Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Saul, Andrew

Commissioner of Social Security, Social Security Administration

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Saul, Andrew [electronically signed on 04/29/2020 by Saul, Andrew in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lee, John, Certifying Official [electronically signed on 05/14/2020 by Lee, John in Integrity.gov]

Other review conducted by /s/ White, Angela R, Ethics Official [electronically signed on 05/08/2020 by White, Angela R in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/19/2020 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Abbot Labs (ABT)	See Endnote	Purchase	03/16/2020	No	\$15,001 - \$50,000
2	Nestle SA (NSRGY)	See Endnote	Sale	03/16/2020	No	\$100,001 - \$250,000
3	Visa Inc (V)	See Endnote	Sale	03/17/2020	No	\$1,001 - \$15,000
4	Visa Inc (V)	See Endnote	Sale	03/17/2020	No	\$50,001 - \$100,000
5	Visa Inc (V)	See Endnote	Sale	03/17/2020	No	\$50,001 - \$100,000
6	Autodesk Inc (ADSK)	See Endnote	Purchase	03/18/2020	No	\$100,001 - \$250,000
7	Zoetis Inc (ZTS)	See Endnote	Sale	03/18/2020	No	\$15,001 - \$50,000
8	Zoetis Inc (ZTS)	See Endnote	Sale	03/18/2020	No	\$50,001 - \$100,000
9	Booking Hldgs Inc (BKNG)	See Endnote	Sale	03/20/2020	No	\$50,001 - \$100,000
10	O Reilly Automotive Inc (ORLY)	See Endnote	Sale	03/25/2020	No	\$50,001 - \$100,000
11	Booking Hldgs (BKNG)	See Endnote	Sale	03/02/2020	No	\$1,001 - \$15,000
12	Wayfair Inc (W)	See Endnote	Purchase	03/02/2020	No	\$1,001 - \$15,000
13	Wayfair Inc (W)	See Endnote	Purchase	03/02/2020	No	\$1,001 - \$15,000
14	Booking Hldgs (BKNG)	See Endnote	Sale	03/03/2020	No	\$15,001 - \$50,000
15	Wayfair Inc (W)	See Endnote	Purchase	03/03/2020	No	\$15,001 - \$50,000
16	Wayfair Inc (W)	See Endnote	Purchase	03/03/2020	No	\$1,001 - \$15,000
17	Wayfair Inc (W)	See Endnote	Purchase	03/03/2020	No	\$15,001 - \$50,000
18	Booking Hldgs (BKNG)	See Endnote	Sale	03/04/2020	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	Wayfair Inc (W)	See Endnote	Purchase	03/04/2020	No	\$1,001 - \$15,000
20	Jacobs Engr Group CL C (J)	See Endnote	Sale	03/05/2020	No	\$1,001 - \$15,000
21	Liberty Broadband Corporation - Class C Common Stock (LBRDK)	See Endnote	Sale	03/05/2020	No	\$1,001 - \$15,000
22	Schwab Charles Corp (SCHW)	See Endnote	Purchase	03/05/2020	No	\$15,001 - \$50,000
23	Schwab Charles Corp (SCHW)	See Endnote	Purchase	03/05/2020	No	\$1,001 - \$15,000
24	Schwab Charles Corp (SCHW)	See Endnote	Purchase	03/05/2020	No	\$1,001 - \$15,000
25	Schwab Charles Corp (SCHW)	See Endnote	Purchase	03/05/2020	No	\$1,001 - \$15,000
26	Schwab Charles Corp (SCHW)	See Endnote	Purchase	03/05/2020	No	\$1,001 - \$15,000
27	Jacobs Engr Group (J)	See Endnote	Sale	03/06/2020	No	\$1,001 - \$15,000
28	Jacobs Engr Group (J)	See Endnote	Sale	03/06/2020	No	\$1,001 - \$15,000
29	Jacobs Engr Group (J)	See Endnote	Sale		No	\$1,001 - \$15,000
30	Liberty Broadband Corporation - Class C Common Stock (LBRDK)	See Endnote	Sale	03/06/2020	No	\$1,001 - \$15,000
31	Liberty Broadband Corporation - Class C Common Stock (LBRDK)	See Endnote	Sale	03/06/2020	No	\$1,001 - \$15,000
32	Schwab Charles Corp (SCHW)	See Endnote	Purchase	03/06/2020	No	\$15,001 - \$50,000
33	Prosus NV Bearer (PROSF)	See Endnote	Sale	03/09/2020	No	\$1,001 - \$15,000
34	Jacobs Engr Group (J)	See Endnote	Sale	03/09/2020	No	\$1,001 - \$15,000
35	Jacobs Engr Group (J)	See Endnote	Sale	03/09/2020	No	\$1,001 - \$15,000
36	Liberty Broadband Corporation - Class C Common Stock (LBRDK)	See Endnote	Sale	03/09/2020	No	\$1,001 - \$15,000
37	Liberty Broadband Corporation - Class C Common Stock (LBRDK)	See Endnote	Sale	03/09/2020	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
38	Schwab Charles Corp (SCHW)	See Endnote	Purchase	03/09/2020	No	\$15,001 - \$50,000
39	Schwab Charles Corp (SCHW)	See Endnote	Purchase	03/09/2020	No	\$1,001 - \$15,000
40	Schwab Charles Corp (SCHW)	See Endnote	Purchase	03/09/2020	No	\$1,001 - \$15,000
41	Arista Networks Inc (ANET)	See Endnote	Purchase	03/10/2020	No	\$1,001 - \$15,000
42	Arista Networks Inc (ANET)	See Endnote	Purchase	03/11/2020	No	\$15,001 - \$50,000
43	Arista Networks Inc (ANET)	See Endnote	Purchase	03/11/2020	No	\$1,001 - \$15,000
44	United Health Group (UNH)	See Endnote	Purchase	03/17/2020	No	\$15,001 - \$50,000
45	Booking Hldgs (BKNG)	See Endnote	Purchase	03/17/2020	No	\$50,001 - \$100,000
46	Booking Hldgs (BKNG)	See Endnote	Purchase	03/18/2020	No	\$1,001 - \$15,000
47	Booking Hldgs (BKNG)	See Endnote	Purchase	03/18/2020	No	\$1,001 - \$15,000
48	United Health Group (UNH)	See Endnote	Purchase	03/18/2020	No	\$1,001 - \$15,000
49	United Health Group (UNH)	See Endnote	Purchase	03/18/2020	No	\$15,001 - \$50,000
50	Prosus NV Bearer (PROSF)	See Endnote	Sale	03/06/2020	No	\$1,001 - \$15,000
51	Carmax Inc (KMX)	See Endnote	Purchase	03/20/2020	No	\$1,001 - \$15,000
52	Carmax Inc (KMX)	See Endnote	Purchase	03/20/2020	No	\$1,001 - \$15,000
53	Carmax Inc (KMX)	See Endnote	Purchase	03/20/2020	No	\$1,001 - \$15,000
54	Carmax Inc (KMX)	See Endnote	Purchase	03/20/2020	No	\$1,001 - \$15,000
55	Carmax Inc (KMX)	See Endnote	Purchase	03/23/2020	No	\$1,001 - \$15,000
56	Carmax Inc (KMX)	See Endnote	Purchase	03/23/2020	No	\$1,001 - \$15,000
57	Carmax Inc (KMX)	See Endnote	Purchase	03/23/2020	No	\$1,001 - \$15,000
58	Constellation Software Inc (CNSWF)	See Endnote	Sale	03/24/2020	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
59	Eurofins Scientific (ERFSF)	See Endnote	Sale	03/31/2020	No	\$15,001 - \$50,000
60	Vivendi Shs (VIVEF)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
61	Hiscox Ltd (HCXLF)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
62	Melrose Industries PLC (MLSPF)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
63	Rolls-Royce Hldgs (FYCEF)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
64	Prosus NV Bearer (PROSF)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
65	Constellation Softward Inc (CNSWF)	See Endnote	Sale	03/31/2020	No	\$15,001 - \$50,000
66	Facebook, Inc Class A Common Stock (FB)	See Endnote	Sale	03/31/2020	No	\$15,001 - \$50,000
67	Jacobs Engr Group (J)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
68	Liberty Broadband Corporation - Class A Common Stock (LBRDA)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
69	Liberty Broadband Corporation - Class C Common Stock (LBRDK)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
70	Liberty Broadband Corporation - Class C Common Stock (LBRDK)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
71	Mastercard Inc A (MA)	See Endnote	Sale	03/31/2020	No	\$15,001 - \$50,000
72	Taiwan Semiconductor Mfg (TSM)	See Endnote	Sale	03/31/2020	No	\$1,001 - \$15,000
73	Anheuser-Busch (BUD)	See Endnote	Sale	03/18/2020	No	\$50,001 - \$100,000
74	Danaher Corp (DHR)	See Endnote	Sale	03/18/2020	No	\$500,001 - \$1,000,000
75	Exxon Mobile Corp (XOM)	See Endnote	Sale	03/18/2020	No	\$500,001 - \$1,000,000
76	Hang Lung Properties ADR (HLPPY)	See Endnote	Sale	03/30/2020	No	\$250,001 - \$500,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
77	NY NY REF-SER C UNLTD TAX 4% 8/01/2021	See Endnote	Purchase	03/26/2020	No	\$1,000,001 - \$5,000,000
78	TX ST TRANS 4.00% 8/27/2020	See Endnote	Purchase	03/26/2020	No	\$500,001 - \$1,000,000

## Endnotes

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## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations reguire the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation: (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination: (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person. department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.