OGE Form 278-T (January 2013)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:
Periodic Transaction Report

Filer's Name *(Print Last, First, and Middle Initial)*	Title of Position for Which Filing	Department or Agency	
Kerry, John F.	Secretary	State Department	
Certification: I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.	**Signature of the Filer** John F. Kerry	**Date** *(Month, Day, Year)* 12/16/2013	**Notice of Extension** ☐ Check if granted Indicate number of days:
Signature of Intermediate Reviewing Official *(if required by agency)*	**Date** *(Month, Day, Year)*	**Agency Use Only**	**OGE Use Only** FEB 07 2014
Signature of Agency's Final Reviewing Official	**Date** *(Month, Day, Year)* 2/6/14	**Comments of Reviewing Officials**	
Signature of Reviewing Official at U.S. Office of Government Ethics *(if required)* Barbara Mullen-Roth	**Date** *(Month, Day, Year)* 4-18-14		

Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded $1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.

Deadlines

You must file your report within **30 days** of when you receive **notification of a transaction** but not later than 45 days after the transaction. These deadlines do not apply to any voluntary additional disclosures you make. See the instructions.

	Identification of Assets	Transaction Date *(Mo./Day/Yr.)*	Notification Received More Than 30 Days Ago*	Transaction Type (x): Purchase	Sale	Exchange	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000**	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Ex.	Central Airlines Co.	10/1/12		X					X								
Ex.	BMSL Propulsion, Inc.	9/4/12	X	X				X									
1	See attached																
2																	
3																	
4																	
5																	
6																	
7																	

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** This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

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	Identification of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
				Purchase	Sale	Exchange	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000**	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
1	CUSTODIAL I, MELLON BANK																
2	Birmingham Baptist Med 5.0% 11/15/13	11/15/13			X				X								
3																	
4	H. J. HEINZ III MARITAL TRUST																
5	HFI Imperial Fund, LP (Transactions involving underlying assets are listed on p. 3, line 7 through p. 5, line 2)																
6	HFI Index Fund, LP (Transactions involving underlying assets are listed on p. 5, line 6 through p. 10, line 5)																
7	Heinz Family Comingled Stock Fund III (Transactions involving underlying assets are listed on p. 10, line 9)																
8																	
9																	
10	Capital One Financial Corp	11/18/13		X				X									
11	E M C Corp Mass	10/31/13		X			X										
12	General Motors Co	11/13/13		X				X									
13	Honeywell Intl Inc	11/25/13		X				X									
14	General Electric Company	11/7/13			X		X										
15	Capital One Financial Corp	11/26/13		X			X										
16																	

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	Identification of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
				Purchase	Sale	Exchange	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000**	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
1	Pennsylvania St 5.0% 3/1/19	11/15/13		X							X						
2	Pennsylvania St Turn 4.0% 12/1/17	11/15/13		X							X						
3	Nassau Cnty NY 5.0% 4/1/22	11/15/13			X							X					
4																	
5	Symantec Corp	11/19/13		X			X										
6	Symantec Corp	11/20/13		X			X										
7	Symantec Corp	11/13/13		X			X										
8	Symantec Corp	11/15/13		X			X										
9	Symantec Corp	11/18/13		X			X										
10	Symantec Corp	11/14/13		X			X										
11	Fifth & Pacific Co Inc	11/12/13			X		X										
12	Fifth & Pacific Co Inc	11/18/13			X		X										
13	Fifth & Pacific Co Inc	11/19/13			X		X										
14	Fifth & Pacific Co Inc	11/14/13			X		X										
15	Fifth & Pacific Co Inc	11/15/13			X		X										
16																	

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				Purchase	Sale	Exchange	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000**	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
1	Fifth & Pacific Co Inc	11/13/13			X		X										
2	Fifth & Pacific Co Inc	11/20/13			X		X										
3	Fifth & Pacific Co Inc	11/11/13			X		X										
4	Fifth & Pacific Co Inc	11/21/13			X		X										
5	HFI Imperial Fund, LP																
6	Transactions involving underlying assets (a holding of the Marital Trust) are listed on p. 3, line 7 through p. 5, line 2																
7	Advanced Info Service Pcl	11/6/13			X		X										
8	Advanced Info Service Pcl	11/29/13			X		X										
9	Airports of Thailand Pcl	11/4/13		X			X										
10	Changshouhua Food Co Ltd	11/18/13		X			X										
11	Daibochi Plastic & Packaging Industry	11/12/13		X			X										
12	Daibochi Plastic & Packaging Industry	11/14/13		X			X										
13	Guangdong Invt Ltd	11/25/13			X		X										
14	King Slide Works Co	11/21/13		X			X										
15	King Slide Works Co	11/25/13			X		X										
16																	

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	Identification of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)										
				Purchase	Sale	Exchange	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000**	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
1	Osim International	11/12/13			X		X										
2	Osim International	11/18/13		X			X										
3	President Chain Store Corp	11/15/13		X			X										
4	Sa Sa International Hldgs Ltd	11/19/13			X		X										
5	Samsonite International Sa	11/12/13		X			X										
6	Sands China Ltd	11/6/13		X			X										
7	Sheng Siong Group Ltd	11/4/13			X		X										
8	Silverlake Axis	11/12/13			X		X										
9	Singapore Technologies	11/28/13		X			X										
10	SJM Holdings Limited	11/1/13			X		X										
11	SJM Holdings Limited	11/6/13			X		X										
12	Surya Citra Media	11/8/13			X		X										
13	Surya Citra Media	11/29/13			X		X										
14	Tencent Holdings Ltd	11/5/13		X			X										
15	Tencent Holdings Ltd	11/14/13		X			X										
16																	

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	Identification of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)										
				Purchase	Sale	Exchange	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000**	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
1	Tencent Holdings Ltd	11/25/13			X		X										
2	Yung Tay Engineer	11/5/13		X			X										
3																	
4	**HFI Index Fund, LP**																
5	Transactions involving underlying assets (a holding of the Marital Trust) are listed on p. 5, line 6 through p. 10, line 5																
6	Allergan Inc	11/22/13		X			X										
7	American Capital Agency Corp	11/22/13		X			X										
8	American Tower Corp	11/22/13		X			X										
9	Annaly Capital Management Inc	11/22/13		X			X										
10	AT&T Inc	11/22/13		X				X									
11	Broadcom Corp Cl A	11/22/13		X			X										
12	Cameron International Corp	11/22/13		X			X										
13	Davita Healthcare Partners Inc	11/22/13		X			X										
14	Digital Realty Trust Inc	11/22/13		X			X										
15	Duke Energy Corp	11/22/13		X			X										
16																	

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	Identification of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)										
				Purchase	Sale	Exchange	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000**	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
1	Ensco Plc-Cl A	11/22/13		X			X										
2	Equity Residential	11/22/13		X			X										
3	Fastenal Co	11/22/13		X			X										
4	HCP Inc	11/22/13		X			X										
5	International Business Machines Corp	11/22/13		X				X									
6	Intuitive Surgical Inc	11/22/13		X			X										
7	Juniper Networks Inc	11/22/13		X			X										
8	Marathon Oil Corp	11/22/13		X			X										
9	Marathon Petroleum Corp	11/22/13		X			X										
10	Micron Technology Inc	11/22/13		X			X										
11	Pepco Holdings Inc	11/22/13		X			X										
12	Phillips 66	11/22/13		X			X										
13	Prologis Inc	11/22/13		X			X										
14	QEP Resources Inc	11/22/13		X			X										
15	Southern Co	11/22/13		X			X										
16																	

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				Purchase	Sale	Exchange	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000**	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
1	Valero Energy Corp	11/22/13		X			X										
2	Ventas Inc	11/22/13		X			X										
3	Vornado Realty Trust	11/22/13		X			X										
4	Weyerhaeuser Co	11/22/13		X			X										
5	A G Mortgage Investment Trust	11/22/13			X		X										
6	AEP Industries Inc	11/22/13			X		X										
7	Altera Corp	11/22/13			X		X										
8	American Campus Communities	11/22/13			X		X										
9	Atwood Oceanics Inc	11/22/13			X		X										
10	Avalonbay Cmntys Inc	11/22/13			X		X										
11	Avon Products Inc	11/22/13			X		X										
12	Camden Property Trust Reit	11/22/13			X		X										
13	Caterpillar Inc	11/22/13			X		X										
14	Centurylink Inc	11/22/13			X		X										
15	Citrix Sys Inc	11/22/13			X		X										
16																	

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				Purchase	Sale	Exchange	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000**	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
1	Cobalt International Energy	11/22/13			X		X										
2	Conagra Foods Inc	11/22/13			X		X										
3	Consolidated Edison Inc	11/22/13			X		X										
4	Diamond Offshore Drilling Inc	11/22/13			X		X										
5	Dresser-Rand Group Inc	11/22/13			X		X										
6	E M C Corp Mass	11/22/13			X		X										
7	Ebay Inc	11/22/13			X			X									
8	Edison International	11/22/13			X		X										
9	Edwards Lifesciences Corp	11/22/13			X		X										
10	Entergy Corp	11/22/13			X		X										
11	Exelon Corp	11/22/13			X		X										
12	Hatteras Financial Corp	11/22/13			X		X										
13	Hecla Mining Co	11/22/13			X		X										
14	Kinder Morgan Inc	11/22/13			X			X									
15	Lilly Eli & Co	11/22/13			X		X										
16																	

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1	Linkedin Corp - A	11/22/13			X		X										
2	Netapp Inc	11/22/13			X		X										
3	P G & E Corp	11/22/13			X		X										
4	Palo Alto Networks Inc	11/22/13			X		X										
5	Post Properties Inc	11/22/13			X		X										
6	PPL Corp	11/22/13			X		X										
7	Public Storage Inc Reit	11/22/13			X		X										
8	Questar Corp	11/22/13			X		X										
9	Realty Income Corp	11/22/13			X		X										
10	Red Hat Inc	11/22/13			X		X										
11	Royal Gold Inc	11/22/13			X		X										
12	Scana Corp	11/22/13			X		X										
13	Southern Copper Corp	11/22/13			X		X										
14	Taubman Centers Inc	11/22/13			X		X										
15	NYSE Euronext (as a result of a merger with Intercontinentalexchange Group)	11/15/13			X		X										
16	Intercontinentalexchange Group (as the result of a merger with NYSE Euronext)	11/15/13		X			X										

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1	Teradata Corporation	11/22/13			X		X										
2	Timken Co	11/22/13			X		X										
3	TW Telecom Inc	11/22/13			X		X										
4	Two Harbors Investment Corp	11/22/13			X		X										
5	Williams Cos Inc	11/22/13			X		X										
6																	
7	HEINZ FAMILY COMINGLED STOCK FUND III																
8	Transactions involving underlying assets (a holding of the Marital Trust) are listed on p. 10, line 9																
9	McDonald's Corporation	11/18/13			X					X							
10																	
11																	
12																	
13																	
14																	
15																	
16																	

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