Fund Class I-2 Shares (PONPX)	Purchase	03/06/2025	\$100,001 - \$250,000
12	Neuberger Berman Strategic Income Fund Institutional Class Shares (NSTLX)	Purchase	03/06/2025	\$100,001 - \$250,000
13	Nuveen Strategic Income Fund Class I Shares (FCBYX)	Purchase	03/06/2025	\$100,001 - \$250,000
14	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Purchase	03/06/2025	\$15,001 - \$50,000
15	Lord Abbett Floating Rate Fund Class I Shares (LFRIX)	Purchase	03/06/2025	\$15,001 - \$50,000
16	iShares MBS ETF (MBB)	Sale	02/26/2025	\$15,001 - \$50,000
17	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	02/26/2025	\$1,001 - \$15,000
18	Invesco Preferred ETF (PGX)	Sale	02/26/2025	\$1,001 - \$15,000
19	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Sale	02/26/2025	\$1,001 - \$15,000
20	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	02/26/2025	\$1,001 - \$15,000
21	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	02/26/2025	\$1,001 - \$15,000
22	iShares TIPS Bond ETF (TIP)	Sale	02/26/2025	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Sale	02/26/2025	\$1,001 - \$15,000
24	PIMCO Income Fund Class I-2 Shares (PONPX)	Purchase	03/06/2025	\$15,001 - \$50,000
25	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Purchase	03/06/2025	\$1,001 - \$15,000
26	Neuberger Berman Strategic Income Fund Institutional Class Shares (NSTLX)	Purchase	03/06/2025	\$15,001 - \$50,000
27	Nuveen Strategic Income Fund Class I Shares (FCBYX)	Purchase	03/06/2025	\$15,001 - \$50,000
28	Lord Abbett Floating Rate Fund Class I Shares (LFRIX)	Purchase	03/06/2025	\$1,001 - \$15,000
29	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	03/06/2025	\$1,001 - \$15,000
30	Vanguard Growth Index Fund ETF Class Shares (VUG)	Purchase	03/06/2025	\$1,001 - \$15,000
31	iShares Core MSCI EAFE ETF (IEFA)	Purchase	03/06/2025	\$1,001 - \$15,000
32	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	03/06/2025	\$15,001 - \$50,000
33	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Purchase	03/06/2025	\$1,001 - \$15,000
34	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	03/06/2025	\$1,001 - \$15,000
35	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	02/26/2025	\$1,001 - \$15,000
36	iShares MBS ETF (MBB)	Sale	02/26/2025	\$1,001 - \$15,000
37	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Sale	02/26/2025	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	02/26/2025	\$1,001 - \$15,000
39	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Sale	02/26/2025	\$1,001 - \$15,000
40	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	02/26/2025	\$1,001 - \$15,000
41	PIMCO Income Fund Class I-2 Shares (PONPX)	Purchase	03/06/2025	\$1,001 - \$15,000
42	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Purchase	03/06/2025	\$1,001 - \$15,000
43	Neuberger Berman Strategic Income Fund Institutional Class Shares (NSTLX)	Purchase	03/06/2025	\$1,001 - \$15,000
44	Nuveen Strategic Income Fund Class I Shares (FCBYX)	Purchase	03/06/2025	\$1,001 - \$15,000
45	Lord Abbett Floating Rate Fund Class I Shares (LFRIX)	Purchase	03/06/2025	\$1,001 - \$15,000
46	Schwab US Small-Cap ETF (SCHA)	Sale	03/13/2025	\$1,001 - \$15,000
47	Fidelity Advisor Municipal Income Fund Class I Shares (FROGX)	Sale	03/13/2025	\$1,001 - \$15,000
48	MFS Municipal Income Fund Class I Shares (MIMIX)	Sale	03/13/2025	\$1,001 - \$15,000
49	iShares US Treasury Bond ETF (GOVT)	Sale	03/13/2025	\$1,001 - \$15,000
50	iShares Russell 1000 Growth ETF (IWF)	Purchase	03/13/2025	\$1,001 - \$15,000
51	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	03/13/2025	\$1,001 - \$15,000
52	Delaware Small Cap Core Fund Institutional Class Shares (DCCIX)	Purchase	03/13/2025	\$1,001 - \$15,000
53	iShares Core MSCI International Developed Markets ETF (IDEV)	Purchase	03/13/2025	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
54	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Purchase	03/13/2025	\$1,001 - \$15,000
55	VanEck Vectors High-Yield Municipal Index ETF (HYD)	Purchase	03/13/2025	\$1,001 - \$15,000
56	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	03/13/2025	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Chain Bridge Bank	Mortgage on Personal Residence	\$250,001 - \$500,000	2021	2.83 percent	15 years

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	Evenflow Macro	See Endnote Washington, District of Columbia	I received free attendance for myself and my wife to the 2025 Evenflow Teton Summit in Jackson Hole, WY.	\$7,500

Endnotes

PART	#	ENDNOTE
Filer's Information	1	USCIRF is an independent Commission and not an agency. Filer served as Vice Chairman from June 2024 to January 2025.
1.	1	Ueland Consulting LLC is dormant, and no consultancy business will be conducted during the pendency of my employment by the Federal government.

PART	#	ENDNOTE
2.	1	This LLC has been dormant since the end of December 2024 and will remain dormant throughout the filer's Federal service.
9.	1	I paid for the airfare and lodging for myself and my wife.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
