

Report Type: Termination Report

Year (Annual Report only):

Date of Appointment: 05/2021

Date of Termination: 01/2025

Appointment Type: PAS

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Power, Samantha

Administrator, U.S. Agency for International Development

Date of Termination: 01/20/2025

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Power, Samantha [electronically signed on 02/18/2025 by Power, Samantha in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Mason-Gale, Treyer A, Certifying Official [electronically signed on 05/13/2025 by Mason-Gale, Treyer A in Integrity.gov]

Other review conducted by
/s/ McPherson, Bruce, Ethics Official [electronically signed on 04/24/2025 by McPherson, Bruce in Integrity.gov]

U.S. Office of Government Ethics Certification
Certification Declined on 1/20/26 by Granahan, Megan.

Data Revised

Data Revised

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(01/15/2026, Granahan, Megan): Unable to certify within a reasonable timeframe.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Harvard University Defined Contribution Retirement Plan	No			
1.1	CREF Money Market R3 (QCMMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Harvard University Retirement Income Plan	No			
2.1	Vanguard Treasury Money Market Fund Investor (VUSXX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	Harvard University Tax Deferred Annuity Plan	No			
3.1	Vanguard Target Retirement 2035 Fund (VTTHX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	"The Education of an Idealist", Harper Collins Publishers, United Kingdom (value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
5	"A Problem From Hell", Basic Books/Flamingo (Value not readily ascertainable)	N/A		Rent or Royalties	\$2,501 - \$5,000
6	"Bystanders to Genocide", W.W. Norton & Co., Inc (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
7	"A Problem From Hell", Brilliance Audio, Inc. (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
8	"A Problem From Hell", Companhia das Letras, Brazil (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	"A Problem From Hell", Fritz Bauer Institut, Germany (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
10	"A Problem From Hell", HarperCollins Publishers, United Kingdom (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
11	"Chasing the Flame"; Penguin Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
12	"A Problem From Hell", Authors' Licensing & Collecting Society Ltd, United Kingdom (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
13	"A Problem From Hell", Uitgeverij Pluim, Netherlands (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Harvard University	Cambridge, Massachusetts	Continuing participation in the Defined Contribution Retirement Plan. However, no contributions will be made by myself or Harvard University.	4/2017
2	Harvard University	Cambridge, Massachusetts	Continuing participation in the Retirement Income Plan. However, no contributions will be made by myself or Harvard University.	4/2017
3	Harvard University	Cambridge, Massachusetts	Continuing participation in the Tax Deferred Annuity Plan. However, no contributions will be made by myself or Harvard University.	4/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The University of Chicago Contributory Retirement Plan	No			
1.1	TIAA Traditional	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
1.2	CREF Stock R3 (QCSTIX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.3	CREF Money Market R3 (QCMMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	CREF Social Choice R3 (QCSCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Retirement Income Plan for Teaching Faculty of Harvard University	No			
2.1	TIAA Traditional	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	CREF Stock R3 (QCSTIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Vanguard Total Stock Market Index Fund Institutional Plus (VSMPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Vanguard Institutional Target Retirement 2020 Institutional (VITWX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3	Harvard University Tax Deferred Annuity Plan	No			
3.1	Vanguard Institutional Target Retirement 2020 Institutional (VITWX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Harvard University	N/A		Salary	\$822,021
5	Yale University Press ("Nudge"); Value not readily ascertainable; New Haven, CT, USA	N/A		Rent or Royalties	\$15,001 - \$50,000
6	Princeton University Press ("#Republic"); Value not readily ascertainable; Princeton, NJ, USA	N/A		Rent or Royalties	\$1,001 - \$2,500
7	"This. Is. Not. Normal", Yale University Press	N/A		Rent or Royalties	None (or less than \$201)
8	Sludge, The MIT Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
9	"Noise", Little, Brown and Company (Value not readily ascertainable)	N/A		Rent or Royalties	\$100,001 - \$1,000,000
10	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Administrative Law & Reg Policy: Problems Text And Case 8E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
11	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 8E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
12	"Human Agency and Behavioral Economics", Palgrave Macmillan (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
13	"Liars: Falsehoods and Free Speech", Oxford University Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
14	"The World According to Star Wars", Editions ALPHA DECAY SA, Spain (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
15	"Choosing Not to Choose", Keiso Shobo, Japan (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	"Why Societies Need Dissent", Harvard University Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
17	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 7E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
18	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 2020 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
19	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("The First Amendment 6E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$201 - \$1,000
20	Oxford University Press ("Legal Reasoning and Political Conflict"), Value not readily ascertainable; New York, NY, USA	N/A		Rent or Royalties	None (or less than \$201)
21	Oxford University Press ("Free Markets and Social Justice"), Value not readily ascertainable; New York, NY, USA	N/A		Rent or Royalties	None (or less than \$201)
22	Oxford University Press ("Designing Democracy: What Constitutions Do"), Value not readily ascertainable; New York, NY, USA	N/A		Rent or Royalties	None (or less than \$201)
23	Oxford University Press ("Sunstein: Animal Rights"), Value not readily ascertainable; New York, NY, USA	N/A		Rent or Royalties	None (or less than \$201)
24	Oxford University Press ("Infotopia: How Many Minds Produce Knowledge"), Value not readily	N/A		Rent or Royalties	None (or less than \$201)
25	"Bounded Rationality: Heuristics, Judgement & Public Policy," The MIT Press, Value not readily ascertainable	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	Harvard University Press ("Law and Leviathan: Redeeming the Administrative State"); Value not readily ascertainable; Cambridge, MA, USA	N/A		Rent or Royalties	None (or less than \$201)
27	"The Ethics of Influence", Cambridge University (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
28	"Behavioral Science and Public Policy", Cambridge University (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
29	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Administrative Law & Reg Policy: Problems Text And Case 7E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
30	"How Change Happens", The MIT Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
31	"Freedom (Holberg Lecture)", Princeton University Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
32	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Administrative Law & Reg Policy: Problems Text And Case 9E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$5,001 - \$15,000
33	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 2021 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
34	Princeton University Press, ("How to Interpret the Constitution"); Value not readily ascertainable; Princeton, NJ, USA	N/A		Rent or Royalties	None (or less than \$201)
35	"Trusting Nudges", Nikkei Business Publications, Inc, Japan (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36	"How to Become Famous", Harvard Business Review Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$15,001 - \$50,000
37	"Look Again" (previously "Hot Water"), Little, Brown Book Group Ltd., United Kingdom (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
38	WW Norton ("Clones and Clones: Facts And Fantasies About Human Cloning"); Value not readily Ascertainable; New York, NY, USA	N/A		Rent or Royalties	\$1,001 - \$2,500
39	WW Norton ("Cost of Rights: Why Liberty Depends on Taxes"); Value not readily Ascertainable; New York, NY, USA	N/A		Rent or Royalties	\$201 - \$1,000
40	"Conformity", NYU Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
41	"Unleashed: #MeToo and Beyond", Princeton University Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
42	"On Rumors", Princeton University Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
43	"Why Societies Need Dissent", China University of Politic (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
44	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Global Edition: Constitutional Law 7E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
45	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Global Edition: Constitutional Law 8E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 2019 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
47	Harvard University Press ("Worst-Case Scenarios"); Value not readily ascertainable; Cambridge, MA, USA	N/A		Rent or Royalties	None (or less than \$201)
48	WW Norton ("Reassessing The Sixties: Debating Political and Cultural Legacy"); Value not readily Ascertainable; New York, NY, USA	N/A		Rent or Royalties	None (or less than \$201)
49	Princeton University Press ("A Constitution of Many Minds"); Value not readily ascertainable; Princeton, NJ, USA	N/A		Rent or Royalties	None (or less than \$201)
50	Princeton University Press ("Climate Change Justice"); Value not readily ascertainable; Princeton, NJ, USA	N/A		Rent or Royalties	None (or less than \$201)
51	Princeton University Press ("Republic.com 2.0"); Value not readily ascertainable; Princeton, NJ, USA	N/A		Rent or Royalties	None (or less than \$201)
52	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 2018 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
53	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Administrative Law and Regulatory Policy: 2015-2016 Case Supplement"); readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
54	Harvard University Press ("After the rights Revolution"); Value not readily ascertainable; Cambridge, MA, USA	N/A		Rent or Royalties	None (or less than \$201)
55	Harvard University Press ("One Case at a Time"); Value not readily ascertainable; Cambridge, MA, USA	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
56	Harvard University Press ("The Partial Constitution"); Value not readily ascertainable; Cambridge, MA, USA	N/A		Rent or Royalties	None (or less than \$201)
57	Oxford University Press ("Going To Extremes"), Value not readily ascertainable; New York, NY, USA	N/A		Rent or Royalties	None (or less than \$201)
58	Humu, Inc. - Stock Options (8/1/2021); 20,000 shares at a strike price of \$.026; exp. 10/2029 (value not readily ascertainable) (a human resource company that makes work better through science and machine learning)	N/A			None (or less than \$201)
59	Humu Inc., vested stock options (human resource company that makes work better through science and machine learning)	N/A	\$1,001 - \$15,000	Options	\$90
60	"Too Much Information: Understanding What You Don't Want to Know," The MIT Press, (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
61	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("The First Amendment 5E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
62	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 2017 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
63	"Averting Catastrophe", NYU Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
64	Harvard University Press ("Impeachment"); Value not readily ascertainable; Cambridge, MA, USA	N/A		Rent or Royalties	None (or less than \$201)
65	"Simpler", Simon & Schuster (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
66	"Why Nudge", Yale University Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
67	"Conspiracy Theories and Other Dangerous Ideas", Simon & Schuster (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
68	"Impeachment", Penguin Books (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
69	"The Curse of Conformity", NYU Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
70	"Liars & This Is Not Normal", Oxford University Press & Yale University Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
71	"Sludge & Too Much Information" The MIT Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
72	"Simpler", Cambridge University (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
73	Copyright Clearance Center (Publications, value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
74	"Behavioral Science and Public Policy", Keiso Shobo, Japan (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
75	"Bounded Rationality", Truth and Wisdom Press, China (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
76	"Look Again" (previously "Hot Water"), China Times Publishing Company, Taiwan (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
77	"Look Again" (previously "Hot Water"), CITIC Press Corporation, China (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
78	"Look Again" (previously "Hot Water"), Domingo Yayinevi, Turkey (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
79	"Look Again" (previously "Hot Water"), Kinneret-Zmora Bitan, Israel (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
80	"Born To Adapt" (Previously "Hot Water"), One Signal, United States (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
81	"Look Again" (previously "Hot Water"), The Korea Economic Daily & Business Publications, Korea, Republic of (South) (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
82	"Look Again" (previously "Hot Water"), Author's Coalition of America, LLC (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
83	"How Change Happens", Centro di Formazione Management del terziario, Italy (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
84	"How Change Happens", Author's Licensing & Collecting Society Ltd, United Kingdom (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
85	"How Change Happens, On Freedom and On Rumours", Einaudi, Giulio Editore SpA, Italy (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
86	"How Humble Wingnut Other Lessons Behavioral Economics", University of Chicago Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
87	"Law and Leviathan", EDITORIAL ARANZADI, S.A.U (Thomson Reuters Espana), Spain (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
88	"Law and Leviathan", Keiso Shobo, Japan (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
89	"Law and Leviathan", MCC Press Kft., Hungary (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
90	"Liars", BOOK 21 Publishing Group, Korea, Republic of (South) (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
91	"Noise", Amarin Printing and Publishing PLC., Thailand (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
92	"Noise", Arayan Ghalam Publication, UAE (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
93	"Noise", Cheers Publishing Company, China(Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
94	"Noise", Editorial, Portugal (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
95	"Noise", Eugrimas Publishing House, Lithuania (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
96	"Noise", getAbstract AG, Switzerland (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
97	"Noise", HarperPress, United Kingdom (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
98	"Noise", Hayakawa Publishing Corporation, Japan (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
99	"Noise", Madhushree Publication, India (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
100	"Noise", Matar Publishing House, Ltd., Israel (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
101	"Noise", Nepko Publishing, Mongolia (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
102	"Noise", PT Gramedia Pustaka Utama, Indonesia (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
103	"On Rumors and On Freedom" Princeton University Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
104	The NY Times (Publications, value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
105	"Punitive Damages", University of Chicago Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
106	"Sludge", SADER Legal Publishing, Lebanon (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
107	"The Ethics of Influence", NTT Publishing Co., Ltd, Japan (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
108	"The Vote", University of Chicago Press (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
109	"Valuing Life", University of Chicago Press, (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
110	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Administrative Law And Regulatory Policy 2022-2023 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
111	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Administrative Law And Regulatory Policy: 2020-2021 Case Supp"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
112	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Administrative Law And Regulatory Policy: 2022-2023 Case Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
113	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 2022 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$201 - \$1,000
114	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 2023 Supplement"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$201 - \$1,000
115	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Constitutional Law 9E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$5,001 - \$15,000
116	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Criminal Law And Its Processes: Cases And Materials 10E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
117	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Criminal Procedure 3E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
118	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Estate Planning: Principles And Problems 5E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
119	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Federal Jurisdiction 7E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
120	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Glannon Guide To Sales 3E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
121	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("Property Law: Rules Policies And Practices 8E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
122	CCH Inc. - Wolters Kluwer Law & Business/Aspen Publishing ("The First Amendment 7E"); Value not readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	\$201 - \$1,000
123	"How Change Happens," Cheers Publishing Company, China (Value Not Readily Ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
124	"Look Again" (previously "Hot Water"), Author's Coalition of America, LLC (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
125	"Noise", DVA/Siedler/Pantheon (Value not readily ascertainable)	N/A		Rent or Royalties	\$15,001 - \$50,000
126	"Advanced Introduction To Behavioural Law And Economics", Edward Elgar Publishing Limited, United Kingdom (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
127	"Research Handbook On Nudges And Society", Edward Elgar Publishing Limited, United Kingdom (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
128	"The World According to Star Wars" & "Why Nudge?", EGEA S.p.A, Italy (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
129	"Noise", HVG Kiado Zrt (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
130	"Look Again" (previously "Hot Water"), Intinseca, Brazil (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
131	"Noise", Jan Melvil Publishing (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
132	"Conformity" & "On Freedom", Libros Grano de Sal, SA de CV, Mexico (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
133	"The Ethics Of Climate Change", MIT Press, The United States (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
134	"Look Again" (previously "Hot Water"), Odile Jacob, Editions, France (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
135	"How Change Happens"; "On Freedom"; "Why Nudge?", Open Books, Republic of South Korea (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
136	"Default Nudges", Palgrave Macmillan, United States (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
137	"How To Become Famous", Publica, Romania (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
138	"How To Become Famous", Raffaello Cortina Editore, Italy (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
139	"How To Become Famous"; "Sludge"; & "Simpler"; The Korea Economic Daily & Business Publications, Republic of South Korea (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
140	"Look Again" (previously "Hot Water"), Uitgeverij Unieboek Het Spectrum, Netherlands (Value not readily ascertainable)	N/A		Rent or Royalties	\$2,501 - \$5,000
141	"Noise", Vellant Publishing (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
142	"Decisions About Decisions", Will Books Publishing Company, Republic of South Korea (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
143	"Look Again" (previously "Hot Water"), Publica, Romania, (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
144	Boston Consulting Group	N/A		Consulting Fees	\$243,000
145	"Look Again" (previously "Hot Water"), Raffaello Cortina Editore, Italy, (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
146	CCH Inc. - Wolters Kluwer Law & Business/Aspeublishing ("Federal Rule Civil Procedure 2019-2020 Stat Supp W/Resource Study"); Value n Pnot readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
147	CCH Inc. - Wolters Kluwer Law & Business/Aspeublishing ("The Law Of Health Care Finance And Regulation 5E"); Value n Pnot readily ascertainable; Riverwoods, IL, USA	N/A		Rent or Royalties	None (or less than \$201)
148	"Noise", Aripaev Book Publishing/AS Aripaev (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
149	"How To Become Famous", Autentica Editora Ltda, Brazil (Value not readily ascertainable)	N/A		Rent or Royalties	\$2,501 - \$5,000
150	Various International Permissions, Authors' Licensing & Collecting Society Ltd, United Kingdom (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
151	"Decisions About Decisions", Cambridge University Press, United States (Value is not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
152	"On Manipulation: Protecting our Wellbeing in a World of Data", Cambridge University Press, United States (Value is not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
153	"Noise", Companhia das Letras (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
154	"Look Again (previously Hot Water)", Hakuyosha Publishers, Japan (Value not readily ascertainable)	N/A		Rent or Royalties	\$2,501 - \$5,000
155	"The World According to Star Wars," Editions Alpha Decay SA, Spain (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
156	"Free Speech on Campus", Harvard University Press, United States (Value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
157	"How To Become Famous", Locus Publishing Company, Taiwan (Value not readily ascertainable)	N/A		Rent or Royalties	\$2,501 - \$5,000
158	"Liberalism Now", MIT Press, The United States (Value is not readily ascertainable)	N/A		Rent or Royalties	\$15,001 - \$50,000
159	"Separation of Powers", MIT Press, The United States (Value is not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
160	"Look Again (previously Hot Water)", One Signal, United States (Value is not readily ascertainable)	N/A		Rent or Royalties	\$15,001 - \$50,000
161	"Algorithmic Harm", Oxford University Press, United States (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
162	"Noise", Pax Forlag AS (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
163	"#Republic (3rd Edition of Republic.com)", Princeton University Press (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
164	"Noise," Qanun Publishing House (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
165	"The Use of Artificial Intelligence in Society", The American Philosophical Society Press, United States (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
166	Discovery (financial Services group in London) - 03/12/2024	N/A		Speaking engagement	\$55,691
167	Federation of State Medical Boards - 04/19/2024	N/A		Speaking engagement	\$18,703
168	Gen Re (a reinsurer) - 05/07/2024	N/A		Speaking engagement	\$17,250
169	The Nuremberg Institute for Market Decisions (NIM) - 06/05/2024	N/A		Speaking engagement	\$65,147
170	Doceree Inc. - 08/13/2024	N/A		Speaking engagement	\$25,500
171	"Why I Am a Liberal", The New York Times - 11/20/2023	N/A		Article Fees	\$1,200
172	University of Pennsylvania - 03/06/2024	N/A		Speaking engagement	\$2,726
173	"Why People Fail to Notice Horrors Around Them", The New York Times - 02/25/2024	N/A		Article Fees	\$600
174	"The Nobel Winner Who Liked to Collaborate with His Adversaries", The New York Times - 04/01/2024	N/A		Article Fees	\$700
175	The Trustees of Princeton University - 11/12/2024	N/A		Speaking engagement	\$15,000
176	"Only the First Amendment Can Protect Students, Campuses and Speech", The New York Times - 09/06/2024	N/A		Article Fees	\$1,200
177	Massachusetts Institute of Technology - 10/28/2024	N/A		Speaking engagement	\$2,910
178	"Only the First Amendment Can Protect Students, Campuses and Speech", The New York Times - 09/06/2024	N/A		Article Fees	\$144

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
179	Cambridge University - 08/02/2024	N/A		Speaking engagement	\$6,131
180	Georgetown University - 06/28/2024	N/A		Speaking engagement	\$2,000
181	Queen's University - 02/28/2024	N/A		Speaking engagement	\$2,000
182	Subscription Payments from https://casssunstein.substack.com/ (Paid at various dates)	N/A		Subscription payments for online articles	\$1,738
183	University of Chicago - 1/06/2025	N/A		Speaking engagement	\$5,094
184	University of Texas - 05/20/2024	N/A		Speaking engagement	\$10,422
185	US Naval Academy - 06/26/2024	N/A		Speaking engagement	\$2,222
186	Queen's College Cambridge - 12/13/2024	N/A		Speaking engagement	\$4,400
187	University of Cambridge - 01/16/2024	N/A		Speaking engagement	\$5,081
188	McCain Conference - 06/25/2024	N/A		Speaking engagement	\$3,000
189	Stitching Foundation for International Law for the Environment - 02/28/2024	N/A		Speaking engagement	\$3,033
190	The Chancellor Masters - 01/05/2024	N/A		Speaking engagement	\$200
191	Australian College of Stata Lawyers - 02/20/2024	N/A		Speaking engagement	\$1,913
192	Asian Development Bank - 06/10/2024	N/A		Consulting fees	\$14,316

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
193	Global Arc Boston 2024 (This Ain't Your Average...) - 11/13/2024	N/A		Honorarium & Speaking	\$5,000
194	JND Legal Administration - 01/03/2025	N/A		MacBook Keyboard Litigation Settlement	\$395

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)
2	U.S. bank #2 (cash)	N/A	None (or less than \$1,001)	Interest	None (or less than \$201)
3	US brokerage account	No			
3.1	Vanguard Value ETF Holding (VTV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.2	Vanguard Total Bond Mkt Holding (BND)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.3	Vanguard Short-Term Corporate Bond Holding (VCSH)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.4	Vanguard 500 Index Fund SHS ETF Holding (VOO)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
3.5	Vanguard Total Stk Mkt ETF Holding (VTI)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
3.6	Vanguard INTL High Divid Yield ETF SHS Holding (VYMI)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.7	Vanguard FTSE Emerging Markets ETF Holding (VWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	American Washington Mutual Investors Fund CL A (AWSHX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
3.9	Vanguard High DVD Yield ETF Holding (VYM)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.10	Vanguard Growth ETF (VUG)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
3.11	Vanguard Small Cap Growth ETF (VBK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	Vanguard Small Cap Value ETF (VBR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.13	American Growth Fund of America CI A (AGTHX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
3.14	BLF FedFund Institutional Shares (TFDXX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4	US Brokerage Account	No			
4.1	Tesla, Inc. (TSLA)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.2	VANGUARD TARGET RETIREMENT INC FD INV CL (VTINX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.3	VANGUARD WELLESLEY INCOME FUND CL INV (VWINX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.4	Vanguard Intermediate Term Bond ETF (BIV)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.5	Vanguard Total Bond Mkt Holding (BND)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.6	Delaware Value Fund Class A (DDVAX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.7	Vanguard Extended Duration Treasury ETF Holding (EDV)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.8	Vanguard Intermediate-Term Corporate Bond Holding (VCIT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.9	Vanguard Short-Term Corporate Bond Holding (VCSH)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
4.10	Vanguard Consumer Staples ETF Holding (VDC)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.11	Vanguard FTSE Developed Markets ETF Holding (VEA)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.12	Vanguard Dividend Appreciation ETF Holding (VIG)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
4.13	Vanguard Real Estate ETF Holding (VNQ)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.14	Vanguard Mid-Cap ETF Holding (VO)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
4.15	Vanguard Total World Stk I Holding (VT)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.16	Vanguard Total Stk Mkt ETF Holding (VTI)	Yes	Over \$1,000,000		\$15,001 - \$50,000
4.17	Vanguard Large-Cap ETF Holding (VV)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
4.18	Vanguard INTL High Divid Yield ETF Shs Holding (VYMI)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.19	SP500 MITTS ISS HSBC PART 125.05% (MLUOJ) (Bank of America Note Linked to S&P 500 Index)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.20	Vanguard FTSE Emerging Markets ETF Holding (VWO)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.21	Vanguard High DVD Yield ETF Holding (VYM)		Yes	Over \$1,000,000		\$15,001 - \$50,000
4.22	SPDR Gold Shares (GLD)		Yes	None (or less than \$1,001)		None (or less than \$201)
4.23	BLF FedFund Institutional Shares (TFDXX)		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
5	U.S. bank #3 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
6	Indestructible, LLC - .55% interest in partnership (film company)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	US brokerage account (cash)		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
8	U.S. bank #4 (cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
9	U.S. bank #5 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
10	US Bank #6 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
11	US Bank #7 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
12	US Bank #8 (cash)		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
13	US Bank #9 (cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
14	US Bank #10 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
15	US Bank #11 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	US Bank #12 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
17	US Bank #13 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
18	US Bank #14 (cash)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
19	US brokerage account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
20	US Bank #15 (cash)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
21	US Bank #16 (cash)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
22	US Bank #17 (cash)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
23	US Bank #18 (cash)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
24	US Bank #19 (cash)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
25	US Bank #20 (cash)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
26	US Bank #21 (cash)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
27	US Bank #22 (cash)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
28	US Bank #23 (cash)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
29	US Bank #24 (cash)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	US brokerage account (cash)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
31	US brokerage account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
32	US Bank #25 (cash)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
33	US bank #26 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
34	US brokerage account (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000

7. Transactions

None

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	President and Fellows of Harvard College	See Endnote	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2017	Appreciation interest per agreement	Due on sale

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	6	The LLC is a small film company that was started by one of spouse's friends.
8.	1	As part of his incentive package to teach at Harvard, nominee's spouse received mortgage loan for half the value of the couple's residence. Harvard University paid half the value of the home and nominee's spouse must repay the loan (and 50% of any appreciation value) upon sale of the home.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
