

Report Type: Annual Report

Year (Annual Report only): 2026

Date of Appointment: 01/2020

Date of Termination:

Appointment Type: PAS

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Chapman, Thomas B

Board Member, National Transportation Safety Board

Report Year: 2026

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Chapman, Thomas B [electronically signed on 07/11/2026 by Chapman, Thomas B in Integrity.gov] - Filer received a 59 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ McMurry Jr, William T, Certifying Official [electronically signed on 07/23/2026 by McMurry Jr, William T in Integrity.gov]

Other review conducted by

/s/ Graham, Krishawn, Ethics Official [electronically signed on 07/23/2026 by Graham, Krishawn in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/21/2026 by Granahan, Megan in Integrity.gov]

Data Revised 07/23/2026

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Estate of family member	See Endnote	Larimer County, Colorado	Estate of family member	Personal Representative	6/2024	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Morgan Stanley Portfolio Management IRA	No			
1.1	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.2	Eaton Vance Total Return (EVTR)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.3	First Trust NASDAQ Clean Edge Smart Grid Infrastructure Index Fund (GRID)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.4	First Trust NASDAQ Cybersecurity ETF (CIBR)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.5	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.6	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.7	Industrial Select Sector SPDR Fund (XLI)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.8	SPDR Portfolio High Yield Bond ETF (SPHY)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	Vanguard Financials Index Fund ETF Shares (VFH)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.10	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.11	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.12	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.13	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.14	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.15	TIAA-CREF Core Plus Bond Fund Institutional Class Shares (TIBFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.16	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.17	BANK DEPOSIT PROGRAM MORGAN STANLEY PRIVATE BANK NA	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	US Airways Group, Inc. & US Airways, Inc.	Phoenix, Arizona	Pursuant to an Executive Change in Control & Severance Benefits Agreement with US Airways Group, Inc. & US Airways, Inc., my family and I receive lifetime flight privileges on American Airlines.	1/2014

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Morgan Stanley Portfolio Management IRA	No			
1.1	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	Eaton Vance Total Return (EVTR)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.3	First Trust NASDAQ Clean Edge Smart Grid Infrastructure Index Fund (GRID)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	First Trust NASDAQ Cybersecurity ETF (CIBR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.6	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	Industrial Select Sector SPDR Fund (XLI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.8	SPDR Portfolio High Yield Bond ETF (SPHY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.9	Vanguard Financials Index Fund ETF Shares (VFH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.12	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.13	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.14	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.15	TIAA-CREF Core Plus Bond Fund Institutional Class Shares (TIBFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.16	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.17	BANK DEPOSIT PROGRAM MORGAN STANLEY PRIVATE BANK NA	N/A	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Morgan Stanley Portfolio Management Basic Securities Account	No			
1.1	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.2	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.3	Vanguard Financials Index Fund ETF Shares (VFH)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.4	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$100,001 - \$250,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.5	Vanguard Mid-Cap Index Fund ETF Shares (VO)		Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.6	Vanguard Small-Cap Index Fund ETF Shares (VB)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.7	Vanguard Value Index Fund ETF Shares (VTV)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.8	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	First Eagle High Income Fund Class I Shares (FEHIX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	Invesco High Yield Municipal Fund Class Y Shares (ACTDX)		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.11	Nuveen Intermediate Duration Municipal Bond Fund Class I Shares (NUVBX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.12	PGIM Short Duration Muni Fund Class Z Shares (PDSZX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	PGIM Total Return Bond Fund Class Z Shares (PDBZX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.14	iShares National Muni Bond ETF (MUB)	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.15	BNY Mellon High Yield Municipal Bond Fund Class I Shares (DYBIX)	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.16	Columbia Floating Rate Fund Institutional Class Shares (CFRZX)	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.17	BANK DEPOSIT PROGRAM MORGAN STANLEY PRIVATE BANK NA		N/A	\$1,001 - \$15,000		None (or less than \$201)
2	American Funds College America -- Virginia 529 College Savings Plan		No		Cash distribution	\$43,999

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	American Funds EuroPacific Growth Fund (CEUAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	American Funds The Growth Fund of America (CGFAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	American Funds American High-Income Trust (CITAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	American Funds Intermediate Bond Fund of America (CBOAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	American Funds SMALLCAP World Fund (CSPAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	Washington Mutual Investors Fund Class 529-A Shares (CWMAX)	See Endnote	Yes	None (or less than \$1,001)	None (or less than \$201)
3	Lincoln Financial Moneyguard Reserve Plus Long Term Care Insurance	No			
3.1	Moneyguard Reserve Plus Long Term Care Insurance Policy, universal life	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.2	Moneyguard Reserve Plus Long Term Care Insurance Policy, universal life	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.3	Federated Prime Cash Obligations Fund Wealth Shares (PCOXX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.4	BANK DEPOSIT PROGRAM MORGAN STANLEY PRIVATE BANK NA	N/A	None (or less than \$1,001)		None (or less than \$201)
4	Truist Bank -- Personal Checking	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	Truist Bank -- Personal Checking	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Morgan Stanley Portfolio Management Active Assets Account	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.2	First Trust NASDAQ Clean Edge Smart Grid Infrastructure Index Fund (GRID)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	First Trust NASDAQ Cybersecurity ETF (CIBR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.5	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	Vanguard Financials Index Fund ETF Shares (VFH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.9	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.10	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.11	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.12	BANK DEPOSIT PROGRAM MORGAN STANLEY PRIVATE BANK NA	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Truist Bank -- Personal Checking	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Estate of a family member (undistributed -- value not readily ascertainable)	N/A			None (or less than \$201)
9	Cash distribution from estate of a family member	See Endnote	N/A	Distribution from estate	\$67,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Sale of Weld County, Colorado, mineral rights received from estate of a family member	See Endnote	N/A	None (or less than \$1,001)	Cash received from sale of asset	\$46,490

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Sale	03/19/2025	\$15,001 - \$50,000
2	Vanguard Value Index Fund ETF Shares (VTV)	See Endnote	Sale	03/19/2025	\$1,001 - \$15,000
3	Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Sale	03/19/2025	\$15,001 - \$50,000
4	First Trust Cloud Computing ETF (SKYY)	See Endnote	Sale	03/19/2025	\$50,001 - \$100,000
5	Hartford Total Return Bond Fund Class I Shares (ITBIX)	See Endnote	Sale	03/19/2025	\$1,001 - \$15,000
6	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	See Endnote	Sale	03/19/2025	\$1,001 - \$15,000
7	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	See Endnote	Sale	03/19/2025	\$1,001 - \$15,000
8	Vanguard Financials Index Fund ETF Shares (VFH)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
9	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
10	Vanguard Value Index Fund ETF Shares (VTV)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
11	Vanguard Growth Index Fund ETF Class Shares (VUG)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
12	Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
13	Industrial Select Sector SPDR Fund (XLI)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
14	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Sale	09/04/2025	\$50,001 - \$100,000
15	iShares Core MSCI Emerging Markets ETF (IEMG)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
16	Hartford Total Return Bond Fund Class I Shares (ITBIX)	See Endnote	Sale	09/04/2025	\$250,001 - \$500,000
17	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
18	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	See Endnote	Sale	09/04/2025	\$50,001 - \$100,000
19	Vanguard Financials Index Fund ETF Shares (VFH)	See Endnote	Purchase	03/19/2025	\$15,001 - \$50,000
20	Vanguard Growth Index Fund ETF Class Shares (VUG)	See Endnote	Purchase	03/19/2025	\$50,001 - \$100,000
21	Industrial Select Sector SPDR Fund (XLI)	See Endnote	Purchase	03/19/2025	\$15,001 - \$50,000
22	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Purchase	03/19/2025	\$1,001 - \$15,000
23	iShares Core MSCI Emerging Markets ETF (IEMG)	See Endnote	Purchase	03/19/2025	\$1,001 - \$15,000
24	Vanguard Financials Index Fund ETF Shares (VFH)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
25	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
26	Vanguard Value Index Fund ETF Shares (VTV)	See Endnote	Purchase	06/24/2025	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
27	Vanguard Growth Index Fund ETF Class Shares (VUG)	See Endnote	Purchase	06/24/2025	\$15,001 - \$50,000
28	Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
29	Industrial Select Sector SPDR Fund (XLI)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
30	SPDR Portfolio High Yield Bond ETF (SPHY)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
31	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
32	iShares Core MSCI Emerging Markets ETF (IEMG)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
33	Hartford Total Return Bond Fund Class I Shares (ITBIX)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
34	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
35	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
36	First Trust NASDAQ Cybersecurity ETF (CIBR)	See Endnote	Purchase	09/04/2025	\$50,001 - \$100,000
37	SPDR Portfolio High Yield Bond ETF (SPHY)	See Endnote	Purchase	09/04/2025	\$1,001 - \$15,000
38	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	See Endnote	Purchase	09/04/2025	\$100,001 - \$250,000
39	First Trust NASDAQ Clean Edge Smart Grid Infrastructure Index Fund (GRID)	See Endnote	Purchase	09/04/2025	\$50,001 - \$100,000
40	TIAA-CREF Core Plus Bond Fund Institutional Class Shares (TIBFX)	See Endnote	Purchase	09/04/2025	\$100,001 - \$250,000
41	Eaton Vance Total Return (EVTR)	See Endnote	Purchase	09/04/2025	\$100,001 - \$250,000
42	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Sale	03/19/2025	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
43	First Trust Cloud Computing ETF (SKYY)	See Endnote	Sale	03/19/2025	\$15,001 - \$50,000
44	Hartford Total Return Bond Fund Class I Shares (ITBIX)	See Endnote	Sale	03/19/2025	\$1,001 - \$15,000
45	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	See Endnote	Sale	03/19/2025	\$1,001 - \$15,000
46	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	See Endnote	Sale	03/19/2025	\$1,001 - \$15,000
47	Vanguard Financials Index Fund ETF Shares (VFH)	See Endnote	Sale	09/04/2025	\$1,001 - \$15,000
48	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
49	Vanguard Value Index Fund ETF Shares (VTV)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
50	Vanguard Growth Index Fund ETF Class Shares (VUG)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
51	Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
52	Industrial Select Sector SPDR Fund (XLI)	See Endnote	Sale	09/04/2025	\$1,001 - \$15,000
53	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
54	iShares Core MSCI Emerging Markets ETF (IEMG)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000
55	Hartford Total Return Bond Fund Class I Shares (ITBIX)	See Endnote	Sale	09/04/2025	\$100,001 - \$250,000
56	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	See Endnote	Sale	09/04/2025	\$1,001 - \$15,000
57	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	See Endnote	Sale	09/04/2025	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
58	Vanguard Financials Index Fund ETF Shares (VFH)	See Endnote	Purchase	03/19/2025	\$1,001 - \$15,000
59	Vanguard Value Index Fund ETF Shares (VTV)	See Endnote	Purchase	03/19/2025	\$1,001 - \$15,000
60	Vanguard Growth Index Fund ETF Class Shares (VUG)	See Endnote	Purchase	03/19/2025	\$15,001 - \$50,000
61	Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Purchase	03/19/2025	\$1,001 - \$15,000
62	Industrial Select Sector SPDR Fund (XLI)	See Endnote	Purchase	03/19/2025	\$1,001 - \$15,000
63	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Purchase	03/19/2025	\$1,001 - \$15,000
64	iShares Core MSCI Emerging Markets ETF (IEMG)	See Endnote	Purchase	03/19/2025	\$1,001 - \$15,000
65	Vanguard Financials Index Fund ETF Shares (VFH)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
66	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
67	Vanguard Value Index Fund ETF Shares (VTV)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
68	Vanguard Growth Index Fund ETF Class Shares (VUG)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
69	Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
70	Industrial Select Sector SPDR Fund (XLI)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
71	SPDR Portfolio High Yield Bond ETF (SPHY)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
72	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
73	iShares Core MSCI Emerging Markets ETF (IEMG)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
74	Hartford Total Return Bond Fund Class I Shares (ITBIX)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
75	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
76	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	See Endnote	Purchase	06/24/2025	\$1,001 - \$15,000
77	First Trust NASDAQ Cybersecurity ETF (CIBR)	See Endnote	Purchase	09/04/2025	\$15,001 - \$50,000
78	SPDR Portfolio High Yield Bond ETF (SPHY)	See Endnote	Purchase	09/04/2025	\$1,001 - \$15,000
79	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	See Endnote	Purchase	09/04/2025	\$50,001 - \$100,000
80	First Trust NASDAQ Clean Edge Smart Grid Infrastructure Index Fund (GRID)	See Endnote	Purchase	09/04/2025	\$15,001 - \$50,000
81	TIAA-CREF Core Plus Bond Fund Institutional Class Shares (TIBFX)	See Endnote	Purchase	09/04/2025	\$50,001 - \$100,000
82	Eaton Vance Total Return (EVTR)	See Endnote	Purchase	09/04/2025	\$50,001 - \$100,000
83	Vanguard Health Care Index Fund ETF Class Shares (VHT)	See Endnote	Sale	01/14/2025	\$15,001 - \$50,000
84	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Sale	01/14/2025	\$1,001 - \$15,000
85	Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Sale	01/14/2025	\$1,001 - \$15,000
86	iShares National Muni Bond ETF (MUB)	See Endnote	Sale	01/14/2025	\$1,001 - \$15,000
87	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Sale	01/14/2025	\$1,001 - \$15,000
88	iShares Core MSCI Emerging Markets ETF (IEMG)	See Endnote	Sale	01/14/2025	\$1,001 - \$15,000
89	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	See Endnote	Sale	01/14/2025	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
90	Invesco High Yield Municipal Fund Class Y Shares (ACTDX)	See Endnote	Sale	01/14/2025	\$1,001 - \$15,000
91	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Sale	06/17/2025	\$1,001 - \$15,000
92	Vanguard Value Index Fund ETF Shares (VTV)	See Endnote	Sale	06/17/2025	\$1,001 - \$15,000
93	Vanguard Growth Index Fund ETF Class Shares (VUG)	See Endnote	Sale	06/17/2025	\$15,001 - \$50,000
94	Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Sale	06/17/2025	\$1,001 - \$15,000
95	iShares National Muni Bond ETF (MUB)	See Endnote	Sale	06/17/2025	\$1,001 - \$15,000
96	BNY Mellon High Yield Municipal Bond Fund Class I Shares (DYBIX)	See Endnote	Sale	06/17/2025	\$1,001 - \$15,000
97	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Sale	06/17/2025	\$1,001 - \$15,000
98	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	See Endnote	Sale	06/17/2025	\$1,001 - \$15,000
99	Invesco High Yield Municipal Fund Class Y Shares (ACTDX)	See Endnote	Sale	06/17/2025	\$1,001 - \$15,000
100	iShares National Muni Bond ETF (MUB)	See Endnote	Sale	09/02/2025	\$1,001 - \$15,000
101	BNY Mellon High Yield Municipal Bond Fund Class I Shares (DYBIX)	See Endnote	Sale	09/02/2025	\$1,001 - \$15,000
102	Columbia Floating Rate Fund Institutional Class Shares (CFRZX)	See Endnote	Sale	09/02/2025	\$1,001 - \$15,000
103	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Sale	09/02/2025	\$1,001 - \$15,000
104	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	See Endnote	Sale	09/02/2025	\$1,001 - \$15,000
105	Invesco High Yield Municipal Fund Class Y Shares (ACTDX)	See Endnote	Sale	09/02/2025	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
106	iShares National Muni Bond ETF (MUB)	See Endnote	Sale	09/23/2025	\$1,001 - \$15,000
107	BNY Mellon High Yield Municipal Bond Fund Class I Shares (DYBIX)	See Endnote	Sale	09/23/2025	\$1,001 - \$15,000
108	Columbia Floating Rate Fund Institutional Class Shares (CFRZX)	See Endnote	Sale	09/23/2025	\$1,001 - \$15,000
109	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Sale	09/23/2025	\$1,001 - \$15,000
110	First Eagle High Income Fund Class I Shares (FEHIX)	See Endnote	Purchase	09/23/2025	\$1,001 - \$15,000
111	PGIM Short Duration Muni Fund Class Z Shares (PDSZX)	See Endnote	Purchase	09/23/2025	\$1,001 - \$15,000
112	Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX)	See Endnote	Purchase	09/23/2025	\$1,001 - \$15,000
113	Nuveen Intermediate Duration Municipal Bond Fund Class I Shares (NUVBX)	See Endnote	Purchase	09/23/2025	\$1,001 - \$15,000
114	Washington Mutual Investors Fund Class 529-A Shares (CWMAX)	See Endnote	Sale	12/03/2025	\$15,001 - \$50,000
115	Growth Fund of America Class 529-A Shares (CGFAX)	See Endnote	Sale	12/03/2025	\$15,001 - \$50,000
116	Vanguard Health Care Index Fund ETF Class Shares (VHT)	See Endnote	Sale	02/03/2025	\$1,001 - \$15,000
117	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Sale	04/15/2025	\$1,001 - \$15,000
118	First Trust Cloud Computing ETF (SKYY)	See Endnote	Sale	04/15/2025	\$1,001 - \$15,000
119	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Sale	04/15/2025	\$1,001 - \$15,000
120	Industrial Select Sector SPDR Fund (XLI)	See Endnote	Purchase	02/03/2025	\$1,001 - \$15,000
121	First Trust Cloud Computing ETF (SKYY)	See Endnote	Purchase	02/03/2025	\$1,001 - \$15,000
122	Vanguard Growth Index Fund ETF Class Shares (VUG)	See Endnote	Purchase	04/15/2025	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
123	Vanguard Financials Index Fund ETF Shares (VFH)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000
124	Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000
125	Vanguard Value Index Fund ETF Shares (VTV)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000
126	Vanguard Growth Index Fund ETF Class Shares (VUG)	See Endnote	Purchase	12/10/2025	\$15,001 - \$50,000
127	Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000
128	Industrial Select Sector SPDR Fund (XLI)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000
129	First Trust NASDAQ Cybersecurity ETF (CIBR)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000
130	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000
131	First Trust NASDAQ Clean Edge Smart Grid Infrastructure Index Fund (GRID)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000
132	iShares Core MSCI EAFE ETF (IEFA)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000
133	iShares Core MSCI Emerging Markets ETF (IEMG)	See Endnote	Purchase	12/10/2025	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Morgan Stanley	Mortgage on Personal Residence	\$250,001 - \$500,000	2021	2.45%	15 years

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	Chase Card Services	Credit Card	\$10,001 - \$15,000	1998	19.49% APR	Revolving
3	Citi Cards	Credit Card	\$10,001 - \$15,000	2025	19.49% APR	Revolving

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	No fees received for service.
6.	1.14	As of the end of the reporting period, this asset was no longer an underlying holding of this account. However, more than \$200 in income was received during the reporting period.
6.	1.15	As of the end of the reporting period, this asset was no longer an underlying holding of this account. However, more than \$200 in income was received during the reporting period.
6.	1.16	As of the end of the reporting period, this asset was no longer an underlying holding of this account. However, more than \$200 in income was received during the reporting period.
6.	2.6	As of the end of the reporting period, this asset was no longer an underlying holding of this account. However, more than \$200 in income was received during the reporting period.
6.	9	Cash distribution received from estate of deceased sister.
6.	10	Asset received from estate of deceased mother.
7.	1	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	2	Holding within Morgan Stanley Portfolio Management IRA (Self)

PART	#	ENDNOTE
7.	3	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	4	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	5	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	6	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	7	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	8	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	9	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	10	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	11	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	12	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	13	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	14	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	15	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	16	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	17	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	18	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	19	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	20	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	21	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	22	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	23	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	24	Holding within Morgan Stanley Portfolio Management IRA (Self)

PART	#	ENDNOTE
7.	25	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	26	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	27	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	28	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	29	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	30	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	31	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	32	Holding within Morgan Stanley Portfolio Management IRA (Self)
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7.	34	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	35	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	36	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	37	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	38	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	39	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	40	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	41	Holding within Morgan Stanley Portfolio Management IRA (Self)
7.	42	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	43	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	44	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	45	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	46	Holding within Morgan Stanley Portfolio Management IRA (Spouse)

PART	#	ENDNOTE
7.	47	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	48	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	49	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	50	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	51	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	52	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	53	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	54	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	55	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	56	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
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7.	64	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	65	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	66	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	67	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	68	Holding within Morgan Stanley Portfolio Management IRA (Spouse)

PART	#	ENDNOTE
7.	69	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	70	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	71	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	72	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	73	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	74	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	75	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	76	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	77	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	78	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	79	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	80	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	81	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	82	Holding within Morgan Stanley Portfolio Management IRA (Spouse)
7.	83	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	84	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	85	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	86	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	87	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	88	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	89	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	90	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)

PART	#	ENDNOTE
7.	91	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	92	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	93	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	94	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	95	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	96	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	97	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	98	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	99	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
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7.	101	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	102	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	103	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	104	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	105	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	106	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	107	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	108	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	109	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	110	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	111	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	112	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)

PART	#	ENDNOTE
7.	113	Holding within Morgan Stanley Portfolio Management Basic Securities Account (Joint)
7.	114	Holding within Virginia 529 College Savings Plan (Self)
7.	115	Holding within Virginia 529 College Savings Plan (Self)
7.	116	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	117	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	118	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	119	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	120	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	121	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	122	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	123	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	124	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	125	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	126	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	127	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	128	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	129	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	130	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	131	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	132	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)
7.	133	Holding within Morgan Stanley Portfolio Management Active Assets Account (Dependent Child)

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
